

Particle Physics: Neutrinos – part II

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Week 9: April 1, 2017
Columbia University Science Honors Program



Course Policies

Attendance

Up to four absences

Send email notifications of all absences to
shpattendance@columbia.edu.

Please, no cell phones

Please, ask questions!

Lecture materials

<https://twiki.nevis.columbia.edu/twiki/bin/view/Main/ScienceHonorsProgram>

Schedule

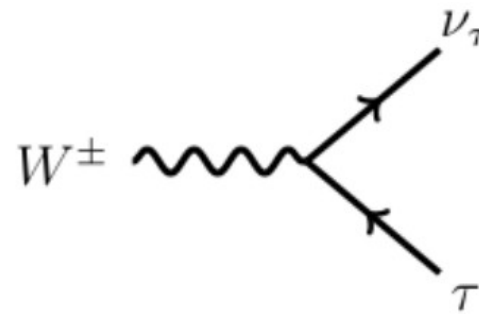
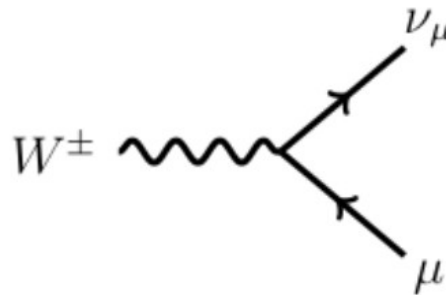
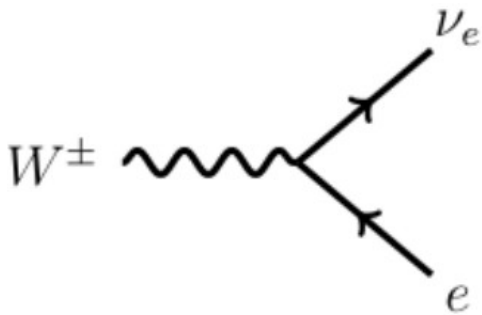
1. Introduction (Inês)
2. History of Particle Physics (José)
3. Special Relativity (José)
4. Quantum Mechanics (Inês)
5. Experimental Methods (Cris)
6. The Standard Model – Overview (Cris)
7. The Standard Model – Limitations (Cris)
8. **Neutrinos – part I (José)**
9. **Neutrinos – part II (José)**
10. LHC and Experiments (Inês)
11. The Higgs Boson and Beyond (Inês)
12. Particle Cosmology (Cris)

3 evidences for 3 neutrinos

3 neutrinos: 3 charged leptons

- Neutrinos are the only neutral elementary fermions → **only weak interaction.**
- Weak interaction only couples to **left-handed neutrinos or right-handed antineutrinos.**
- The **neutrino flavor** is assigned according to the charged lepton they accompany in the charged-current weak interaction (mediated by the W bosons).
- **3 charged leptons** → **3 neutrinos.**

mass → charge → spin →	$\approx 2.3 \text{ MeV}/c^2$ 2/3 1/2 u up	$\approx 1.275 \text{ GeV}/c^2$ 2/3 1/2 c charm	$\approx 173.07 \text{ GeV}/c^2$ 2/3 1/2 t top	0 0 1 g gluon	$\approx 126 \text{ GeV}/c^2$ 0 0 0 H Higgs boson
QUARKS	$\approx 4.8 \text{ MeV}/c^2$ -1/3 1/2 d down	$\approx 95 \text{ MeV}/c^2$ -1/3 1/2 s strange	$\approx 4.18 \text{ GeV}/c^2$ -1/3 1/2 b bottom	0 0 1 γ photon	
	$0.511 \text{ MeV}/c^2$ -1 1/2 e electron	$105.7 \text{ MeV}/c^2$ -1 1/2 μ muon	$1.777 \text{ GeV}/c^2$ -1 1/2 τ tau	0 1 91.2 GeV/c ² Z Z boson	
LEPTONS	$< 2.2 \text{ eV}/c^2$ 0 1/2 ν_e electron neutrino	$< 0.17 \text{ MeV}/c^2$ 0 1/2 ν_μ muon neutrino	$< 15.5 \text{ MeV}/c^2$ 0 1/2 ν_τ tau neutrino	± 1 1 80.4 GeV/c ² W W boson	GAUGE BOSONS



3 neutrinos: the Z boson width

- Unstable particles have an intrinsic uncertainty (width) on their mass (*Heisenberg uncertainty principle*):

$$\Delta E \cdot \Delta t \geq \frac{\hbar}{2}$$

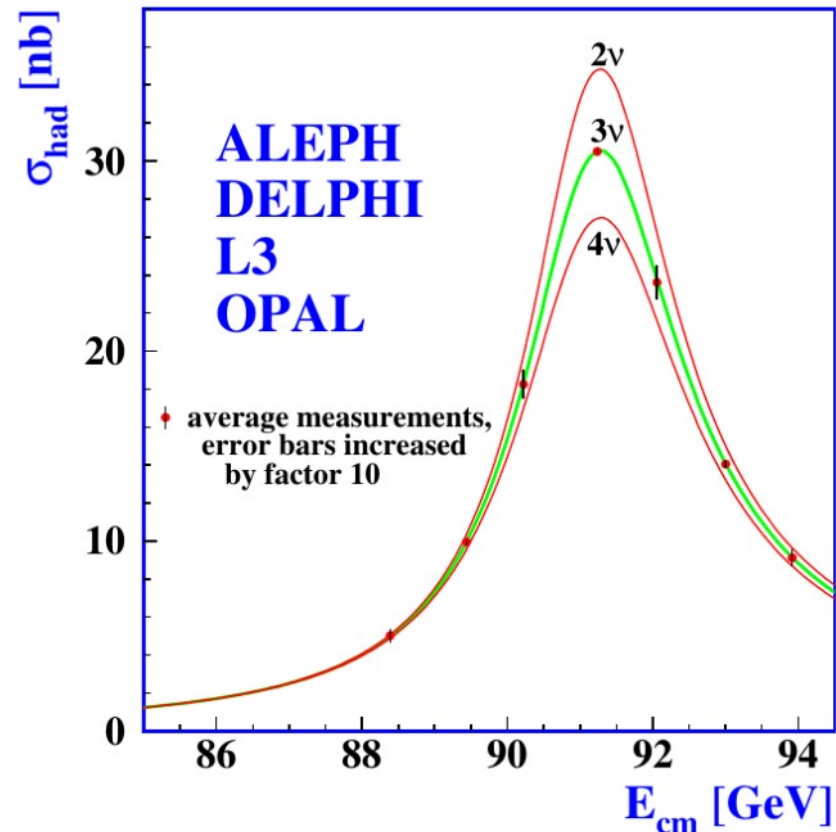
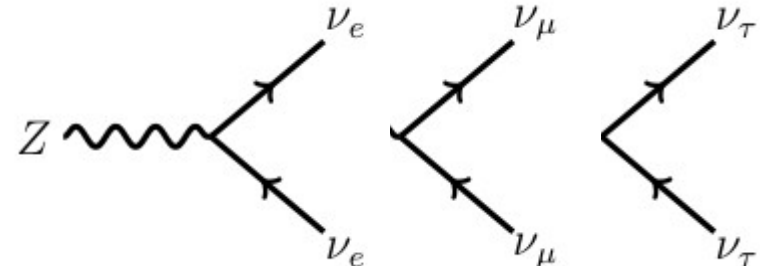
- This width is proportional to the number of disintegration modes and their frequency.
- The width of the Z boson is ~ 2.5 GeV and $\sim 20\%$ of the times the Z decays into neutrinos (invisible width).
- The 4 detectors of LEP (predecessor of the LHC at CERN) measured this width, which is related to the number of neutrinos*.

$$N_\nu = 2.9840 \pm 0.0082.$$

(*) Only possibilities left:

Very heavy neutrinos ($> m_Z/2 \approx 45$ GeV).

Neutrinos which do not couple to the Z boson:
sterile neutrinos...



