

Particle Physics: Neutrinos – part II

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Columbia University Science Honors Program

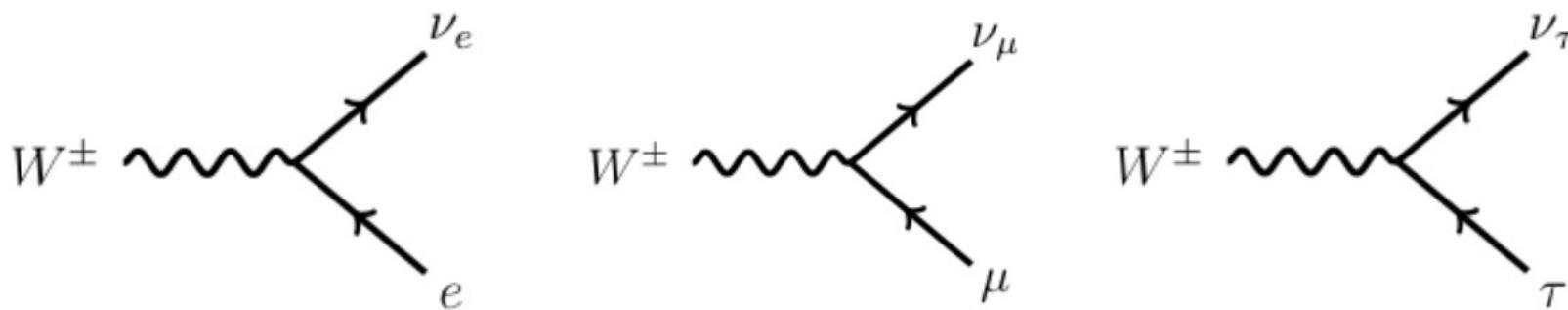


3 evidences for 3 neutrinos

3 neutrinos: 3 charged leptons

- Neutrinos are the only neutral elementary fermions → **only weak interaction.**
- Weak interaction only couples to **left-handed neutrinos or right-handed antineutrinos.**
- The **neutrino flavor** is assigned according to the charged lepton they accompany in the charged-current weak interaction (mediated by the W bosons).
- **3 charged leptons → 3 neutrinos.**

mass → $\approx 2.3 \text{ MeV}/c^2$	charge → 2/3	spin → 1/2	mass → $\approx 1.275 \text{ GeV}/c^2$	charge → 2/3	spin → 1/2	mass → $\approx 173.07 \text{ GeV}/c^2$	charge → 2/3	spin → 1/2	mass → 0	charge → 0	spin → 1	mass → $\approx 126 \text{ GeV}/c^2$	charge → 0	spin → 0
u	c	t	g	H										
up	charm	top	gluon	Higgs boson										
d	s	b	γ											
down	strange	bottom	photon											
e	μ	τ	Z											
electron	muon	tau	Z boson											
ν_e	ν_μ	ν_τ	W											
electron neutrino	muon neutrino	tau neutrino	W boson											



3 neutrinos: the Z boson width

- Unstable particles have an intrinsic uncertainty (width) on their mass (*Heisenberg uncertainty principle*):

$$\Delta E \cdot \Delta t \geq \frac{\hbar}{2}$$

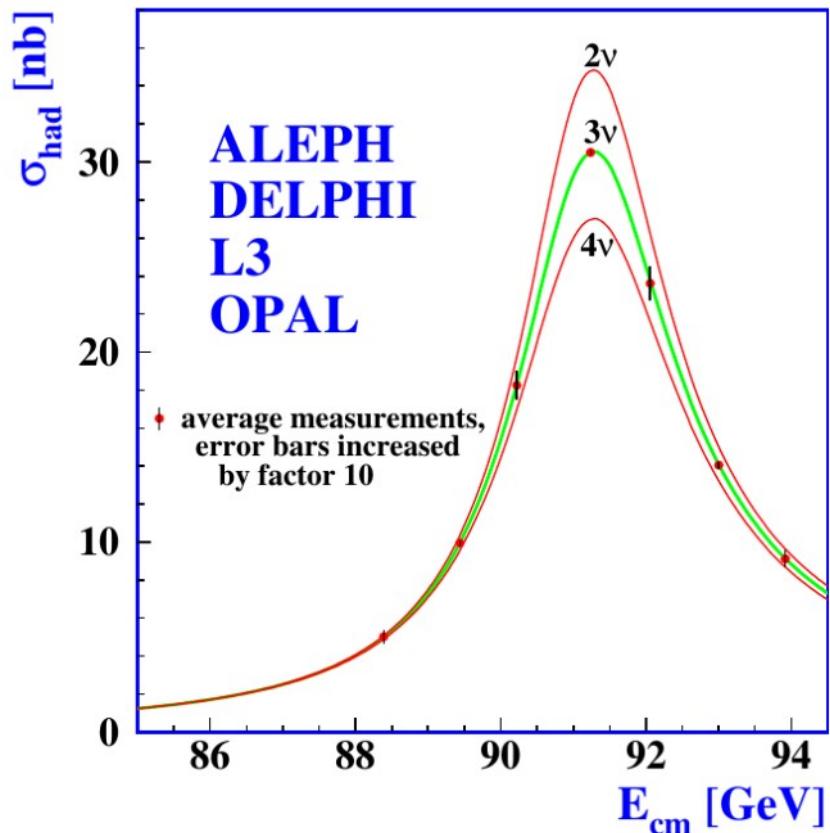
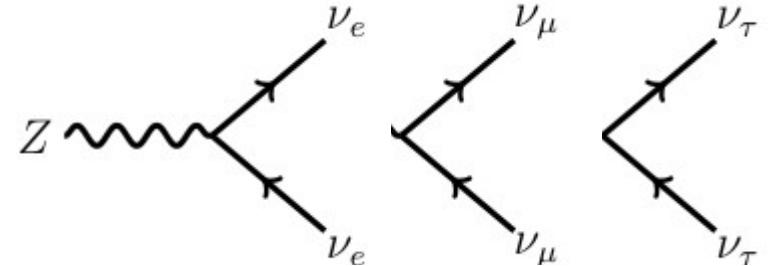
- This width is proportional to the number of disintegration modes and their frequency.
- The width of the Z boson is ~ 2.5 GeV and $\sim 20\%$ of the times the Z decays into neutrinos (invisible width).
- The 4 detectors of LEP (predecessor of the LHC at CERN) measured this width, which is related to the number of neutrinos*.

$$N_\nu = 2.9840 \pm 0.0082.$$

(*) Only possibilities left:

Very heavy neutrinos ($> m_Z/2 \approx 45$ GeV).

Neutrinos which do not couple to the Z boson: **sterile neutrinos...**



Phys. Rept. 427 (2006) 257-454

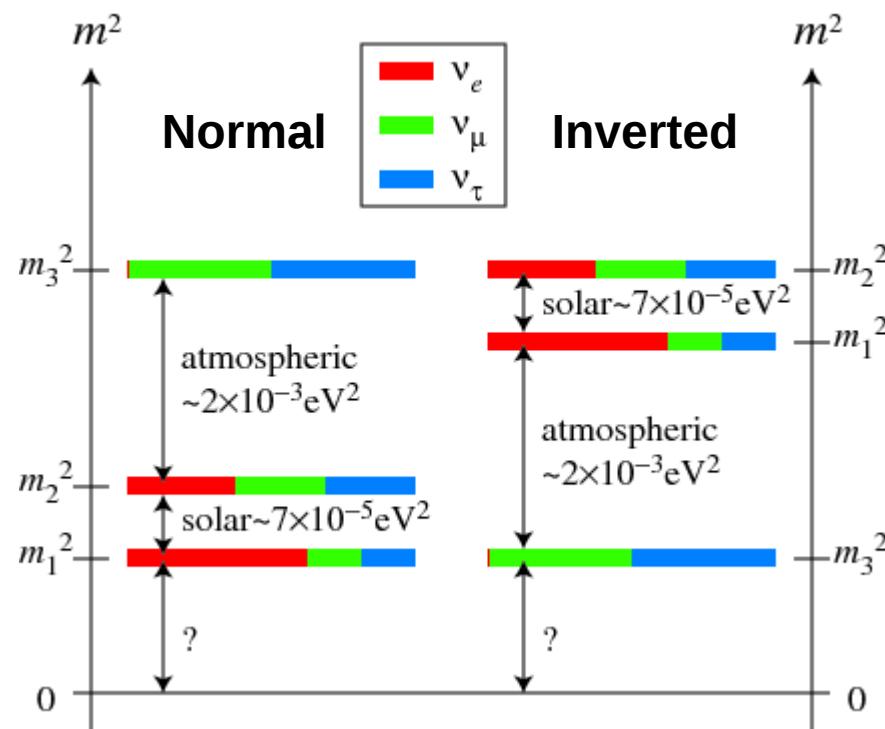
3 neutrinos: mass hierarchy

- 2 squared-mass differences \rightarrow **3 neutrinos**.

PMNS matrix: U

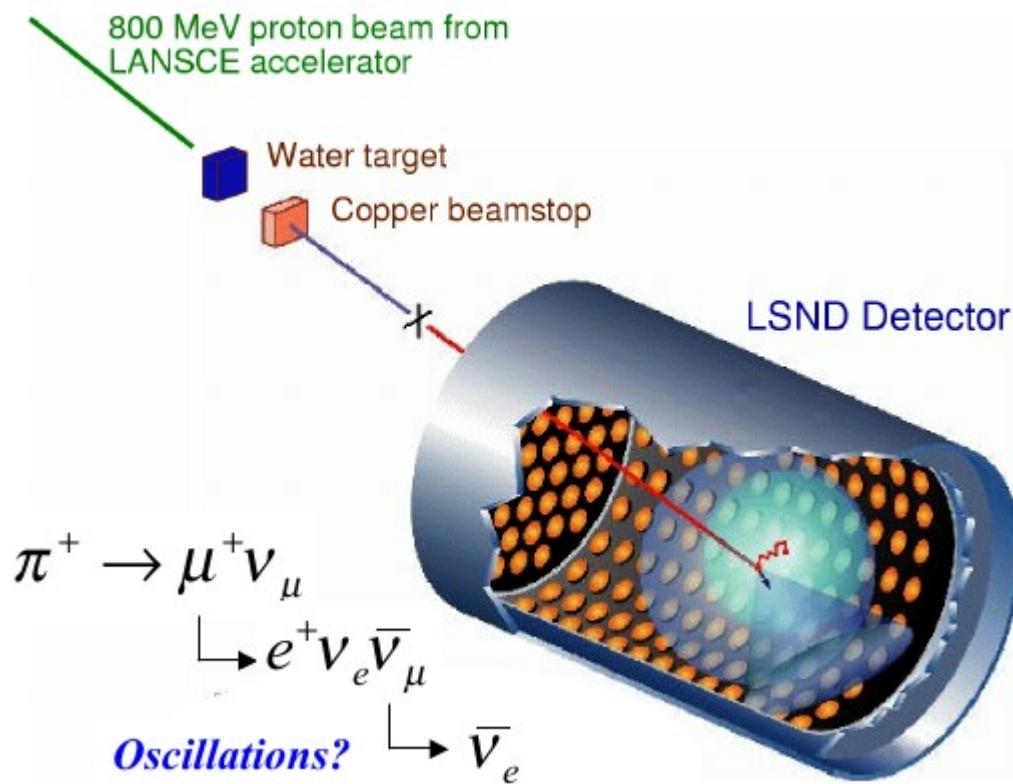
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & s_{13} e^{-i\delta} & 1 \\ -s_{13} e^{i\delta} & 1 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \rightarrow \begin{matrix} m_1 \\ m_2 \\ m_3 \end{matrix}$$

$c_{ij} = \cos \theta_{ij}, \quad s_{ij} = \sin \theta_{ij}$



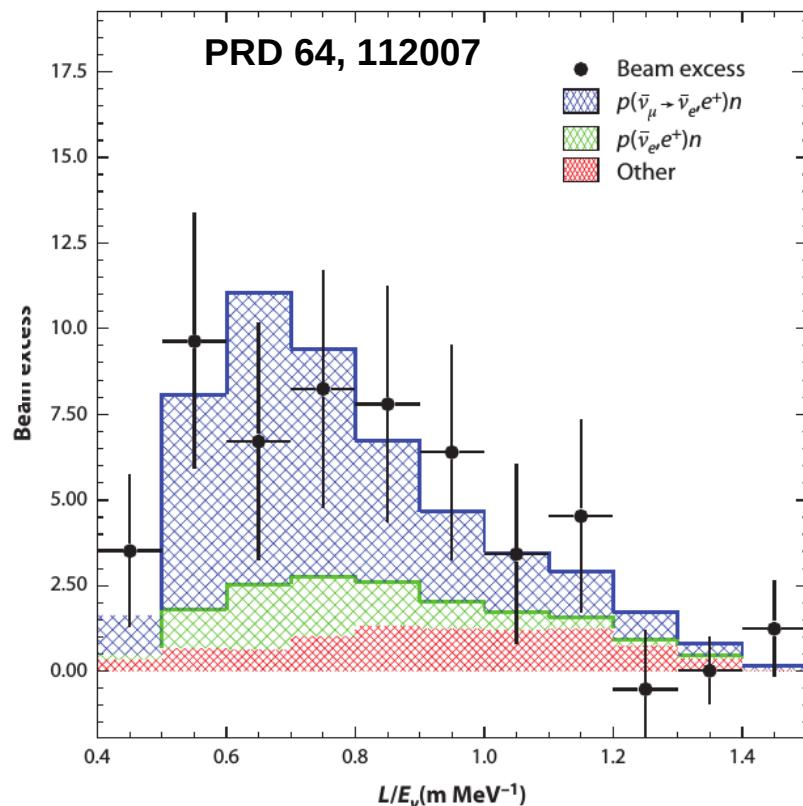
End of story?

LSND anomaly



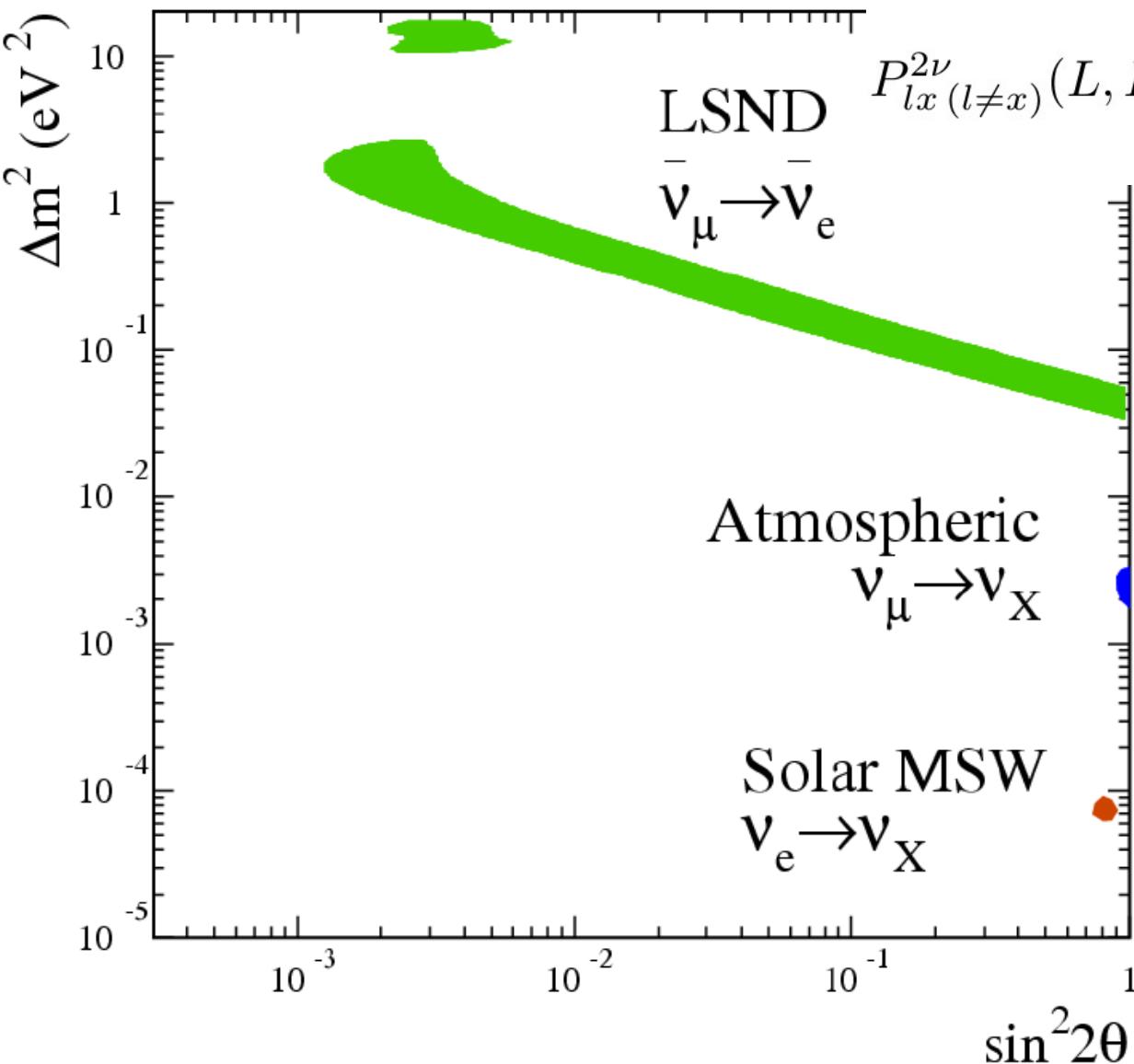
μ^+ decay-at-rest experiment.
 Very low $\bar{\nu}_e$ contamination.
 Liquid scintillator detector.
 Low background: inverse β -decay detection:
 $\bar{\nu}_e + p \rightarrow e^+ + n$

Excess of $87.9 \pm 22.4 \pm 6.0$ events.



LSND anomaly

Oscillation probability: $(0.264 \pm 0.067 \pm 0.045)\%$



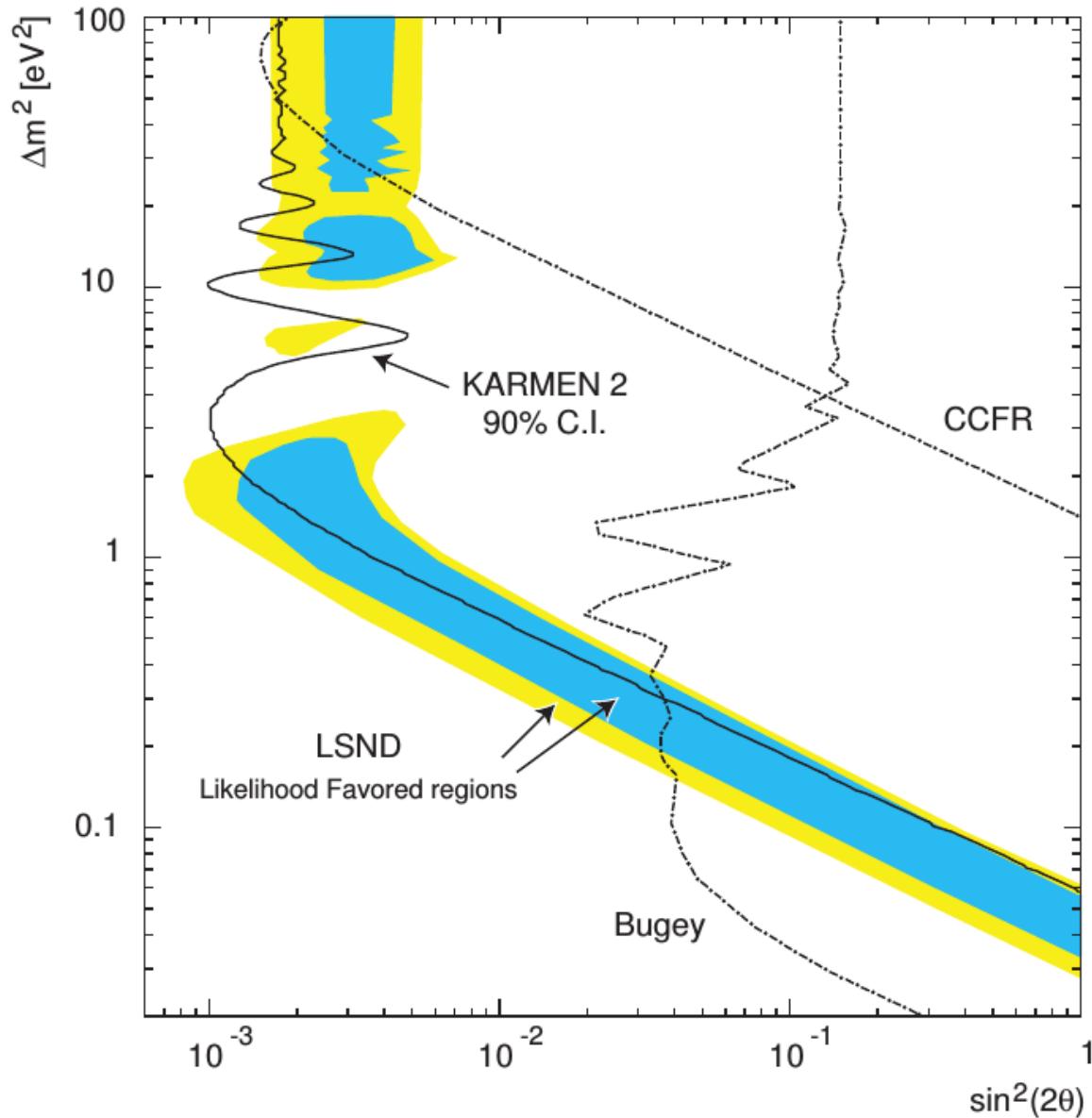
$$P_{lx(l \neq x)}^{2\nu}(L, E) = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$

Cannot be explained
with just 3 neutrinos!

