

# **Week6**

# **Standard Model-1**

# Class Schedule

Date	Topic	
Week 1 (9/22/18)	Introduction	YJ
Week 2 (9/29/18)	History of Particle Physics	YJ
Week 3 (10/6/18)	Special Relativity	Ed
Week 4 (10/13/18)	Quantum Mechanics	Ed
Week 5 (10/20/18)	Experimental Methods	Ed
Week 6 (10/27/18)	The Standard Model - Overview	YJ
Week 7 (11/3/18)	The Standard Model - Limitations	YJ
Week 8 (11/10/18)	Neutrino Theory	Ed
Week 9 (11/17/18)	Neutrino Experiment	Ed
Week 10 (12/1/18)	LHC and Experiments	YJ
Week 11 (12/8/18)	The Higgs Boson and Beyond	YJ
Week 12 (12/15/18)	Particle Cosmology	Ed

# Class Policy

- Classes from 10:00 AM to 12:30 PM (10 min break at ~ 11:10 AM).
- Attendance record counts.
- Up to four absences
- Lateness or leaving early counts as half-absence.
- Send email notifications of all absences to [shpattendance@columbia.edu](mailto:shpattendance@columbia.edu).

# Class Policy

- No cell phone uses during the class.
- Feel free to step outside to the hall way in case of emergencies, bathrooms, starvations.
- Feel free to stop me and ask questions / ask for clarifications.
- Resources for class materials, Research Opportunities + Resources to become a particle physicist

<https://twiki.nevis.columbia.edu/twiki/pub/Main/ScienceHonorsProgram>

# Quantum Field Theory

- QFT; Foundation of particle theory
- Small and fast moving; Quantum and relativistic.
- QFT is meant to be dealt with by incorporating relativistic and quantum principles.
- QFT depicts subatomic behaviors.
- One of the QFTs, the Standard Model has incredible accuracy.

# The Standard Model

- The theory which attempts to fully describe the **weak, electromagnetic, and strong interactions** within a **common framework**:
  - A "common ground" that would unite all of laws and theories which describe particle dynamics into one integrated **theory of everything**, of which all the other known laws would be special cases, and from which the behavior of all matter and energy can be derived.
  - **A theory of “almost everything”**: does not accommodate gravity, dark matter, dark energy, others...

# The Standard Model

- **The Standard Model (SM):** good model, but “old news”.
- The SM was solidified in the 1970’s, with the discovery of quarks (confirmation of theory of strong interactions).
- Under scrutiny for the last 40 years, has managed to survive many experimental tests (!):
  - All particles predicted by this theory have been found experimentally!
  - We already know it is **incomplete** (“almost everything”, plus it has some “unnatural properties”).
  - More discussion on this next week!

# Today's Agenda

- Historical background (see lecture 2)
- SM particle content
- SM particle dynamics
  - Quantum Electrodynamics (QED)
  - Quantum Chromodynamics (QCD)
  - Weak Interactions
  - Force Unification
- Lagrangian / Field formulation
- Tests and predictions
- Higgs mechanism and Higgs boson discovery

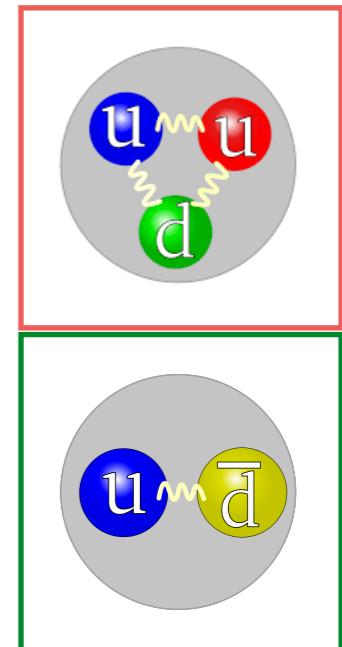
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# SM particle content

- **Fermions:** quarks and leptons
  - Spin-1/2 particles
- **Bosons:** force mediators and Higgs field
  - Integer-spin particles

# SM particle content : Quarks

- **Quarks:**
  - There are no free quarks.
  - They form colorless composite objects, **hadrons**:
    - **baryons** ( $qqq$ )
    - **mesons** ( $qq$ )



**Table 1.1** The twelve fundamental fermions divided into quarks and leptons.  
The masses of the quarks are the current masses.

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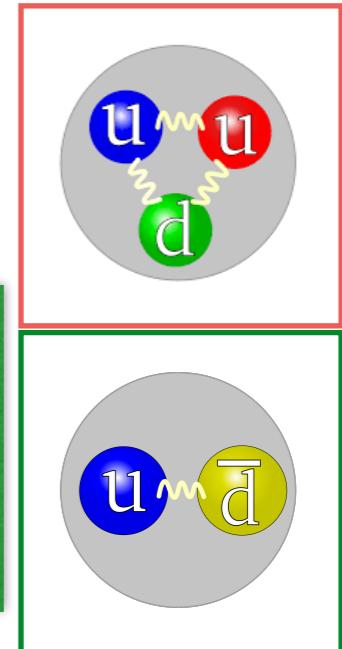
## The forces experienced by different particles.

					strong	electromagnetic	weak
Quarks	down-type	d	s	b	✓	✓	✓
	up-type	u	c	t			
Leptons	charged	$e^-$	$\mu^-$	$\tau^-$		✓	✓
	neutrinos	$\nu_e$	$\nu_\mu$	$\nu_\tau$			✓

# SM particle content : Quarks

- Quarks:
  - There are no free quarks.
  - They form colorless
    - **baryons** (qqq)
    - **mesons** (qq)

*Possessing charge of an interaction  
makes a particle to participate in the  
interaction.  
~Sensory system*



**Table 1.1** The twelve fundamental fermions divided into quarks and leptons.  
The masses of the quarks are the current masses.

	Leptons			Quarks				
	Particle	$Q$	mass/GeV	Particle	$Q$	mass/GeV		
First generation	electron	( $e^-$ )	-1	0.0005	down	(d)	-1/3	0.003
	neutrino	( $\nu_e$ )	0	$< 10^{-9}$	up	(u)	+2/3	0.005
Second generation	muon	( $\mu^-$ )	-1	0.106	strange	(s)	-1/3	0.1
	neutrino	( $\nu_\mu$ )	0	$< 10^{-9}$	charm	(c)	+2/3	1.3
Third generation	tau	( $\tau^-$ )	-1	1.78	bottom	(b)	-1/3	4.5
	neutrino	( $\nu_\tau$ )	0	$< 10^{-9}$	top	(t)	+2/3	174

Color      Electric      Weak

Quarks

The forces experienced by different particles.

			strong	electromagnetic	weak
Quarks	down-type	d	s	b	<input checked="" type="checkbox"/>
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# SM particle content : Quarks

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  - There are no free quarks
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    - **baryons** ( $qqq$ )
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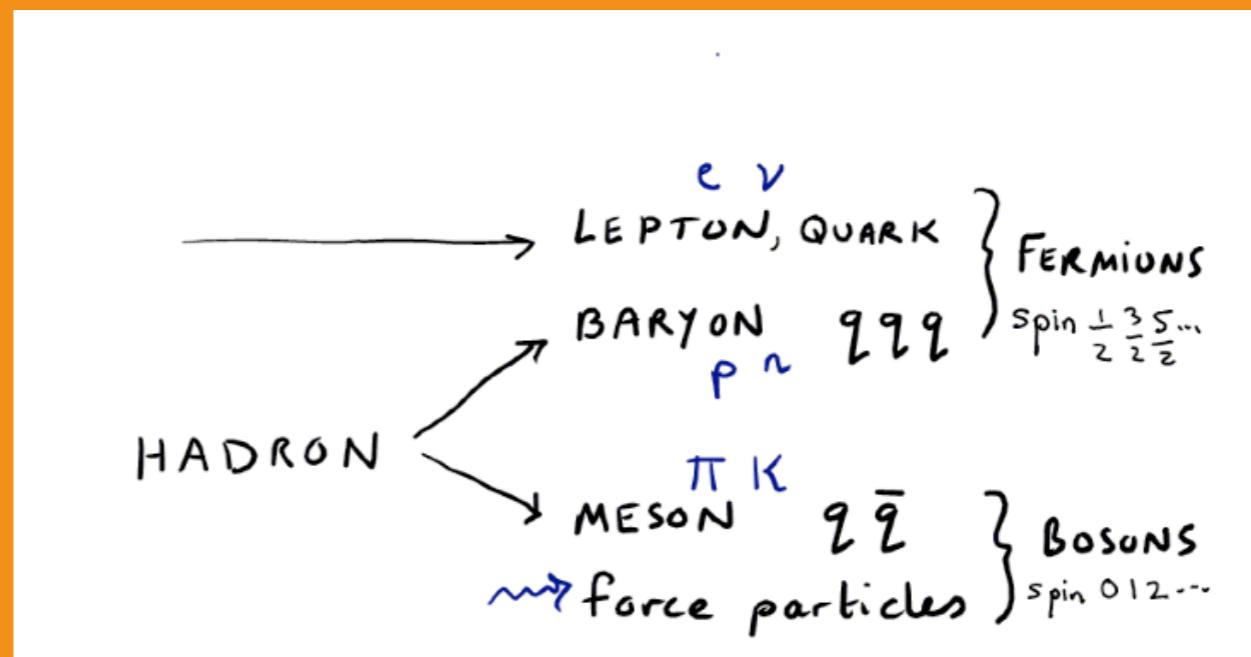
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	neutrino	$(\nu_\tau)$	0	$< 10^{-9}$	top
Quarks					
Leptons					
	neutrinos			$\nu_e$	✓
				$\nu_\mu$	✓
				$\nu_\tau$	✓



Pop Quiz !!!

Are hadrons bosons or fermions?



They can be either fermions or bosons.

weak

✓

✓

✓

# SM particle content : Leptons

- Leptons: (can exist as free particles)

	Color	Electric	Weak
Quarks	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Leptons (charged)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
(neutrinos)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

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*Possessing charge of an interaction makes a particle to participate in the interaction.  
~Sensory system*

**Table 1.2** The forces experienced by different particles.

		strong	electromagnetic	weak
Quarks	down-type	d      s      b	✓	✓
	up-type	u      c      t		
Leptons	charged	$e^-$ $\mu^-$ $\tau^-$	✓	✓
	neutrinos	$\nu_e$ $\nu_\mu$ $\nu_\tau$		✓

- Historical background (see lecture 2)
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# Particle dynamics : Pictorial way

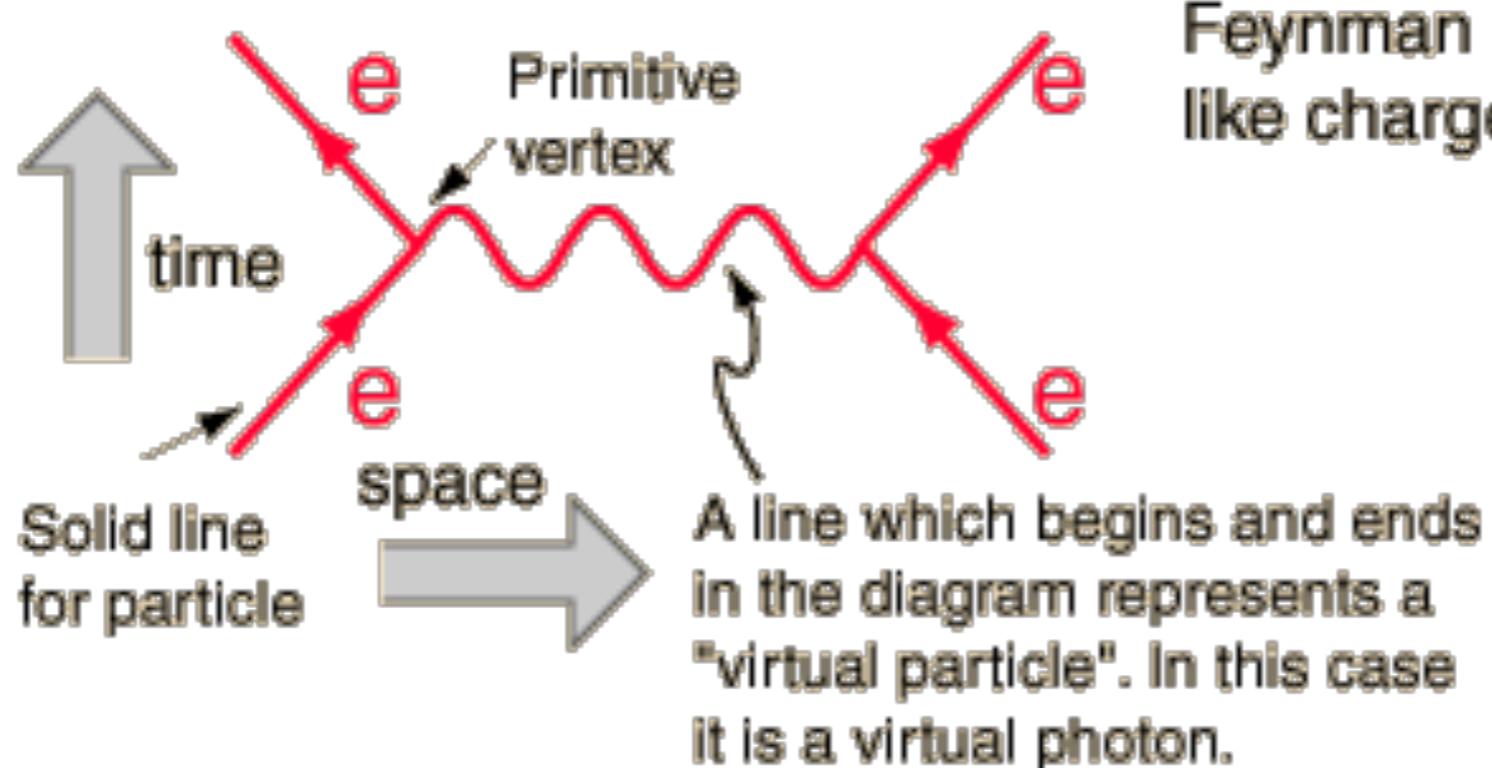
Richard P. Feynman

- Feynman Rules!
- 1948: introduced pictorial representation scheme for the mathematical expressions governing the behavior of subatomic particles.
  - Can be used to easily calculate “**probability amplitudes**”.
  - Other options: cumbersome mathematical derivations.