Phys. Rept. 427 (2006) 257-454

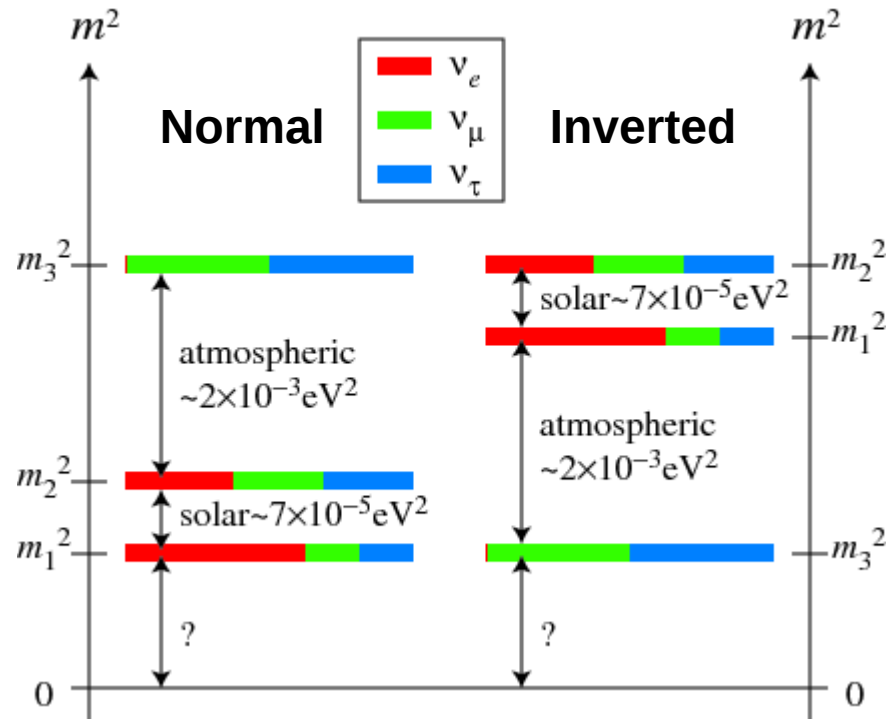
3 neutrinos: mass hierarchy

- 2 squared-mass differences → **3 neutrinos**.

PMNS matrix: U

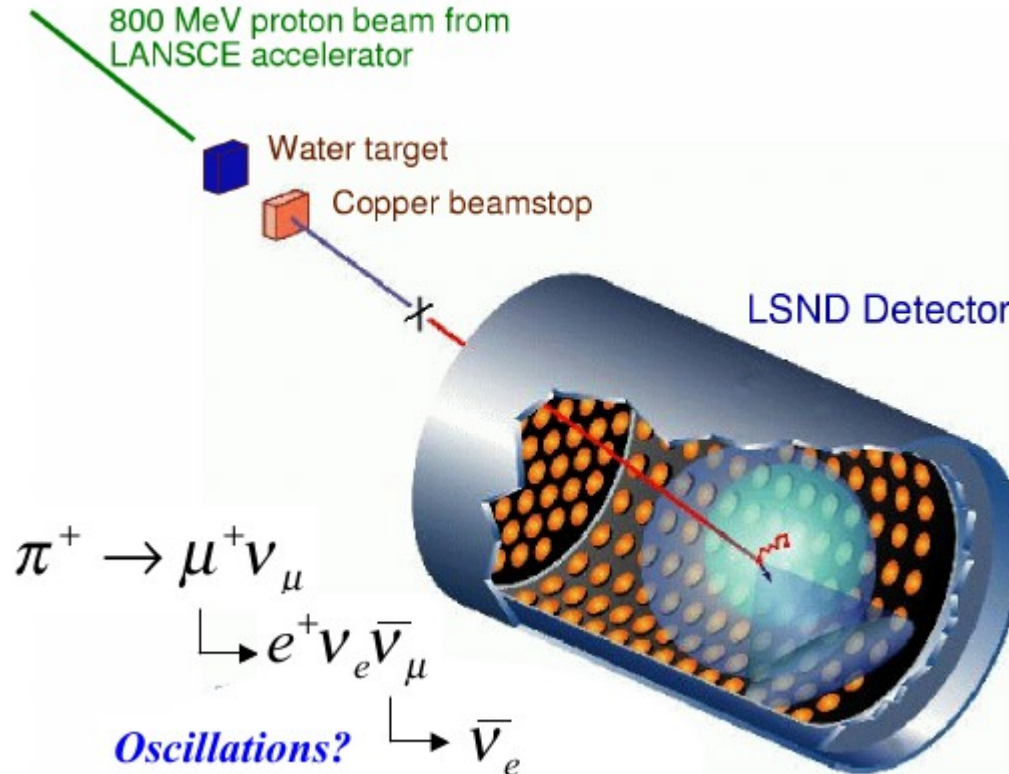
$c_{ij} = \cos \theta_{ij}, s_{ij} = \sin \theta_{ij}$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & s_{13} e^{-i\delta} \\ & 1 & \\ -s_{13} e^{i\delta} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} \\ -s_{12} & c_{12} \\ & & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \rightarrow \begin{matrix} m_1 \\ m_2 \\ m_3 \end{matrix}$$



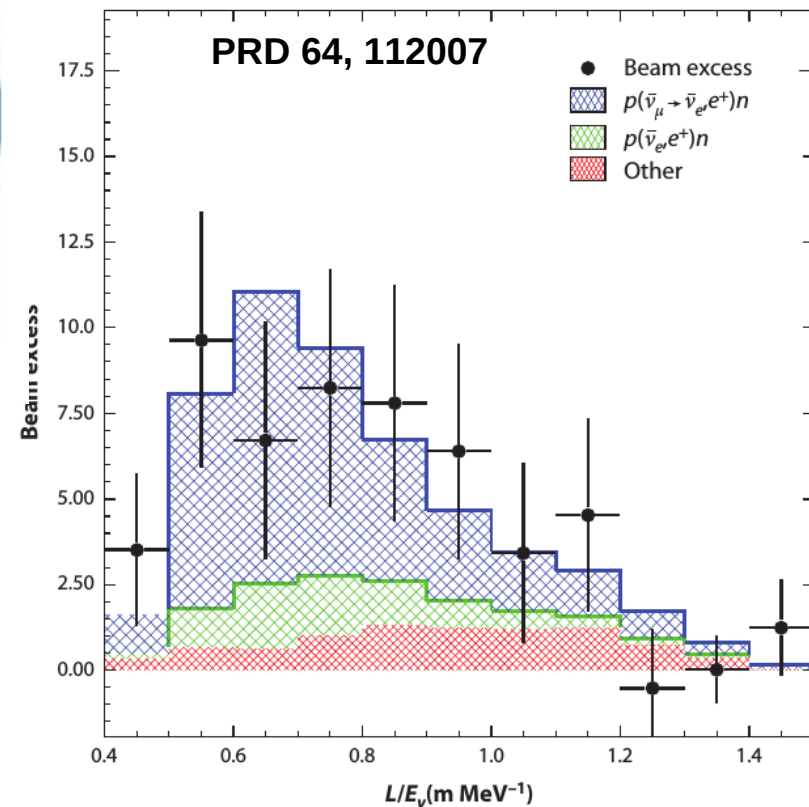
End of story?

LSND anomaly



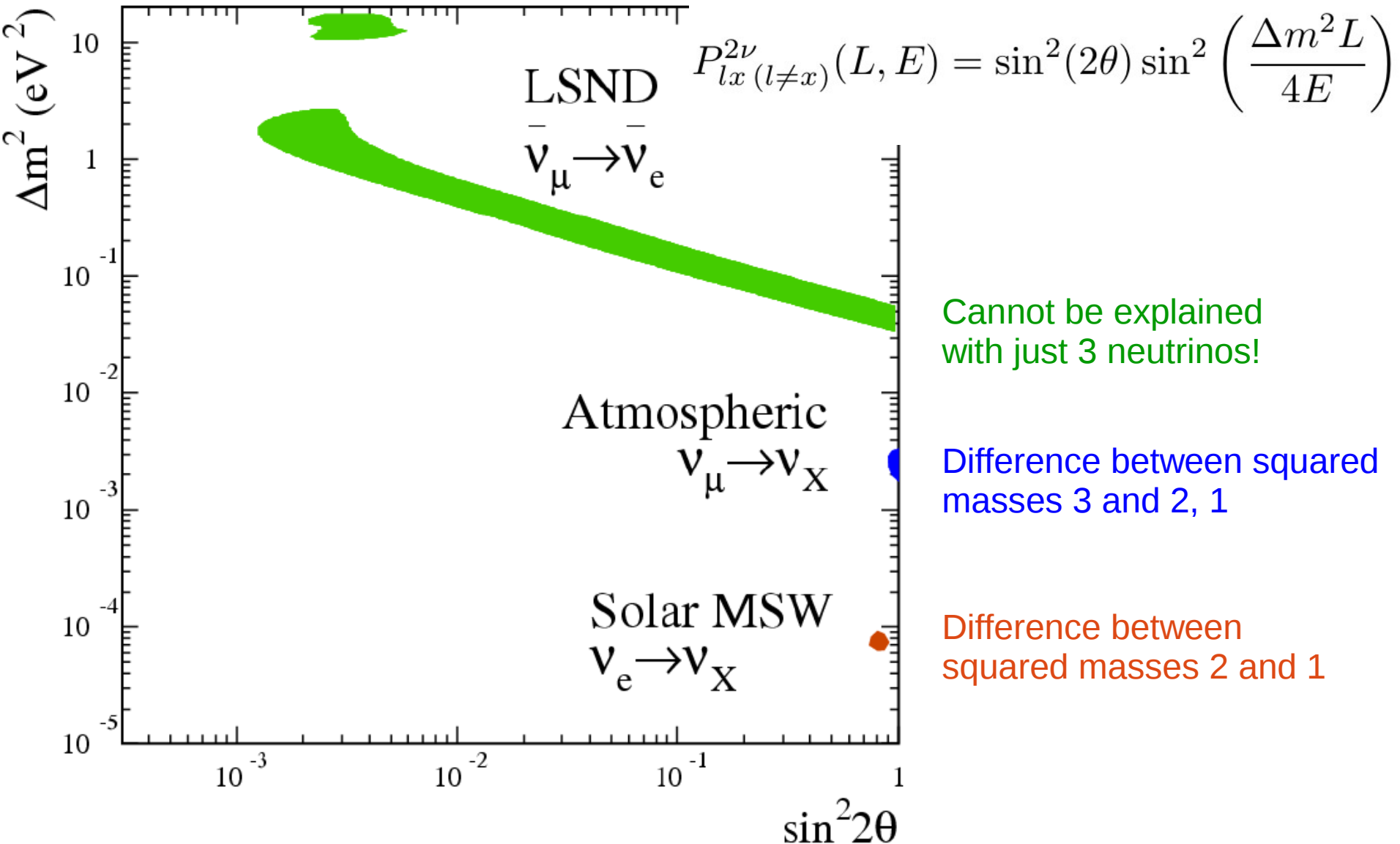
μ^+ decay-at-rest experiment.
 Very low $\bar{\nu}_e$ contamination.
 Liquid scintillator detector.
 Low background: inverse β -decay detection:
 $\bar{\nu}_e + p \rightarrow e^+ + n$