Difference between squared
masses 3 and 2, 1

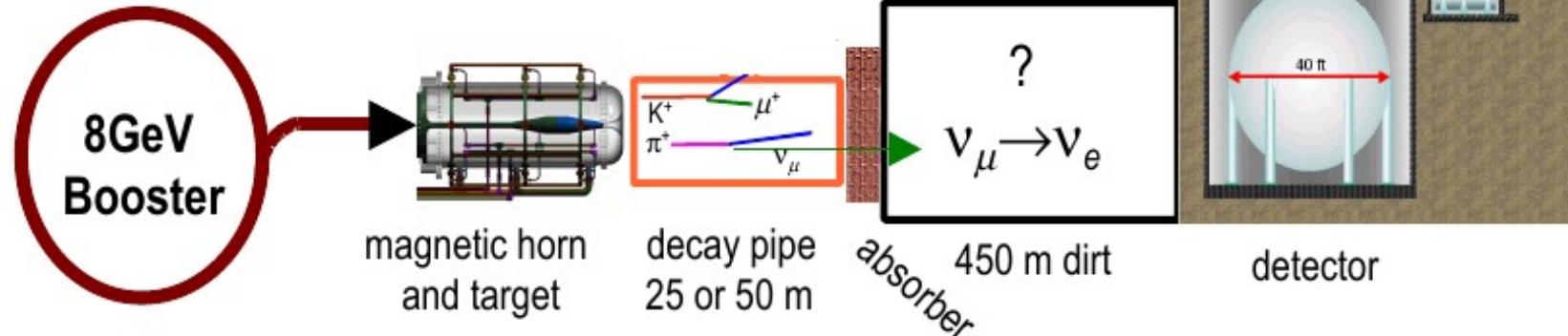
Difference between
squared masses 2 and 1

LSND anomaly

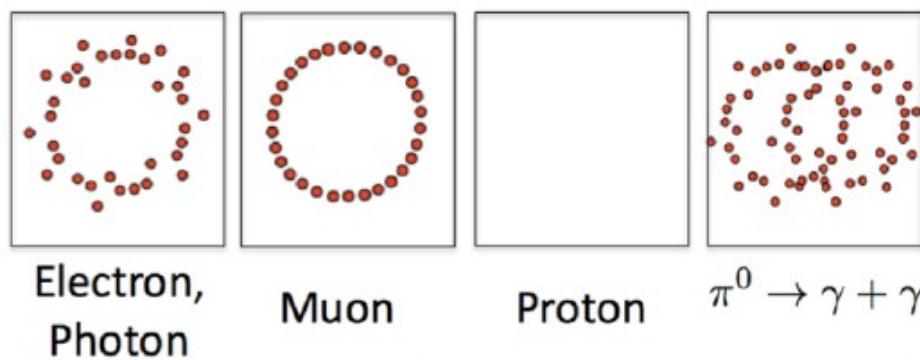


A big portion of the allowed region is excluded by the lack of oscillation signal in other experiments, but it cannot be ruled out completely

MiniBooNE experiment



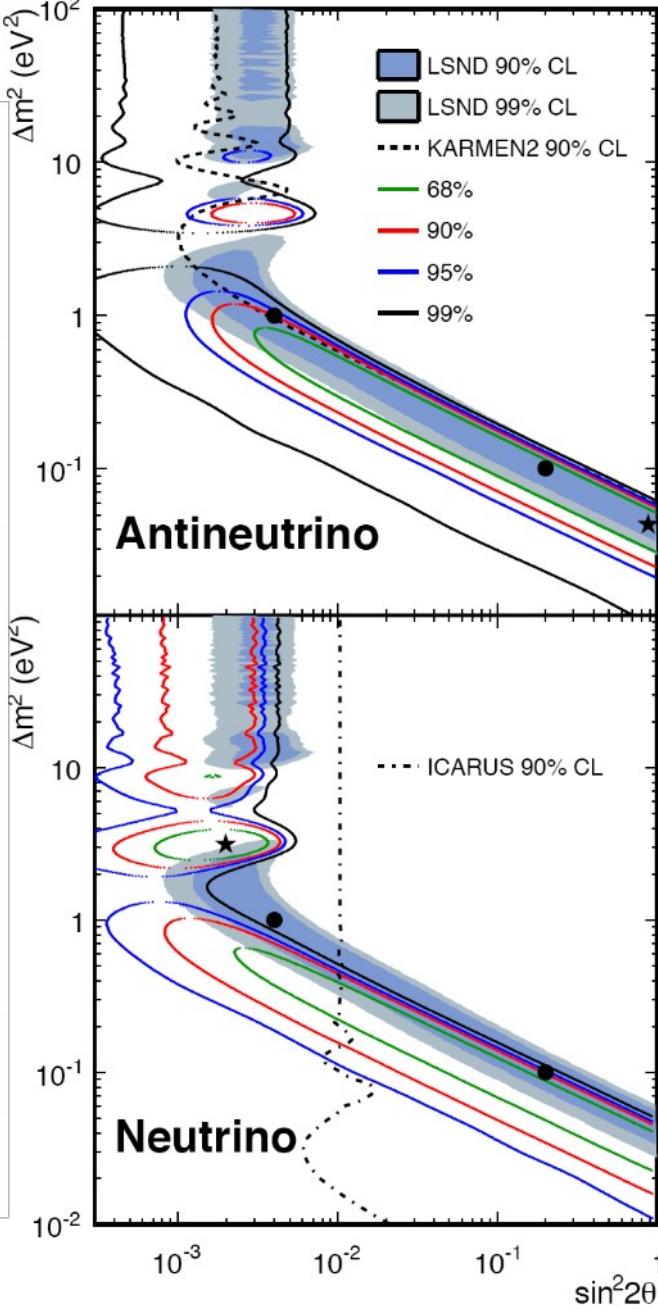
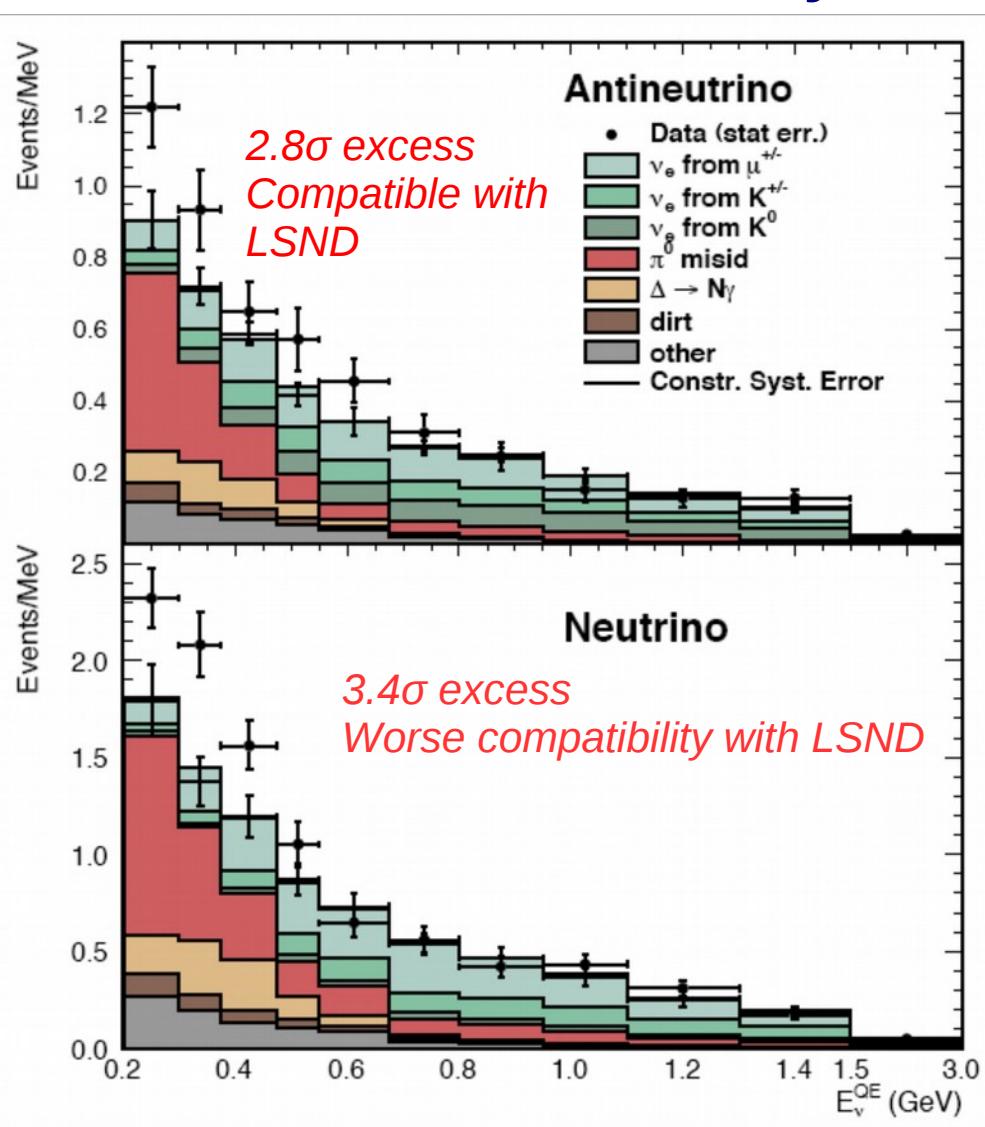
- Different beam: mostly pion decay-in-flight experiment.
- Different detector: Cherenkov detector.



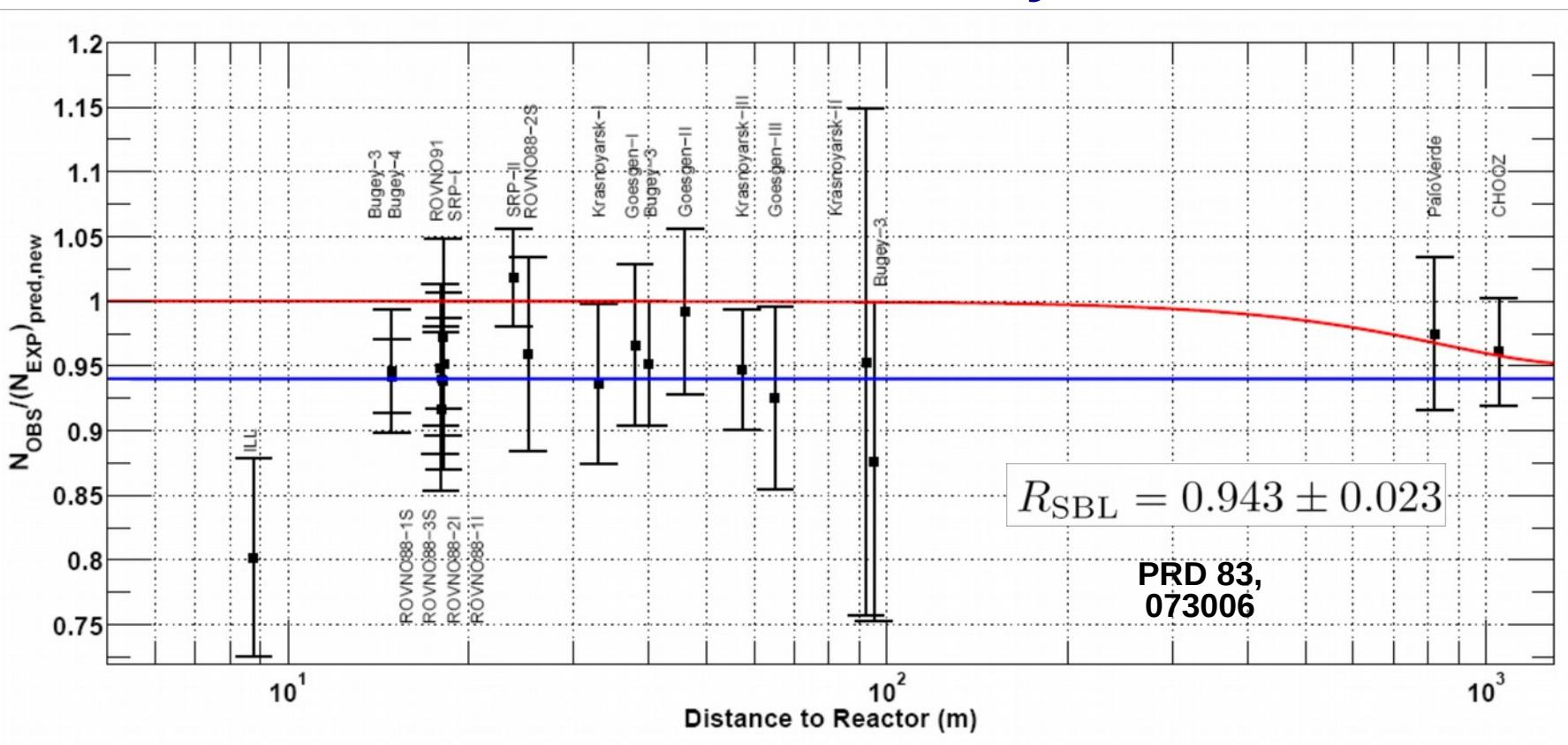
- Different energy range: 8 GeV to 10 GeV, covering the neutrino mass oscillation region.

$$P_{lx}^{2\nu} (l \neq x) (L, E) = \sin^2(2\theta) \sin^2 \left(\frac{\Delta m^2 L}{4E} \right)$$

MiniBooNE anomaly

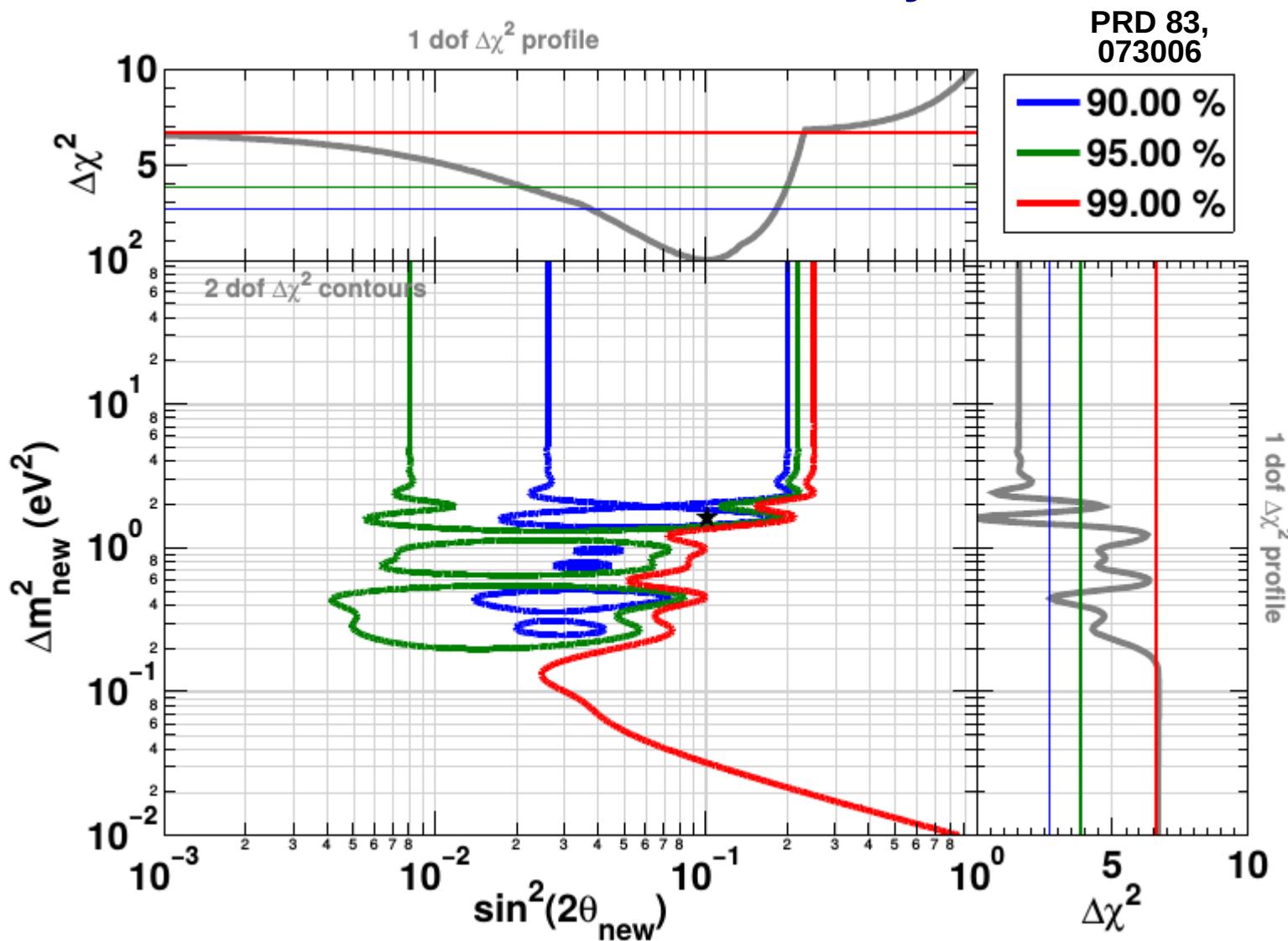


Reactor anomaly



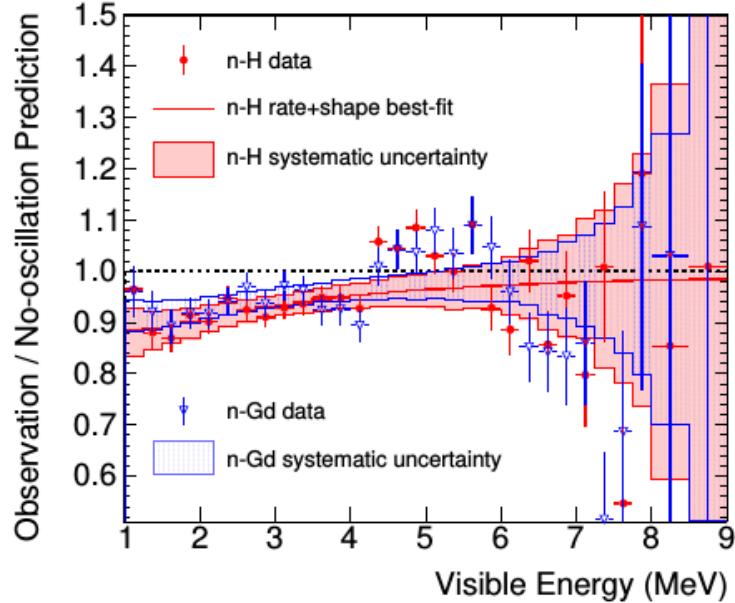
- After re-calculation of the predicted reactor flux, all past short-baseline reactor experiments observe a deficit of electron antineutrinos.
- Can be interpreted as the result of neutrino oscillation driven by a $\Delta m^2 \gtrsim 1 \text{ eV}^2$

Reactor anomaly



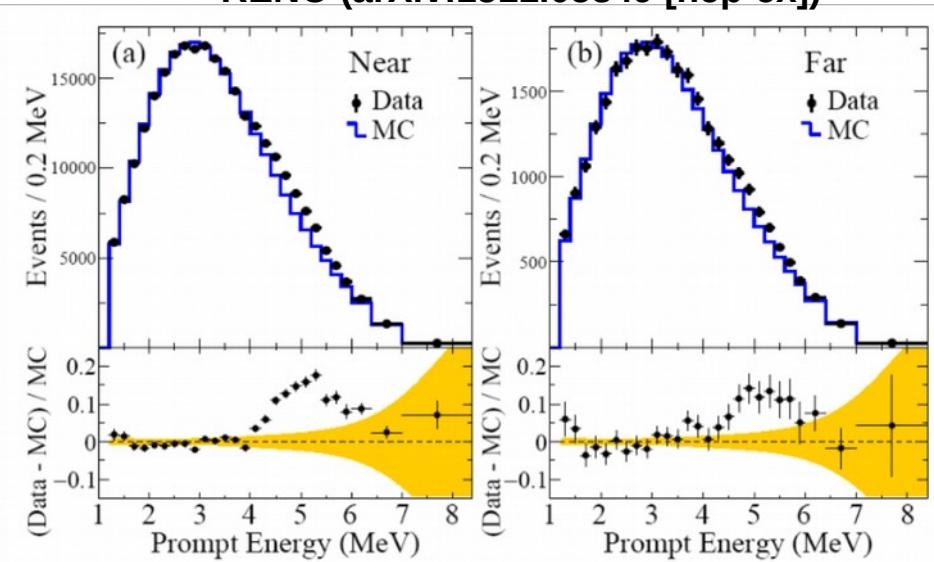
Reactor anomaly: spectrum distortion in 3 experiments

Double Chooz (JHEP01 (2016) 163)

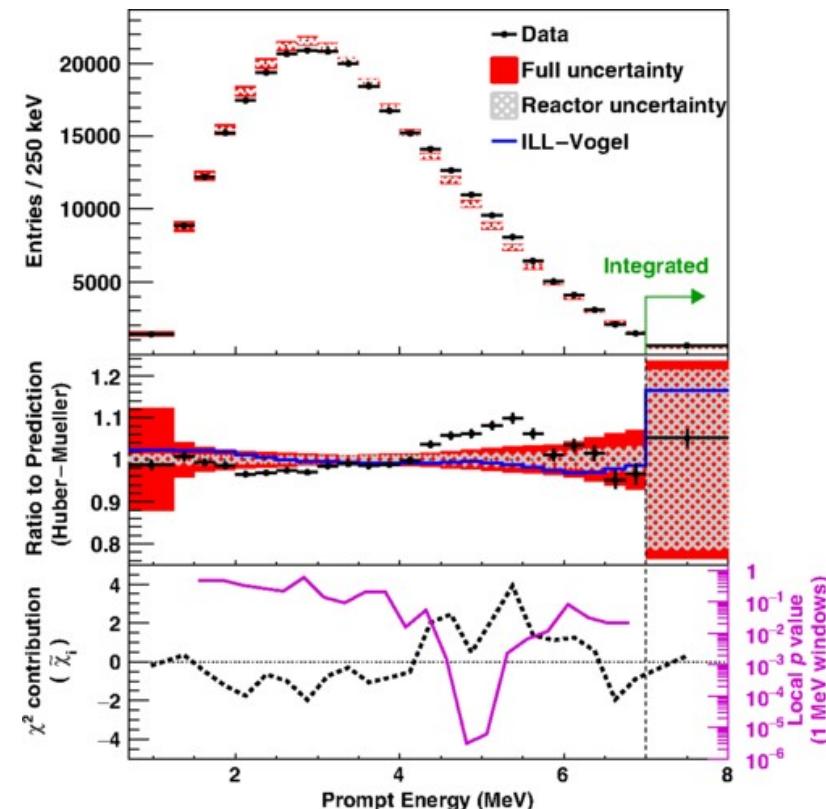


Alternative: predicted reactor flux is wrong.

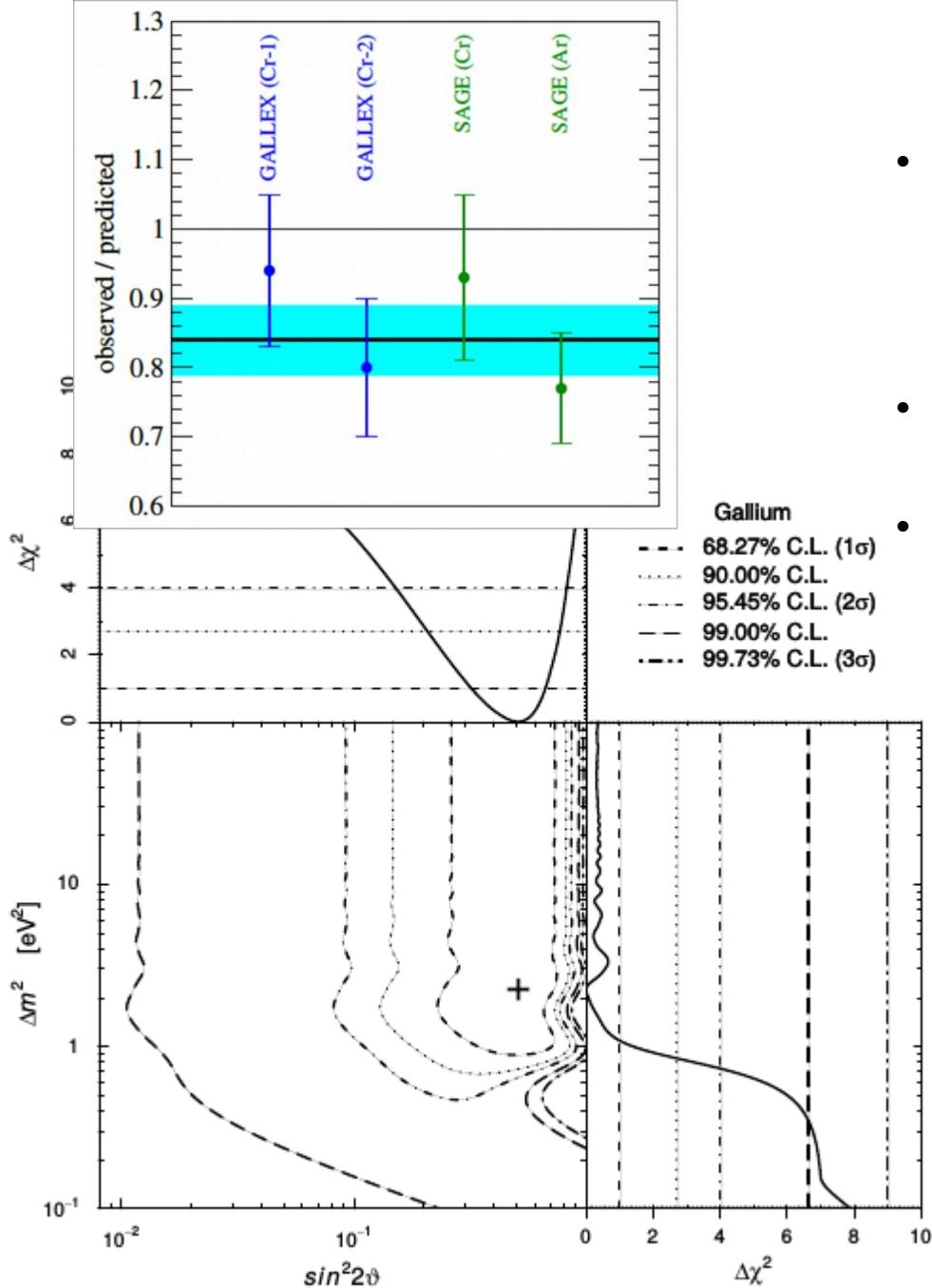
RENO (arXiv:1511.05849 [hep-ex])