# Particle dynamics : Pictorial way

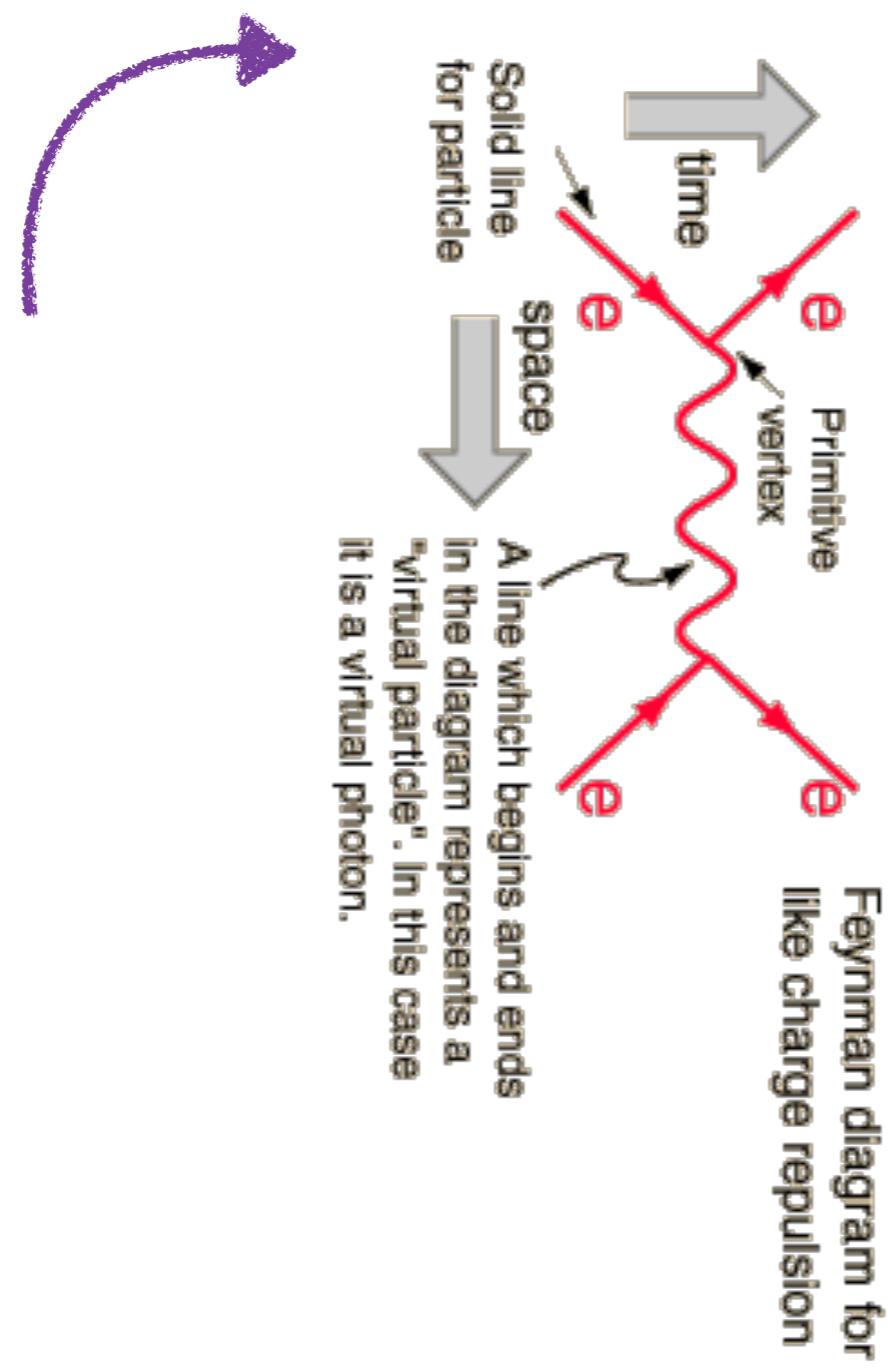
- Feynman diagrams deciphered:



Feynman diagram for like charge repulsion

# Particle dynamics (pictorially)

- Feynman diagrams deciphered:

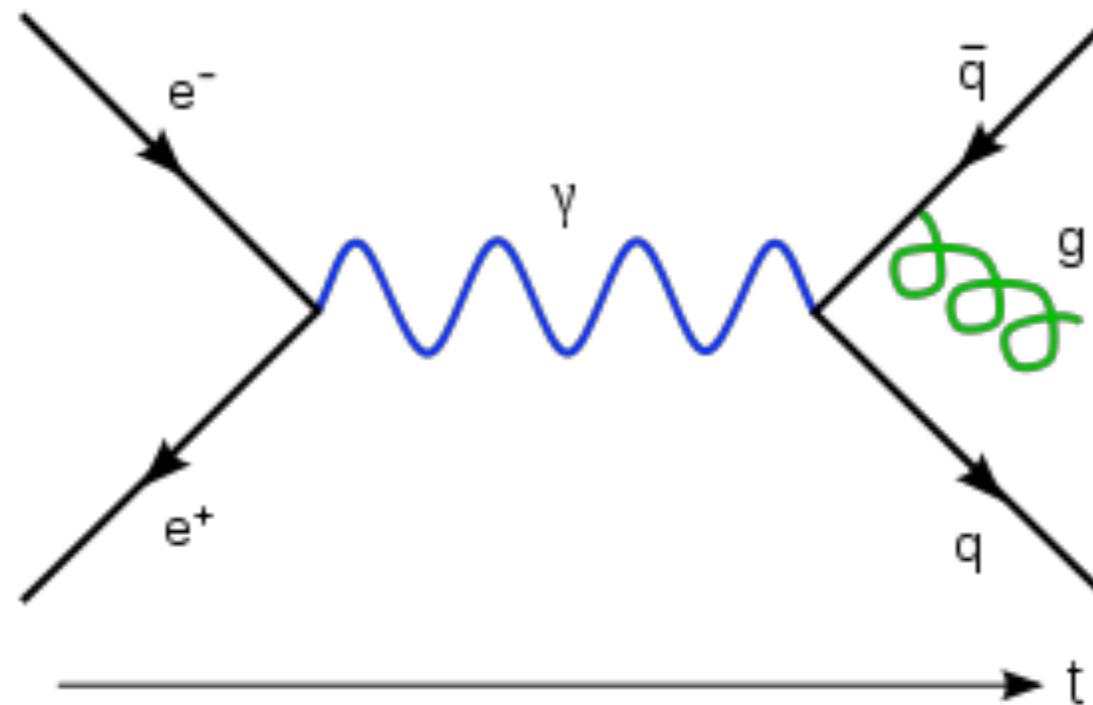


Beware of the time direction!  
(You'll see it used in either way.)

If  $t$  was on  $y$ -axis, this would be a different process.

# Particle dynamics (pictorially)

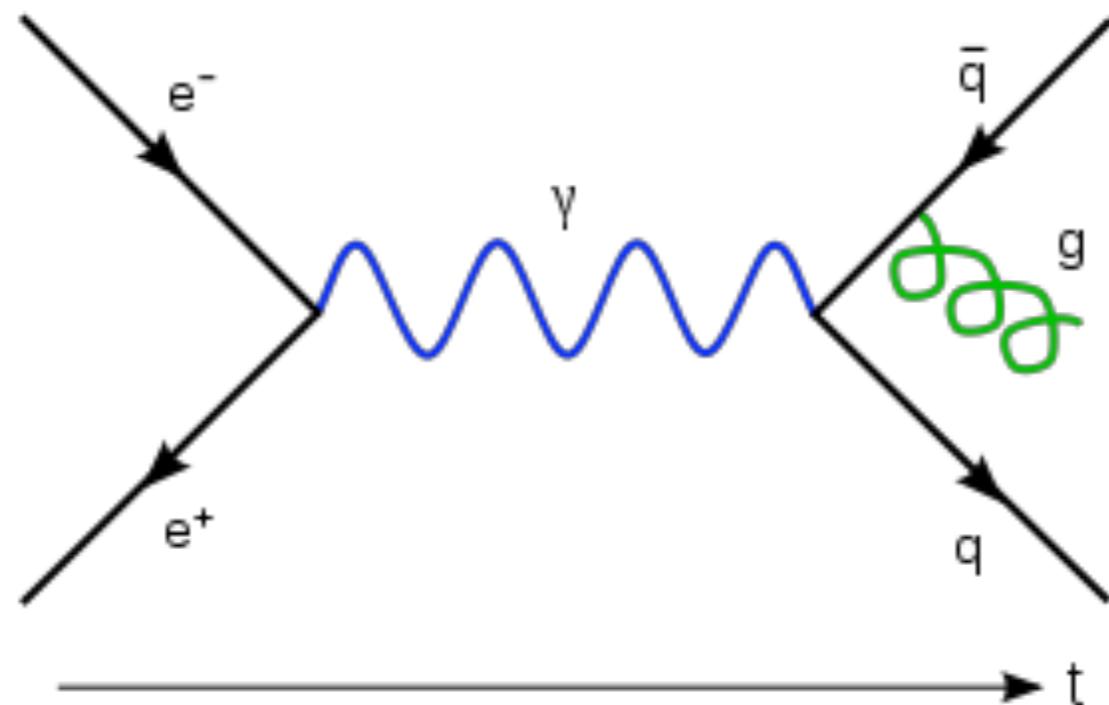
- Example:



An electron and a positron annihilate, producing a virtual photon (represented by the blue wavy line) that becomes a quark-antiquark pair. Then one radiates a gluon (represented by the green spiral).

# Particle dynamics (pictorially)

- Example:



Note, at every vertex:  
Q conservation  
L conservation  
 $L_e$  conservation  
B conservation

An electron and a positron annihilate, producing a virtual photon (represented by the blue wavy line) that becomes a quark-antiquark pair. Then one radiates a gluon (represented by the green spiral).

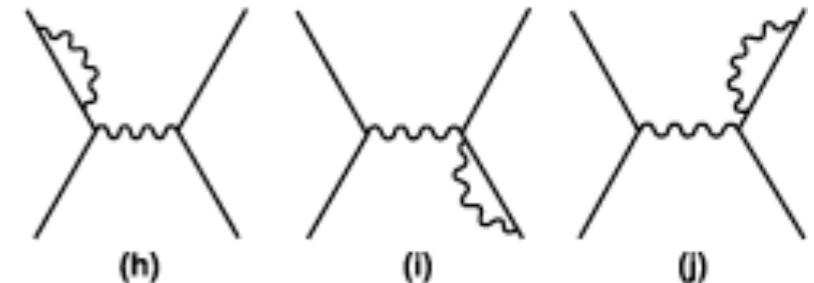
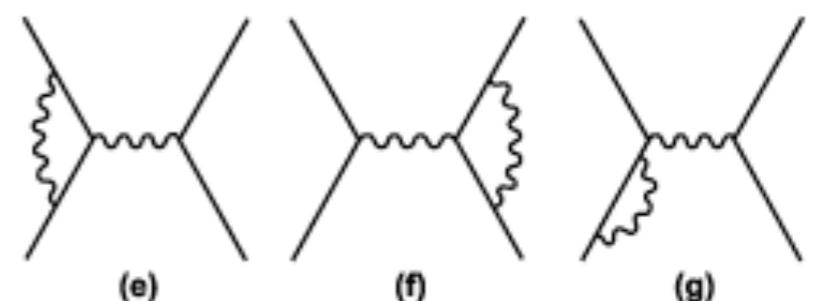
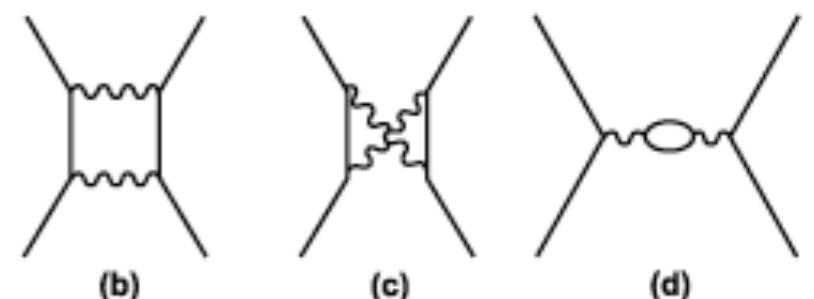
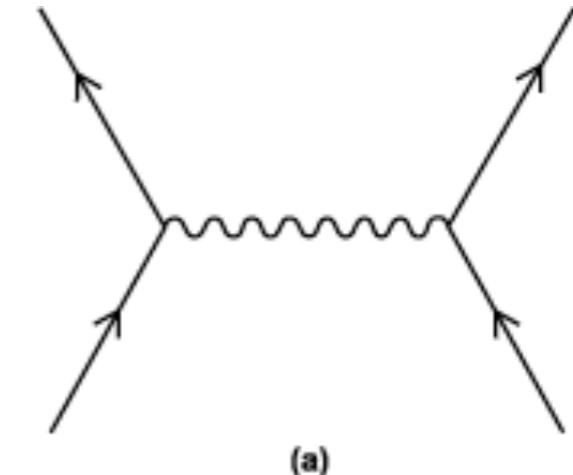
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# Quantum Electrodynamics (QED)

- Quantum electrodynamics, or QED, is the theory of the interactions between light and matter.
- As you already know, electromagnetism is the dominant physical force in your life. All of your daily interactions - besides your attraction to the Earth - are electromagnetic in nature.
- As a theory of electromagnetism, QED is primarily interested in the behavior and interactions of charged particles with each other and with light.
- As a quantum theory, QED works in the submicroscopic world, where particles follow all possible paths and can blink in and out of existence (more later).

# Quantum Electrodynamics (QED)

- The **vertices** are interactions with the electromagnetic field.
- The straight lines are electrons and the wiggly ones are photons.
  - Between interactions (vertices), they propagate under the free Hamiltonian (free particles).
- The higher the number of vertices, the less likely for the interaction to happen.

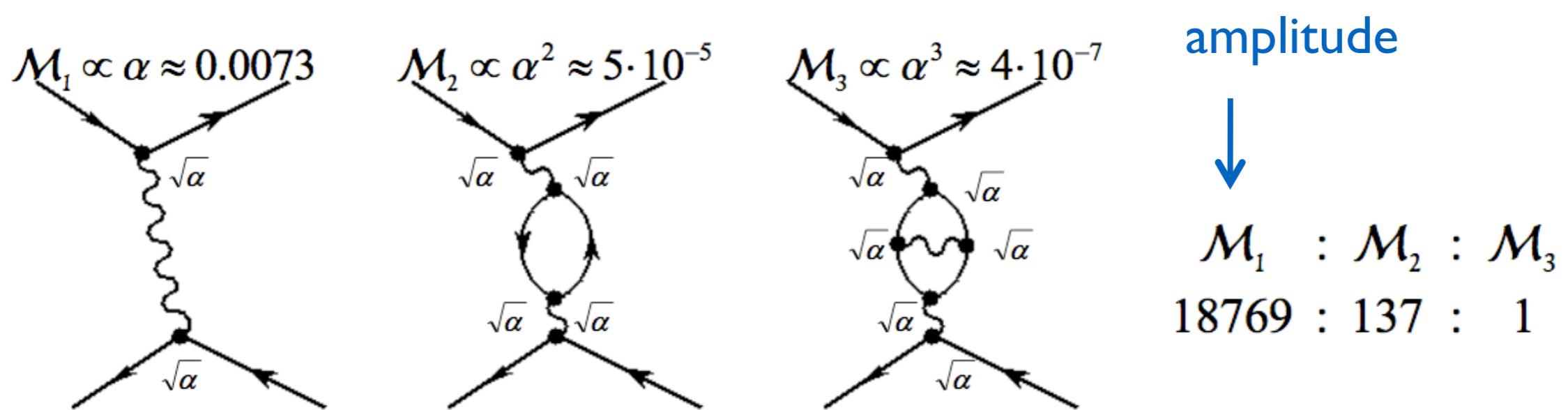


# Quantum electrodynamics (QED)

- Each vertex contributes a coupling constant  $\sqrt{\alpha}$ , where  $\alpha$  is a small dimensionless number:

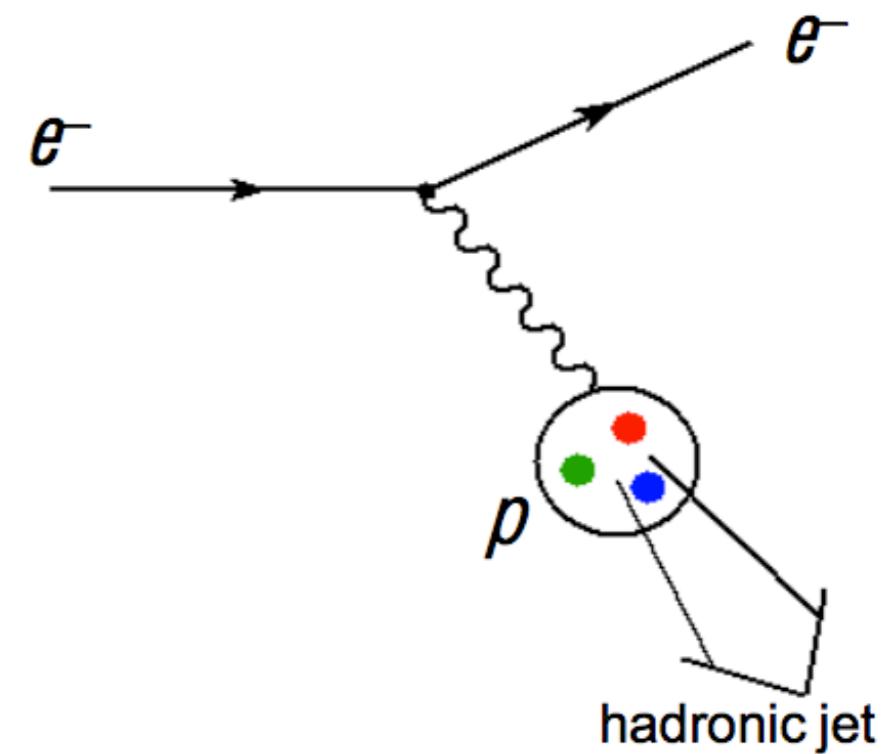
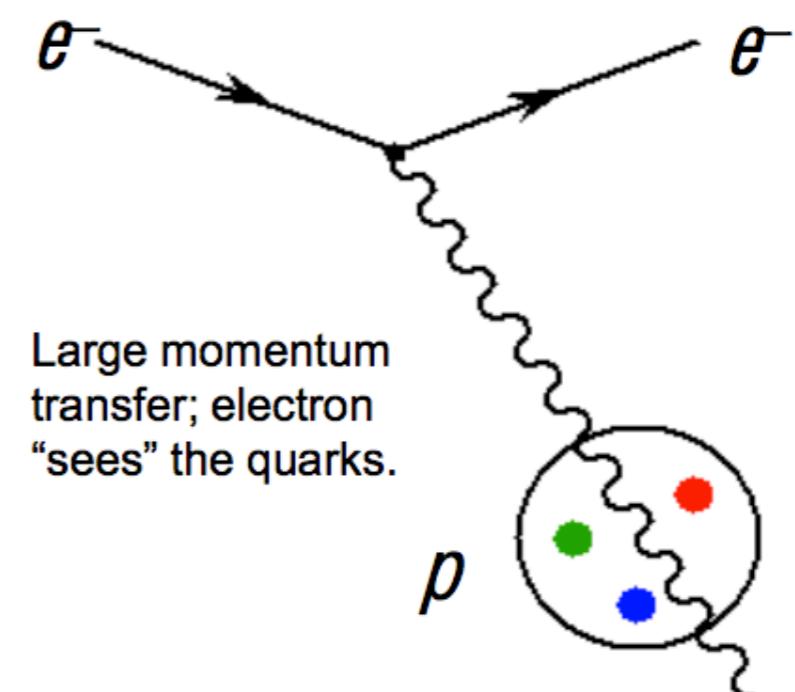
$$\alpha = \frac{e^2}{\hbar c} \approx \frac{1}{137}$$

- Hence, **higher-order diagrams** (diagrams with more vertices) get suppressed relative to diagrams with less vertices because  $\alpha$  is so small.



# When QED is not adequate

- When we go to higher energy  $e$ - $p$  collisions, experiment shows that new particles start to form.
- This is so-called *inelastic scattering*, in which two colliding particles can form (hundreds of) new hadrons.
- QED cannot explain phenomena like inelastic scattering.
  - We need an additional theory of subnuclear interactions.



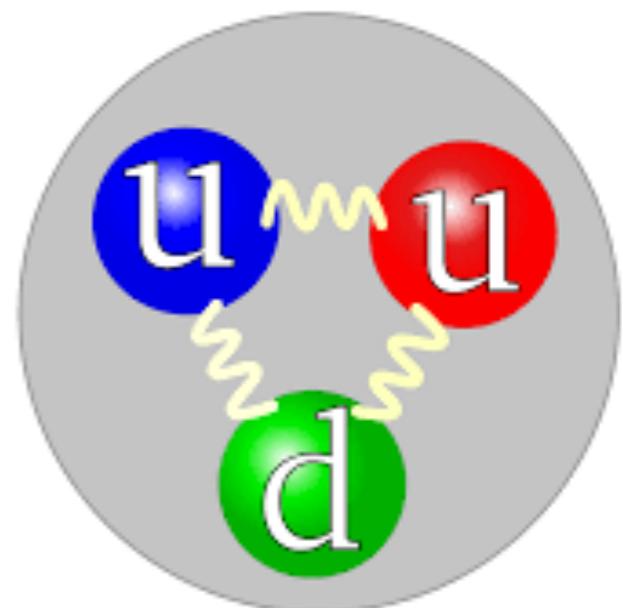
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# Quantum chromodynamics (QCD)

- QCD can explain many phenomena that QED fails to explain.
  - The binding of nucleons in atoms and the phenomena of inelastic scattering are both explained by a single field theory of quarks and gluons: QCD.
  - QCD describes the **interactions between quarks** (particles that carry “color” charge) **via the exchange of massless gluons**.
  - Note: the quark-gluon interactions are also responsible for the binding of quarks into the bound states that make up the hadron zoo ( $\rho$ ’s,  $\eta$ ’s,  $\Lambda$ ,  $\Xi$ ,  $\Sigma$ ’s, ...).
  - Problem: QCD is conceptually similar to QED, but its calculations are even more complicated. We’ll discuss why...

# Quantum chromodynamics (QCD)

- Quarks and bound states:
  - Since quarks are spin-1/2 particles (fermions), they must obey the Pauli Exclusion Principle.
- **Pauli Exclusion Principle:** fermions in a bound state (e.g., the quarks inside a hadron) cannot share the same quantum numbers.
- **Question:** then, how can we squeeze three quarks into a baryon?
- **Answer:** let's give them an additional “charge”, called color.
  - This removes the quantum numbers degeneracy.



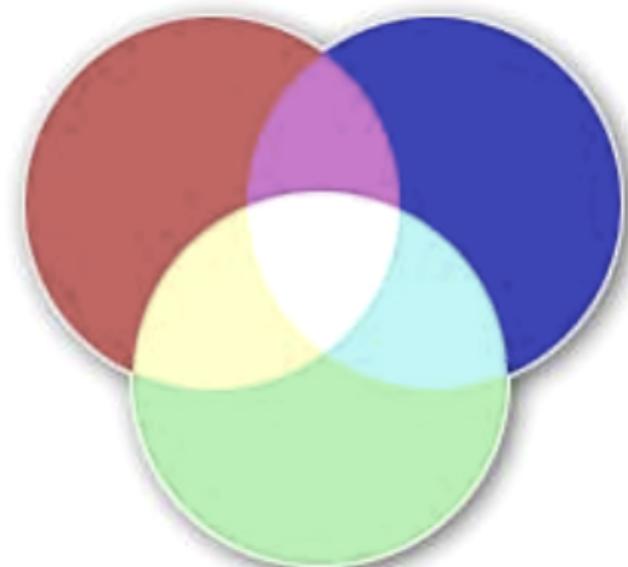
# Quantum chromodynamics (QCD)

- Quarks and bound states:
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**Proposal:** quark color comes in three types:

**red, green, and blue;**

- All free, observed particles are colorless.

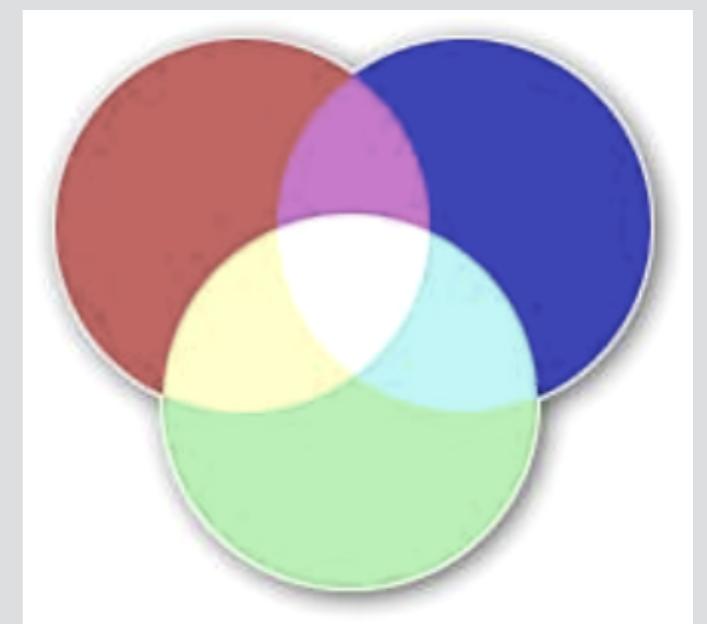


Red, blue, and green combine to give white (color-neutral).

# Quantum chromodynamics (QCD)

- Quarks and bound states:
  - Since quarks are spin-1/2 particles (fermions), they must obey the Pauli Exclusion Principle.
  - Pauli Exclusion Principle: fermions in a bound state (e.g., the quarks inside a hadron) cannot share the same quantum numbers.

- What do the anti-colors look like?
- Red plus anti-red gives white, but combining red with blue and green gives white.
- Hence, anti-red is blue+green; similarly, anti-blue is red+green, and anti-green is red+blue.



# Quantum chromodynamics (QCD)

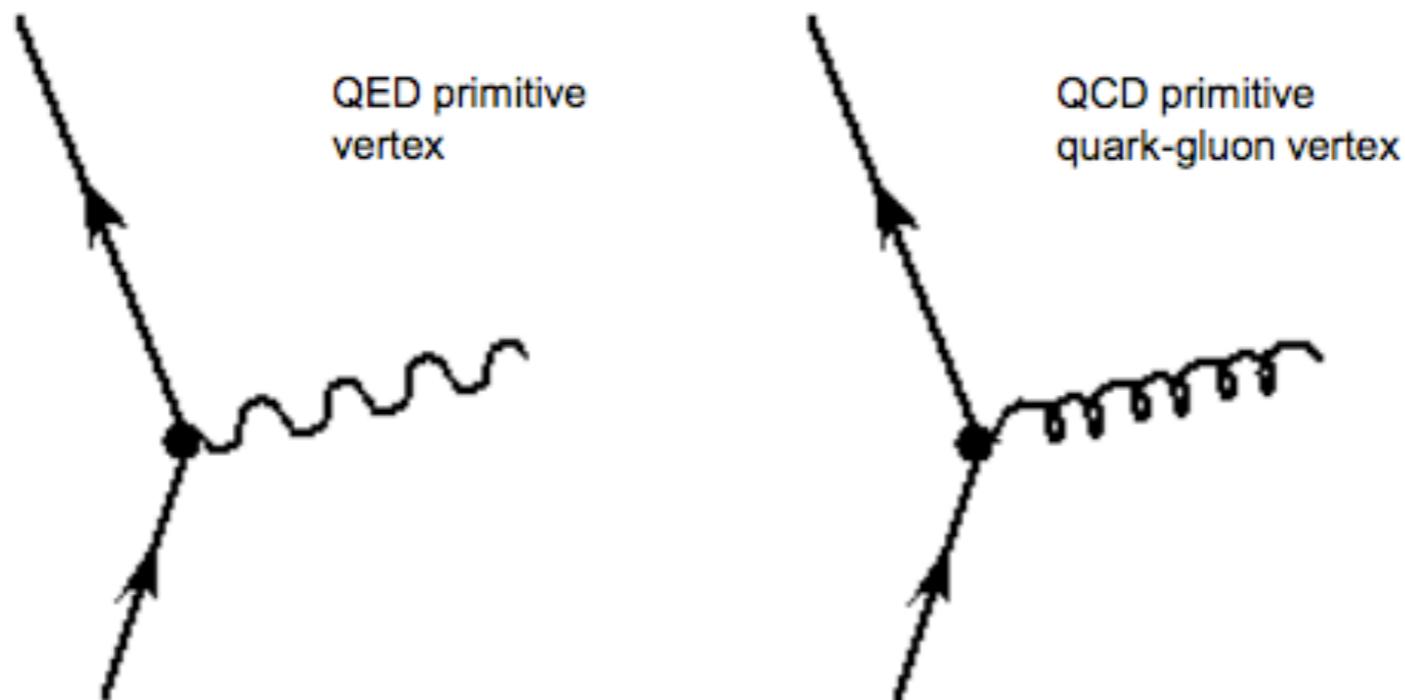
- Gluons have both color and anti-color.
  - There are 9 possible combinations, but  $\text{I}$  is white, which is not allowed.
  - This leaves 8 types of gluon.

A quark changes color by emitting or absorbing gluons.



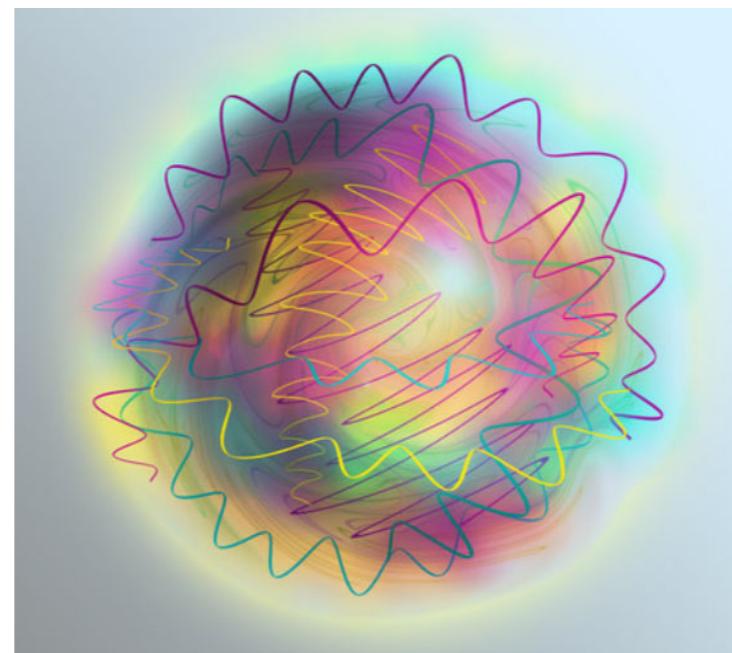
# Quantum chromodynamics (QCD)

- Quarks are electrically charged, so they also interact via the EM force, exchanging photons.
- The strong interaction is gluon-mediated, but the Feynman diagram for the *quark-gluon vertex* looks just like the primitive QED vertex.



# Quantum chromodynamics (QCD)

- Glueballs!
- Hypothetical composite particles, consisting solely of gluons, no quarks. Such a state is possible because gluons carry color charge and experience (strong) self-interaction.