Excess of $87.9 \pm 22.4 \pm 6.0$ events.

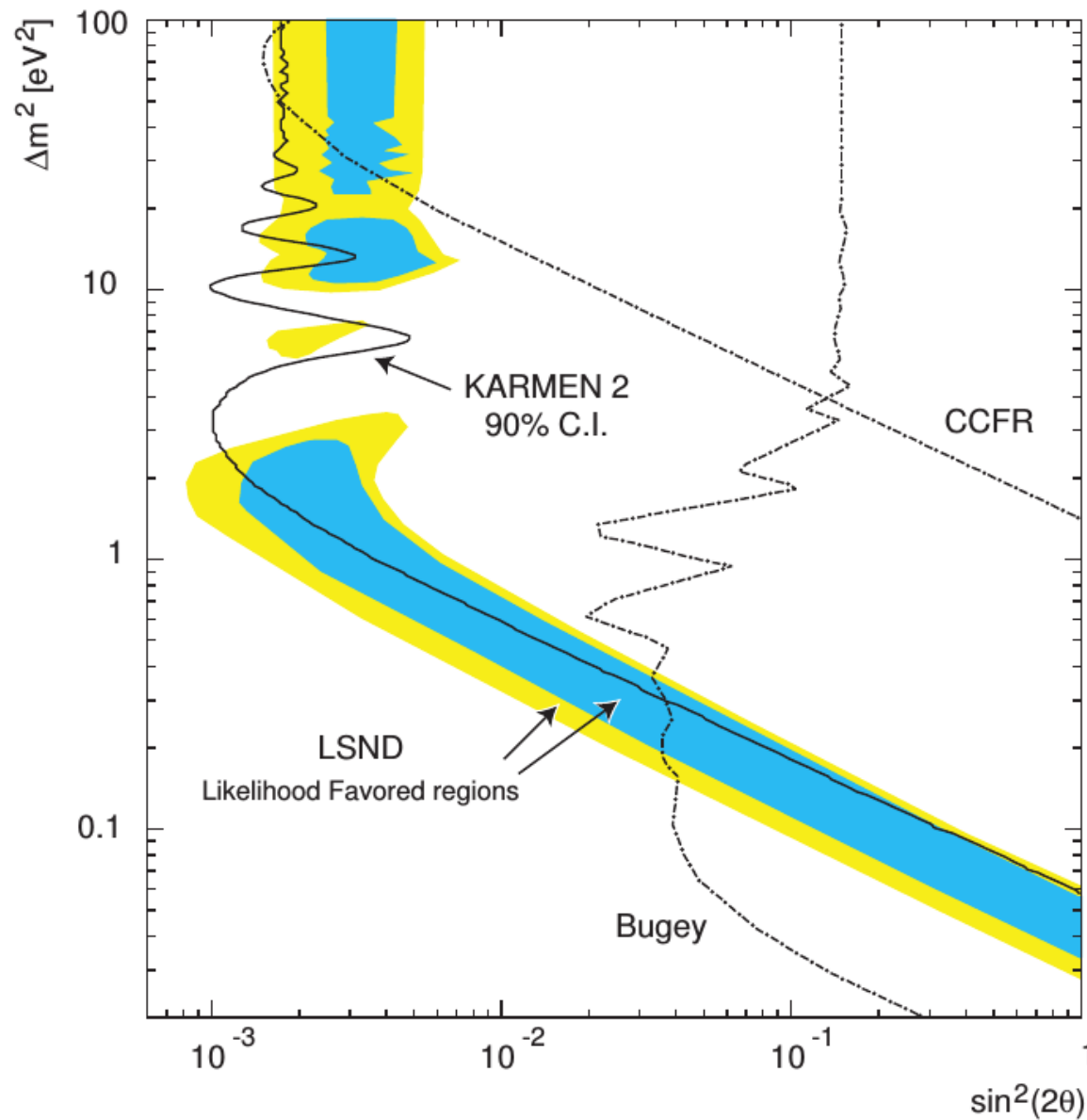


LSND anomaly

Oscillation probability: $(0.264 \pm 0.067 \pm 0.045)\%$

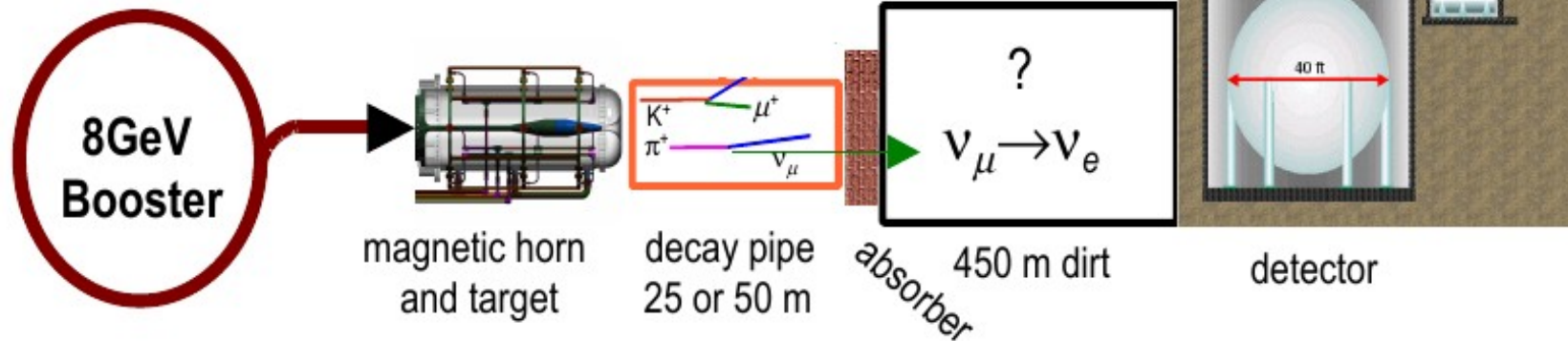


LSND anomaly

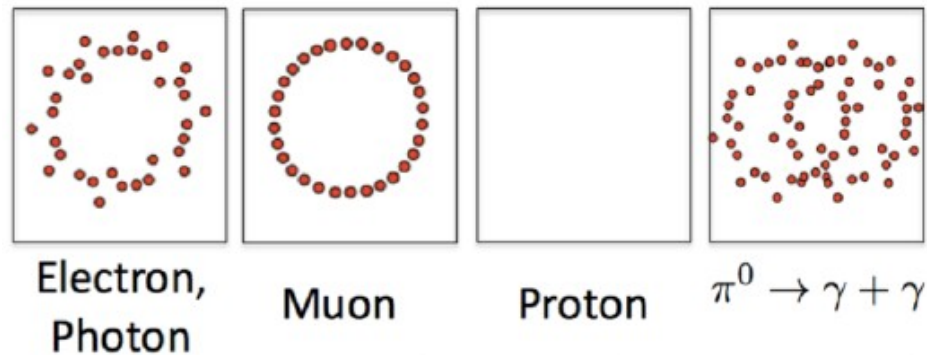


A big portion of the allowed region is excluded by the lack of oscillation signal in other experiments, but it cannot be ruled out completely

MiniBooNE experiment



- Different beam: mostly pion decay-in-flight experiment.
- Different detector: Cherenkov detector.