Daya Bay (PRL 116, 061801)



Gallium anomaly

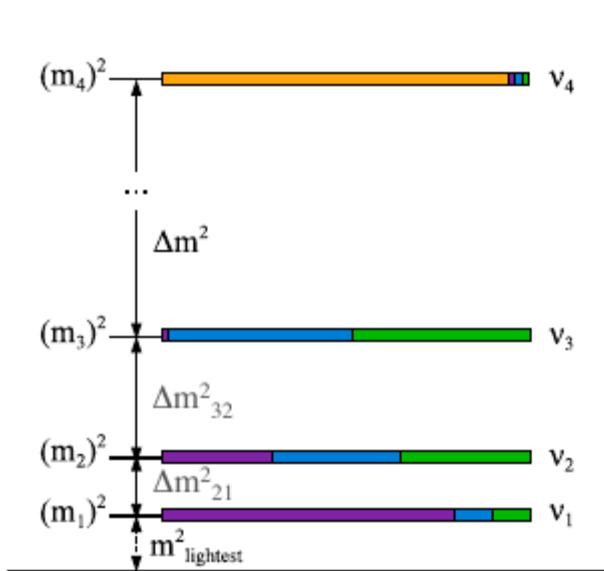


- Radioactive sources used to calibrate gallium-based solar experiments:

$$\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$$
- $R_{\text{Ga}} = 0.86 \pm 0.05$
- Taking into account the uncertainty in the cross-section and the transition to the two excited states of ${}^{71}\text{Ge}$ (**PRC (2011) 065504**):

$$R_{\text{Ga}} = 0.76^{+0.09}_{-0.08}$$
- Can be interpreted as the result of neutrino oscillation driven by a $\Delta m^2 \gtrsim 1$ eV 2

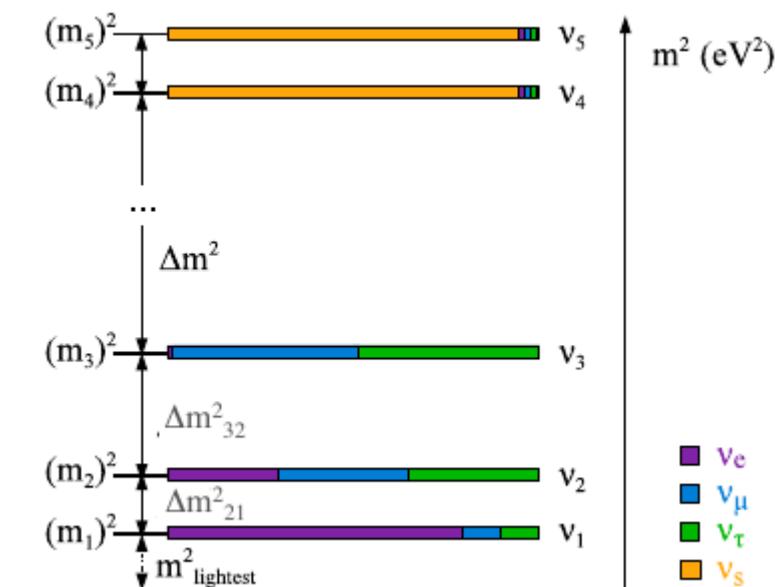
Sterile neutrino models



3 + 1

- The sterile neutrino gives the high Δm^2
- Cannot explain differences between neutrinos/antineutrinos in MiniBooNE.
- Cannot explain the non-disappearance of the muon flavor

v_e
 v_μ
 v_τ
 v_s



3 + 2

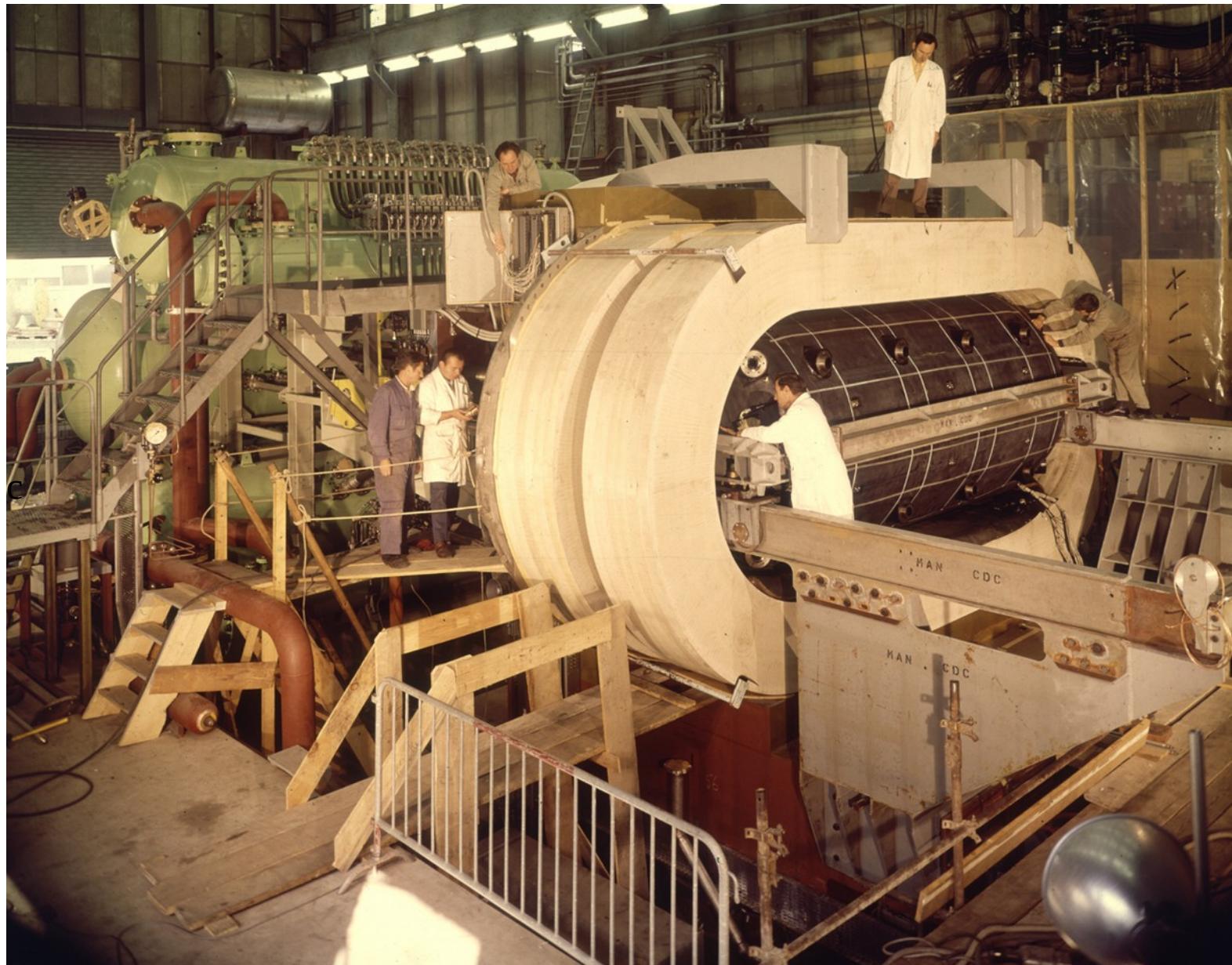
- The two sterile neutrinos give the high Δm^2
- Incorporates CP violation: neutrinos and antineutrinos oscillate differently.
- The non-disappearance of the muon flavor still unexplained.

3 + 3 does not improve the situation.

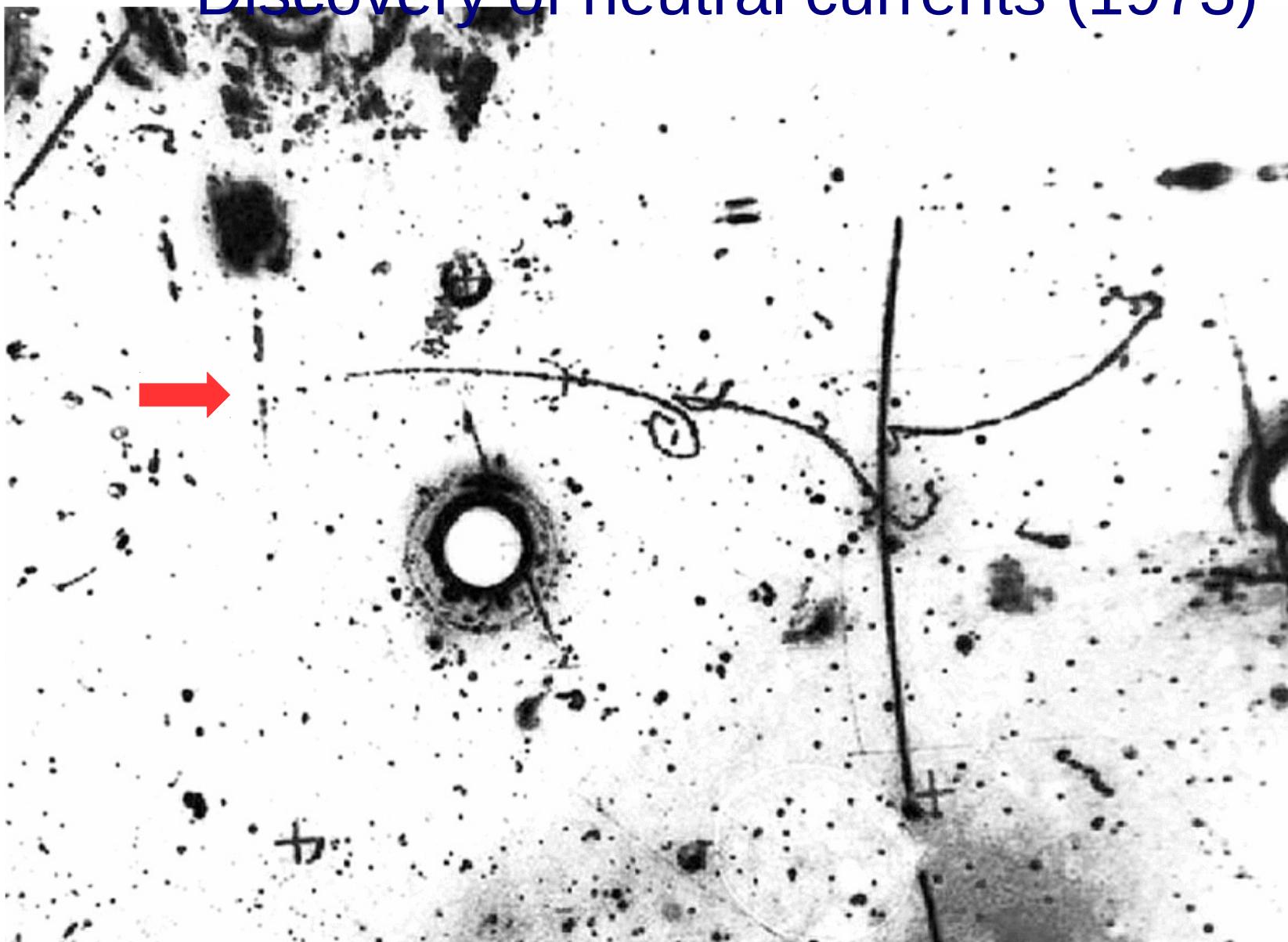
v_e
 v_μ
 v_τ
 v_s

The Short Baseline Neutrino Program at Fermilab

Bubble chamber: Gargamelle (1970 - 1979)



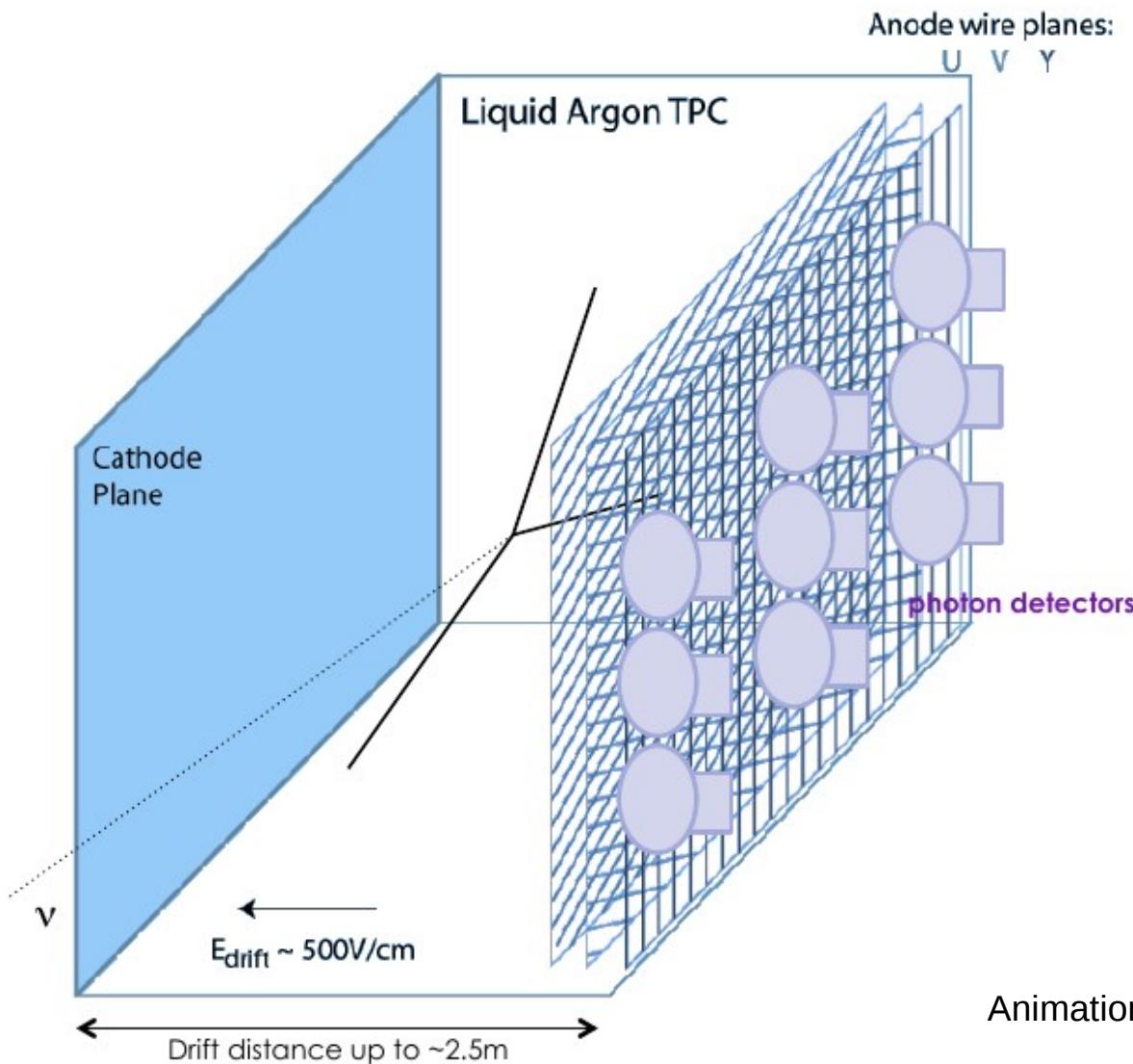
Discovery of neutral currents (1973)





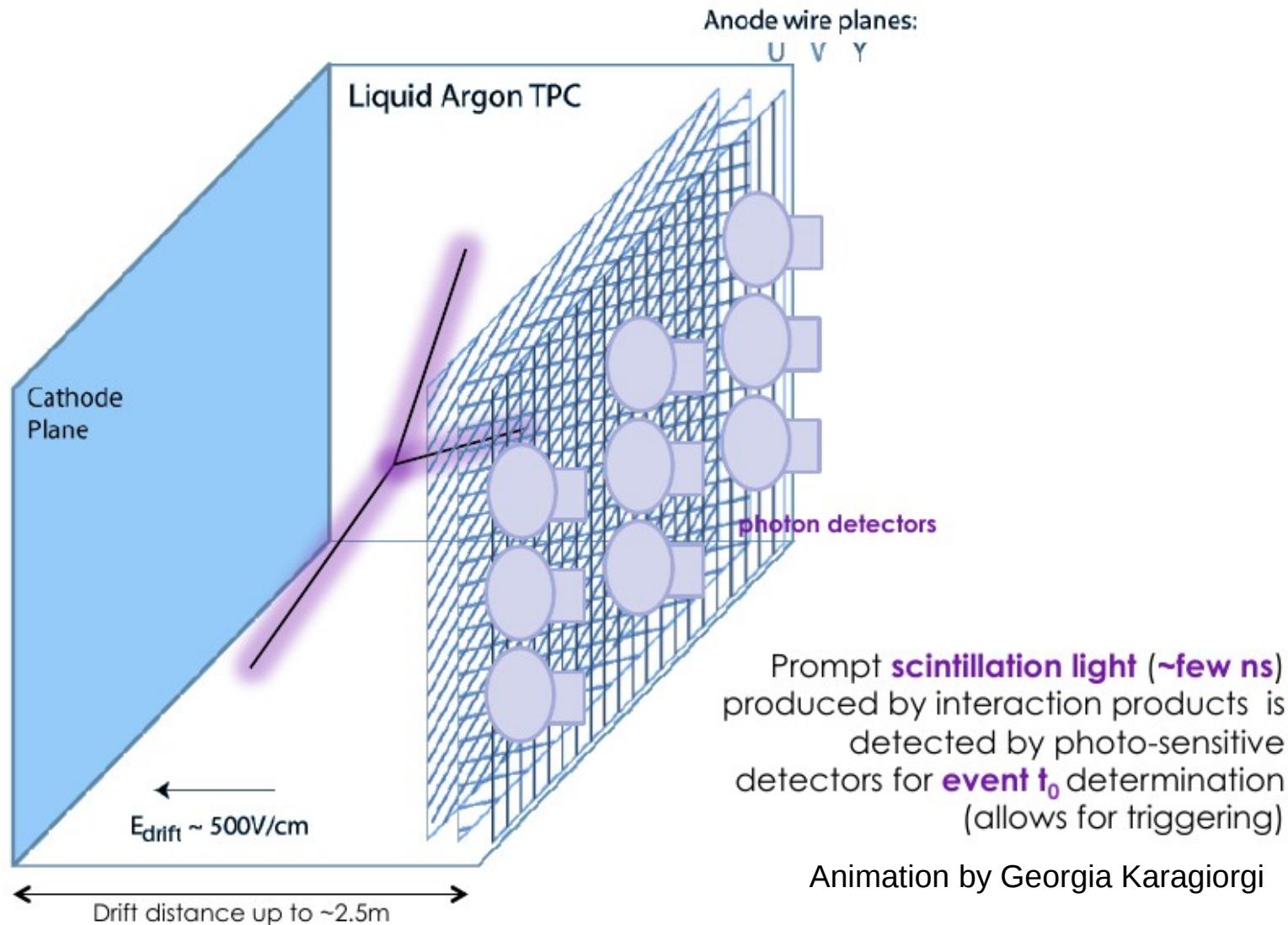
Liquid argon time projection chamber

How a LArTPC works:

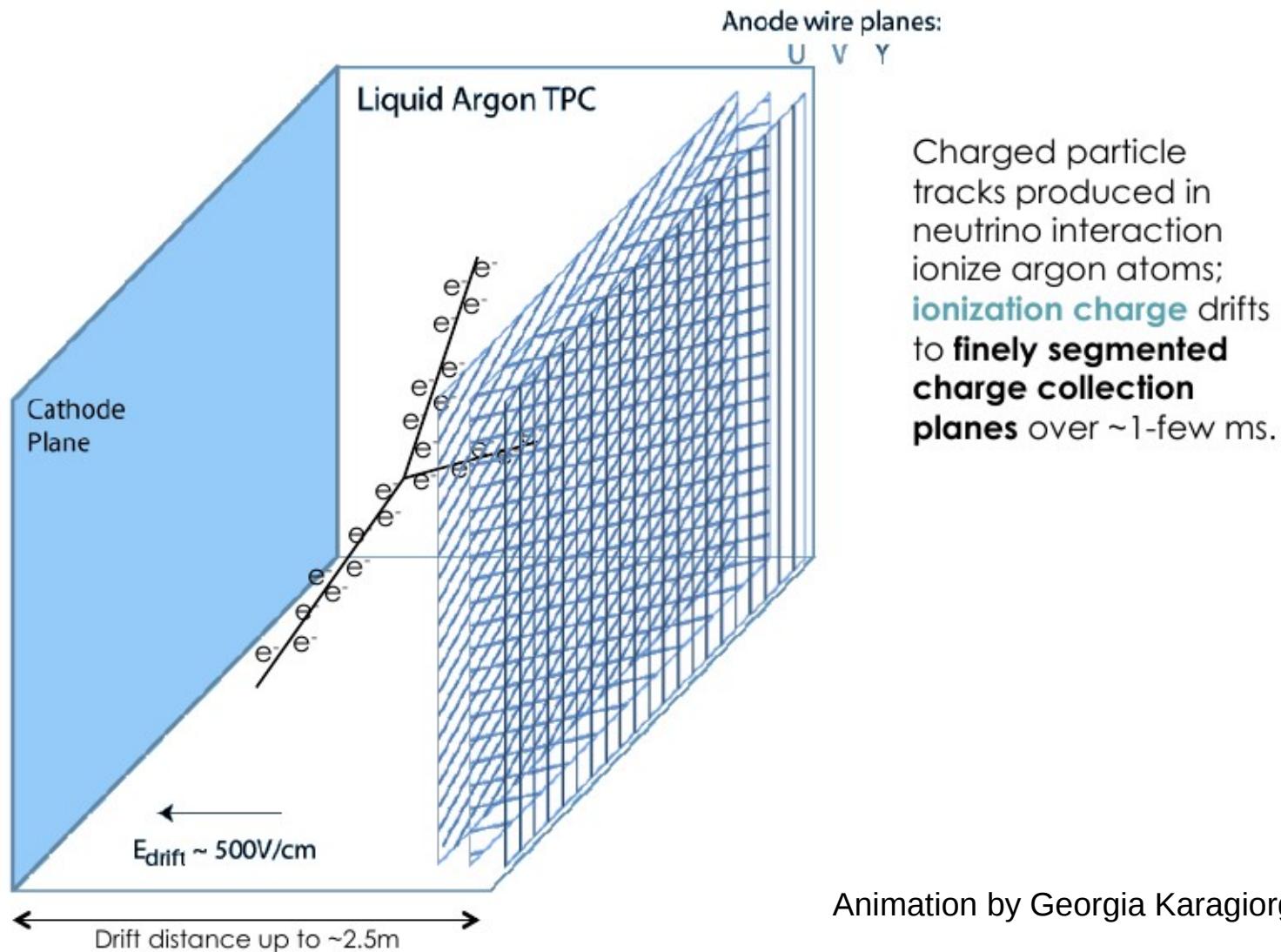


Animation by Georgia Karagiorgi

How a LArTPC works:

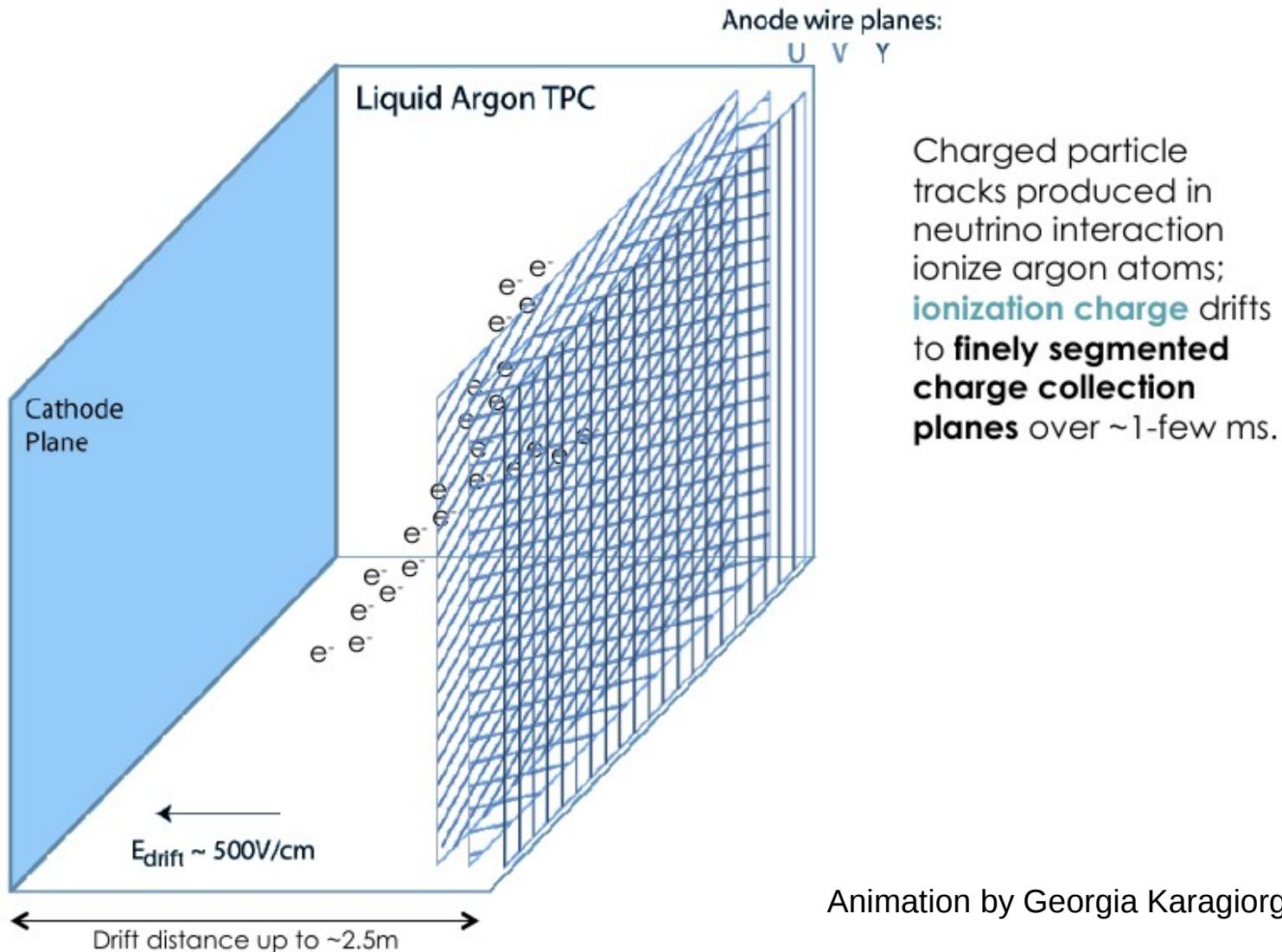


How a LArTPC works:



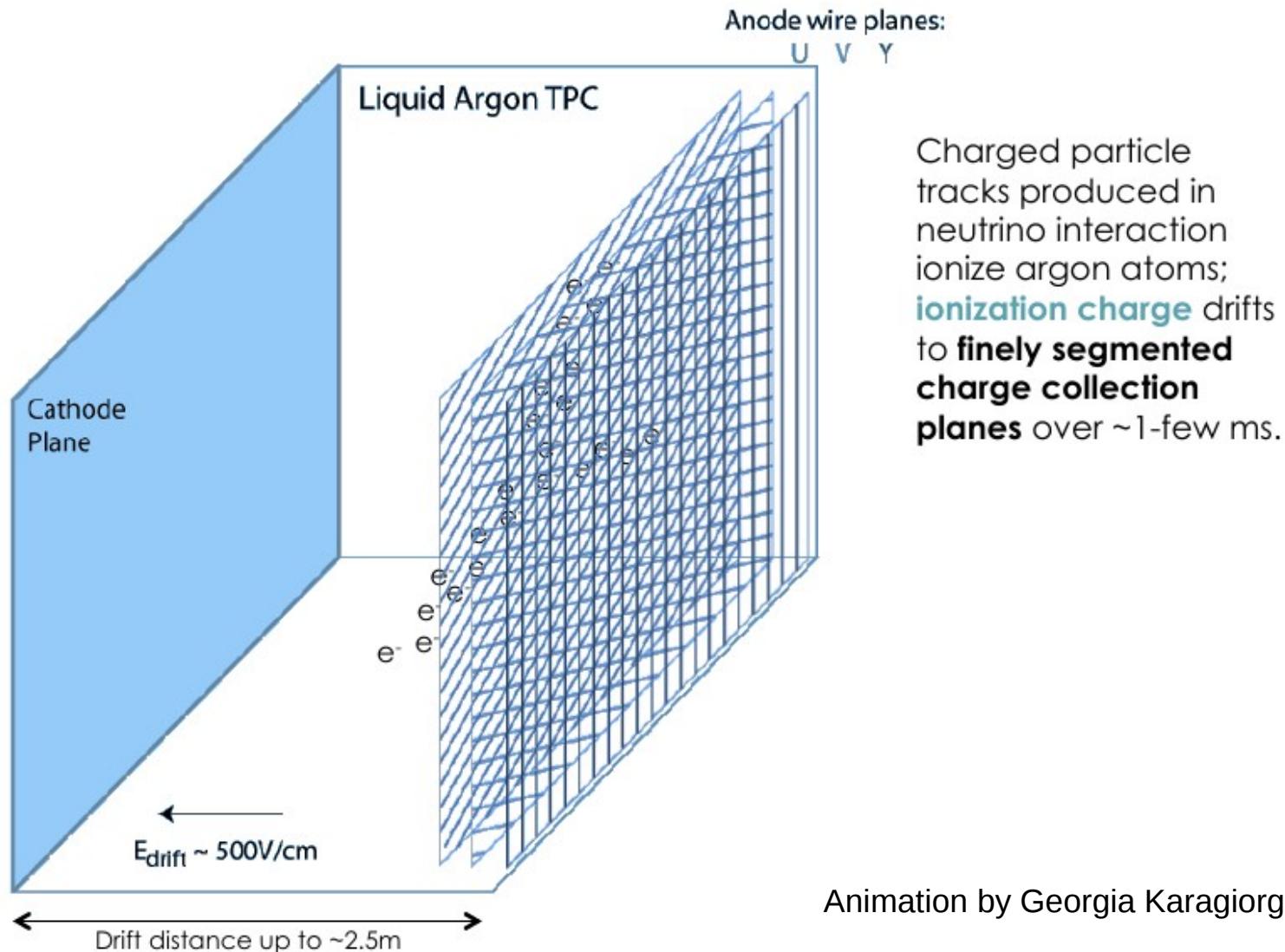
Animation by Georgia Karagiorgi

How a LArTPC works:

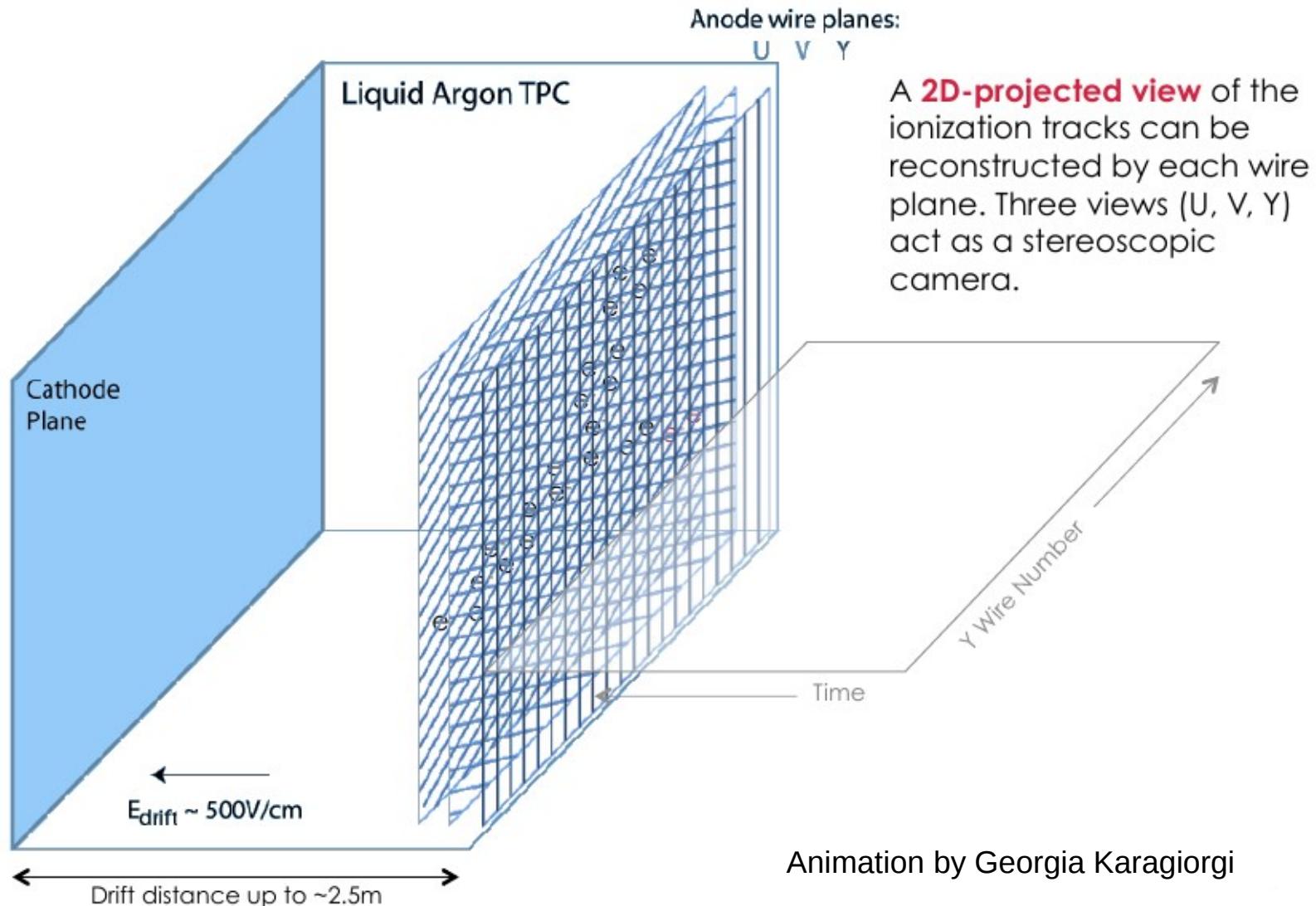


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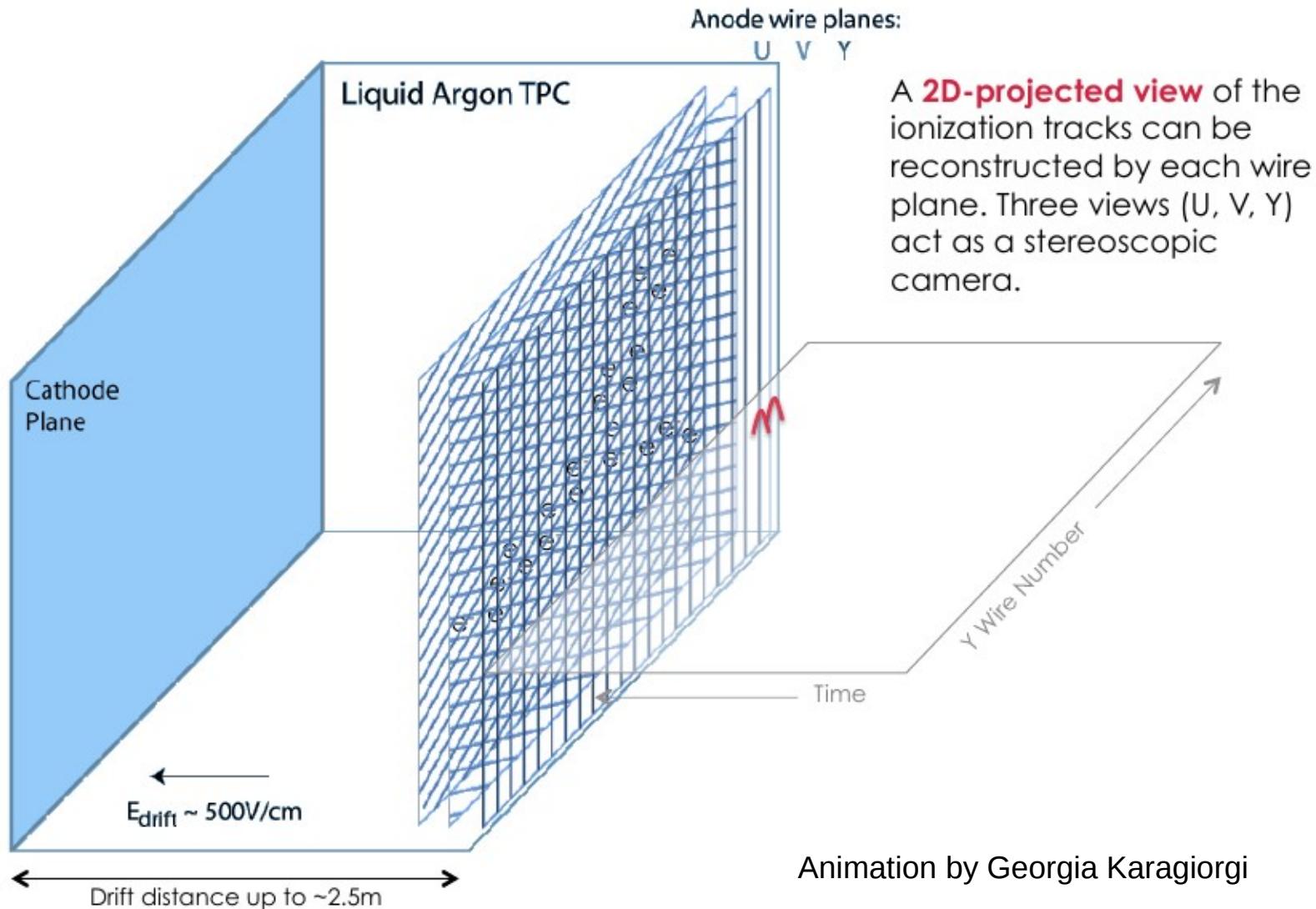
How a LArTPC works:



How a LArTPC works:

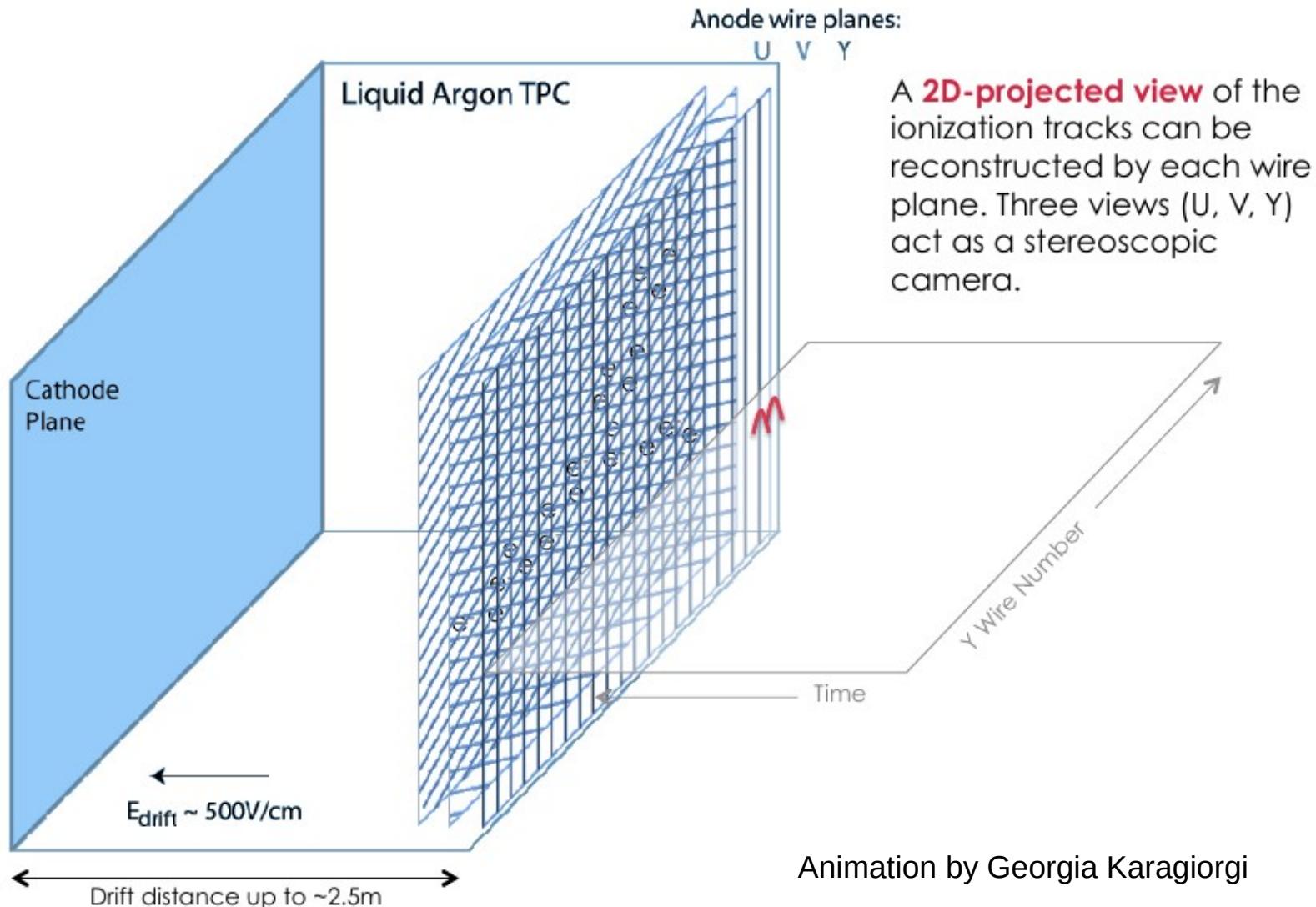


How a LArTPC works:

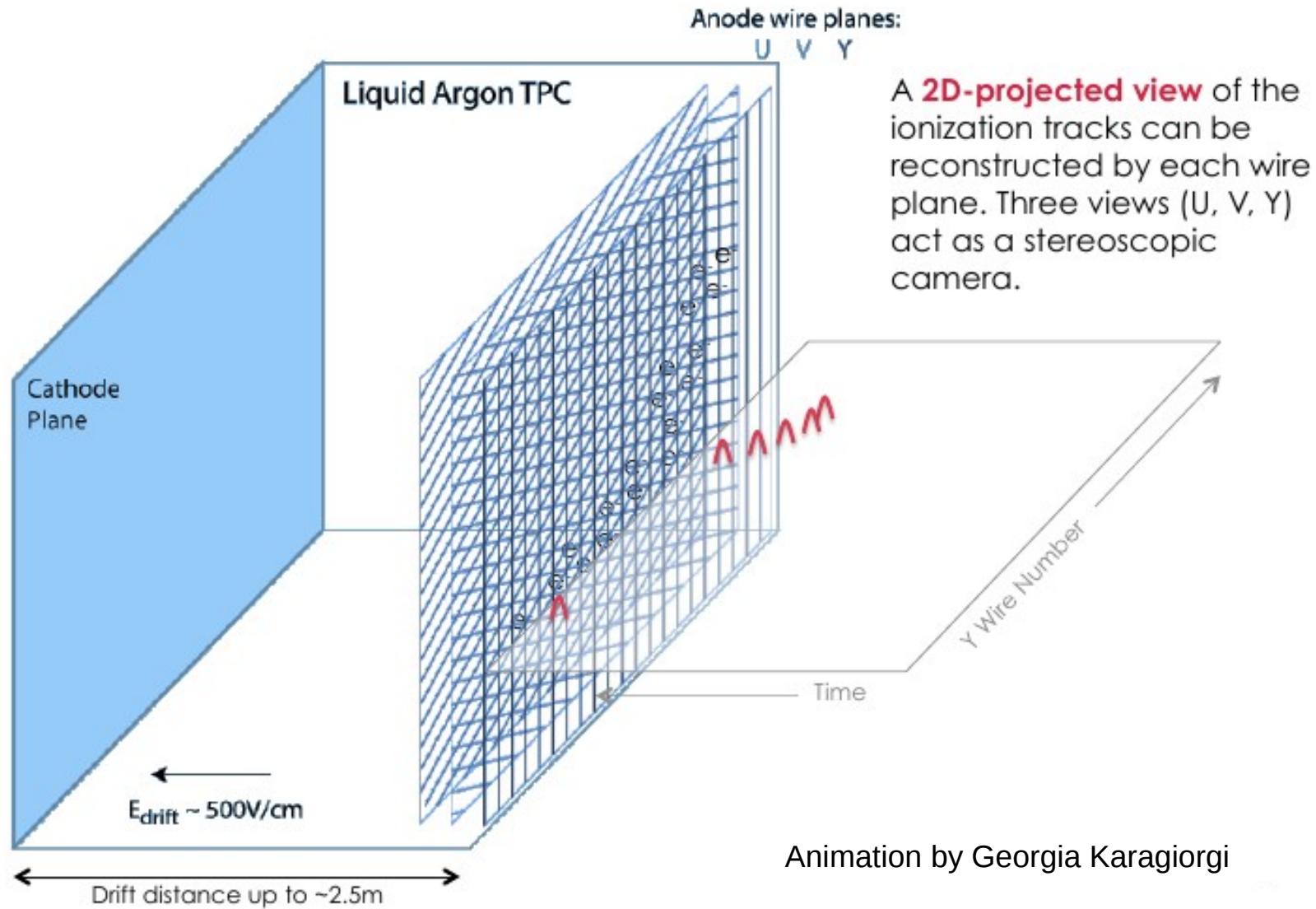


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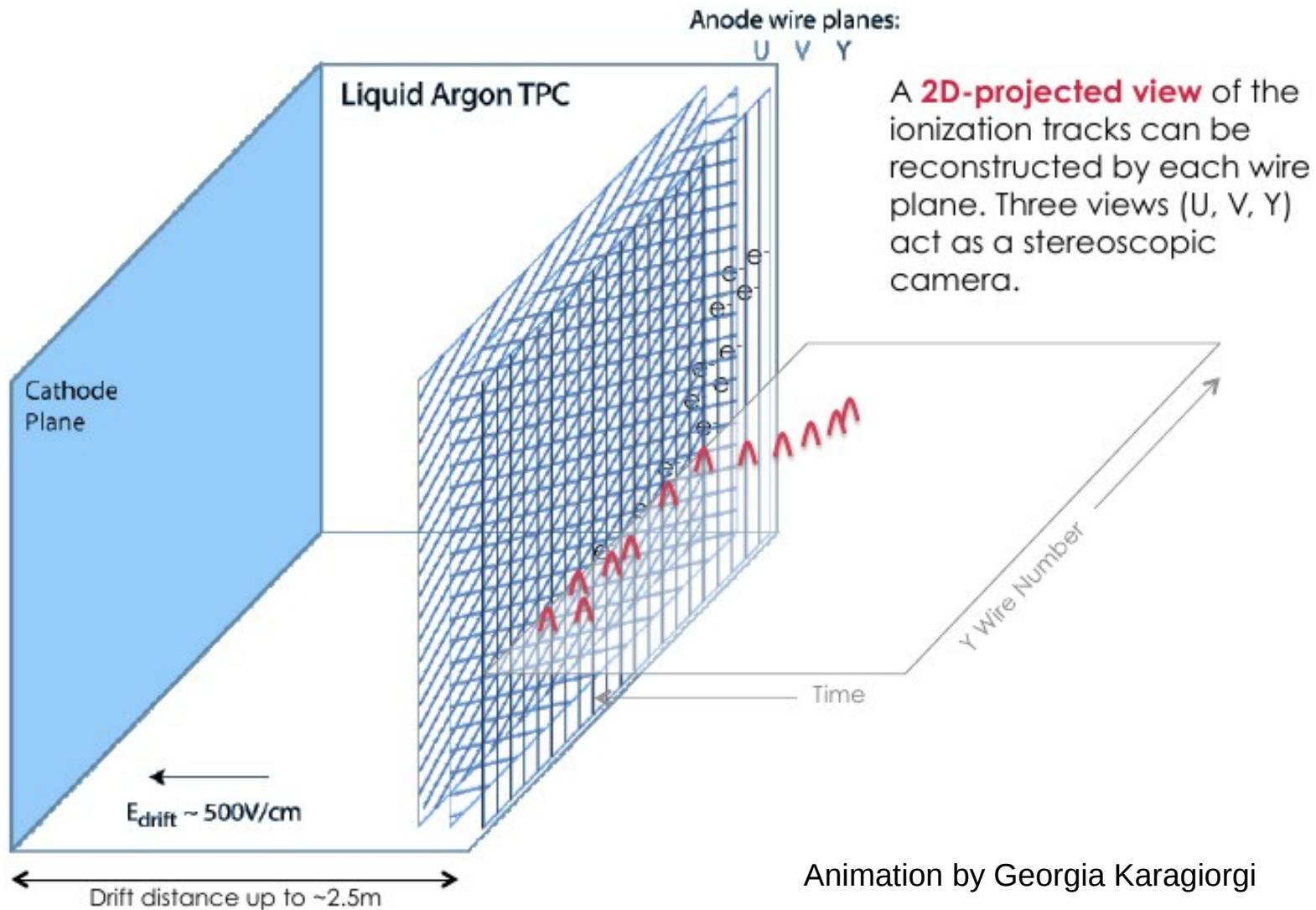
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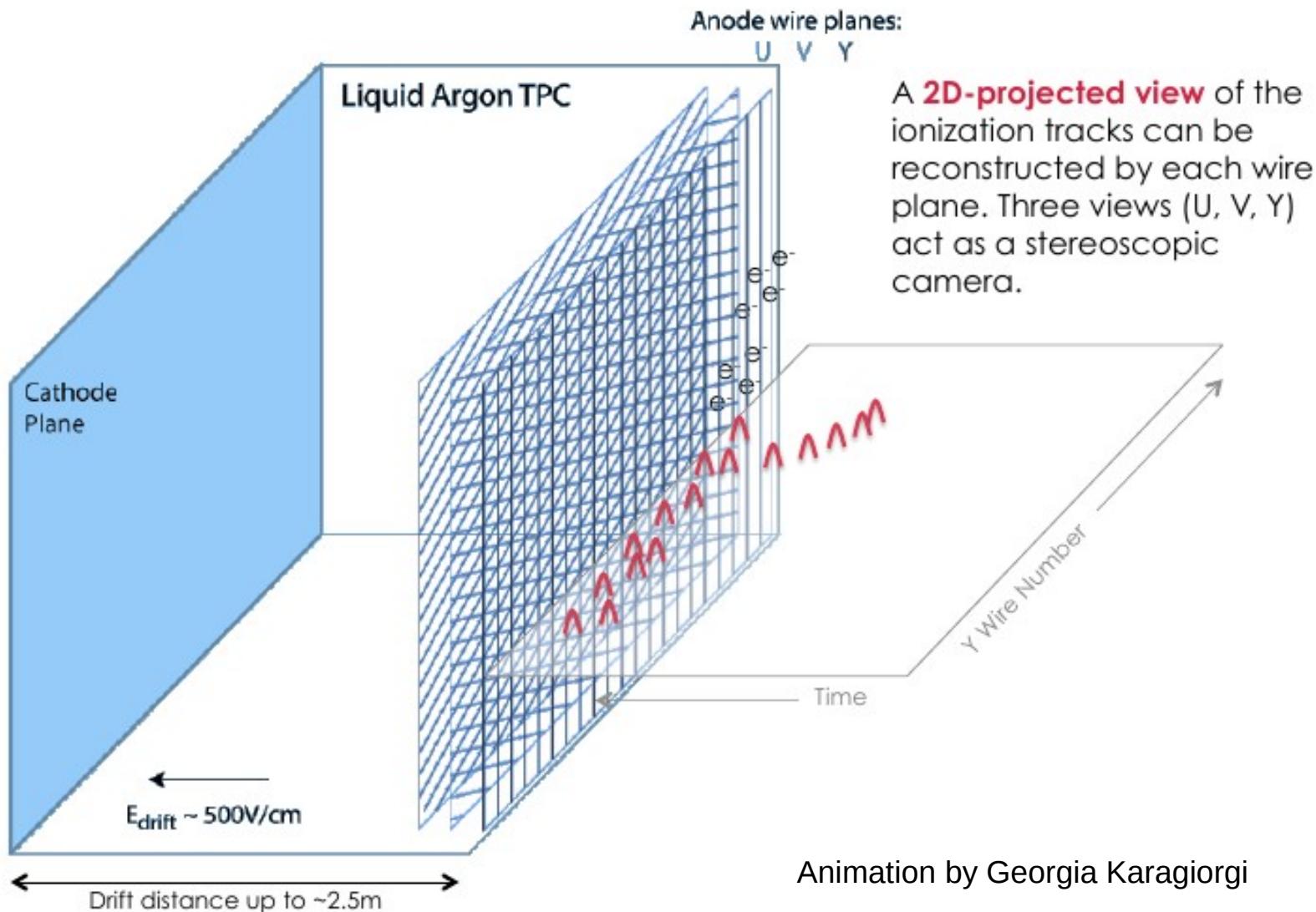


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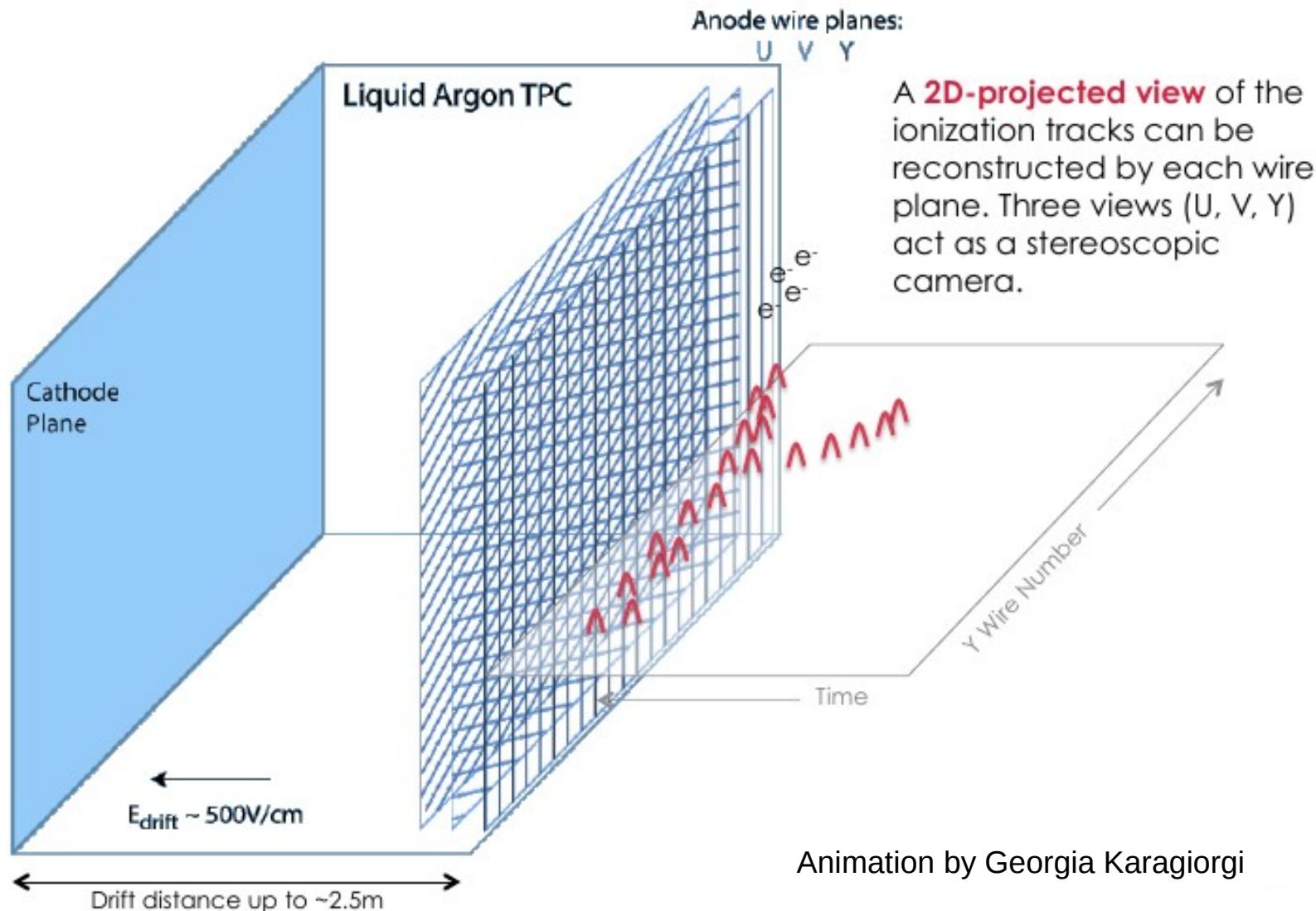


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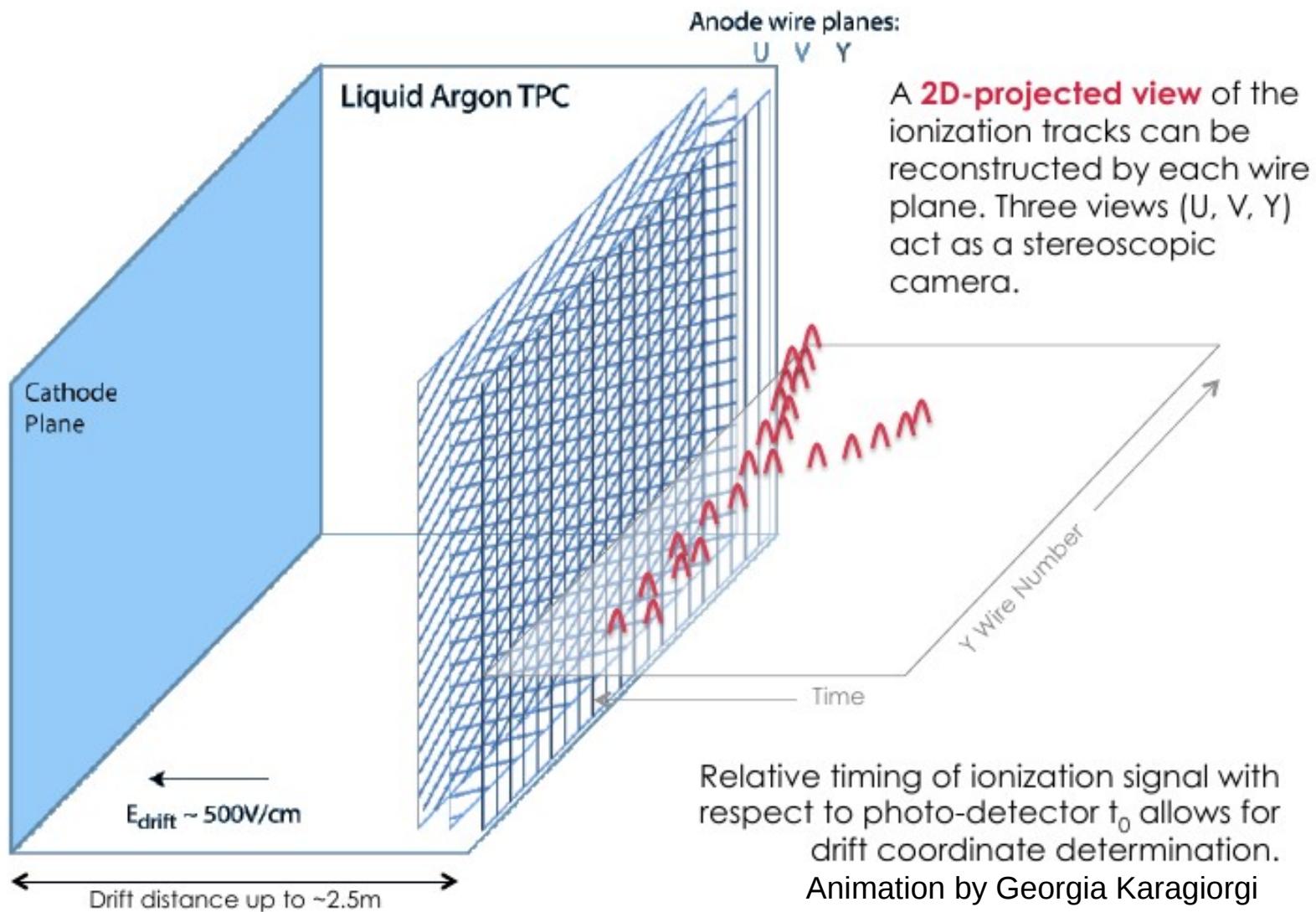


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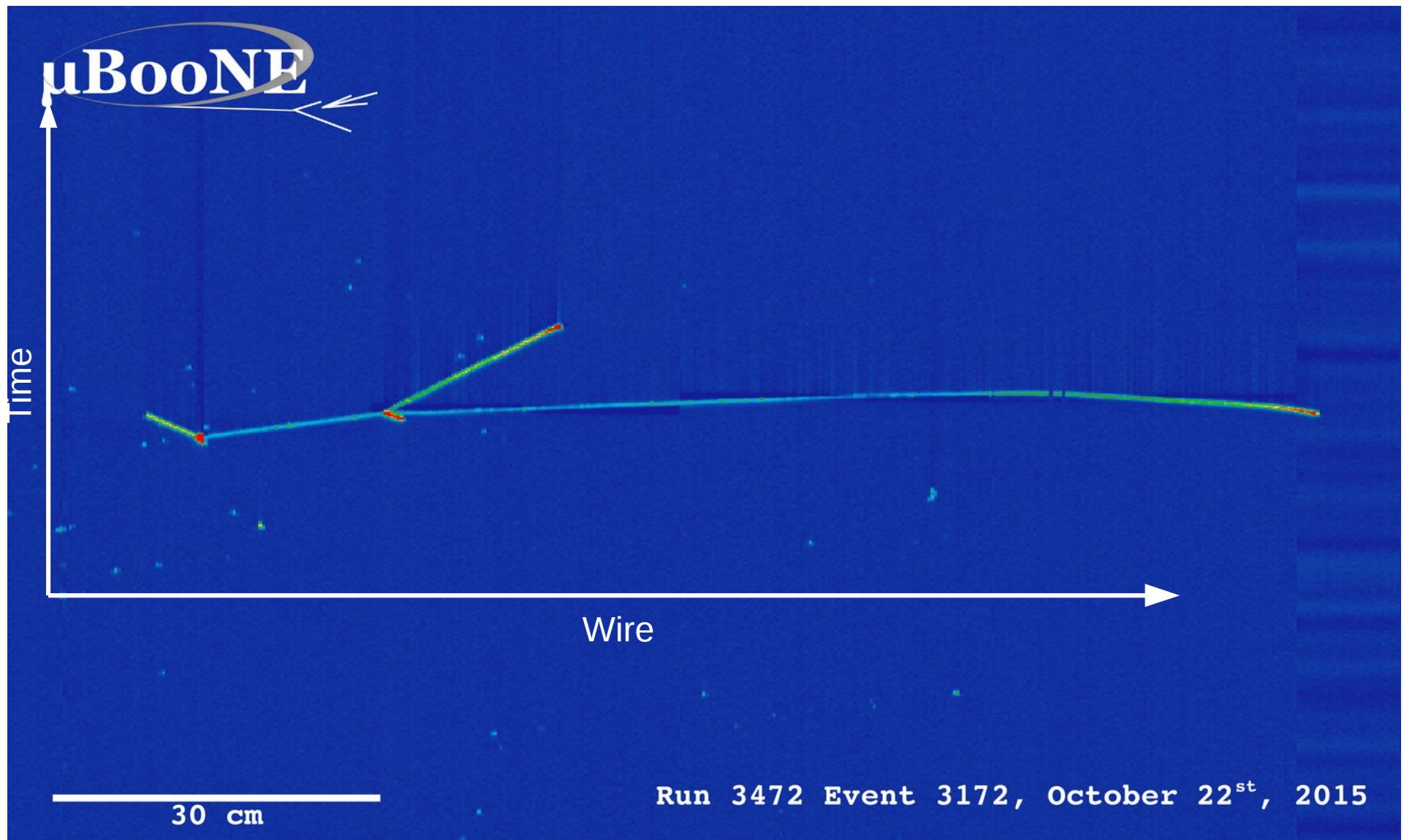


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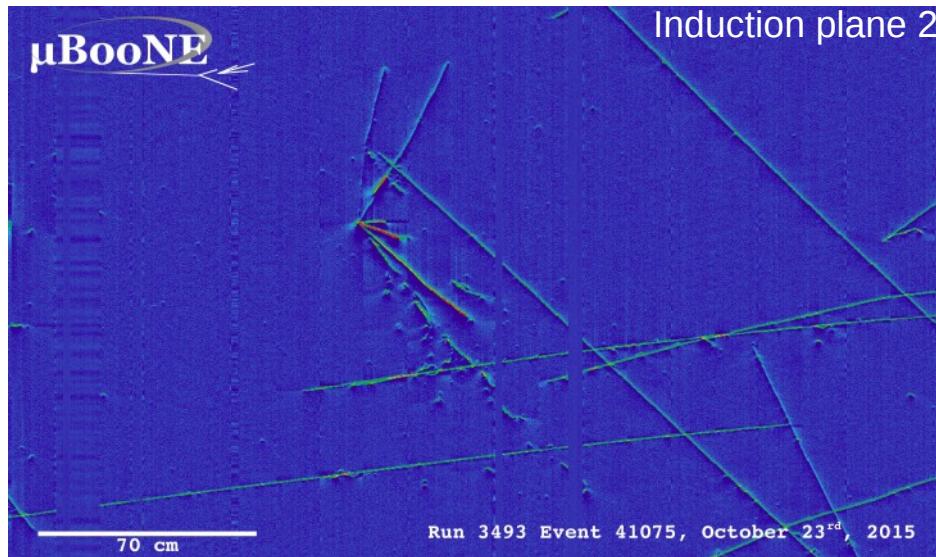
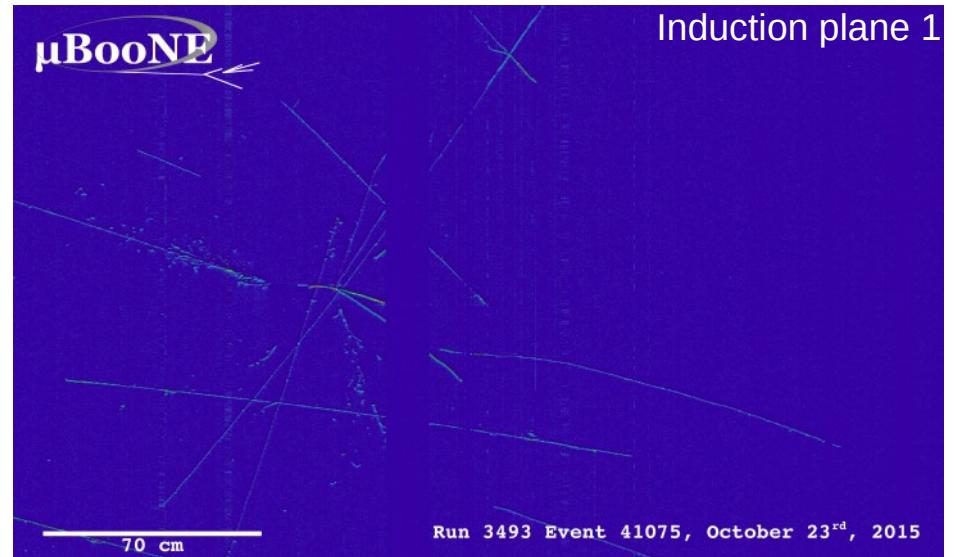
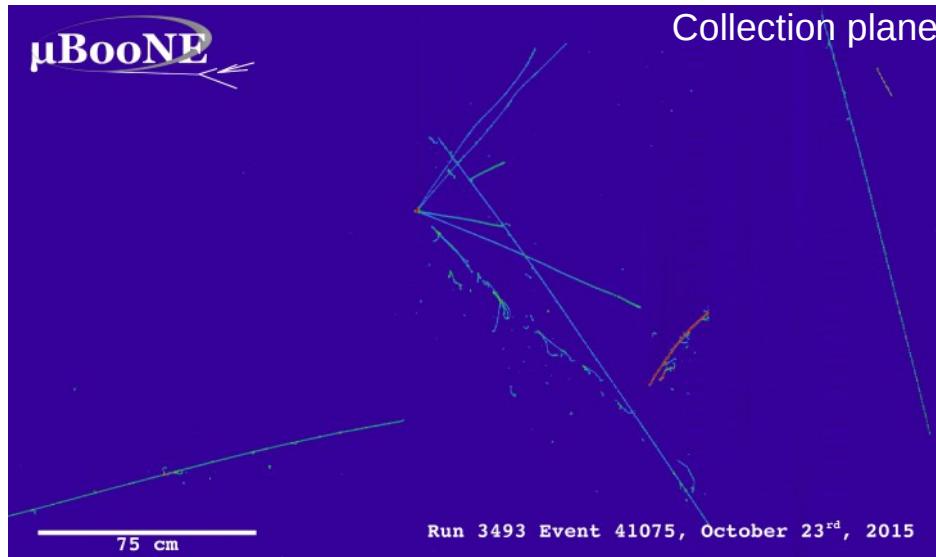
How a LArTPC works:



A real neutrino candidate in a LArTPC



Neutrino candidate in LArTPC



- The 3D view of the event is projected onto three planes.

LArTPC

Why liquid argon?

- It is dense (1.4 g/cm^3): more targets for neutrinos per volume.
- It is relatively cheap.
- It can be purified (electronegative elements -oxygen- capture electrons, nitrogen quenches scintillation light): detectors can be bigger.
- Bright scintillator (40000 photons/MeV). Transparent to its own light.

Why time projection chamber?

- Modern bubble chamber: very good granularity thanks to a small wire pitch but with automated readout and 3D reconstruction.
- Number of readout channels scales with detector length: less electronics needed.
- Several ways to estimate particle energy: ionization, range... They can be used to identify the particle.