# QED vs QCD

- QCD is much harder to handle than QED.
- What makes it so difficult? Let's start with *perturbation theory*.
- Recall: In QED, each vertex contributes a coupling constant  $\sqrt{\alpha}$ , where  $\alpha$  is a small dimensionless number:
$$\alpha = \frac{e^2}{\hbar c} \approx \frac{1}{137}$$
- Hence, we saw that higher-order diagrams (diagrams with more vertices) get suppressed relative to diagrams with less vertices, if  $\alpha$  is small.

## Aside: perturbation theory

- Use a power series in a parameter  $\varepsilon$  (such that  $\varepsilon \ll 1$ ) - known as perturbation series - as an approximation to the full solution.
- For example:

$$A = A_0 + \varepsilon A_1 + \varepsilon^2 A_2 + \dots$$

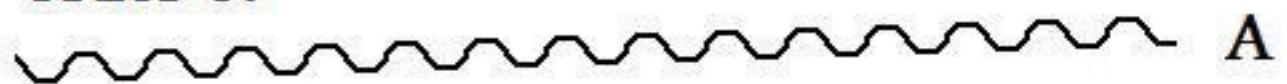
- In this example,  $A_0$  is the “**leading order**” solution, while  $A_1, A_2, \dots$  represent **higher-order** terms.
- **Note:** if  $\varepsilon$  is less than 1, the higher-order terms in the series become successively smaller.
- Approximation:

$$A \approx A_0 + \varepsilon A_1$$

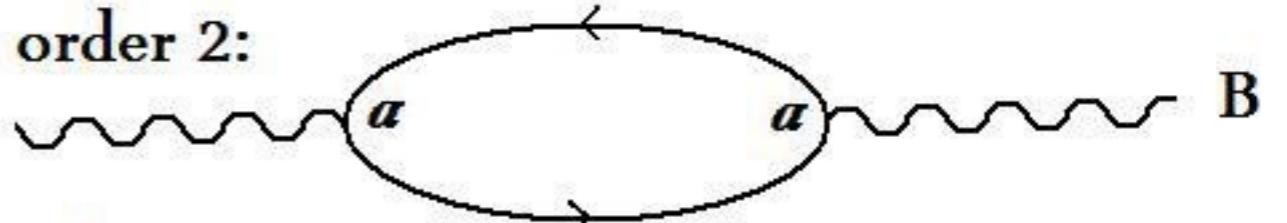
## Aside: perturbation theory in QFT

- Perturbation theory allows for well-defined predictions in quantum field theories (as long as they obey certain requirements).
- QED is one of those theories.
- Feynman diagrams correspond to the terms in the perturbation series!

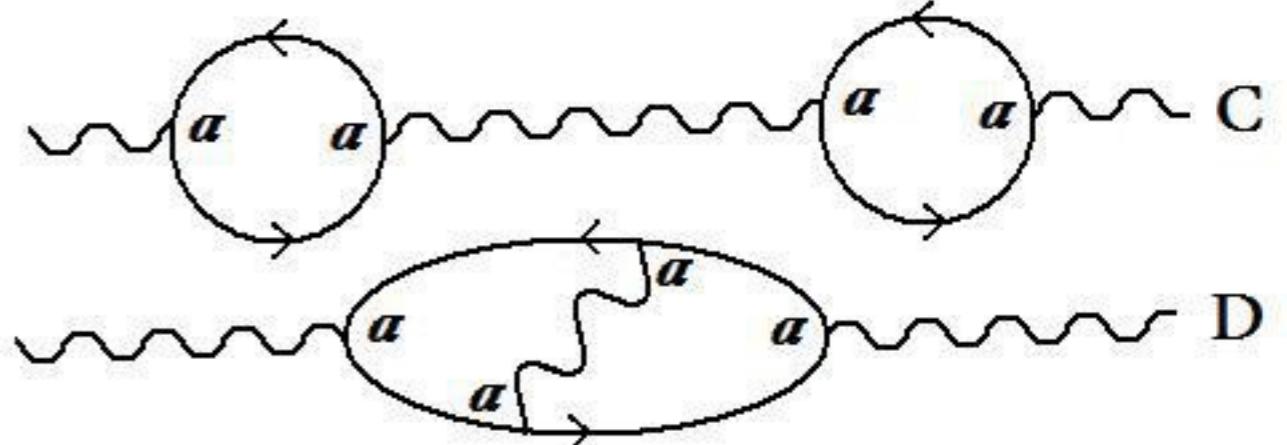
order 0:



order 2:



order 4:

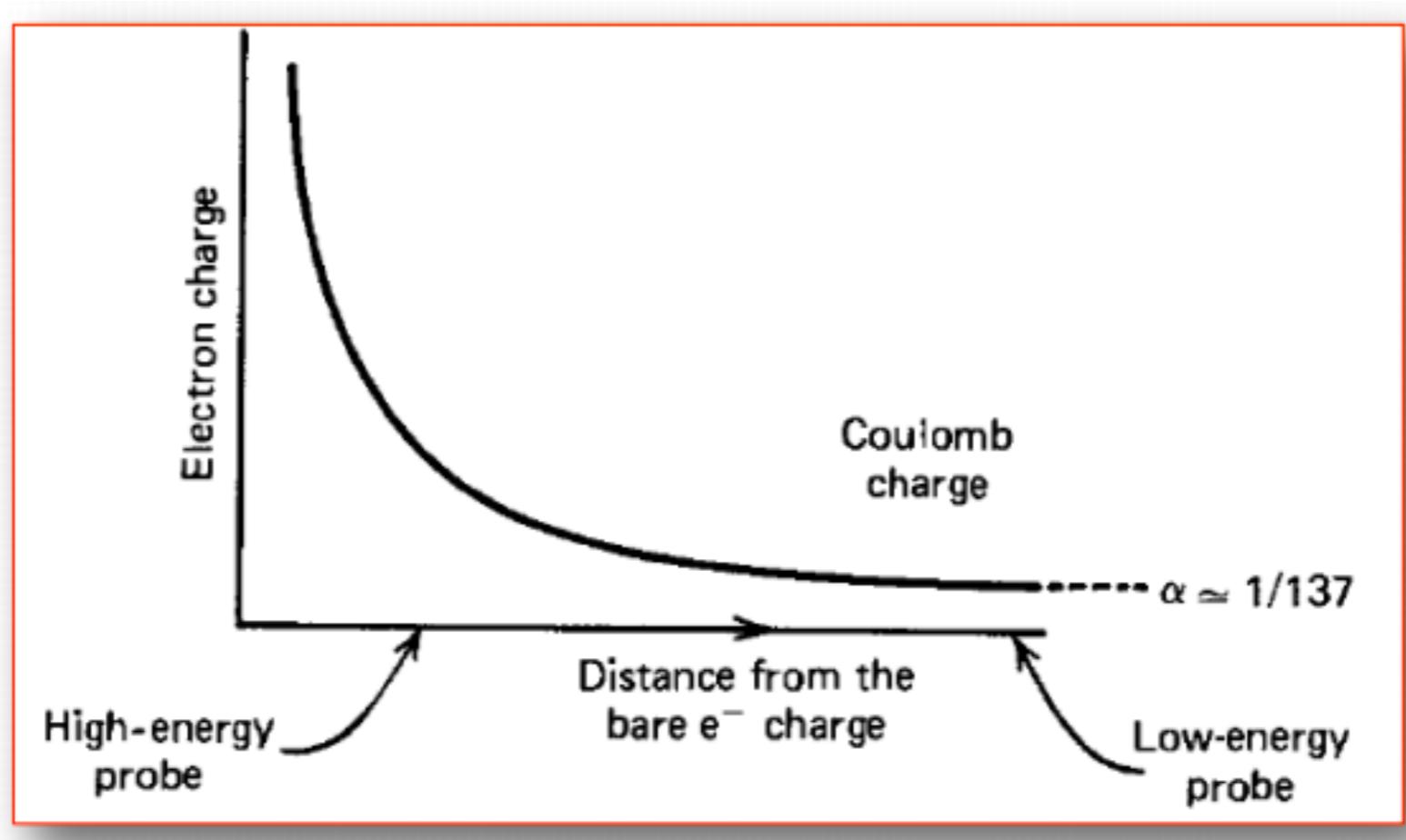


$$P = A + B\alpha^2 + (C + D)\alpha^4 + \dots$$

Diagrams define a series in  $\alpha$

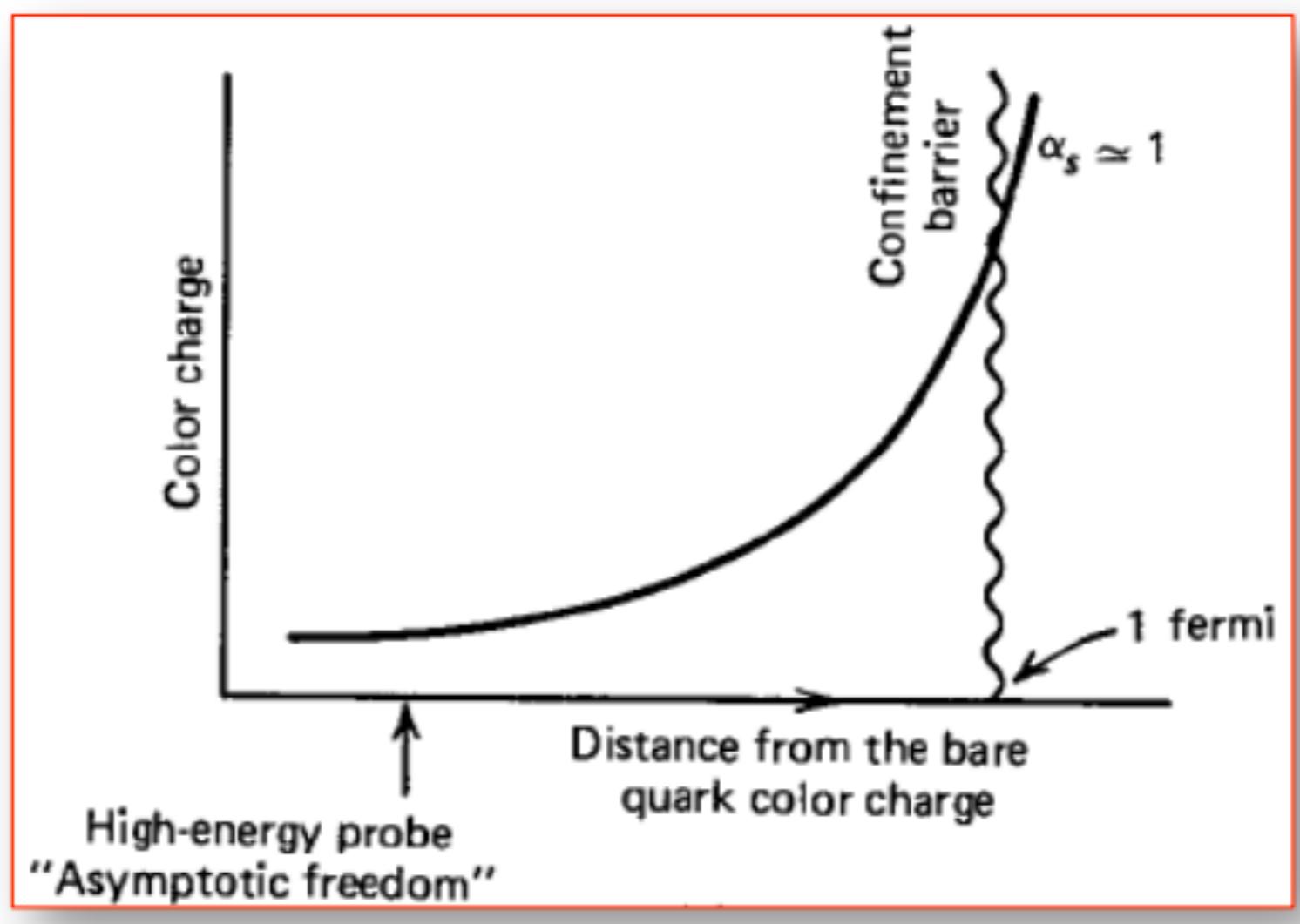
# QED vs QCD

- Recall: In QED, each vertex contributes a **coupling constant**  $\sqrt{\alpha}$ .
- $\alpha$  is not exactly a constant though... it “runs” with the scale of the interaction.



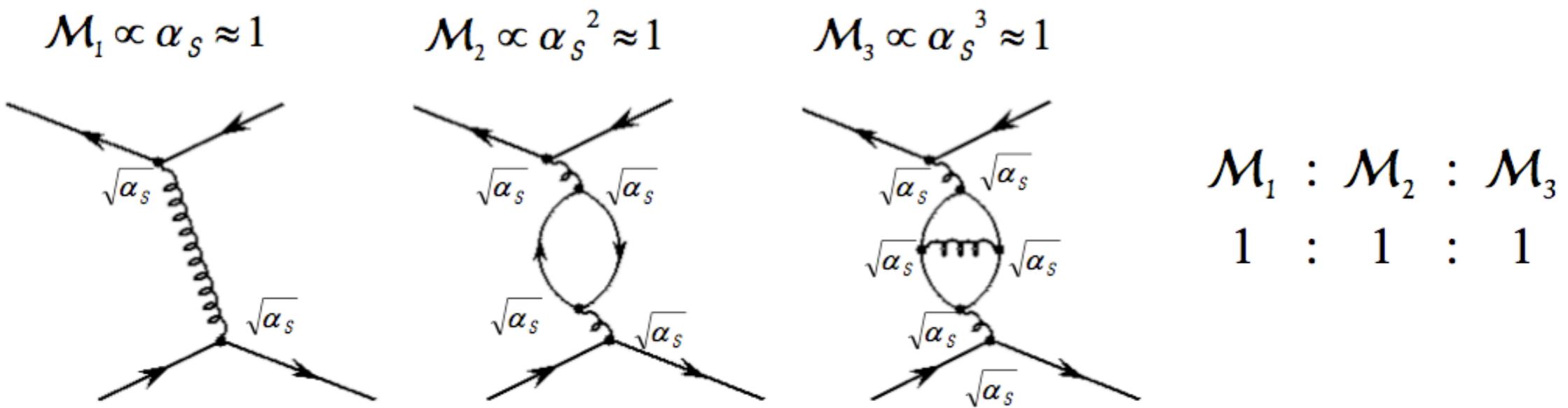
# QED vs QCD

- The coupling constant for QCD,  $\alpha_s$ , “runs” in a different way with energy.



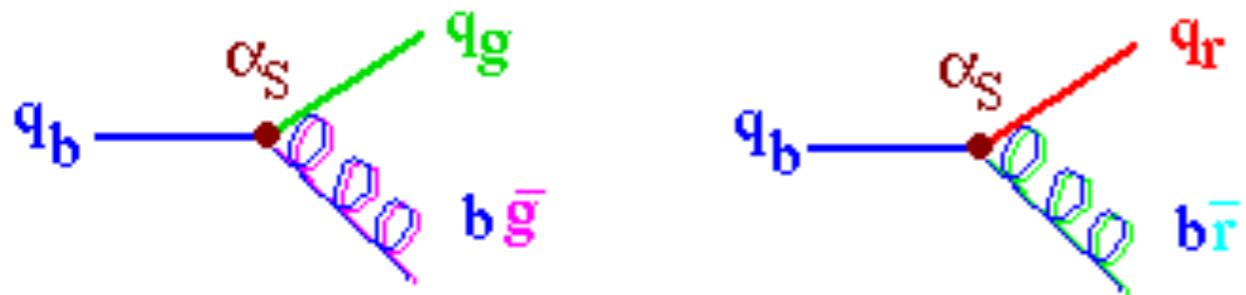
# QED vs QCD

- In QCD, the coupling between quarks and gluons, given by the number  $\alpha_s$ , is **much larger** than  $1/137$  at low energies.
- In fact, at low energies,  $\alpha_s \gg 1$ , making higher-order diagrams just as important as those with fewer vertices!
- This means we can't truncate the sum over diagrams.
  - Calculations quickly become complex!