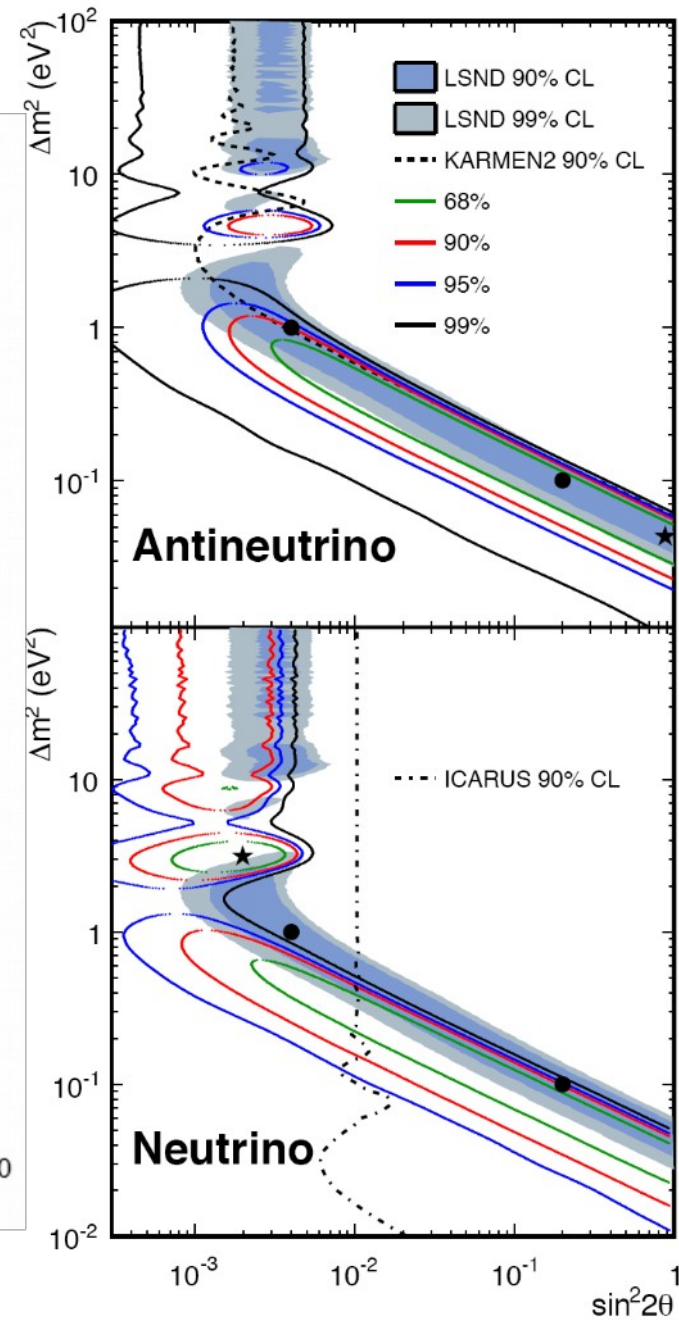
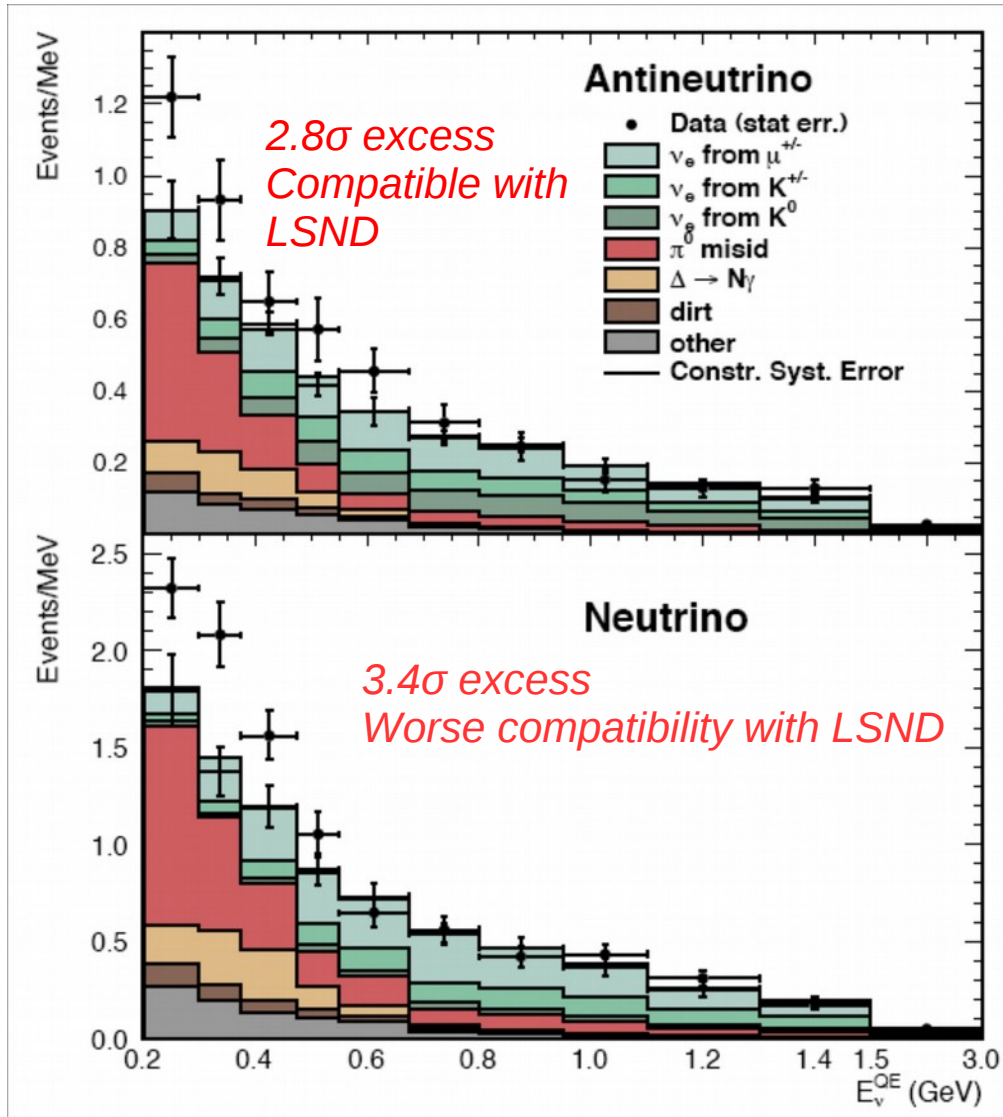


- Different energy region.

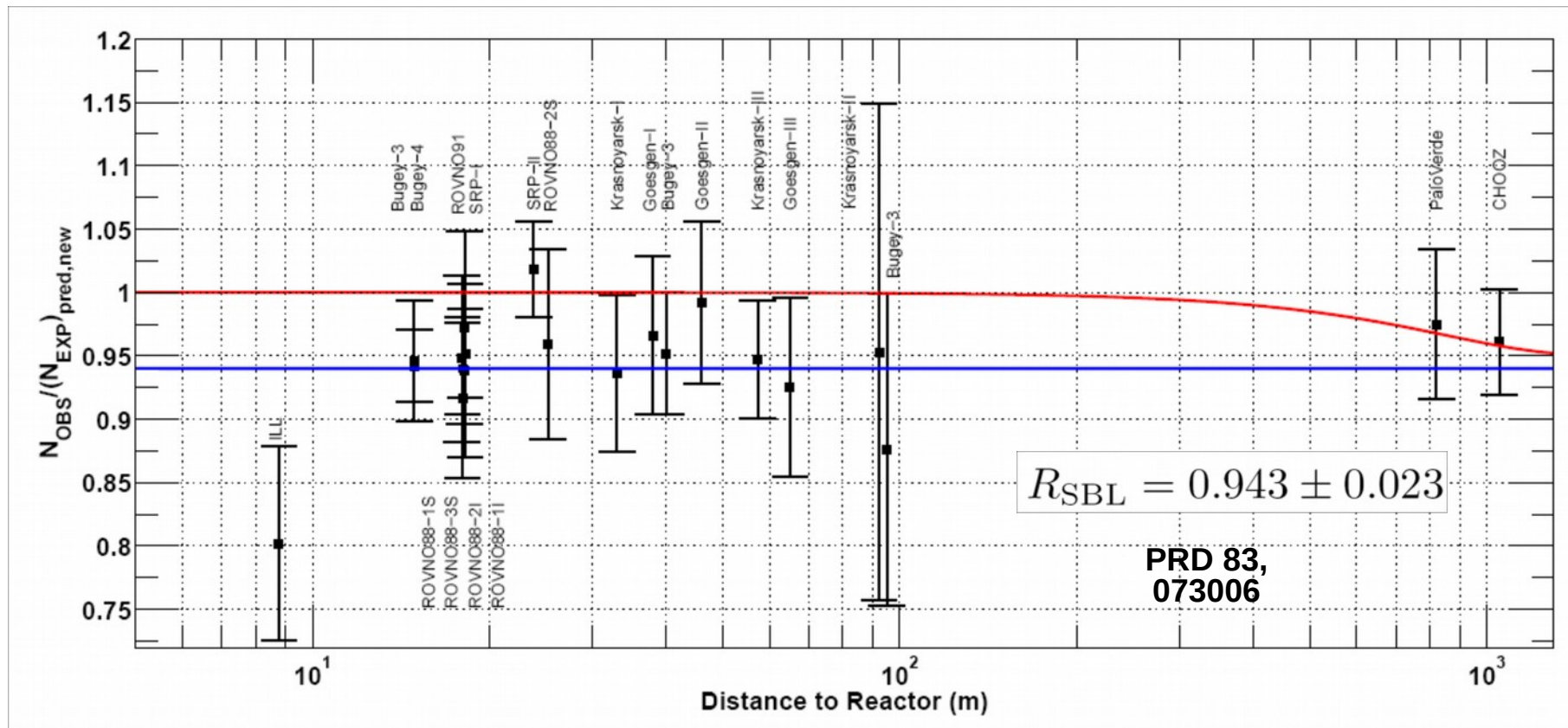
$$P_{lx(l \neq x)}^{2\nu}(L, E) = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$