Neutrino beams at Fermilab

BNB

Fermilab's **low-energy** neutrino beam:

$\langle E_\nu \rangle \approx 700 \text{ MeV}$

Booster - 8 GeV protons

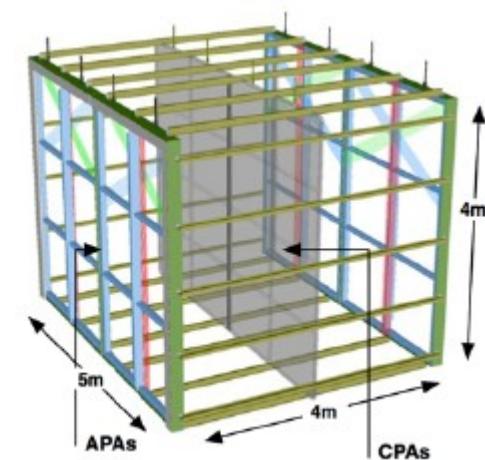
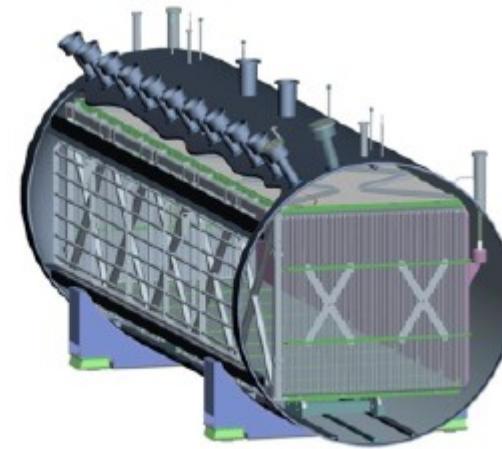
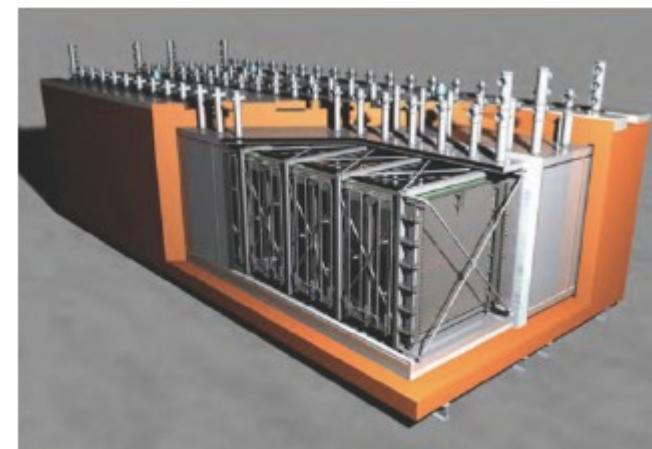
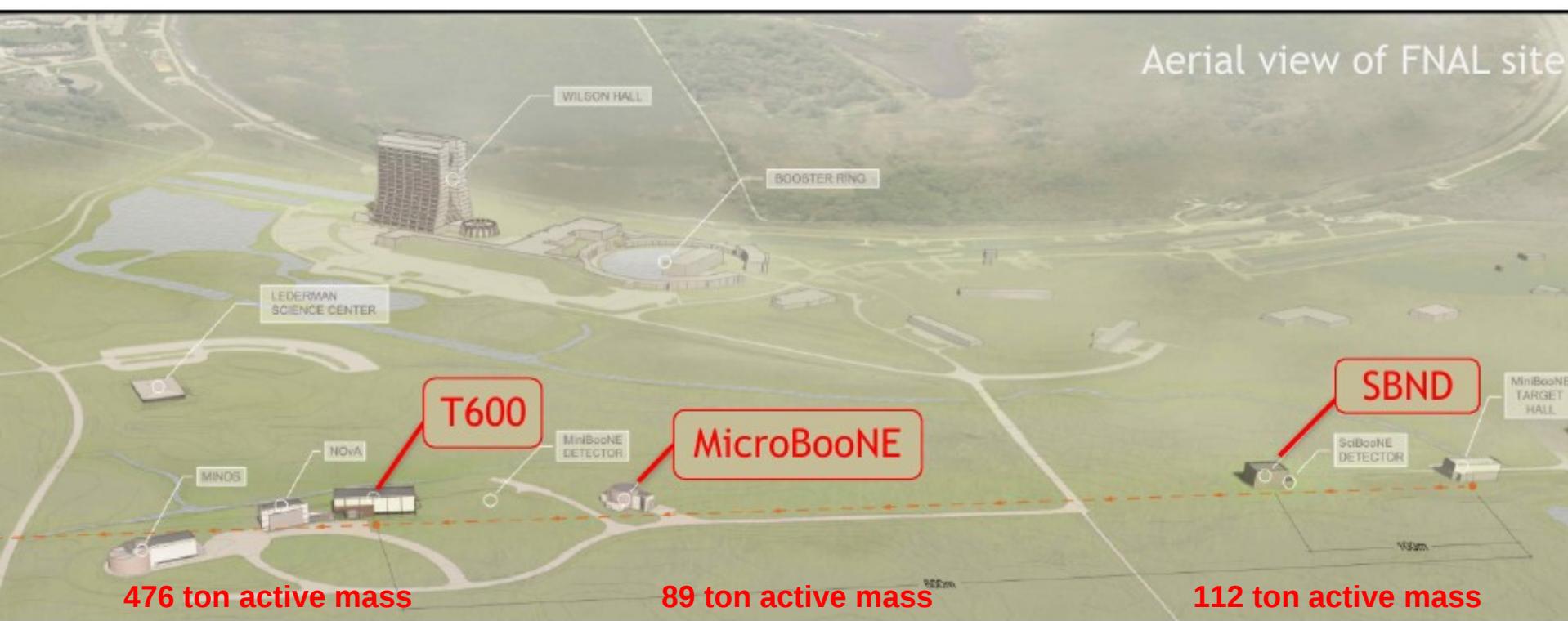
NuMI

Fermilab's **high-energy** neutrino beam: $\langle E_\nu \rangle \approx 7 \text{ GeV}$ (tunable)

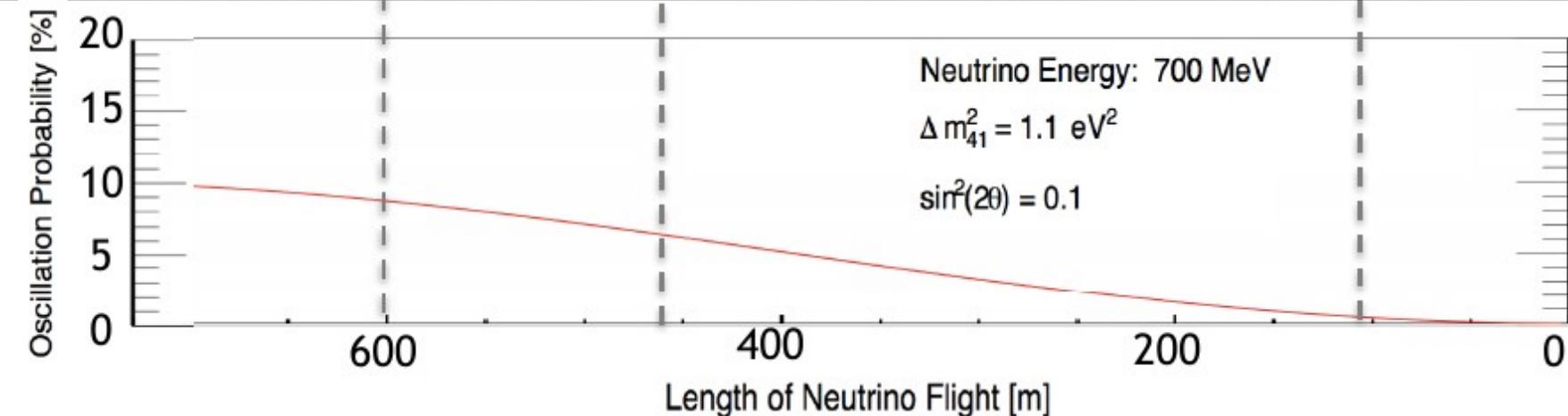
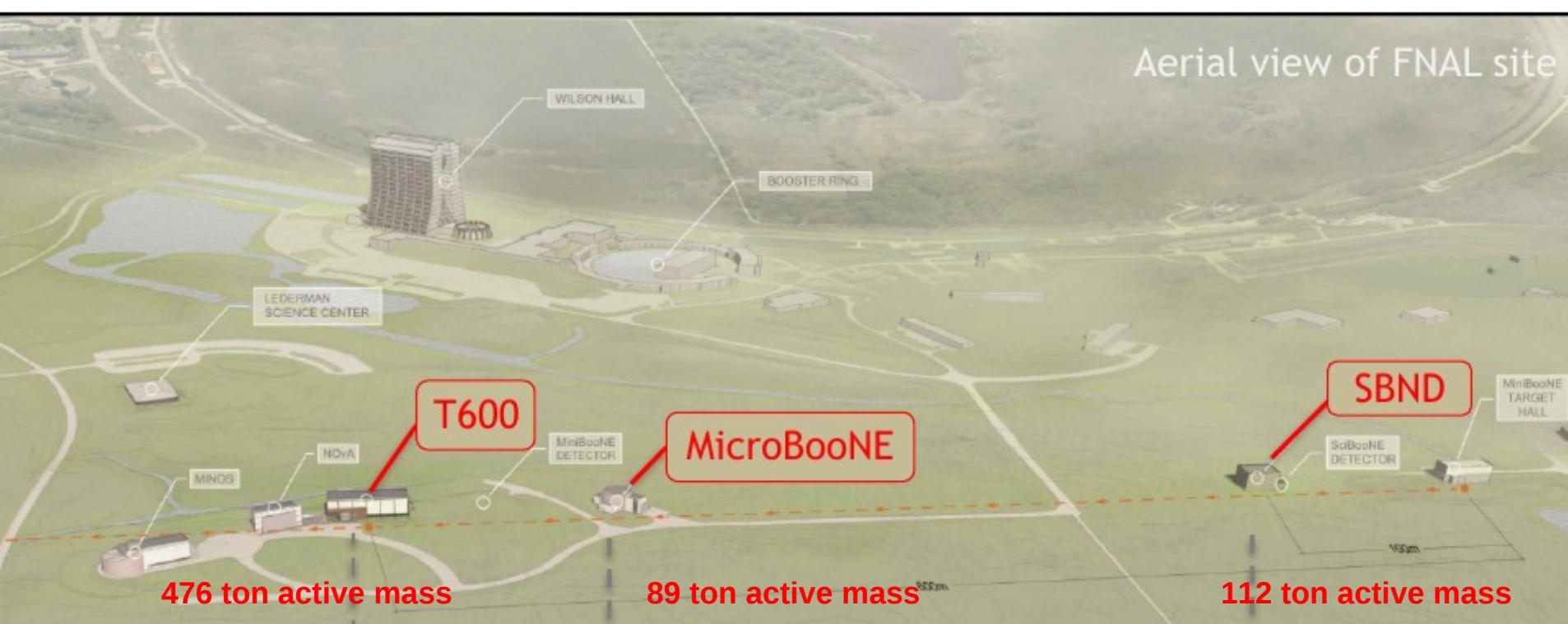
Main Injector - 120 GeV protons

Slide by Anne Schukraft

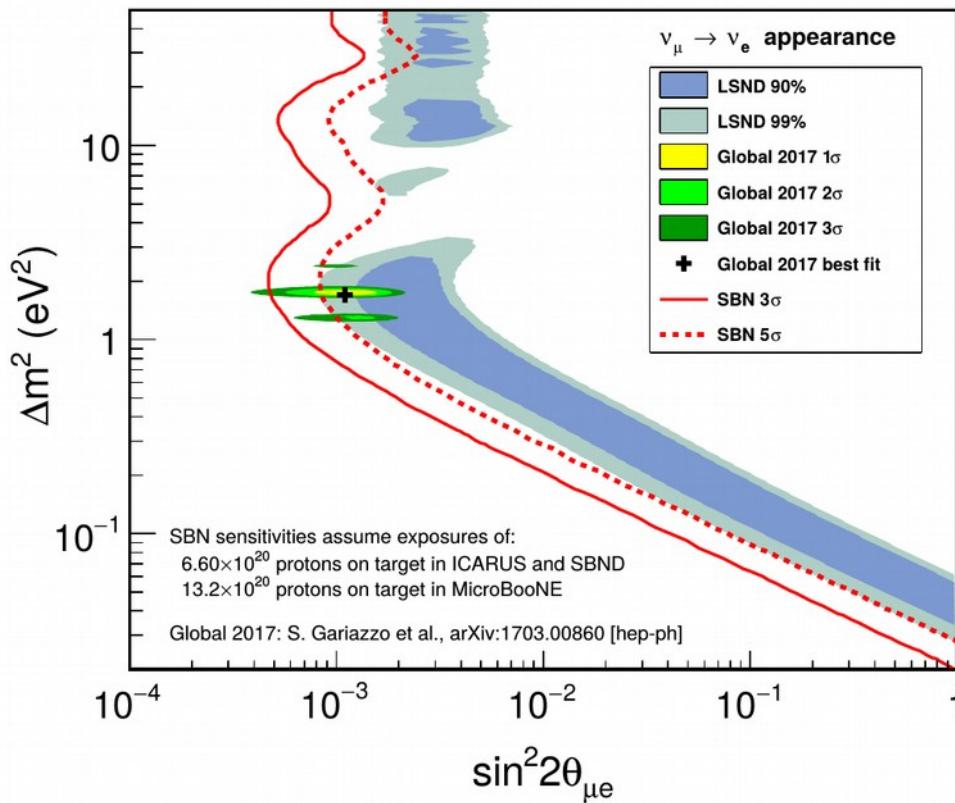
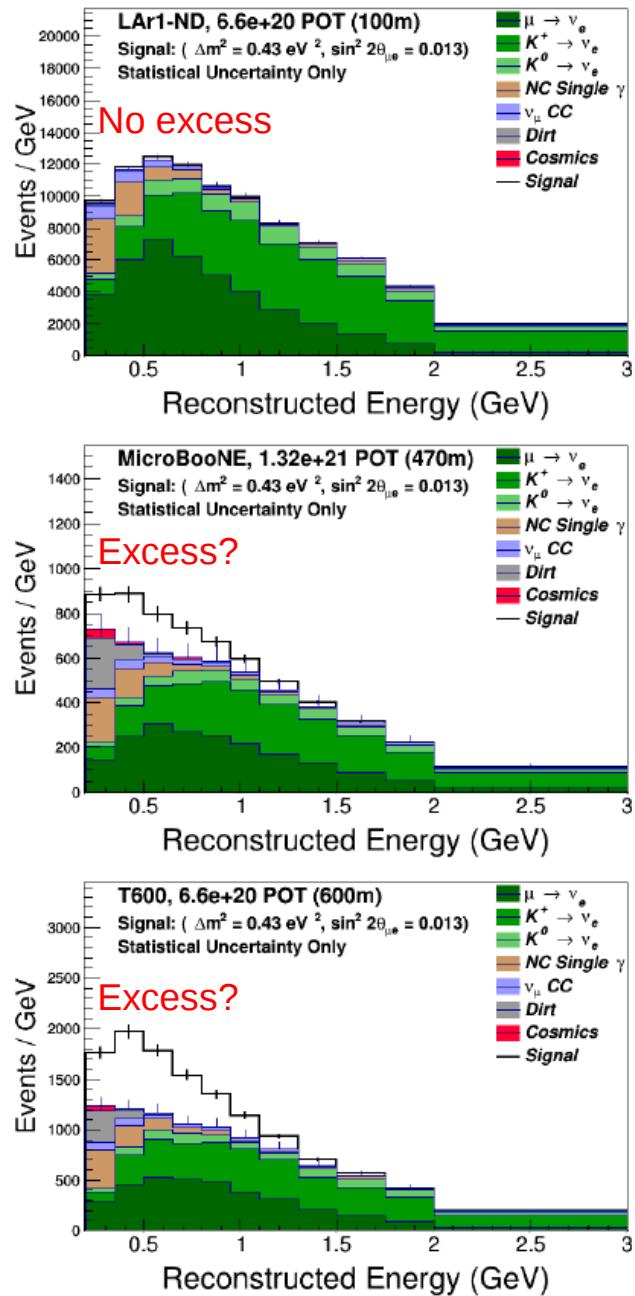
The short-baseline program at Fermilab



The short-baseline program at Fermilab



SBND Physics: ν_e appearance



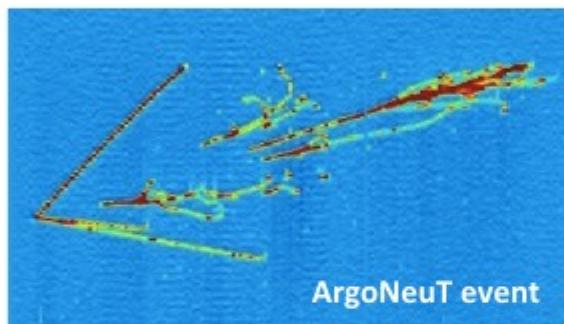
- **SBND will measure the intrinsic ν_e component of the BNB flux with large statistics before any oscillation affects it.**
- MicroBooNE & ICARUS will search for an excess of ν_e using SBND measurement as reference.
- SBND will be able to explore the LSND-favored region with 5σ .

$$P_{\nu_\mu \rightarrow \nu_e}^{3+1} = \sin^2 2\theta_{\mu e} \sin^2 \left(\frac{\Delta m^2 L}{4E_\nu} \right) \quad \sin^2 2\theta_{\mu e} \equiv 4|U_{\mu 4} U_{e 4}|^2$$

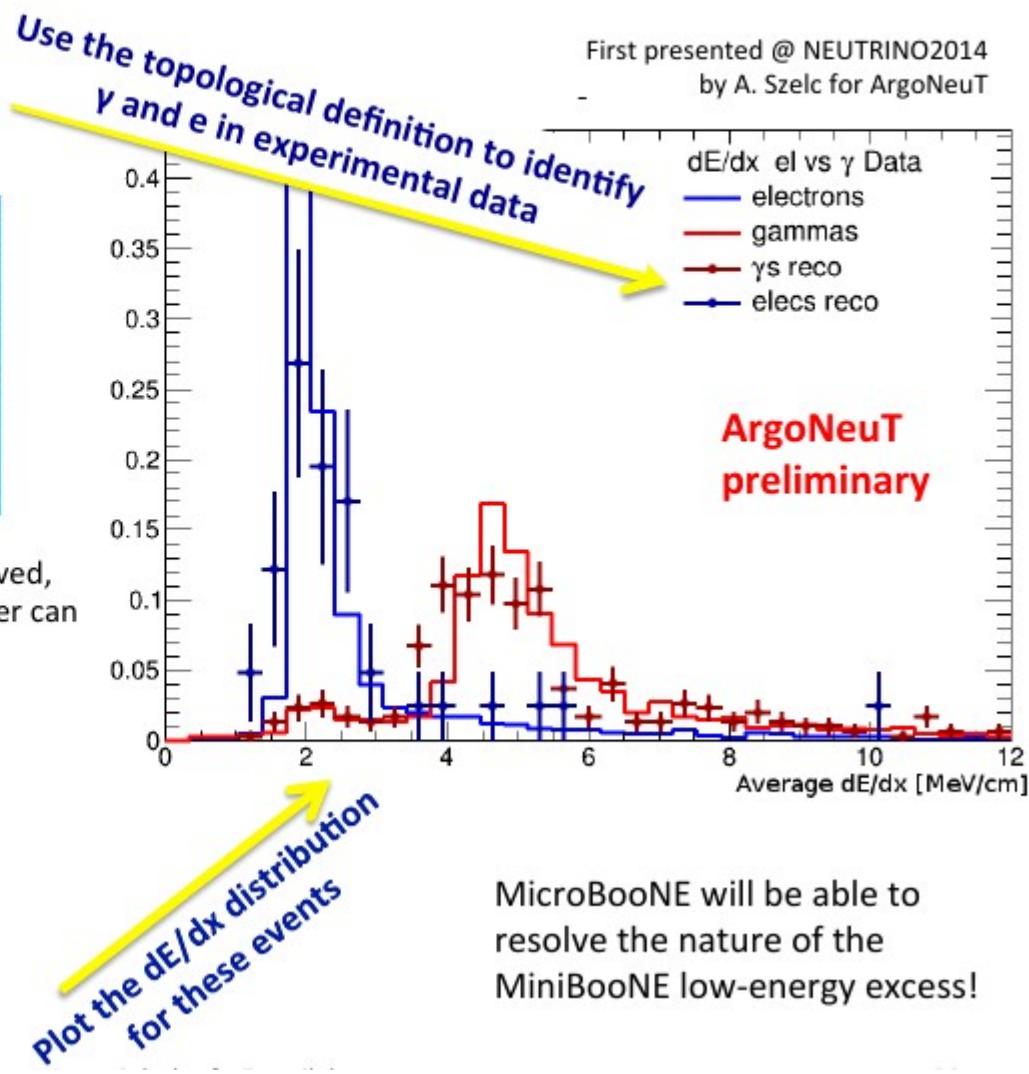
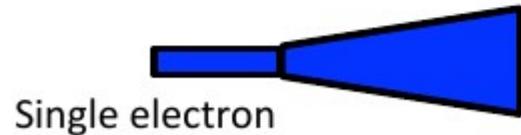
Electron vs. gamma discrimination

Proof of principle with ArgoNeuT data!

Case 1: The neutral γ (π^0) is observed as gap between vertex and EM shower:



Case 2: If the gap is too small to be observed, the charge at the start of the shower can be reconstructed through a measurement of dE/dx

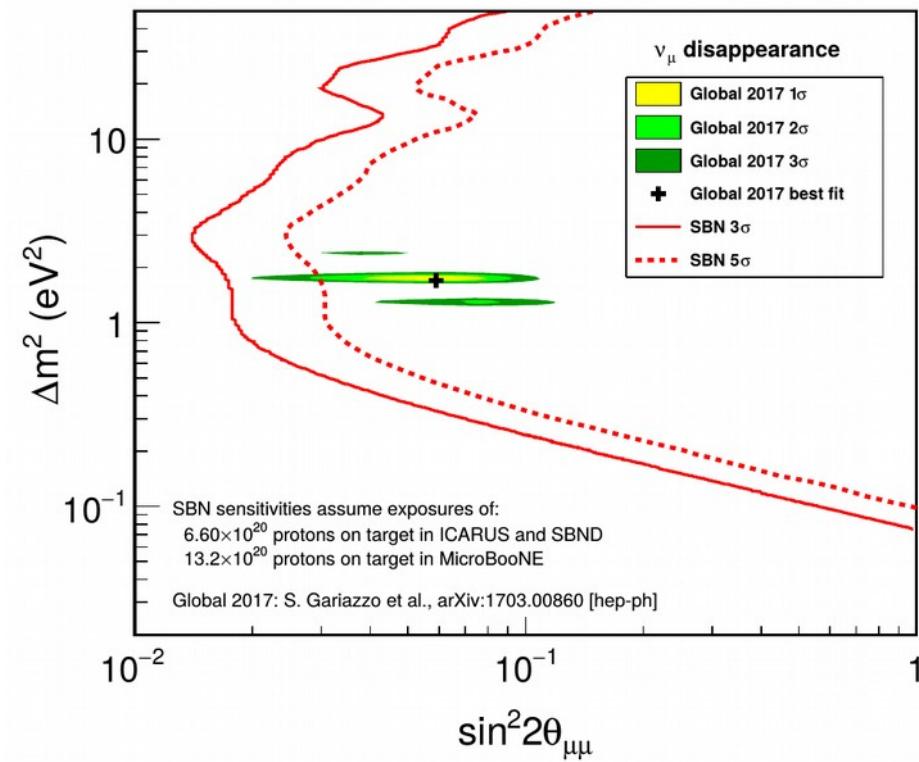
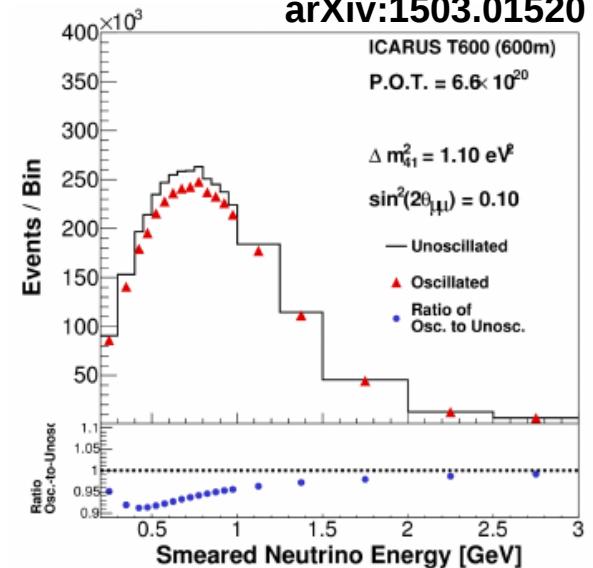
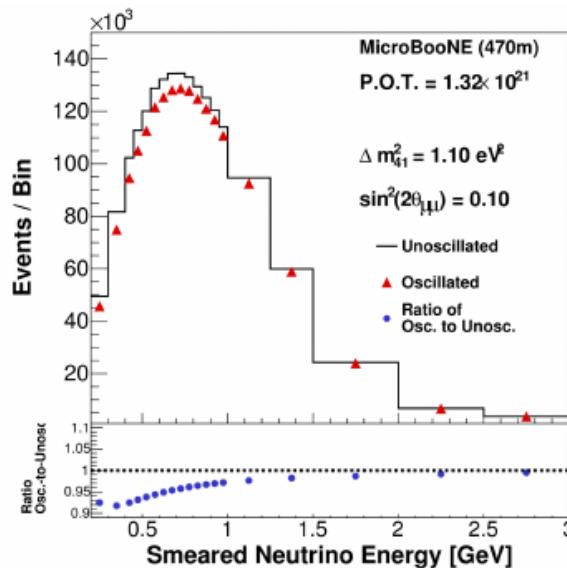
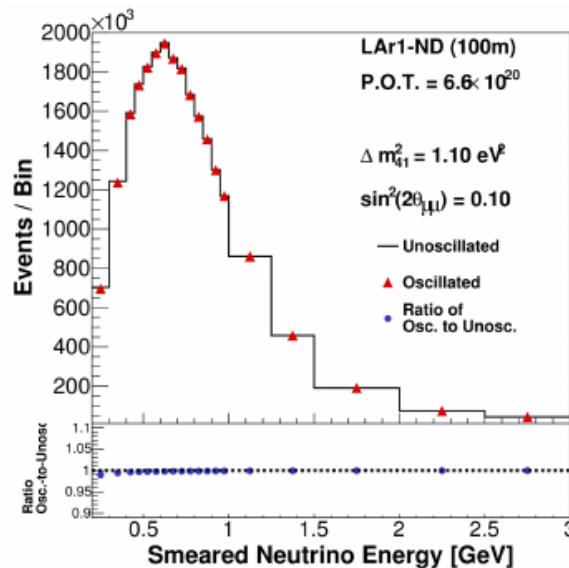


MicroBooNE will be able to resolve the nature of the MiniBooNE low-energy excess!