# Another complication: gluon color

- Typically, quark color changes at a quark-gluon vertex.
- In order to allow this, the gluons have to carry off “excess” color.
- Color is conserved at the vertex, like electric charge is conserved in QED.



*Color, like electric charge, must be conserved at every vertex. This means that the gluons cannot be color-neutral, but in fact carry some color charge. It turns out that there are 8 distinct color combinations!*

- Gluons themselves are not color-neutral. That’s why we don’t usually observe them outside the nucleus, where only colorless particles exist.
- Hence, the **strong force is short-range**.

# Gluon confinement

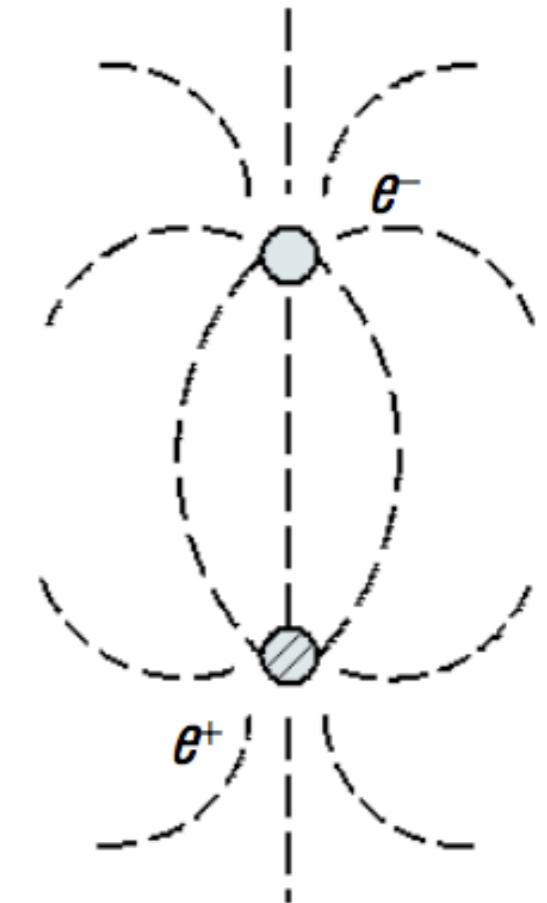
- **Confinement** is the formal name for what we just discussed.
- The long-range interactions between gluons are theoretically unmanageable. The math is very complicated and riddled throughout with infinities.
- If we assume the massless gluons have infinite range, we find that an infinite amount of energy would be associated with these self-interacting long-range fields.
- Solution:
  - Assume that any physical particle must be colorless: there can be no long-range gluons.

# Quark confinement

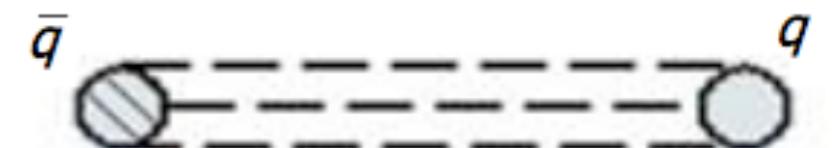
- Confinement also applies to quarks. All bound states of quarks must have a color combination such that they are white, or colorless.
  - Protons, neutrons, and other baryons are bound states of three quarks of different colors.
  - The mesons are composed of a quark-antiquark pair; these should have opposite colors (e.g. red and anti-red).
- As a consequence of confinement, one cannot remove just one quark from a proton, as that would create two “colorful” systems.
  - We would need an infinite amount of energy to effect such separation!
- Hence, the quarks are confined to a small region (<1 fm) near one another.

# Understanding confinement

- The mathematics of confinement are complex, but we can understand them in terms of a very simple picture.
- Recall, the Coulomb field between a  $e^+e^-$  pair looks like  $V(r) \sim 1/r$ .
  - As we pull the pair apart, the attraction weakens.
- Imagine the color field between a quark-antiquark pair like Hooke's Law:  $V(r) \sim r$ .
  - As we pull the pair apart, the attraction between them increases.
- So, separating two quarks by a large  $r$  puts a huge amount of energy into the color field:  $V(r) \rightarrow \infty$



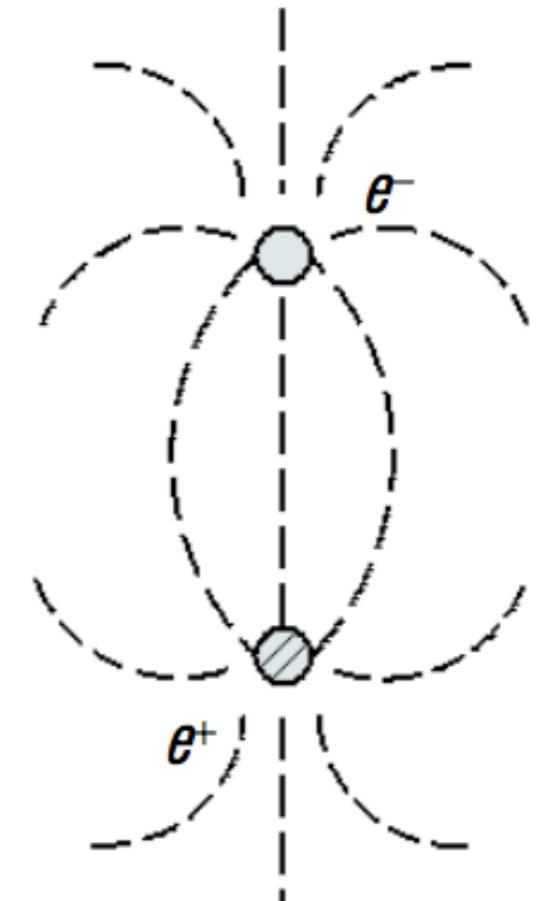
Dipole field for the Coulomb force between opposite electrical charges.



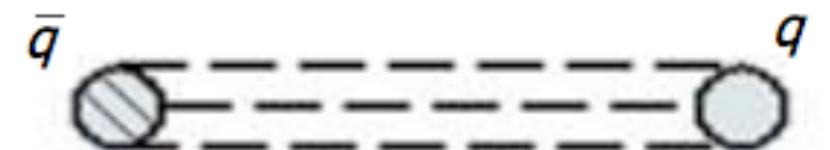
Dipole field between opposite-color quarks.

# Understanding confinement

- How do we understand this picture?
- When a quark and anti-quark separate, their color interaction strengthens (more gluons appear in the color field).
- Through the interaction of the gluons with each other, the color lines of force are squeezed into a tube-like region.
- Contrast this with the Coulomb field: nothing prevents the lines of force from spreading out.
  - There is no self-coupling of photons to contain them.
- If the color tube has constant energy density per unit length  $k$ , the potential energy between quark and antiquark will increase with separation,  $V(r) \sim kr$ .



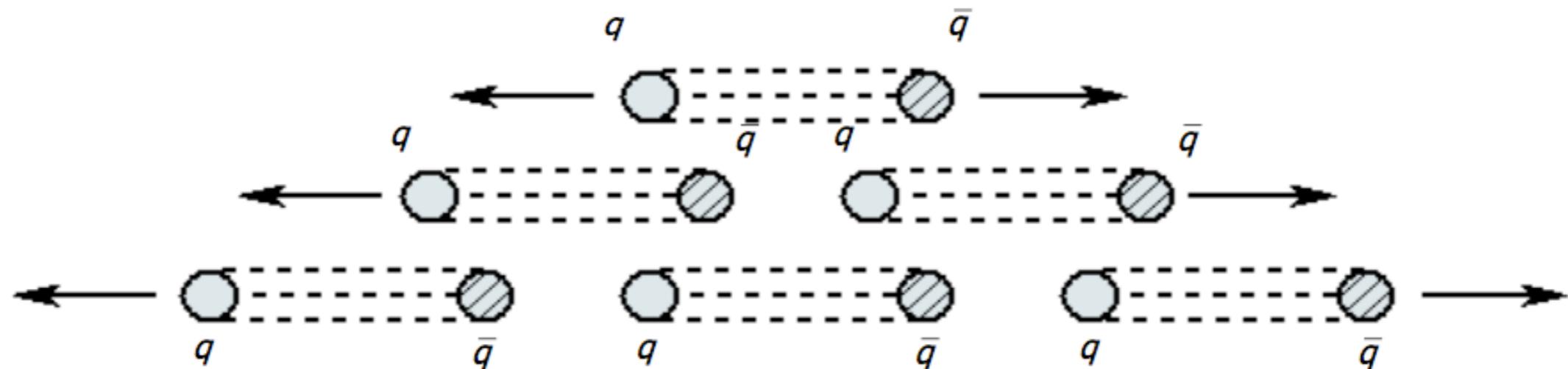
Dipole field for the Coulomb force between opposite electrical charges.



Dipole field between opposite-color quarks.

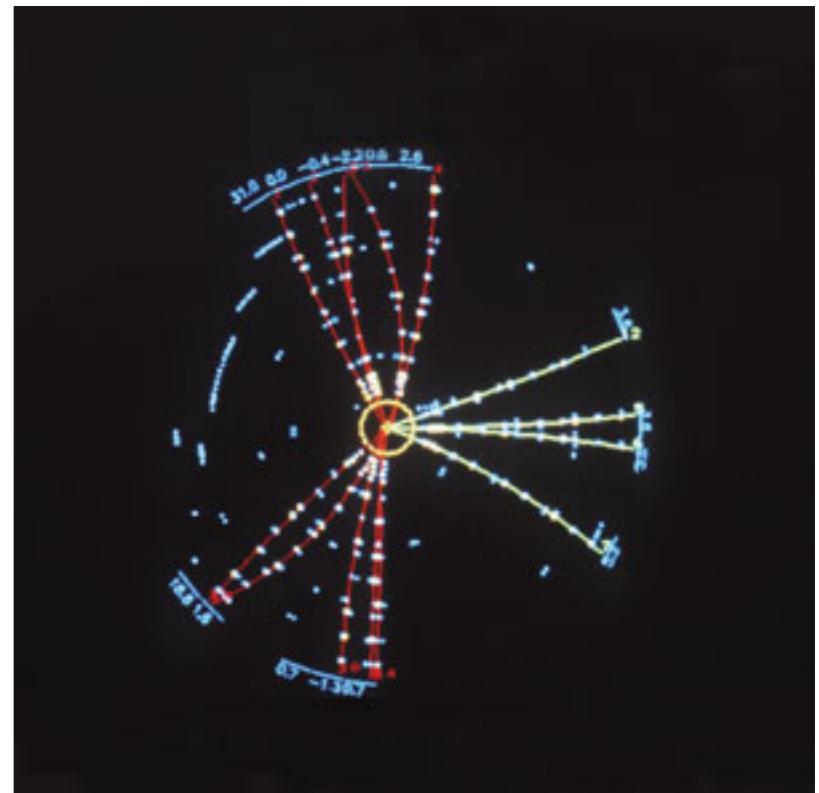
# Color lines and hadron production

- Why you can't get free quarks:
  - Suppose we have a meson and we try to pull it apart. The potential energy in the quark-antiquark color field starts to increase.
  - Eventually, the energy in the gluon field gets big enough that the gluons can pair-produce another quark-antiquark pair.
    - The new quarks pair up with the original quarks to form mesons, and thus our four quarks remain confined in colorless states.
  - Experimentally, we see two particles!

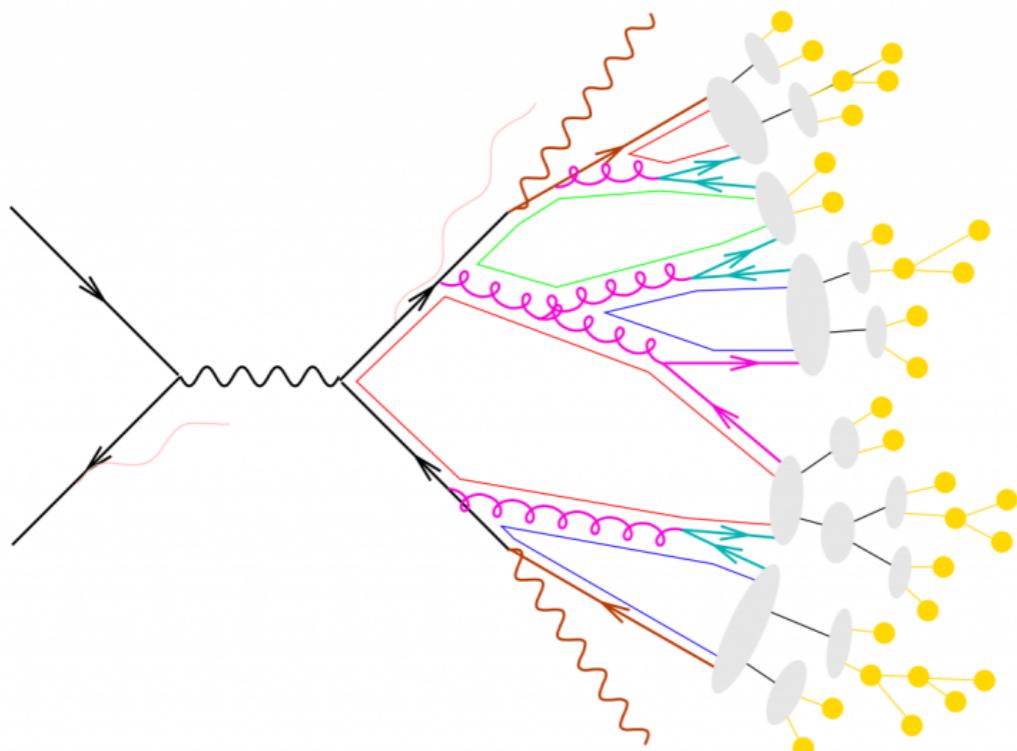


# Hadronic jets

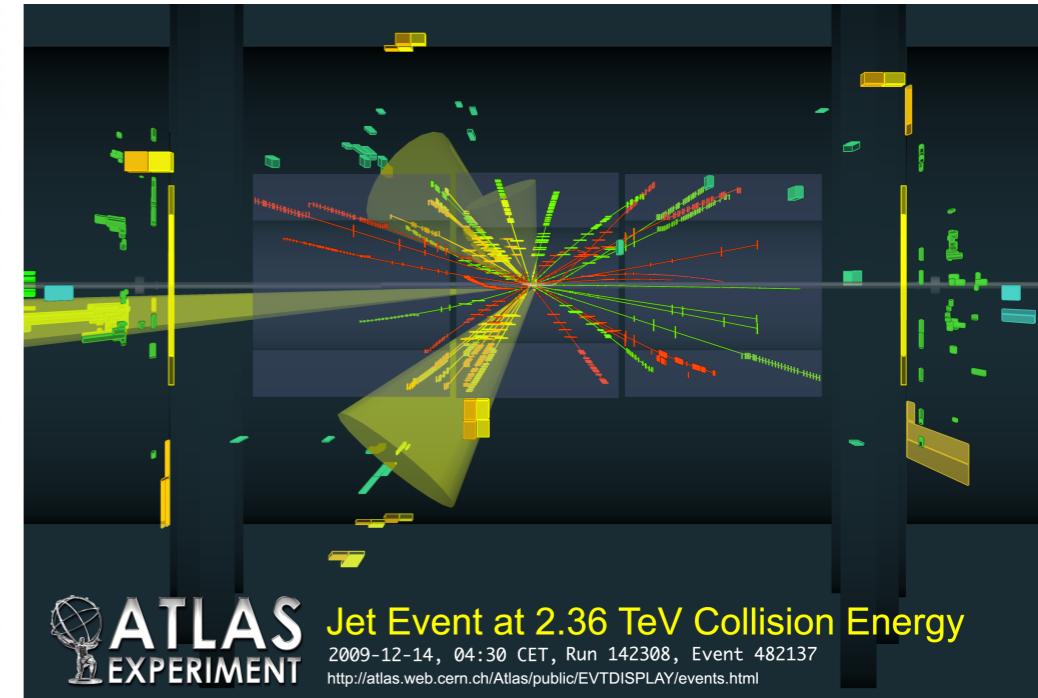
- The process just described is observed experimentally in the form of **hadron jets**.
- In a collider experiment, two particles annihilate and form a quark-antiquark pair.
- As the quarks move apart, the color lines of force are stretched until the potential energy can create another quark-antiquark pair.