me oscillation region.

MiniBooNE anomaly

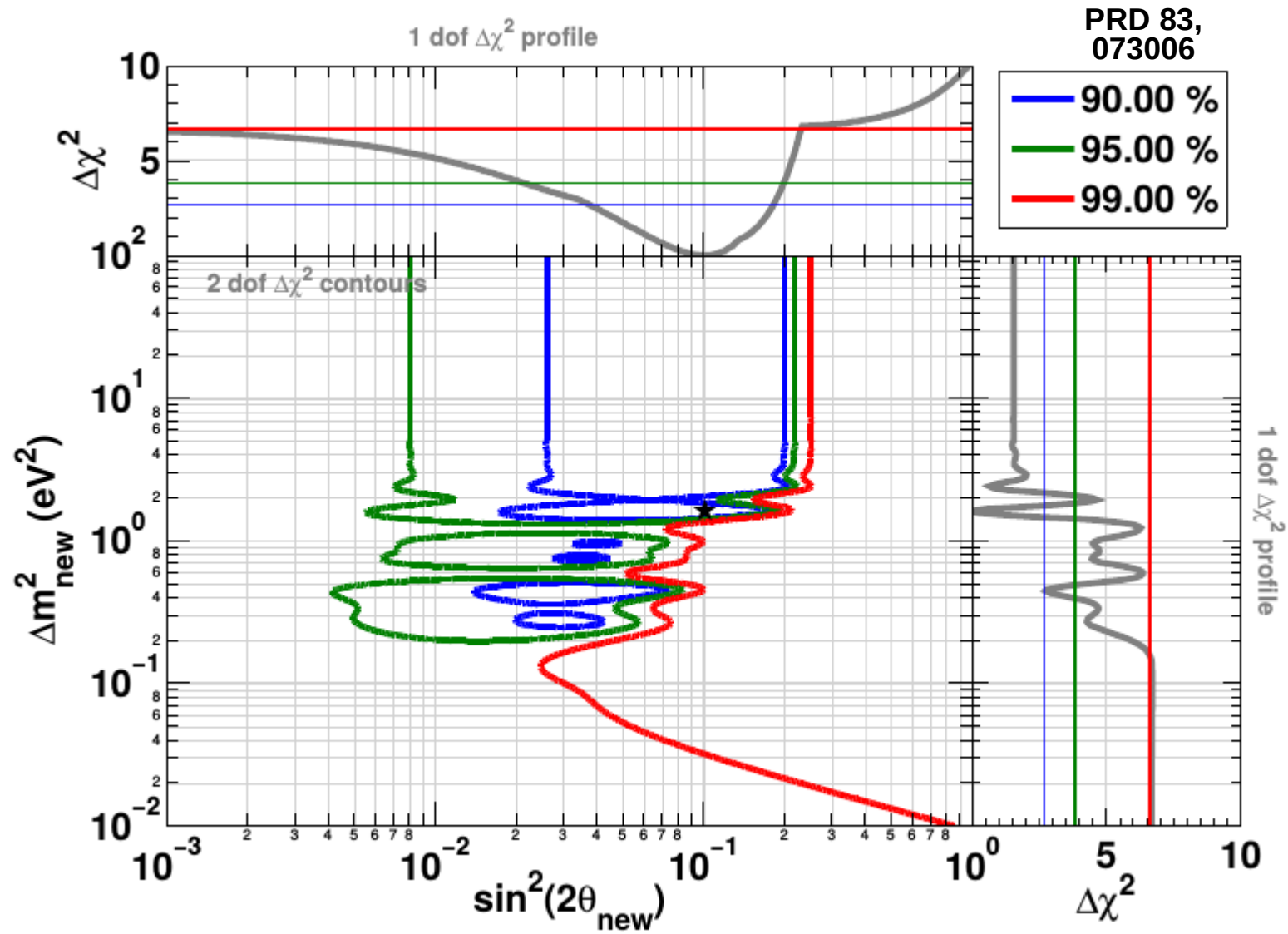


Reactor anomaly



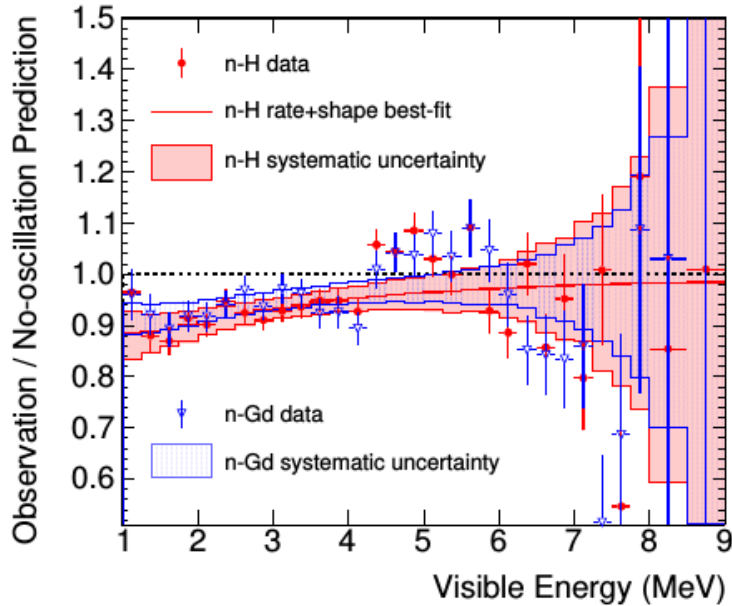
- After re-calculation of the predicted reactor flux, all past short-baseline reactor experiments observe a deficit of electron antineutrinos.
- Can be interpreted as the result of neutrino oscillation driven by a $\Delta m^2 \gtrsim 1 \text{ eV}^2$

Reactor anomaly



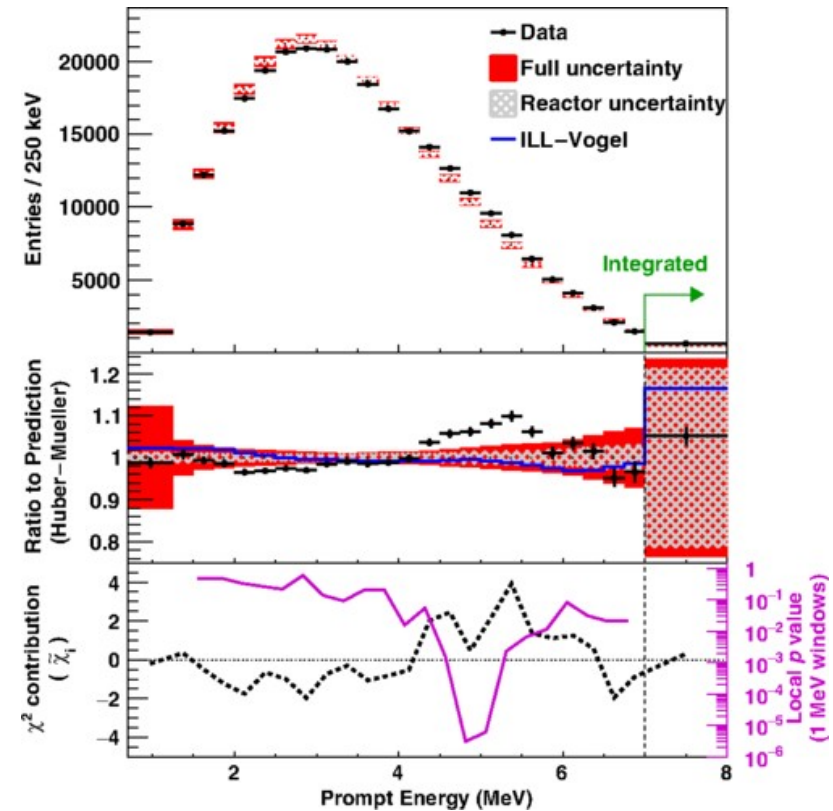
Reactor anomaly: spectrum distortion in 3 experiments