Slide by Anne Schukraft

SBND Physics: ν_μ disappearance

arXiv:1503.01520



- ν_e appearance must be accompanied by ν_μ disappearance.

$$\sin^2 2\theta_{\mu e} \equiv 4|U_{\mu 4} U_{e 4}|^2$$

$$\sin^2 2\theta_{\mu\mu} \equiv 4|U_{\mu 4}|^2 (1 - |U_{\mu 4}|^2)$$

$$P_{\nu_\mu \rightarrow \nu_\mu}^{3+1} = 1 - \sin^2 2\theta_{\mu\mu} \sin^2 \left(\frac{\Delta m^2 L}{4E_\nu} \right)$$

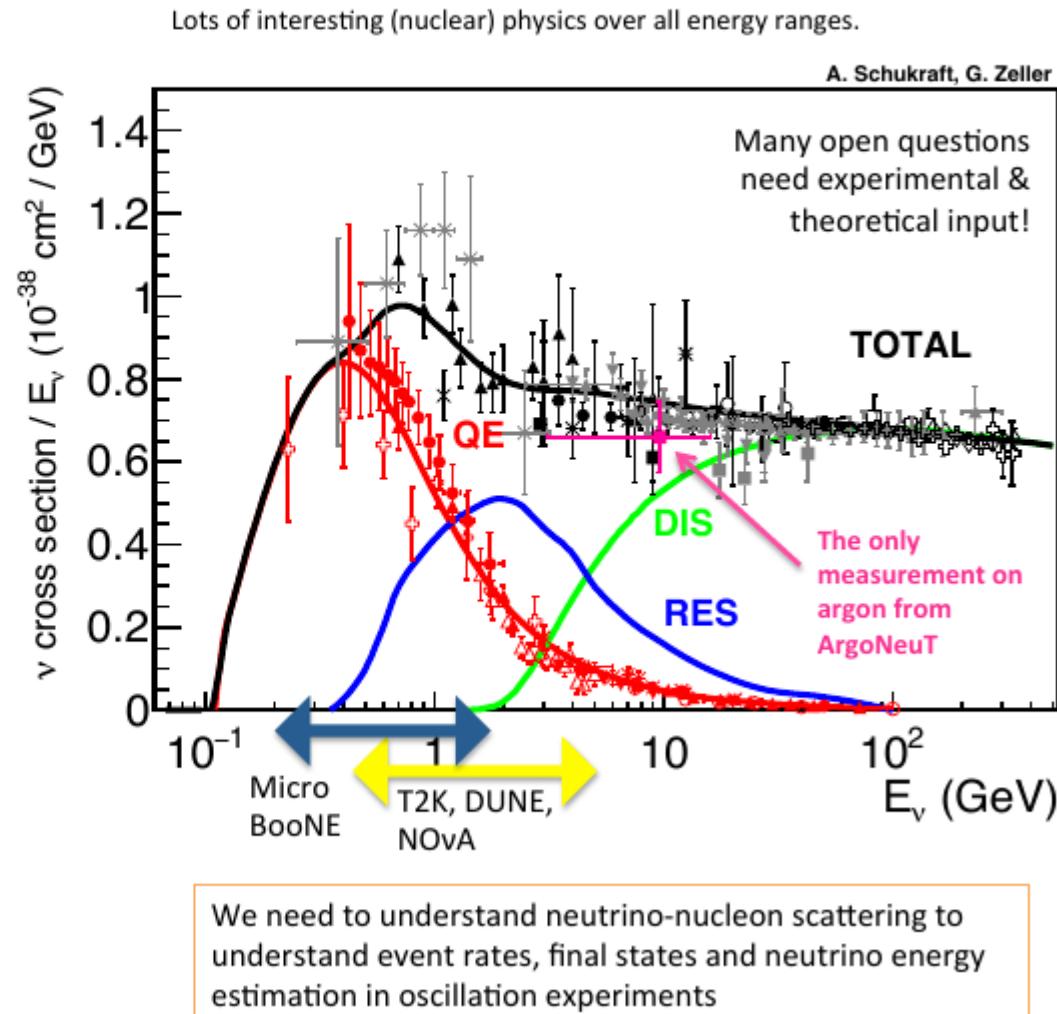
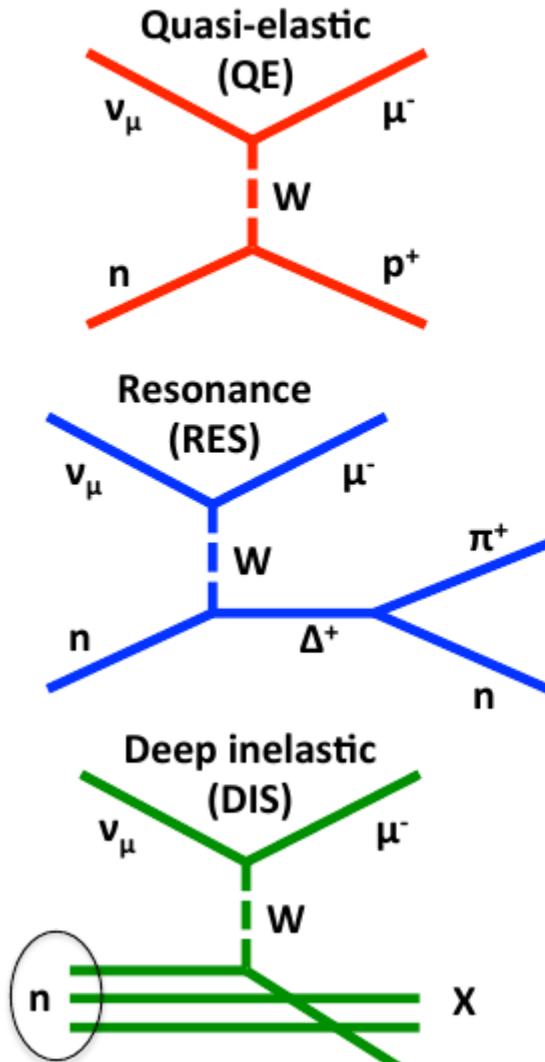
- SBND will measure the unoscillated ν_μ flux.
- MicroBooNE & ICARUS will search for a deficit of ν_μ .

Only possible thanks to the reduction on the flux normalization systematic uncertainty brought by SBND.

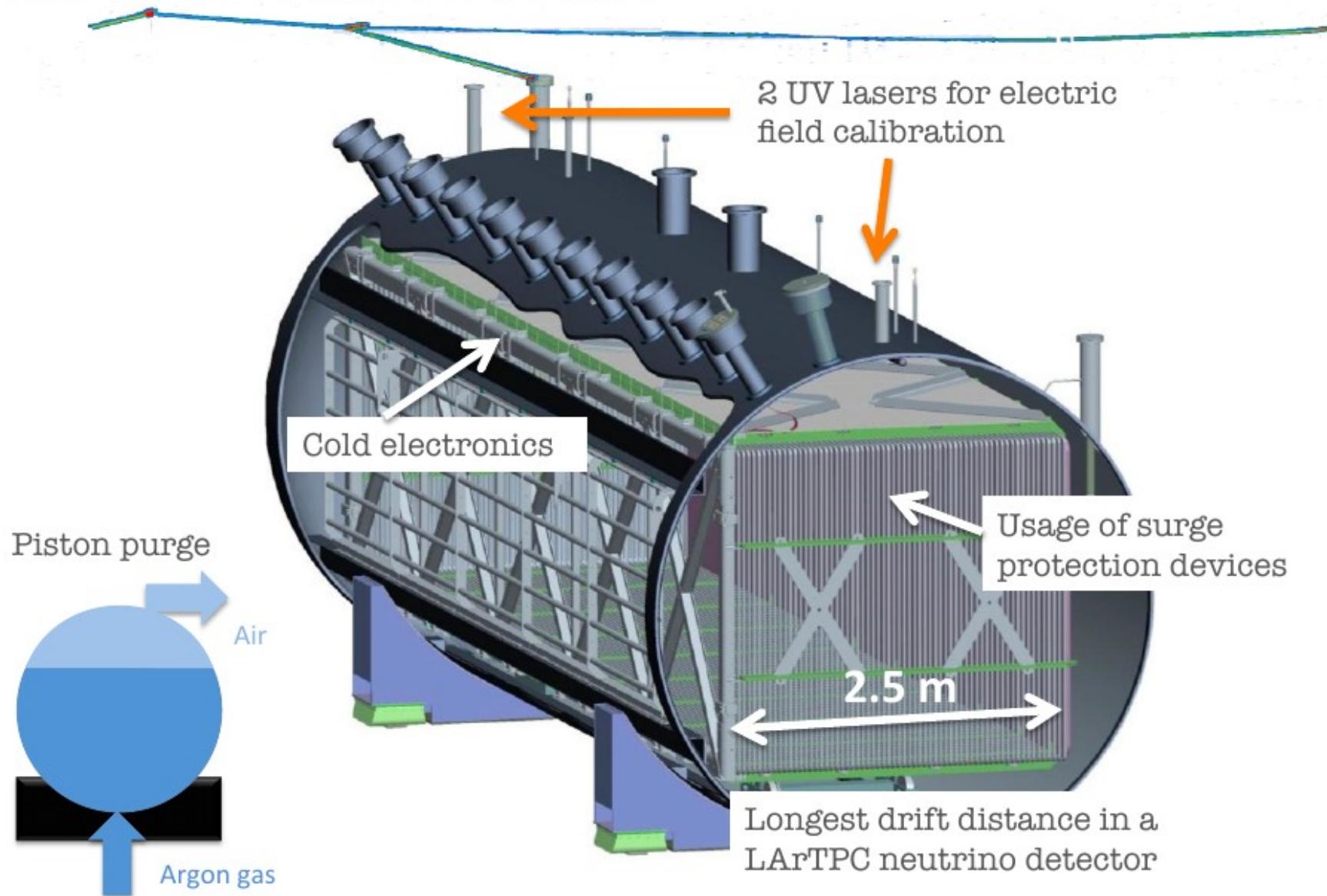
- SBND covers almost the full allowed region with 5 σ .

Cross-section program

Neutrinos interacting with nucleons



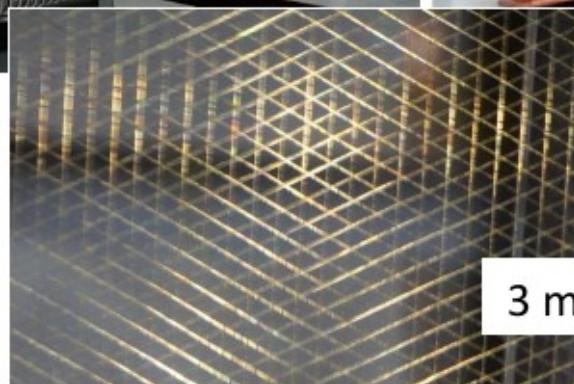
R&D in MicroBooNE



MicroBooNE construction



TPC construction: 2013



3 mm wire spacing

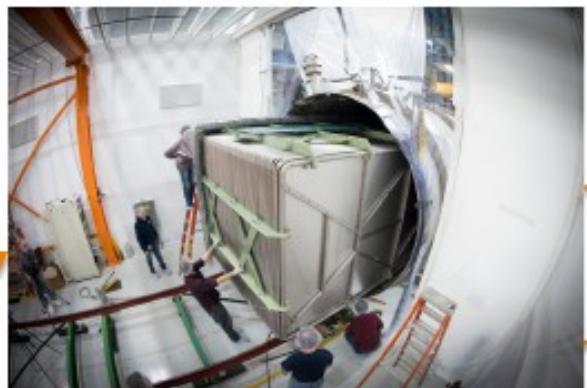
Slide by Anne Schukraft

Installation – a picture series

Moving day! June 23rd, 2014

TPC insertion: Dec 23rd, 2013

PMT system installation: Dec 2013



Foamed in! July 2014



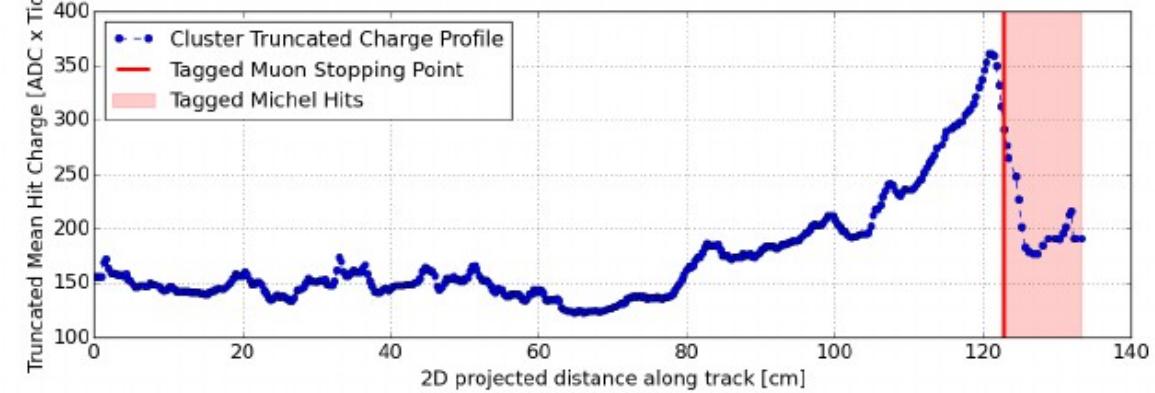
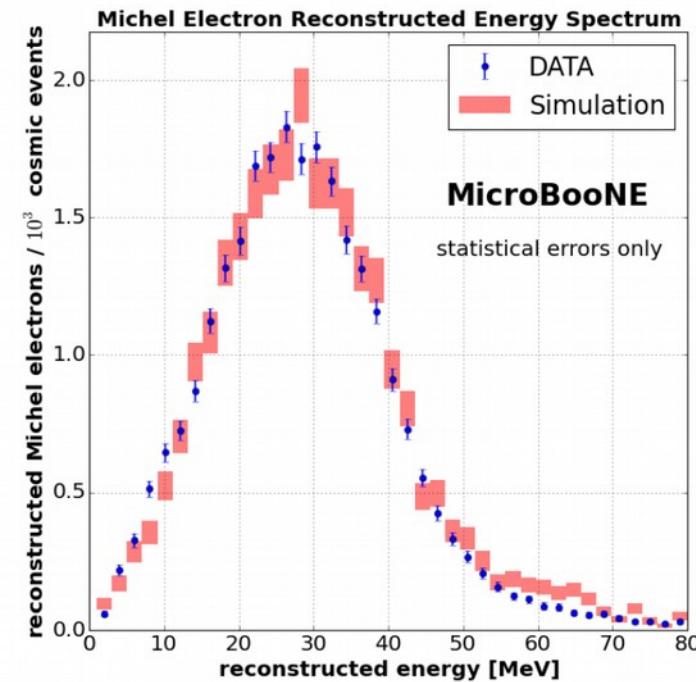
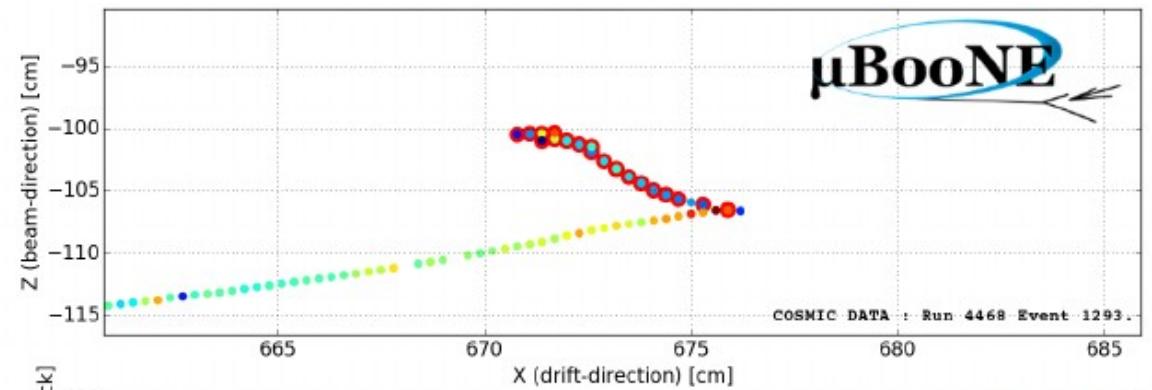
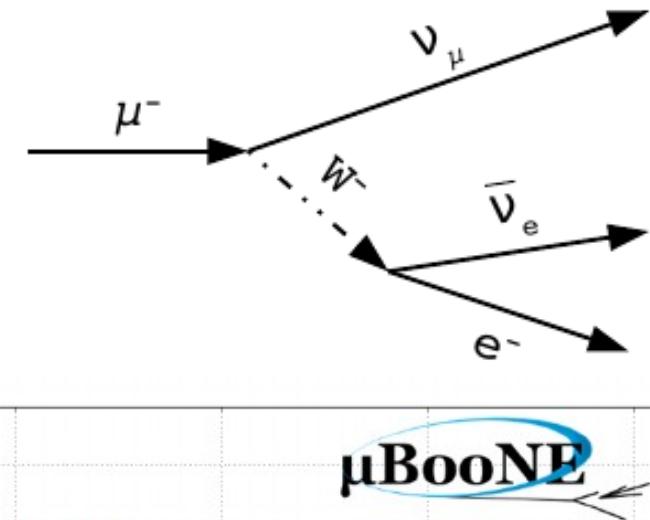
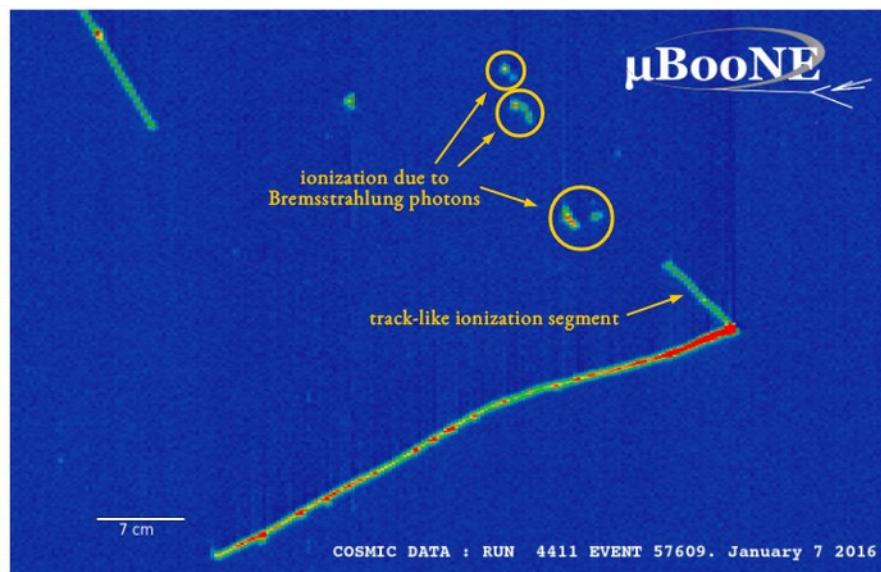
MicroBooNE's home in the beam line: The LAr Test Facility

Cabled up! Sept. 2014

All electronics in! Dec. 10, 2014

Slide by Anne Schukraft

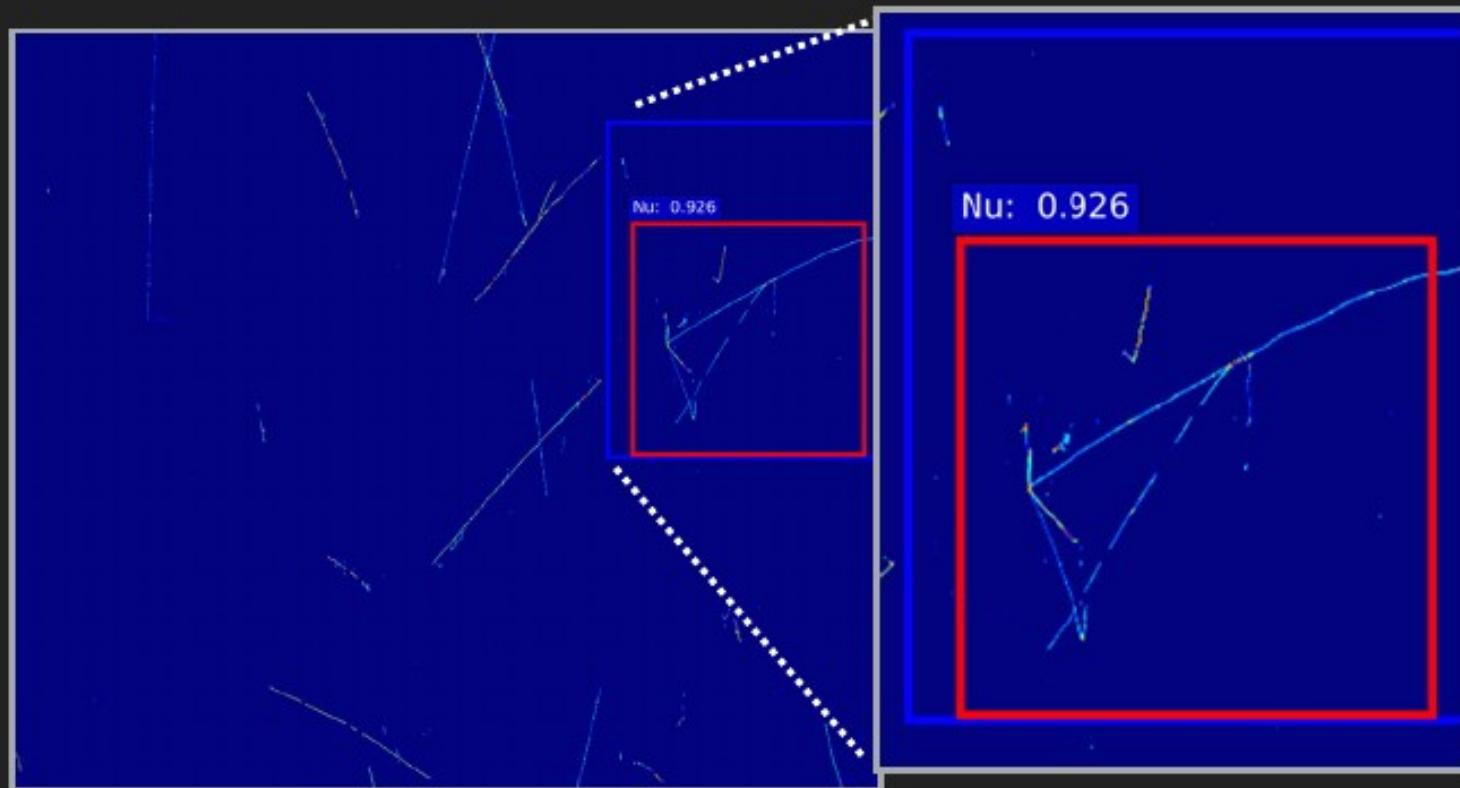
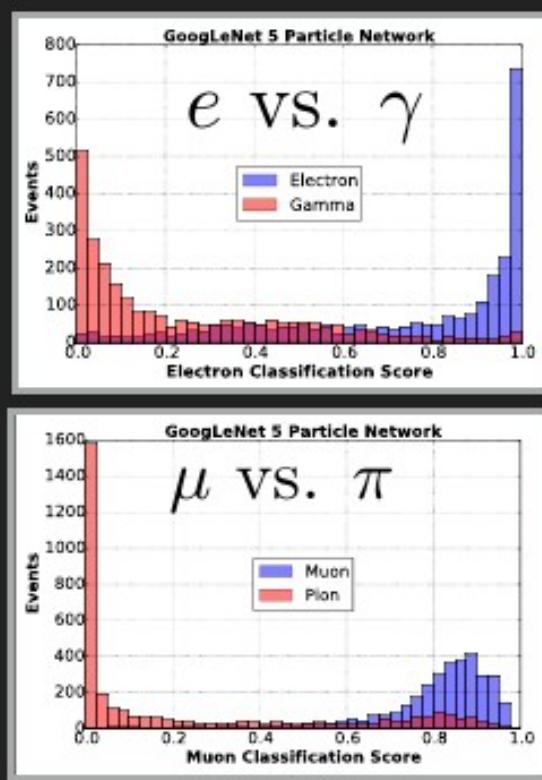
Michel electrons in MicroBooNE



Use calorimetry to identify Bragg peak of stopping muon.