Jet formation at TASSO detector at PETRA

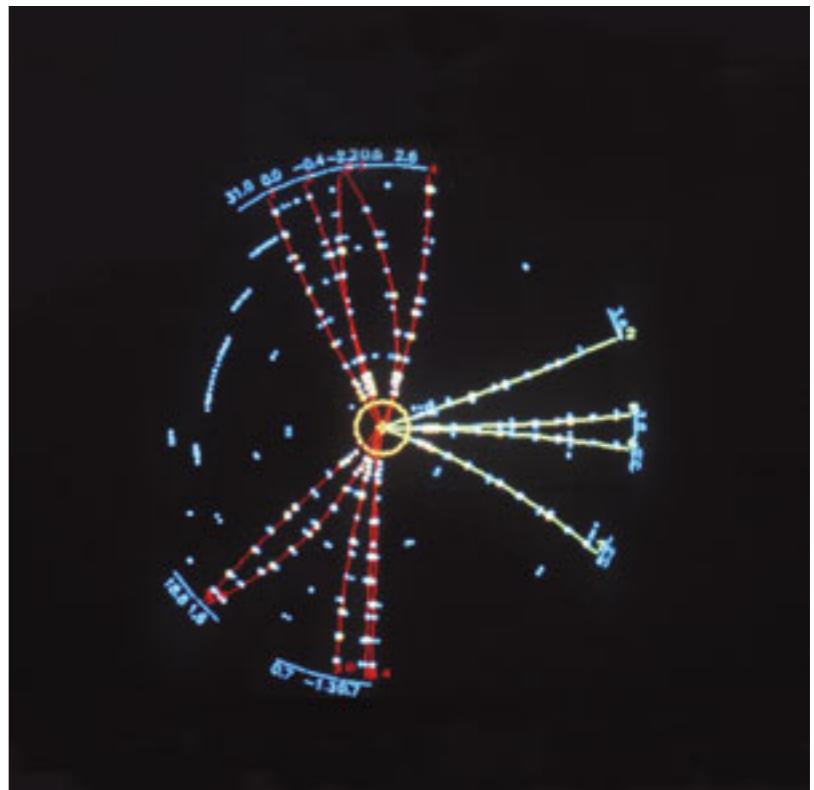


- hard scattering
- (QED) initial/final state radiation
- partonic decays, e.g.  $t \rightarrow bW$
- parton shower evolution
- nonperturbative gluon splitting
- colour singlets
- colourless clusters
- cluster fission
- cluster  $\rightarrow$  hadrons
- hadronic decays

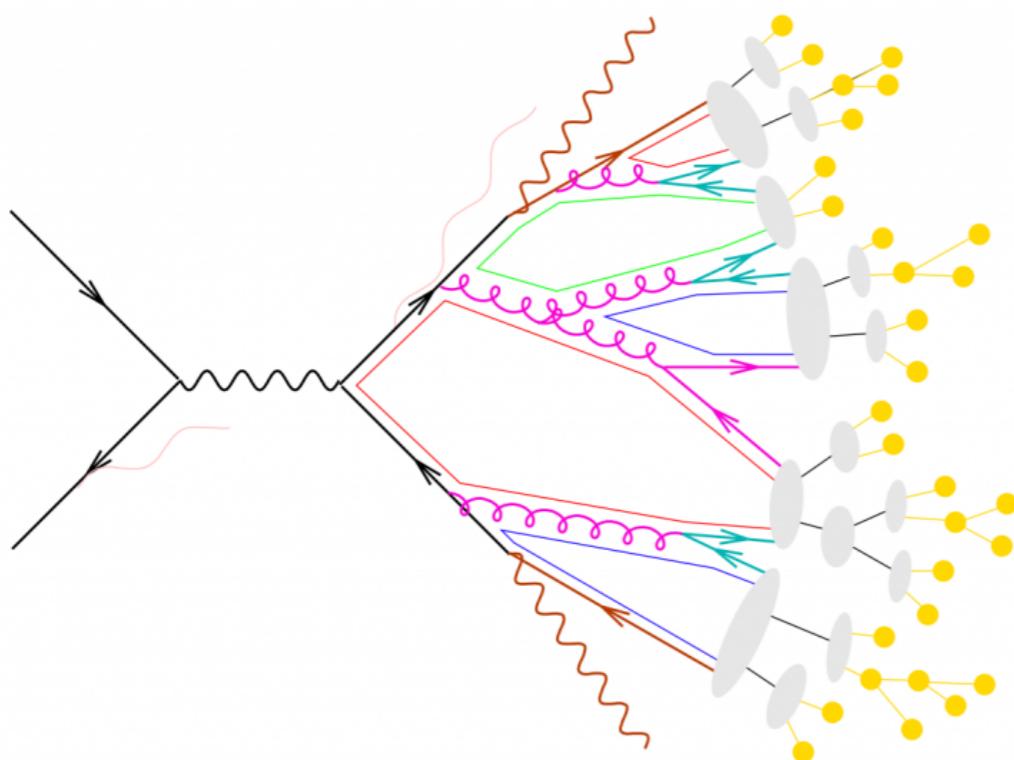


# Hadronic jets

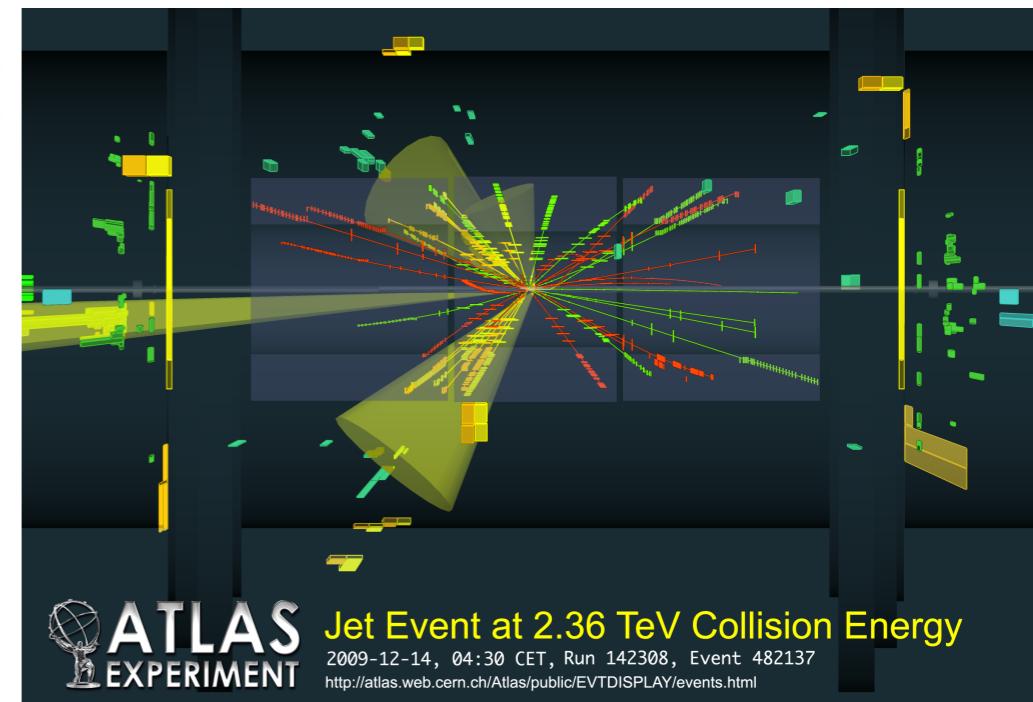
- This process continues until the quarks' kinetic energy have totally degraded into cluster of quarks and gluons with zero net color.
- The experimentalist then detects several "jets" of hadrons, but never sees free quarks or gluons.
- [https://youtu.be/FMH3T05G\\_to](https://youtu.be/FMH3T05G_to)



Jet formation at TASSO detector at PETRA



- hard scattering
- (QED) initial/final state radiation
- partonic decays, e.g.  $t \rightarrow bW$
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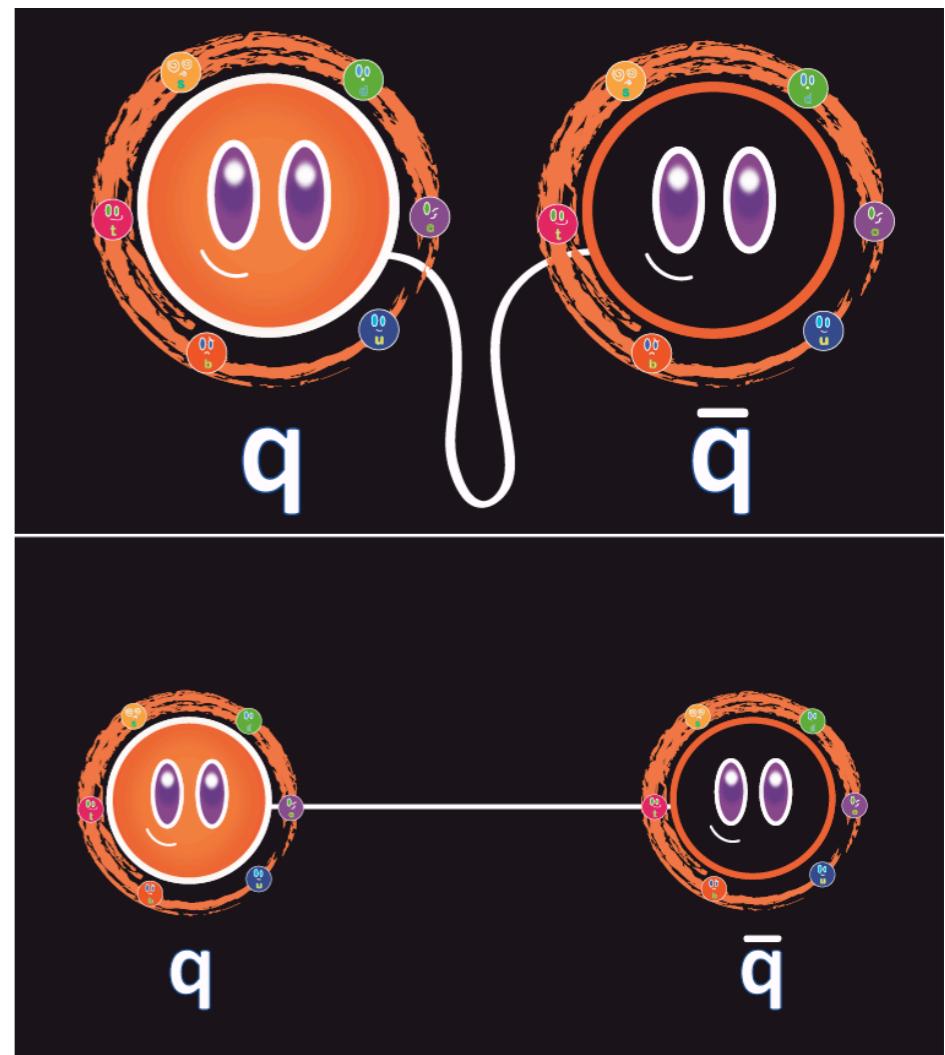


# Asymptotic freedom

- As mentioned earlier, **perturbation theory can only be applied when the coupling constant  $\alpha$  is small.**
- At these lower energy regimes of jet formation,  $\alpha_s$  is of the order of unity, and that means we can't ignore the many-vertex Feynman diagrams as we do in QED (**we can't treat QCD perturbatively!**).
- However, as we already saw, the coupling "constant" is actually not a constant at all, and depends on the energy of the interaction.
- **As the energy increases, the coupling constant becomes smaller.**
  - In fact, at high enough energies,  $\alpha_s$  gets so small, that QCD can be dealt with as a perturbative theory (e.g. LHC high-energy collisions!)

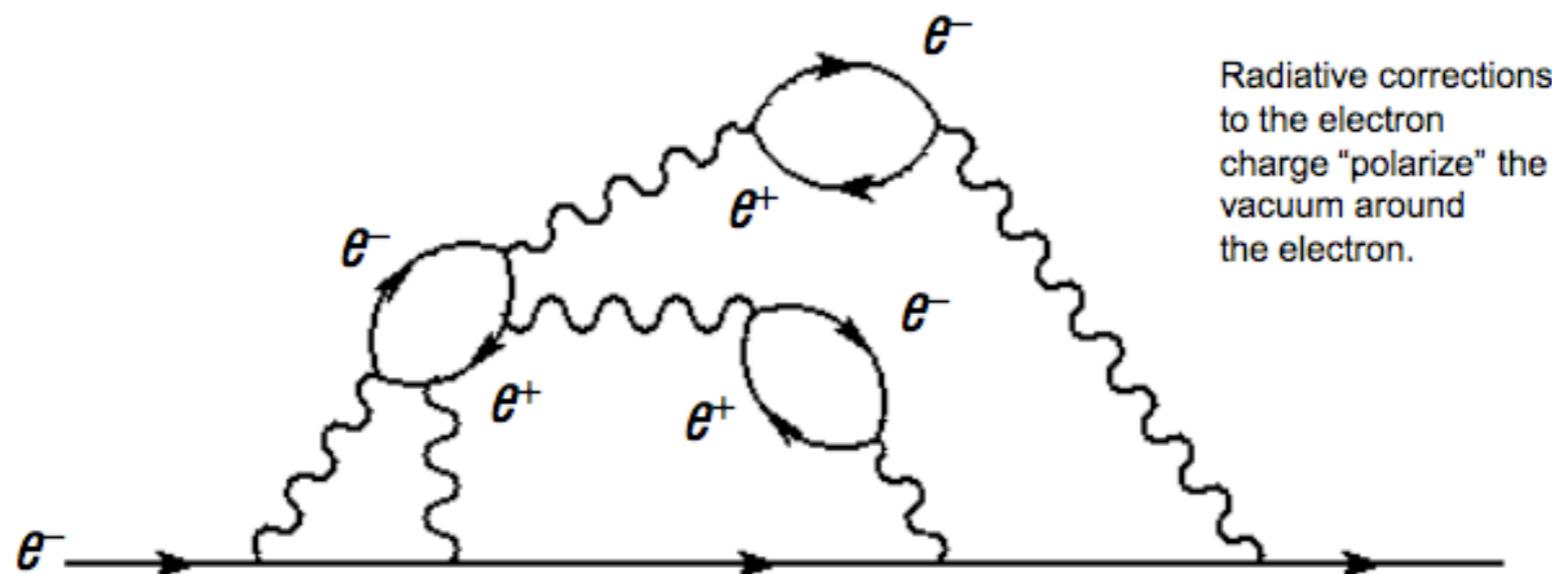
# Asymptotic freedom

- **Asymptotic freedom:** as the energy of interactions goes up, QCD asymptotically approaches a regime in which quarks act like free particles.
  - Looking at quarks with a very high energy probe.
- D. Gross, H. Politzer, F. Wilczek (1970's): asymptotic freedom suggests that QCD can be a valid theory of the strong force.
- Nobel Prize (2004): “for the discovery of asymptotic freedom in the theory of strong interactions.”