Double Chooz (JHEP01 (2016) 163)

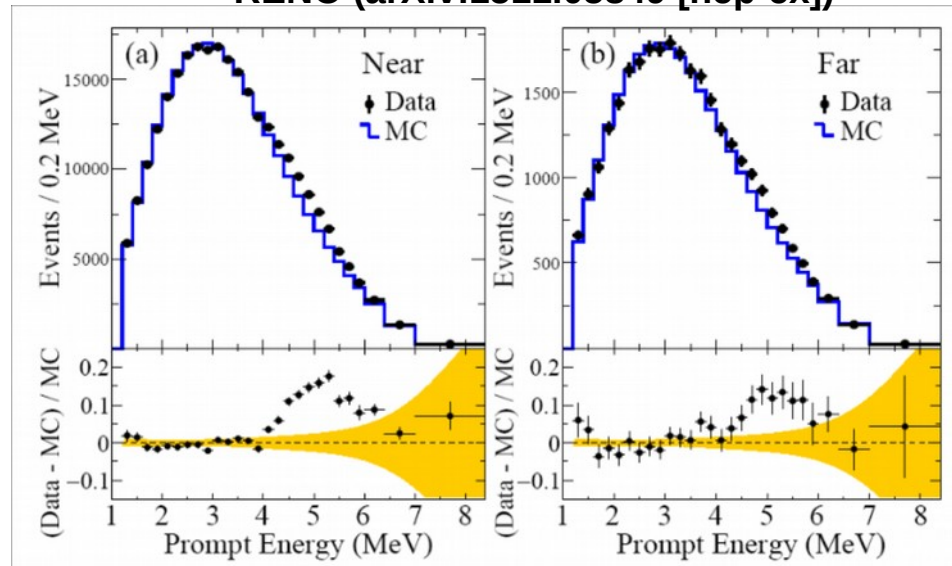


Alternative: predicted reactor flux is wrong.

Daya Bay (PRL 116, 061801)

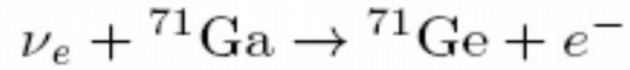


RENO (arXiv:1511.05849 [hep-ex])



Gallium anomaly

- Radioactive sources used to calibrate gallium-based solar experiments:

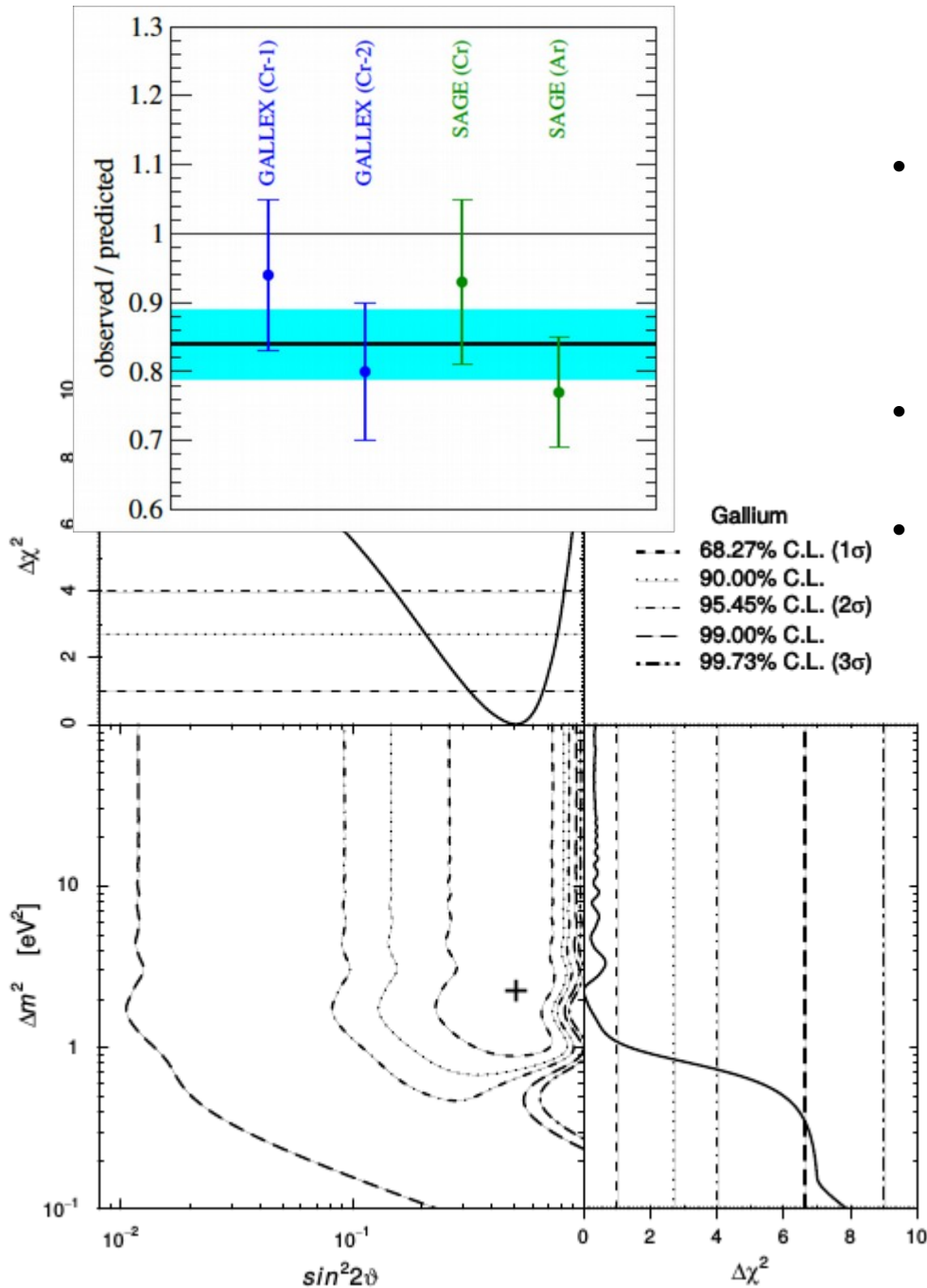


- $R_{\text{Ga}} = 0.86 \pm 0.05$

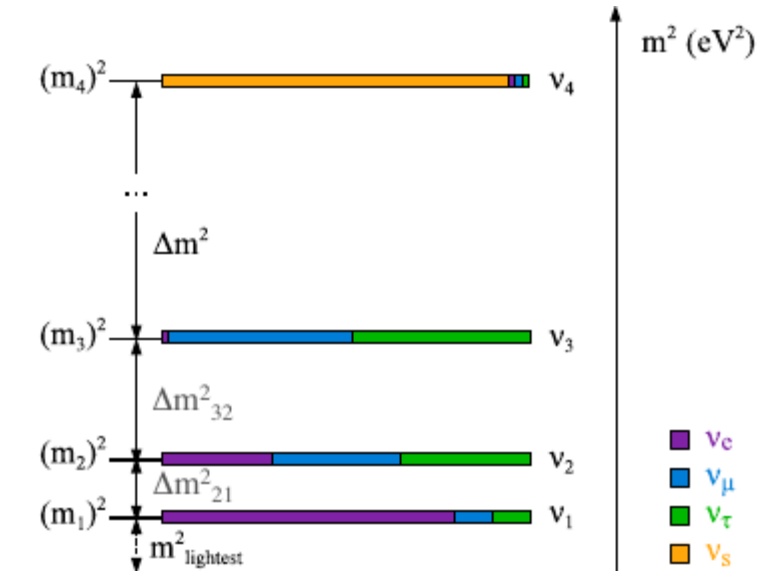
- Taking into account the uncertainty in the cross-section and the transition to the two excited states of ${}^{71}\text{Ge}$ (**PRC (2011) 065504**):

$$R_{\text{Ga}} = 0.76^{+0.09}_{-0.08}$$

- Can be interpreted as the result of neutrino oscillation driven by a $\Delta m^2 \gtrsim 1 \text{ eV}^2$