Similarly look for spatial kink.

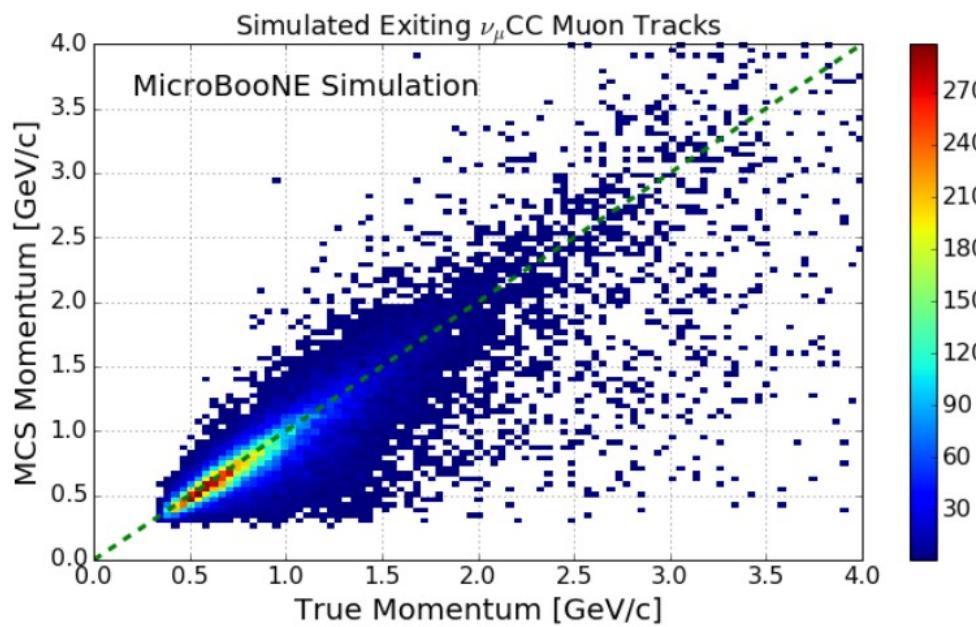
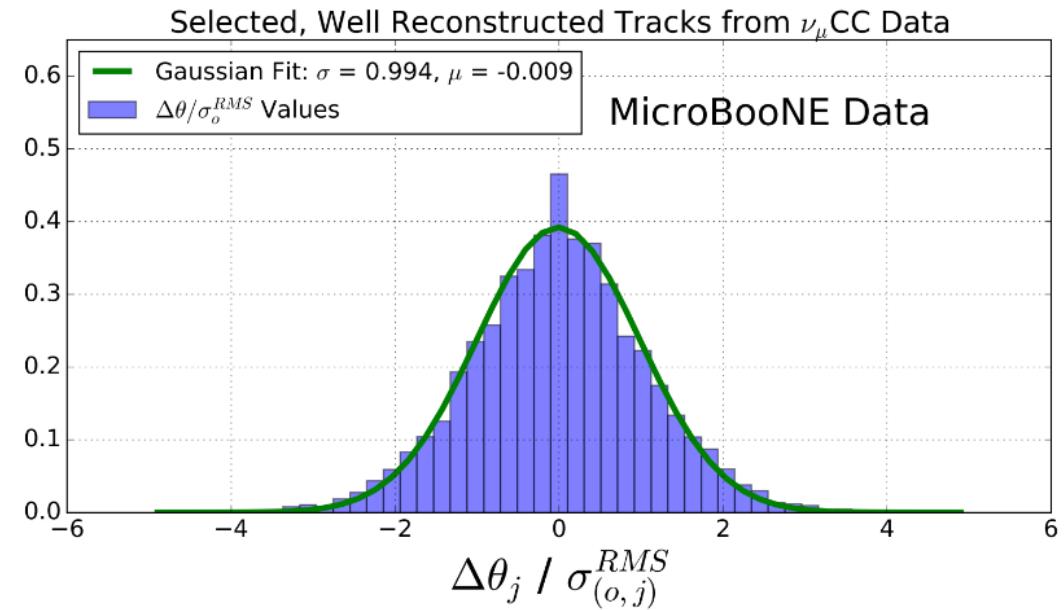
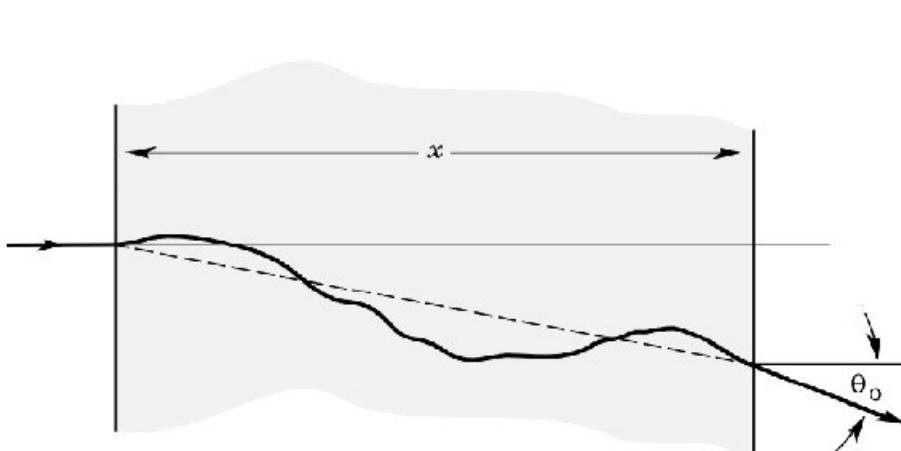
Particle classification using Deep Learning



- Feed the LArTPC data to a computer as an image.
- Apply computer-vision techniques (convolutional neural networks) to the classification of particles or for localizing neutrino interactions.
- Application of GPUs to scientific research.

Analysis by Kazuhiro Terao (Nevis postdoc), and Victor Genty (Nevis PhD student) et al.

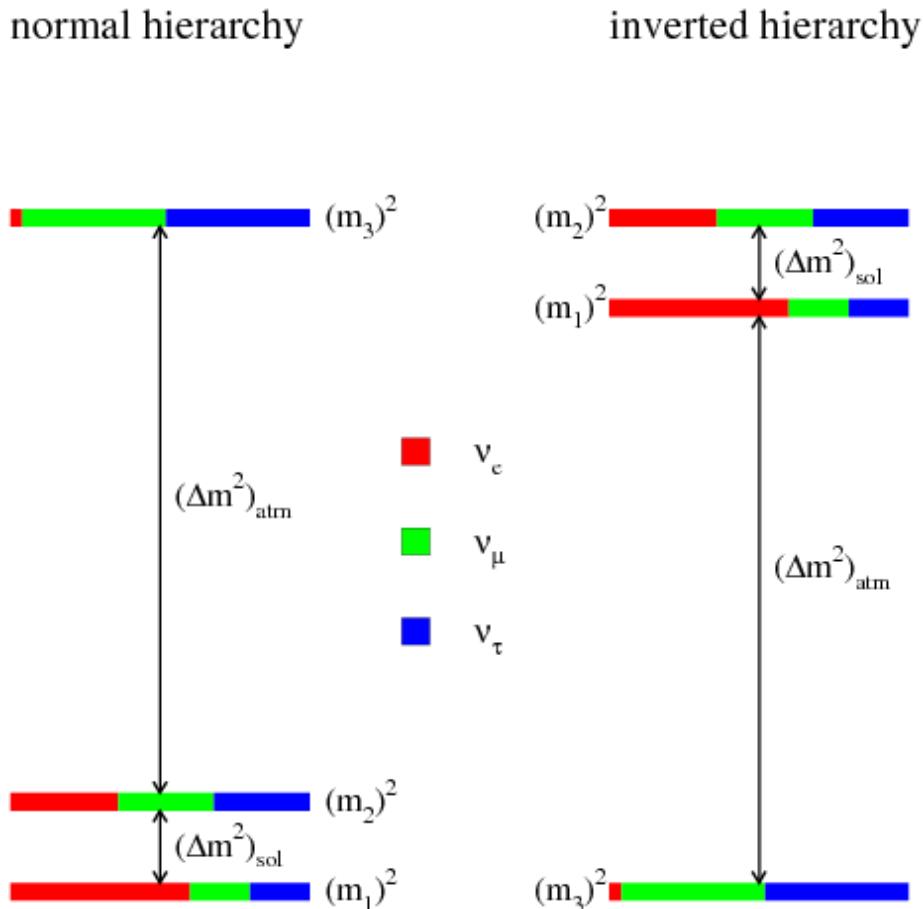
Multiple Coulomb Scattering



- The width of the distribution of scattering angles is proportional to the momentum of the particle traversing the medium.
- Measure the momentum of particles (e.g. muons) leaving the detector.
- Last year REU project, now a paper submitted for publication!

Measurement of neutrino mass

Lower limits



- If we take the lightest neutrino mass to be 0, we can derive lower limits for the other mass eigenstates.
- For normal hierarchy:

$$m_2 \geq \sqrt{\Delta m_{21}^2} = 0.0087 \pm 0.0001 \text{ eV}$$

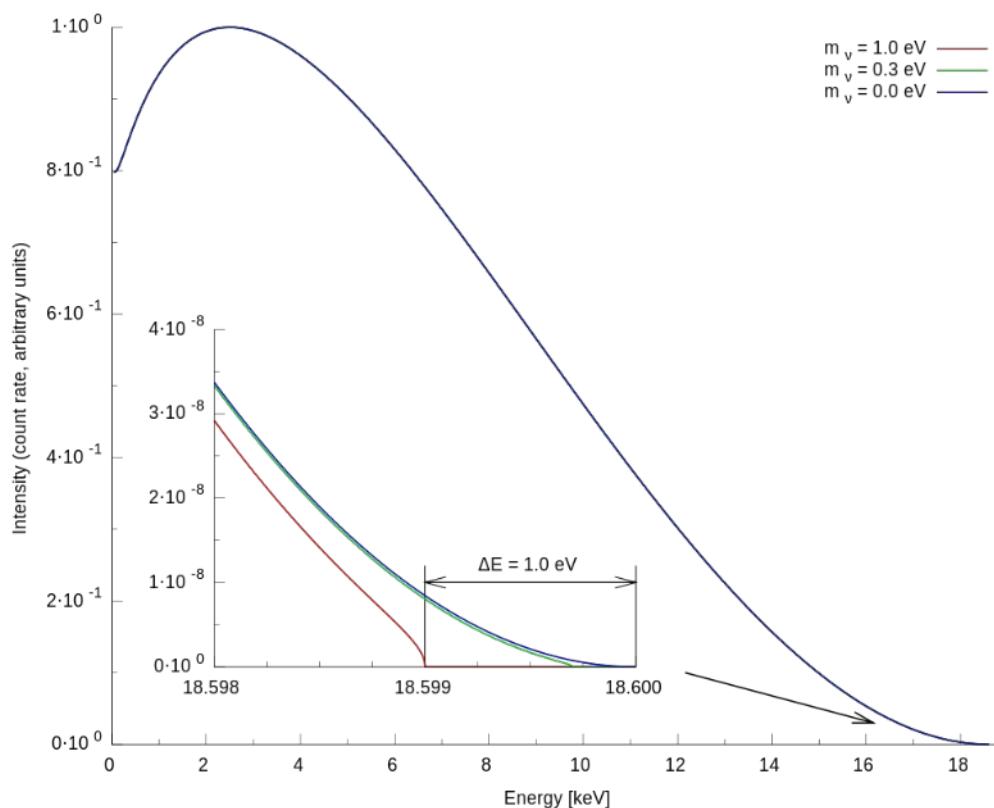
$$m_3 \geq \sqrt{\Delta m_{32}^2 + \Delta m_{21}^2} = 0.0502 \pm 0.0006 \text{ eV}$$

- For inverted hierarchy:

$$m_1 \geq \sqrt{|\Delta m_{32}^2| - \Delta m_{21}^2} = 0.0494 \pm 0.0007 \text{ eV}$$

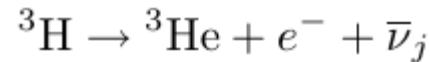
$$m_2 \geq \sqrt{|\Delta m_{32}^2|} = 0.0502 \pm 0.0007 \text{ eV}$$

Kinematic limits



By Zykure - Own work, CC BY-SA 3.0,
<https://commons.wikimedia.org/w/index.php?curid=13493000>

- Example: tritium decay



Q value: 18.6 keV; half-life: 12.3 y

- The masses of ^3H , ^3He and the electron are known precisely. Using conservation of energy and momentum, the mass of the antineutrino can be inferred.
- Kurie function:

$$K(T) \simeq \left[(Q_\beta - T) \sqrt{(Q_\beta - T)^2 - m_\beta^2} \right]^{\frac{1}{2}}$$

$$\begin{aligned} m_\beta &= \left[\sum_{j=1}^3 |U_{ej}|^2 m_j^2 \right]^{\frac{1}{2}} \\ &= [c_{12}^2 c_{13}^2 m_1^2 + s_{12}^2 c_{13}^2 m_2^2 + s_{13}^2 m_3^2]^{\frac{1}{2}} \end{aligned}$$

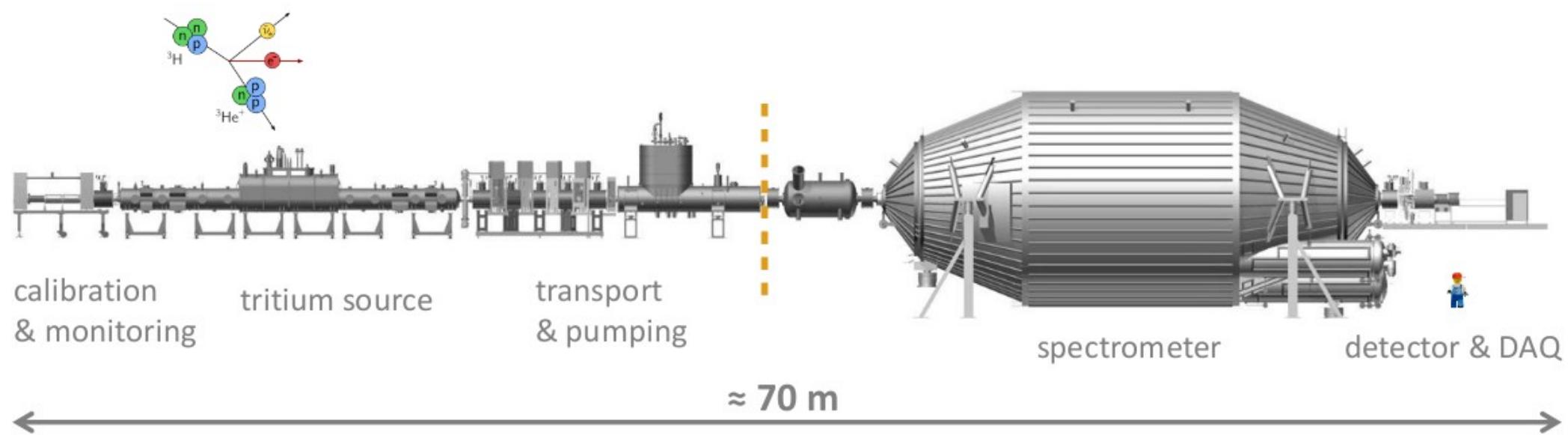
$$m_\beta < 2.05 \text{ eV} \quad (\text{Troitsk})$$

$$m_\beta < 2.3 \text{ eV} \quad (\text{Mainz})$$

KATRIN experiment



Sensitivity of 0.2 eV (90% C.L.)

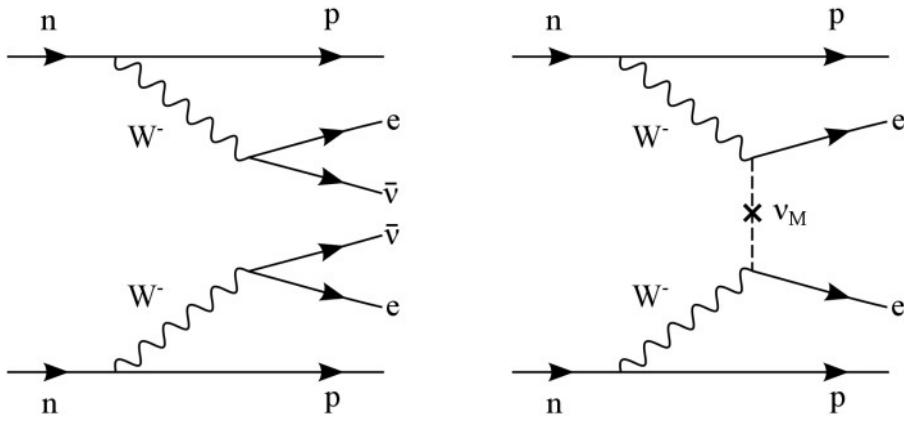


Other kinematic limits

$$\pi^+ \rightarrow \mu^+ + \nu_\mu \quad (m_{\nu_\mu}^{\text{eff}})^2 = \sum_{j=1}^3 |U_{\mu j}|^2 m_j^2 \quad m_{\nu_\mu}^{\text{eff}} < 0.17 \text{ MeV (90\% C.L.)}$$

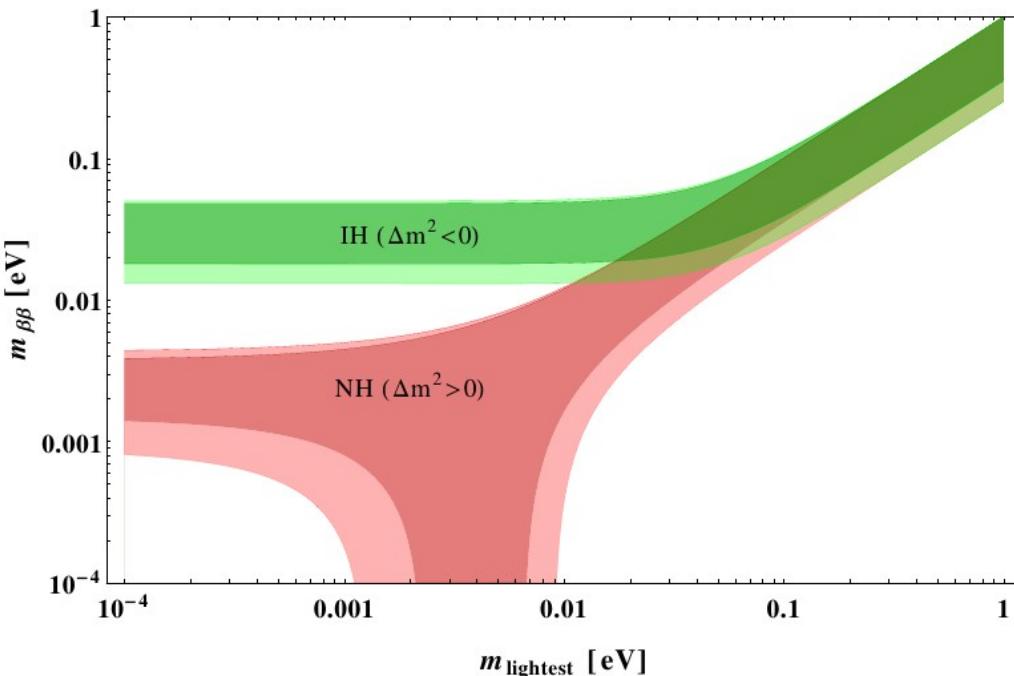
$$\begin{aligned} \tau^- \rightarrow 2\pi^-\pi^+\nu_\tau \quad (m_{\nu_\tau}^{\text{eff}})^2 = \sum_{j=1}^3 |U_{\tau j}|^2 m_j^2 \quad m_{\nu_\tau}^{\text{eff}} < 18.2 \text{ MeV (95\% C.L.)}. \\ 3\pi^-2\pi^+(\pi^0)\nu_\tau \end{aligned}$$

Neutrinoless double beta-decay



Allowed by
Standard Model

Physics beyond
Standard Model

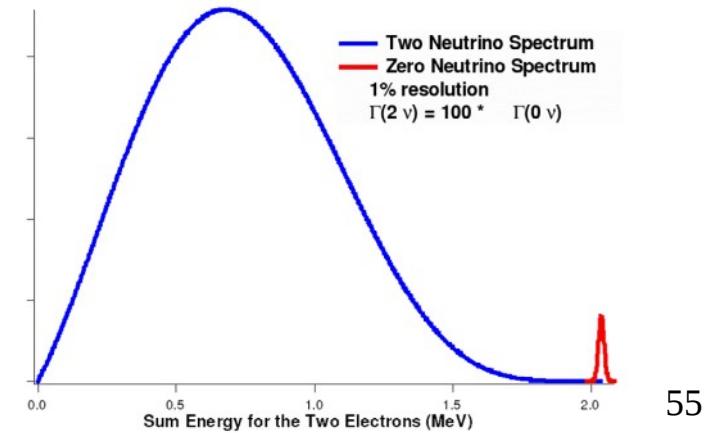


- Neutrinos might be Majorana fermions. “They are their own antiparticle”.
- Majorana mass does not require left and right-handed states (as the Higgs-based one does, a.k.a. as Dirac mass).
- The Majorana nature of neutrinos can be tested by looking for neutrinoless double beta-decay.

$$T_{1/2}^{0\nu}(X) = \left[G_X^{0\nu} |\mathcal{M}_X^{0\nu}|^2 \left(\frac{m_{\beta\beta}}{m_e} \right)^2 \right]^{-1}$$

$$m_{\beta\beta} = \left| \sum_{j=1}^3 U_{ej}^2 m_j \right|$$

$$= \left| c_{12}^2 c_{13}^2 m_1 + e^{2i\alpha_1} s_{12}^2 c_{13}^2 m_2 + e^{2i(\alpha_2 - \delta)} s_{13}^2 m_3 \right|$$



Many experiments...

... with different isotopes.

- GERDA, MAJORANA: germanium solid-state detectors enriched with ^{76}Ge .
- CUORE: bolometer with ^{130}Te crystals.
- SNO+: ^{130}Te dissolved in liquid scintillator in the SNO detector.
- EXO: TPC with liquid ^{136}Xe .
- NEXT: TPC with high-pressure gaseous ^{136}Xe .
- KamLAND-Zen: a balloon filled with liquid scintillator doped with ^{136}Xe in the KamLAND detector.
- SuperNEMO: multiple isotopes in a calo-tracker detector.

NO SIGNAL* YET

Seesaw mechanism

- Neutrinos can have both Dirac and Majorana masses.
- The active neutrinos we know seem to be so light because they would be being “lifted up” by heavy sterile neutrinos.
 - The light neutrinos would be mostly left-handed.
 - The heavy neutrinos would be mostly right handed, so their interactions are suppressed.



$$m_{\text{light}} \simeq \frac{(M_{\text{D}}^{\nu})^2}{M_{\text{M}}^{\nu}}$$
$$m_{\text{heavy}} \simeq M_{\text{M}}^{\nu}$$

Cosmology bound

- The masses of the neutrinos have an effect on cosmology observables:
 - Cosmic microwave background.
 - Large-scale structure of the Universe.
 - Element abundances from Big Bang Nucleosynthesis.
- From Cosmology, the sum of the masses of the neutrinos is < 0.2 eV.
 - Model dependent fit.
 - Depends on datasets used.
- Cosmological data is also sensitive to the number of “neutrinos”.
 - $N_{\text{eff}} = 3.046$