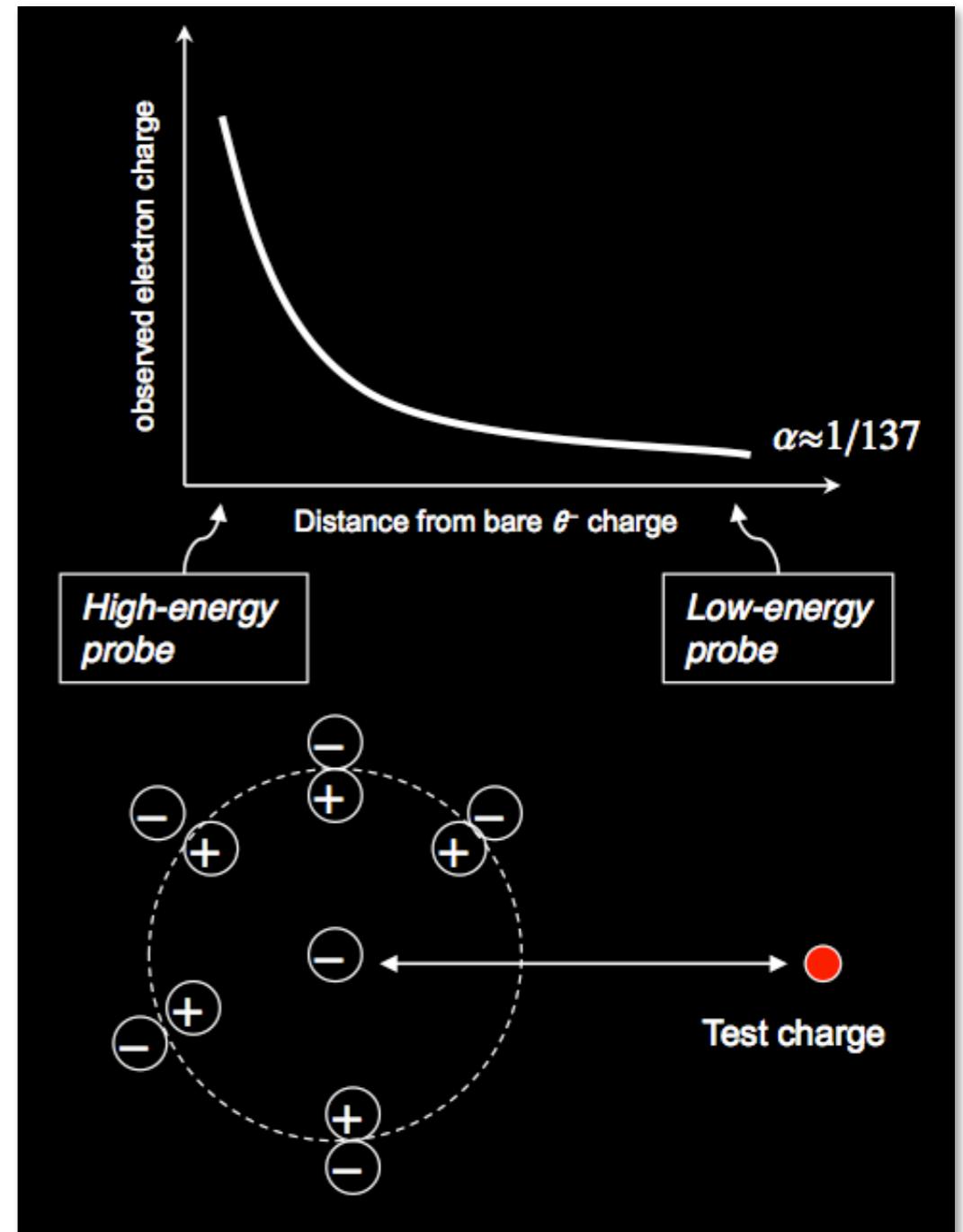
# Back to QED: Polarization of the vacuum

- The **vacuum** around a moving electron can fill up with **virtual  $e^+e^-$  pairs**.
  - This is a purely a quantum effect, and is allowed by Heisenberg's Uncertainty Principle.
- Because opposite charges attract, the **virtual positrons** in the  $e^+e^-$  loops will move closer to the electron.
- Therefore, the **vacuum around the electron becomes polarized** (a net electric dipole develops), just like a dielectric inside a capacitor can become polarized.



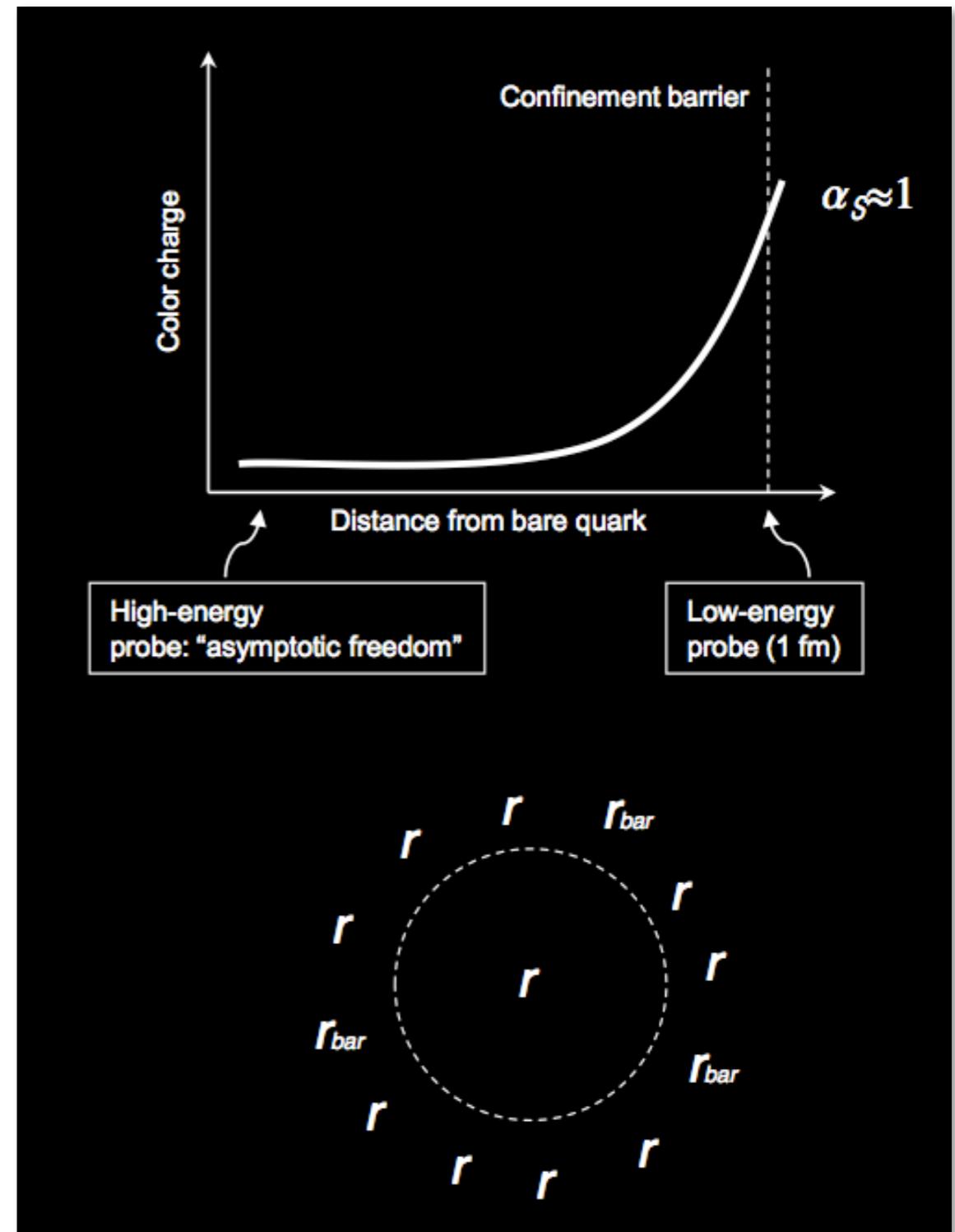
# QED: Charge screening

- Now, suppose we want to measure the charge of the electron by observing the Coulomb force experienced by a test charge.
- Far away from the electron, its charge is screened by a cloud of virtual positrons, so the effective (observed) charge is smaller than its bare charge.
- As we move closer in, fewer positrons are blocking our line of sight to the electron.
- Hence, with decreasing distance, the effective charge of the electron increases.
- We can think of this as  $\alpha$  increasing with energy.



# QCD: Color antiscreening

- In QCD, the additional gluon loop diagrams reverse the result of QED:
  - A red charge is preferentially surrounded by other red charges.
- By moving the test probe closer to the original quark, the probe penetrates a sphere of mostly red charge, and the measured red charge decreases.
- This is “antiscreening”.
- We can think of this as  $\alpha_s$  decreasing with energy.



# Running constants

- As we probe an electron at increasingly higher energies, its effective charge appears to increase.
- This can be rephrased in the following way: as interactions increase in energy, the QED coupling strength  $\alpha$  between charges and photons also increases.
  - This should not really be a surprise; after all, the coupling strength of EM depends directly on the electron charge.
- Since  $\alpha$  is not a constant, but a (slowly-varying) function of energy, it is called a **running coupling constant**.
- In QCD, the net effect is that the quark color charge and  $\alpha_s$  decrease as the interaction energy goes up.

# Underlying source: self-interactions of mediators

- Gluon self-interaction!
- $W$  and  $Z$  (weak force mediators) also self-interact.
  - Similar behavior.
  - The weak coupling constant also decreases as the energy scale goes up.

- Historical background (see lecture 2)
- SM particle content
- SM particle dynamics
  - Quantum Electrodynamics (QED)
  - Quantum Chromodynamics (QCD)
- **Weak Interactions**
  - Force Unification
  - Lagrangian / Field formulation
  - Tests and predictions
  - Higgs mechanism and Higgs boson discovery

# Weak interactions

- We can evaluate the strength of the weak interaction in comparison to the strong and EM coupling constants.

Interaction	Mediator	Strength
Strong	gluon	1
Electromagnetic	photon	$10^{-2}$
Weak	$W^\pm, Z^0$	$10^{-7}$
Gravity	graviton (?)	$10^{-39}$

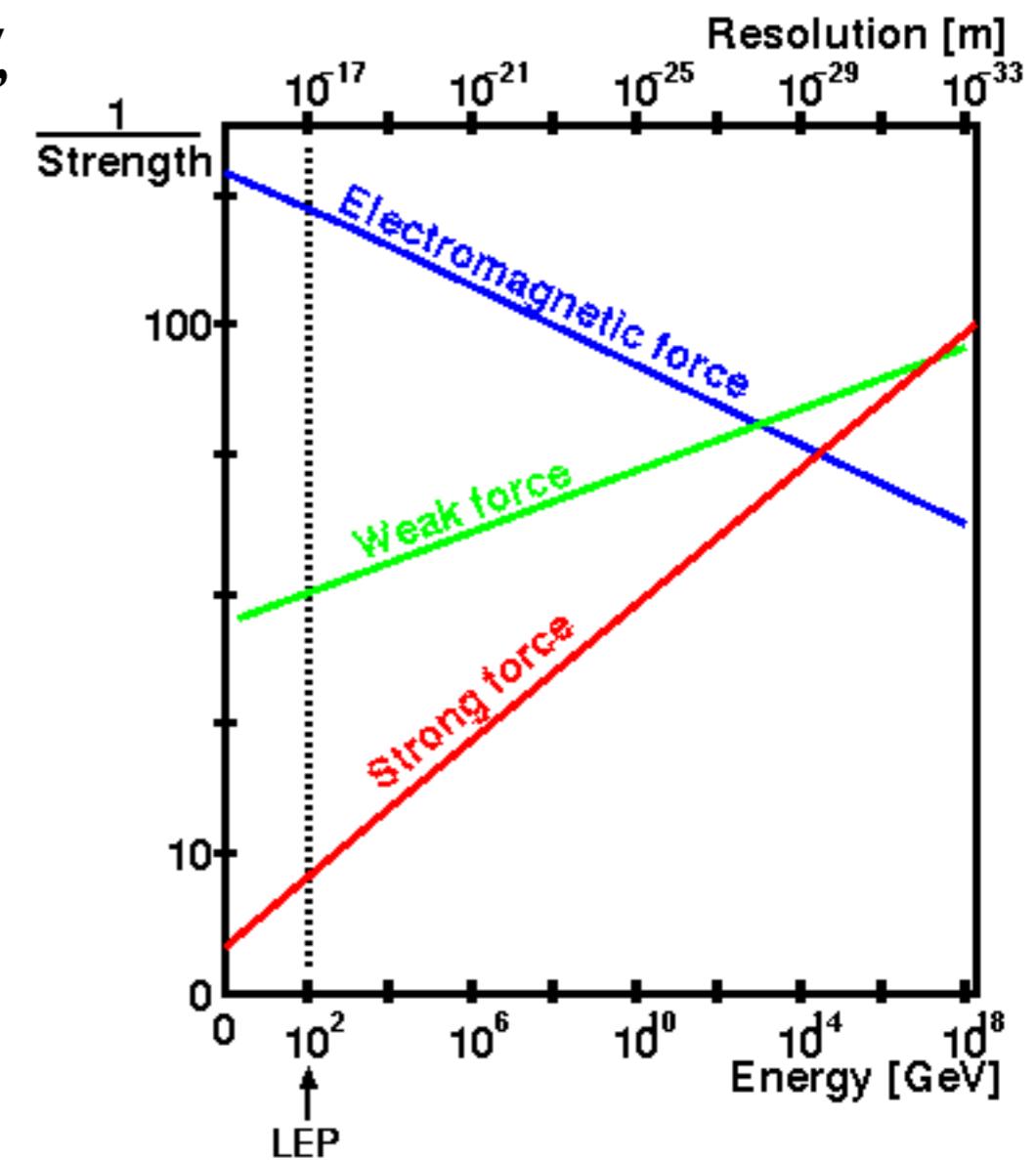
- It has an incredibly short range, due to the large mass of their mediators (80-100 GeV).

# Weak interactions

- At low energies, the effective weak coupling strength is 1000 times smaller than the EM force.
- As interaction energies start to approach the mass-energy of the  $W$  and  $Z$  particles ( $\sim 100$  GeV), the effective coupling rapidly approaches the intrinsic strength of the weak interaction  $\alpha_w$ .
  - At these energies, the weak interaction actually dominates EM.
  - Beyond that, the effective weak coupling starts to decrease.