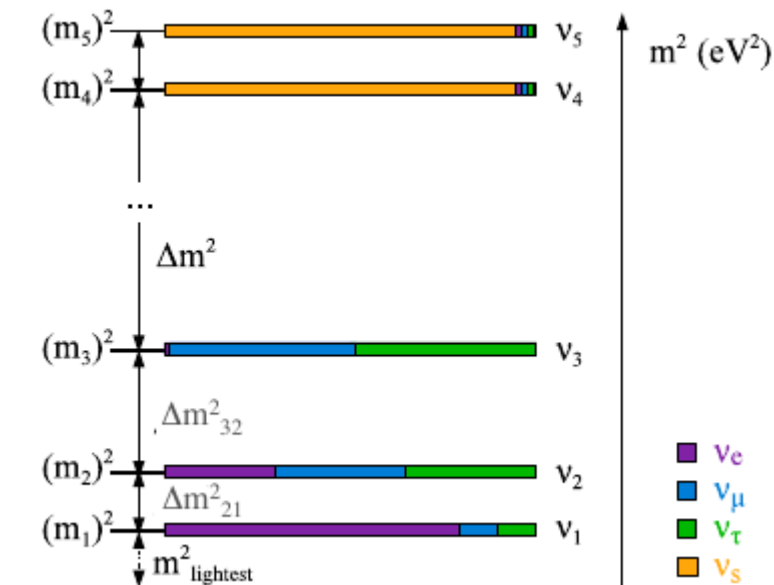


Sterile neutrino models



3 + 1

- The sterile neutrino gives the high Δm^2
- Cannot explain differences between neutrinos/antineutrinos in MiniBooNE.
- Cannot explain the non-disappearance of the muon flavor



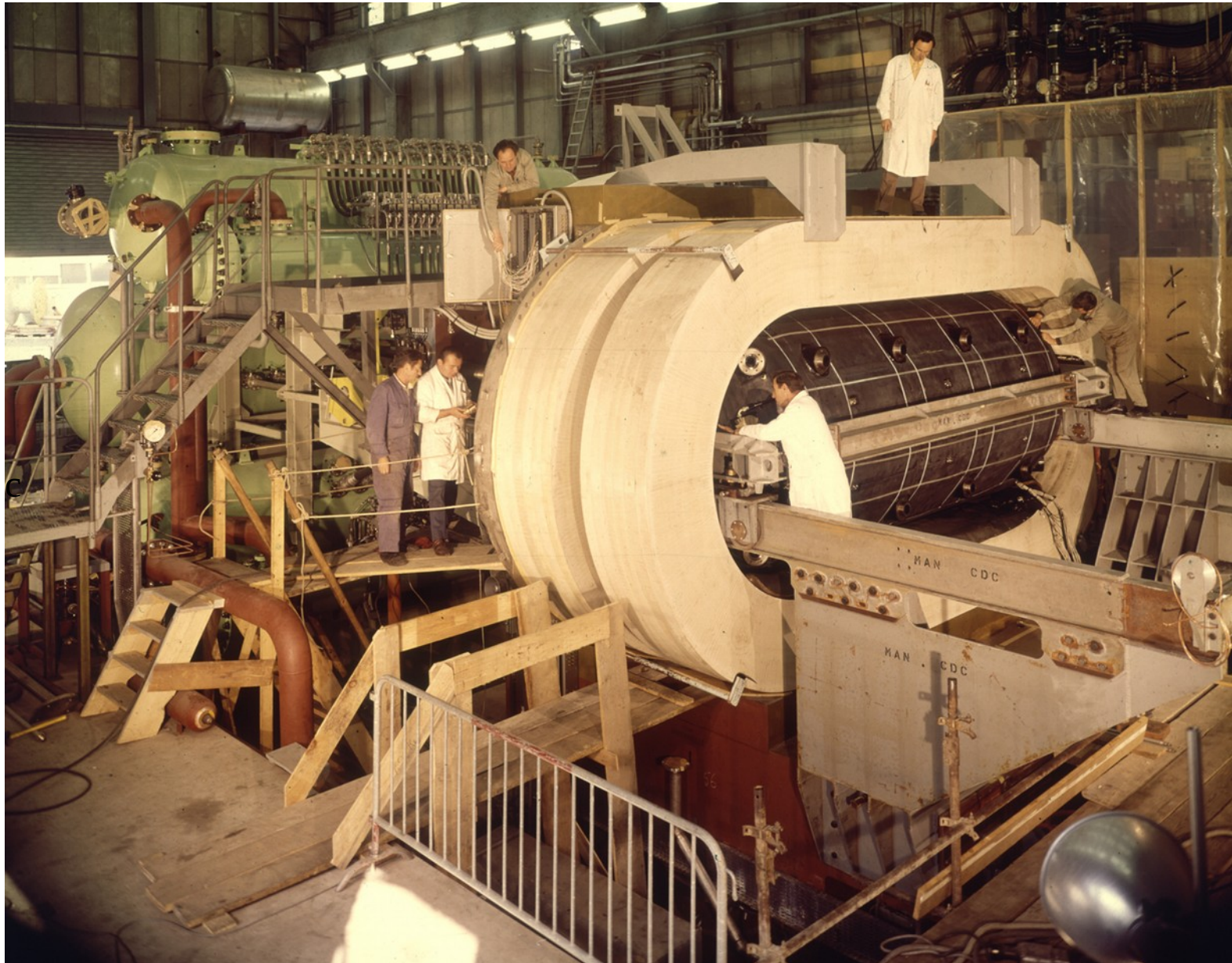
3 + 2

- The two sterile neutrinos give the high Δm^2
- Incorporates CP violation: neutrinos and antineutrinos oscillate differently.
- The non-disappearance of the muon flavor still unexplained.

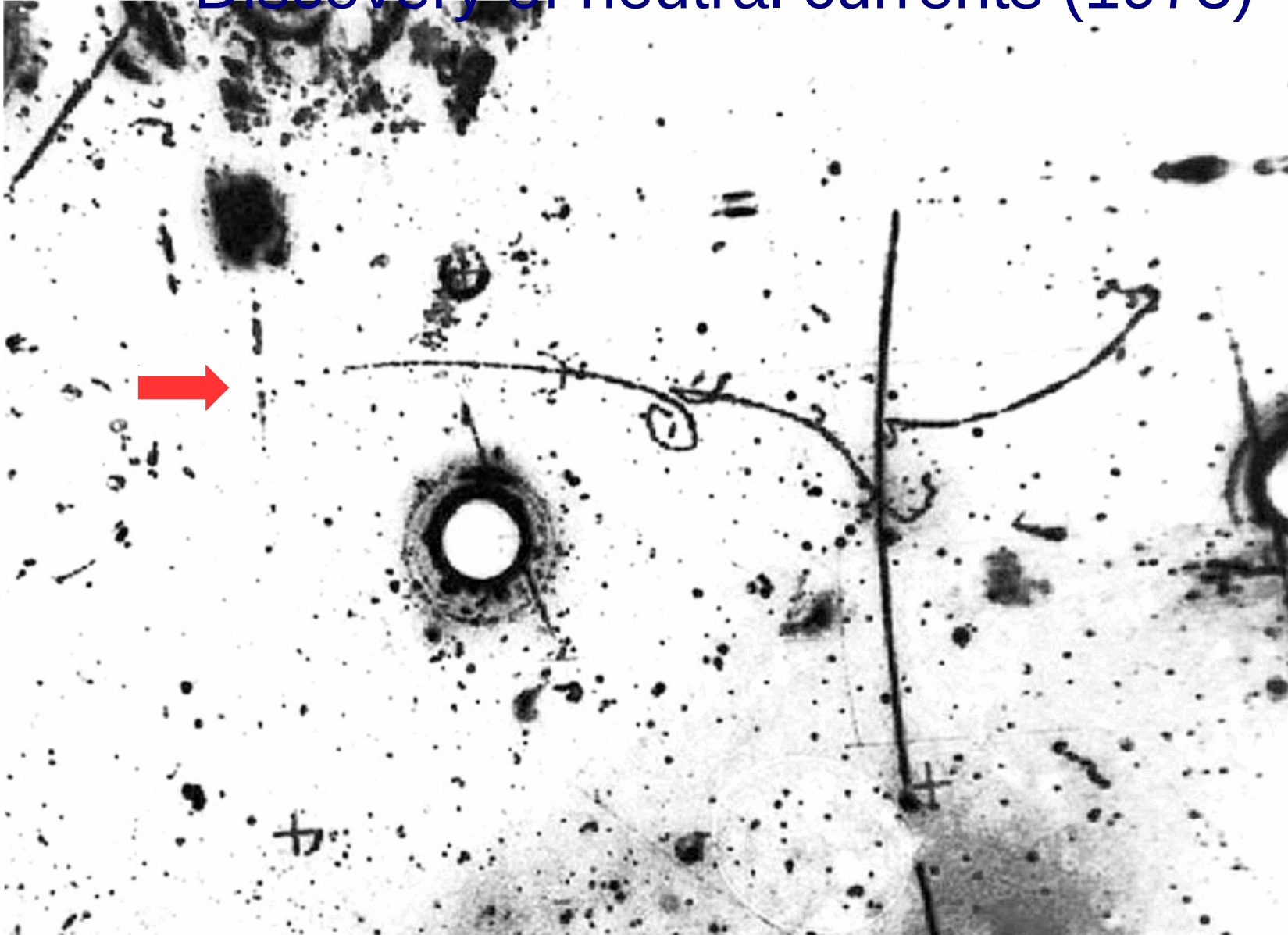
3 + 3 does not improve the situation.