## Aside: Force Unification

- At laboratory energies near  $M_W \sim \mathcal{O}(100)$  GeV, the measured values of  $1/\alpha$  are rather different.
- However, their energy dependences suggest that they approach a common value near  $10^{16}$  GeV.
- This is an insanely high energy!
- The SM provides no explanation for what may happen beyond this unification scale, nor why the forces have such different strengths at low energies.



- Historical background (see lecture 2)
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# Particle/Field formulation

- In particle physics, we define fields like  $\phi(x,t)$  at every point in spacetime.
- These fields don't just sit there; they fluctuate harmonically about some minimum energy state.
- The oscillations combine to form **wave packets**.
- The **wave packets move around in the field and interact with each other. We interpret them as elementary particles.**
- Terminology: the **wave packets are called the quanta of the field  $\phi(x,t)$ .**

# Particle/Field formulation

- The Higgs mechanism is described in terms of the Lagrangian of the Standard Model. In quantum mechanics, single particles are described by wavefunctions that satisfy the appropriate wave equation.
- In Quantum Field Theory (QFT), *particles* are described by excitations of a quantum field that satisfies the appropriate quantum mechanical field equations.
- The dynamics of a quantum field theory can be expressed in terms of the Lagrangian density. Lagrangian formalism is necessary for the discussion of the Higgs mechanism.

# Lagrangian Mechanics

- Developed by Euler, Lagrange, and others during the mid-1700's.
- This is an energy-based theory that is equivalent to Newtonian Mechanics (a force-based theory, if you like).
- **Lagrangian:** equation which allows us to infer the dynamics of a system.

# Lagrangian Mechanics; Euler–Lagrange Equation

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_i} \right) - \frac{\partial L}{\partial q_i} = 0. \quad (17.2)$$

For example, consider a particle moving in one dimension where the Lagrangian is a function of the coordinate  $x$  and its time derivative  $\dot{x}$ , with

$$L = T - V = \frac{1}{2}m\dot{x}^2 - V(x).$$

The derivatives of the Lagrangian with respect to  $x$  and  $\dot{x}$  are

$$\frac{\partial L}{\partial \dot{x}} = m\dot{x} \quad \text{and} \quad \frac{\partial L}{\partial x} = -\frac{\partial V}{\partial x},$$

and the Euler–Lagrange equation (17.2) for the coordinate  $q_i = x$  is simply

$$m\ddot{x} = -\frac{\partial V(x)}{\partial x}.$$

Since the derivative of the potential gives the force, this is equivalent to  $F = m\ddot{x}$  and Newton’s second law of motion is recovered.

# Lagrangian Mechanics

$$L\left(q_i, \frac{dq_i}{dt}\right) \rightarrow \mathcal{L}\left(\phi_i, \partial_\mu \phi_i\right).$$

In the Lagrangian density, the generalised coordinates  $q_i$  are replaced by the *fields*  $\phi_i(t, x, y, z)$ , and the time derivatives of the generalised coordinates  $\dot{q}_i$  are replaced by the derivatives of the fields with respect to each of the four space-time coordinates,

$$\partial_\mu \phi_i \equiv \frac{\partial \phi_i}{\partial x^\mu}.$$

The fields are continuous functions of the space-time coordinates  $x^\mu$  and the Lagrangian  $L$  itself is given by

$$L = \int \mathcal{L} d^3\mathbf{x}.$$

$$\partial_\mu \left( \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi_i)} \right) - \frac{\partial \mathcal{L}}{\partial \phi_i} = 0.$$

# Particle/Field formulation

- How do we describe interactions and fields mathematically?
- Classically,

**Lagrangian  $L$**  = kinetic energy - potential energy

$$L = T - V$$

- Particle physics:
  - Same concept, using Dirac equation to describe free spin-1/2 particles:

$$L = \bar{\Psi}(i\gamma^\mu \partial_\mu - m)\Psi$$

↑  
field

$\Psi$  = wavefunction  
 $m$  = mass  
 $\gamma^\mu$  =  $\mu^{\text{th}}$  gamma matrix  
 $\partial_\mu$  = partial derivative

# Standard Model Lagrangian

# Standard Model Lagrangian

$$\mathcal{L} = \mathcal{L}_0 + \mathcal{L}'$$

Free Fields

Interaction

Gauge Bosons

Fermions

Fermion-Boson Coupling

$\mathcal{L} = \bar{\Psi} (i\gamma^\mu \partial_\mu - m) \Psi$

from previous slide,  
but with  $m=0$   
(massless fermions)

$$\mathcal{L}_0 = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + i\bar{\psi} \gamma^\mu \partial_\mu \psi$$

$$\mathcal{L}' = e\bar{\psi} \gamma^\mu A_\mu \psi$$

$$eA_\mu = \frac{g_s}{2} \lambda_\nu G_\mu^\nu + \frac{g}{2} \vec{\tau} \vec{W}_\mu + \frac{g'}{2} Y B_\mu$$

$$F_{\mu\nu} F^{\mu\nu} = G_{\mu\nu} G^{\mu\nu} + W_{\mu\nu} W^{\mu\nu} + B_{\mu\nu} B^{\mu\nu}$$

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Fermion-Boson Coupling

strong interaction

electro-weak interactions

$eA_\mu = \frac{g_s}{2}\lambda_\nu G_\mu^\nu + \frac{g}{2}\vec{\tau} \vec{W}_\mu + \frac{g}{2}Y B_\mu$

$F_{\mu\nu}F^{\mu\nu} = G_{\mu\nu}G^{\mu\nu} + W_{\mu\nu}W^{\mu\nu} + B_{\mu\nu}B^{\mu\nu}$

gluons combinations give  $W, Z, \gamma \dots$

# Understanding the phase

- You may not have seen numbers like  $e^{i\theta}$ , so let's review.
- Basically,  $e^{i\theta}$  is just a fancy way of writing sinusoidal functions; from Euler's famous formula:

$$e^{i\theta} = \cos \theta + i \sin \theta$$

- Note: those of you familiar with complex numbers (of the form  $z=x+iy$ ) know that  $e^{i\theta}$  is the phase of the so-called polar form of  $z$ , in which  $z=re^{i\theta}$ , with:

$$r = \sqrt{x^2 + y^2}$$

$$\theta = \tan^{-1}\left(\frac{y}{x}\right)$$

# Symmetries / Invariance

- In physics, exhibition of symmetry under some operation implies some conservation law:

symmetry	invariant
Space translation	momentum
Time translation	energy
Rotation	Angular momentum
Global phase; $\Psi \rightarrow e^{i\theta}\Psi$	Electric charge
Local phase; $\Psi \rightarrow e^{i\theta(x,t)}\Psi$	Lagrangian + gauge field ( $\rightarrow$ QED)

# Symmetries / Invariance

- There are carefully chosen sets of transformations for  $\Psi$  which give rise to the observable gauge fields:
  - That is how we get electric, color, weak charge conservation!

# QED from local gauge invariance

$$\gamma^0 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}, \quad \gamma^1 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{pmatrix},$$

$$\gamma^2 = \begin{pmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \\ 0 & i & 0 & 0 \\ -i & 0 & 0 & 0 \end{pmatrix}, \quad \gamma^3 = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}.$$

- Apply local gauge symmetry to Dirac equation:

$$\mathcal{L} = i\bar{\psi}\gamma^\mu\partial_\mu\psi - m\bar{\psi}\psi, \quad \psi(x) \rightarrow \psi'(x) = e^{iq\chi(x)}\psi(x).$$

$$\bar{\psi} \equiv \psi^\dagger \gamma^0$$

This type of transformation leaves quantum mechanical amplitudes invariant.

- The effect on the Lagrangian is:

$$\begin{aligned} \mathcal{L} \rightarrow \mathcal{L}' &= ie^{-iq\chi}\bar{\psi}\gamma^\mu \left[ e^{iq\chi}\partial_\mu\psi + iq(\partial_\mu\chi)e^{iq\chi}\psi \right] - me^{-iq\chi}\bar{\psi}e^{iq\chi}\psi \\ &= \mathcal{L} - q\bar{\psi}\gamma^\mu(\partial_\mu\chi)\psi. \end{aligned}$$

If Lagrangian is invariant, then  $\delta\mathcal{L}=0$ .

# QED from local gauge invariance

- To satisfy  $\delta L=0$ , we “engineer” a mathematical “trick”:
  1. Introduce a **gauge field  $A_\mu$**  to interact with fermions, and  $A_\mu$  transform as:  $A_\mu \rightarrow A'_\mu = A_\mu - \partial_\mu \chi$ .
  2. In resulting Lagrangian, replace  $\partial_\mu \rightarrow D_\mu = \partial_\mu + iqA_\mu$
- In that case,  $L$  is redefined:

$$L = \bar{\Psi} (i\gamma^\mu D_\mu - m) \Psi$$

The new Lagrangian is invariant under local gauge transformations.

# Not the whole story...

- Need to add kinetic term for field (field strength):

Define  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$

Add term  $-1/4 F_{\mu\nu} F^{\mu\nu}$  (Lorentz invariant, matches Maxwell's equations)

# QED Lagrangian

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**Final lagrangian (for QED!):**

$$L = -1/4 F_{\mu\nu} F^{\mu\nu} + \bar{\Psi} (i\gamma^\mu D_\mu - m) \Psi$$

- Note: No mass term for  $A_\mu$  allowed; otherwise  $L$  is not invariant.
  - The gauge field is massless!

# QED Lagrangian

We have mathematically engineered a quantum field which couples to fermions, obeys Maxwell's equations, and is massless!

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**PHOTON**

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# QCD and Weak Lagrangians

- Follow similar reasoning, but allow for **self-interaction of gauge bosons**.
  - Jargon: QCD and weak interactions based on non-abelian theories.
- In non-abelian theories gauge invariance is achieved by adding  $n^2 - 1$  massless gauge bosons for  $SU(n)$ .
  - $SU(n)$ : gauge group.
  - $SU(3)$ : 8 massless gluons for QCD ✓
  - $SU(2)$ : 3 massless gauge bosons ( $W_1, W_2, W_3$ ) for weak force
  - If mixing with  $U(1)$ , we get ( $W_1, W_2, W_3$ ) and  $B$ : **electroweak force**.

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    - If mixing with  $U(1)$ , we get  $(W_1, W_2, W_3)$  and  $B$ : **electroweak force**.

By the same mechanism as the **massless photon** arises from QED, a set of **massless bosons** arise when the theory is extended to include the weak nuclear force.

But Nature tells us they have mass!

# Higgs mechanism

- A theoretically proposed mechanism which **gives rise to elementary particle masses:  $W^+$ ,  $W^-$  and  $Z$  bosons** (and solve other problems...).
- It actually predicts the mass of  $W^+$ ,  $W^-$  and  $Z^0$  bosons:
  - The  $W^+$ ,  $W^-$  bosons should have a mass of  $80.390 \pm 0.018$  GeV
  - The  $Z^0$  boson should have a mass of  $91.1874 \pm 0.0021$  GeV
  - Measurements:
    - ✓  $80.387 \pm 0.019$  GeV
    - ✓  $91.1876 \pm 0.0021$  GeV
- Beware: it ends up also providing a mechanism for fermion masses, but it doesn't make any prediction for those (...).

## Particle masses

$$\mathcal{L}_{\text{QED}} \rightarrow \bar{\psi}(i\gamma^\mu \partial_\mu - m_e)\psi + e\bar{\psi}\gamma^\mu A_\mu\psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{2}m_\gamma^2 A_\mu A^\mu.$$

For the U(1) local gauge transformation of (17.11), the photon field transforms as

$$A_\mu \rightarrow A'_\mu = A_\mu - \partial_\mu \chi,$$

and the new mass term becomes

$$\frac{1}{2}m_\gamma^2 A_\mu A^\mu \rightarrow \frac{1}{2}m_\gamma^2 (A_\mu - \partial_\mu \chi)(A^\mu - \partial^\mu \chi) \neq \frac{1}{2}m_\gamma^2 A_\mu A^\mu,$$

Mass function is not gauge invariant, same for weak interaction and QCD.

# Particle masses

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$$\frac{1}{2}m_\gamma^2 (A_\mu - \partial_\mu \chi)(A^\mu - \partial^\mu \chi),$$

Mass function for weak

**What about massive gauge bosons?**

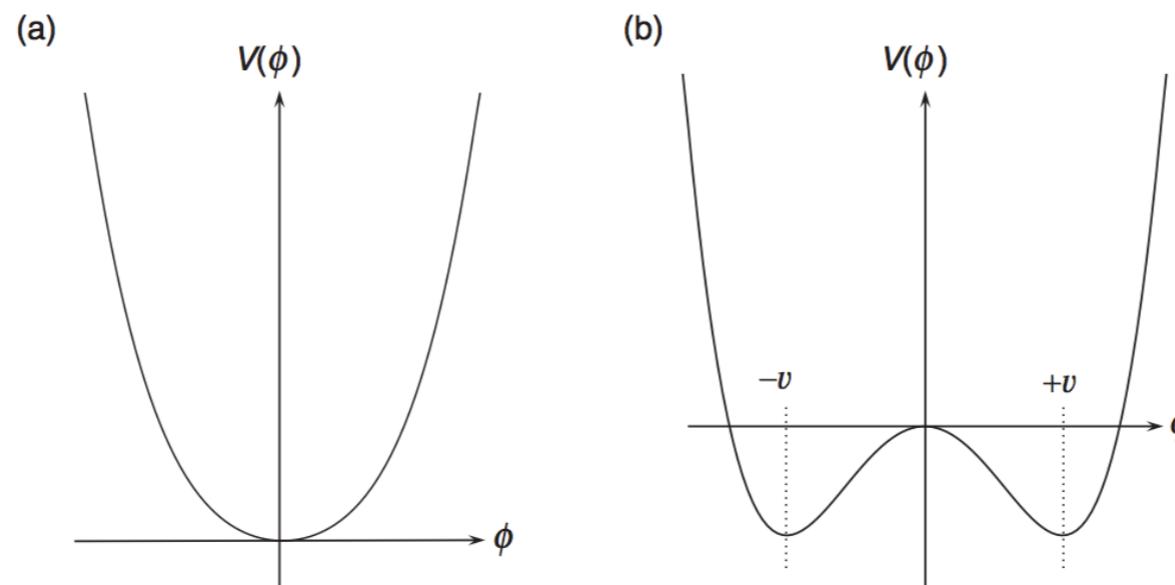
# Introducing a Scalar Field (Simplified; REAL)

- Consider a scalar field  $\phi$  with the potential

$$V(\phi) = \frac{1}{2}\mu^2\phi^2 + \frac{1}{4}\lambda\phi^4.$$

- The corresponding Lagrangian is given by

$$\begin{aligned}\mathcal{L} &= \frac{1}{2}(\partial_\mu\phi)(\partial^\mu\phi) - V(\phi) \\ &= \frac{1}{2}(\partial_\mu\phi)(\partial^\mu\phi) - \frac{1}{2}\mu^2\phi^2 - \frac{1}{4}\lambda\phi^4.\end{aligned}$$



**Fig. 17.5** The one-dimensional potential  $V(\phi) = \mu^2\phi^2/2 + \lambda\phi^4/4$  for  $\lambda > 0$  and the cases where (a)  $\mu^2 > 0$  and (b)  $\mu^2 < 0$ .