The Short Baseline Neutrino Program at Fermilab

Bubble chamber: Gargamelle (1970 - 1979)



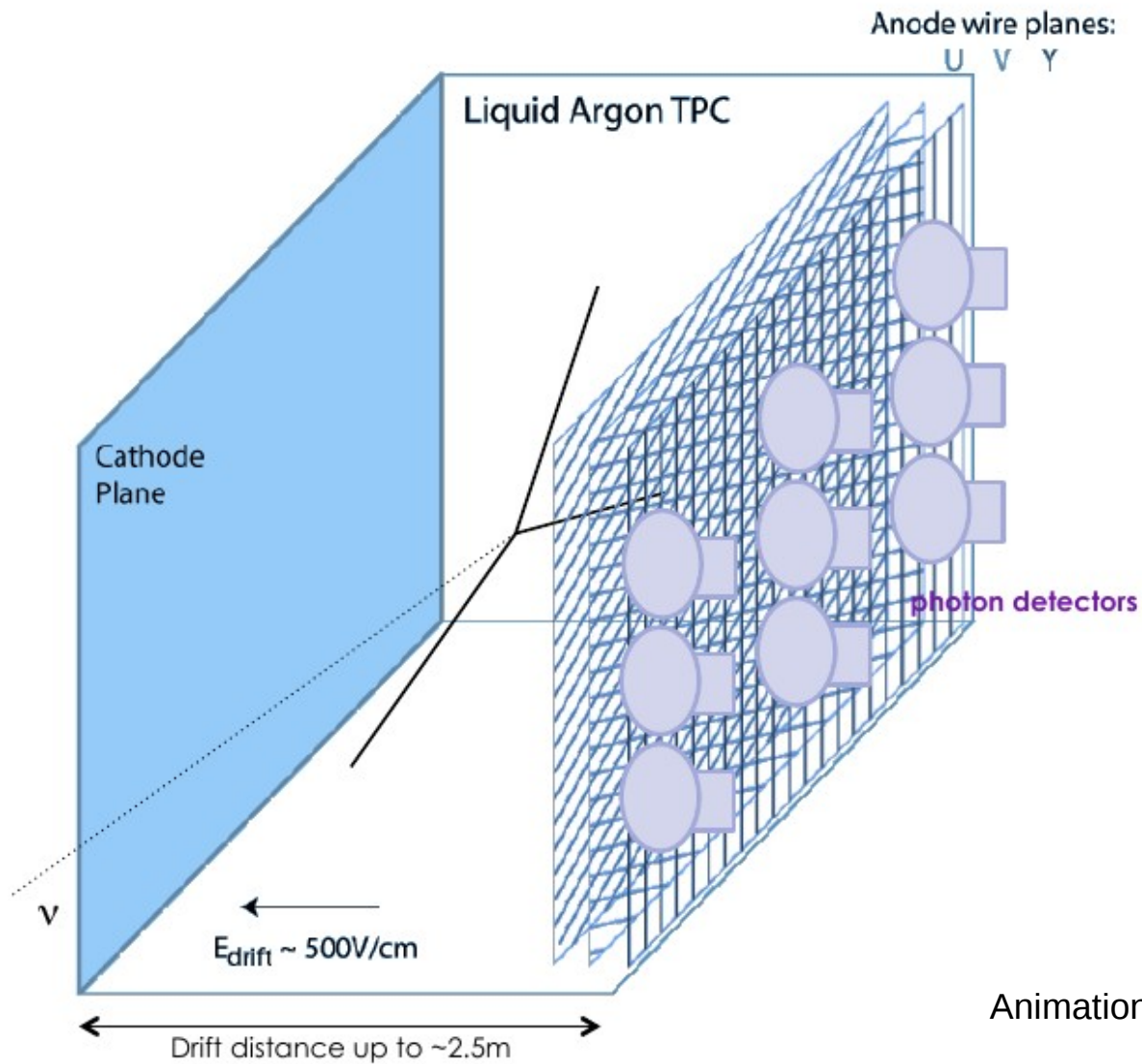
Discovery of neutral currents (1973)





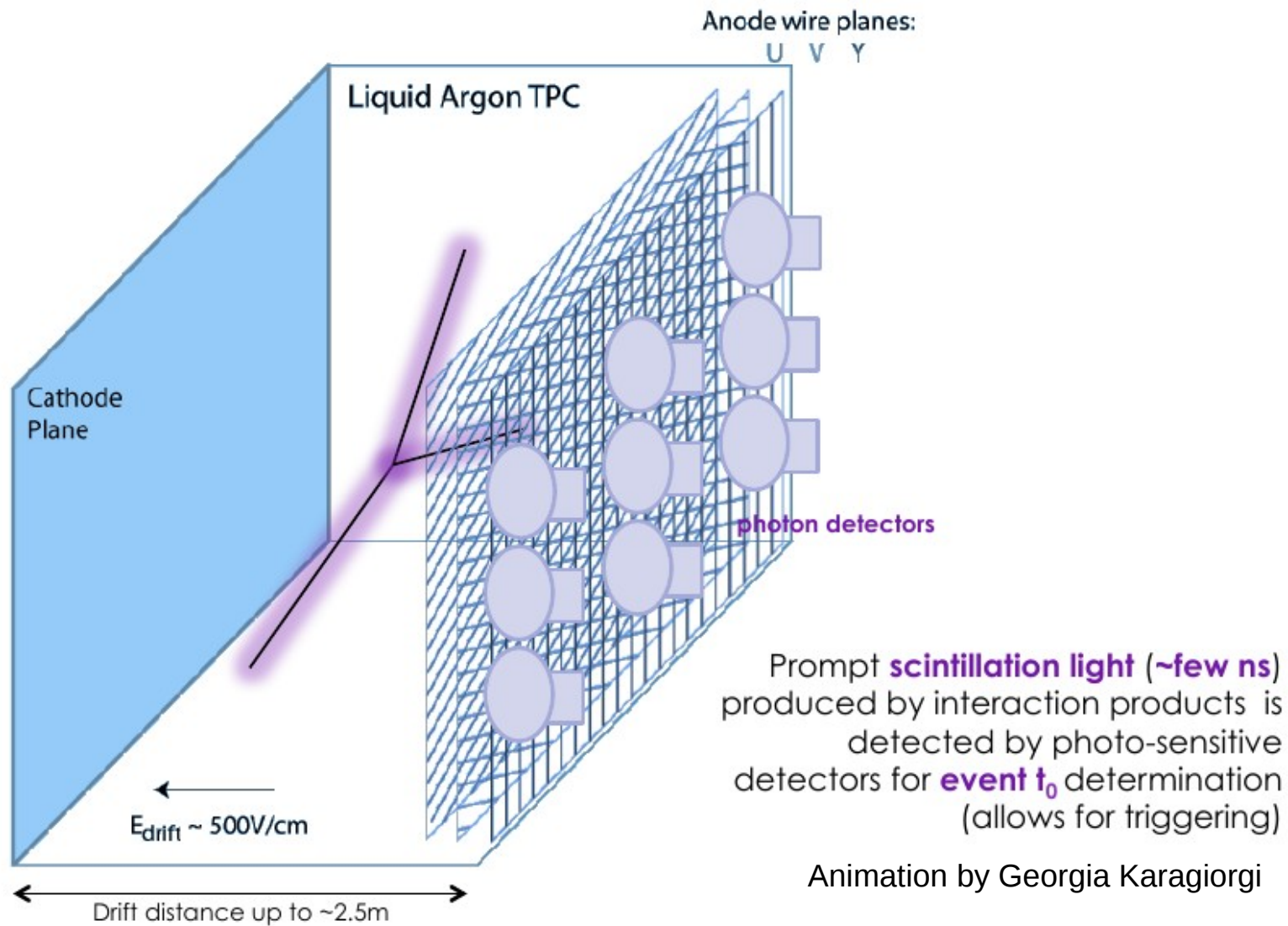
Liquid argon time projection chamber

How a LArTPC works:

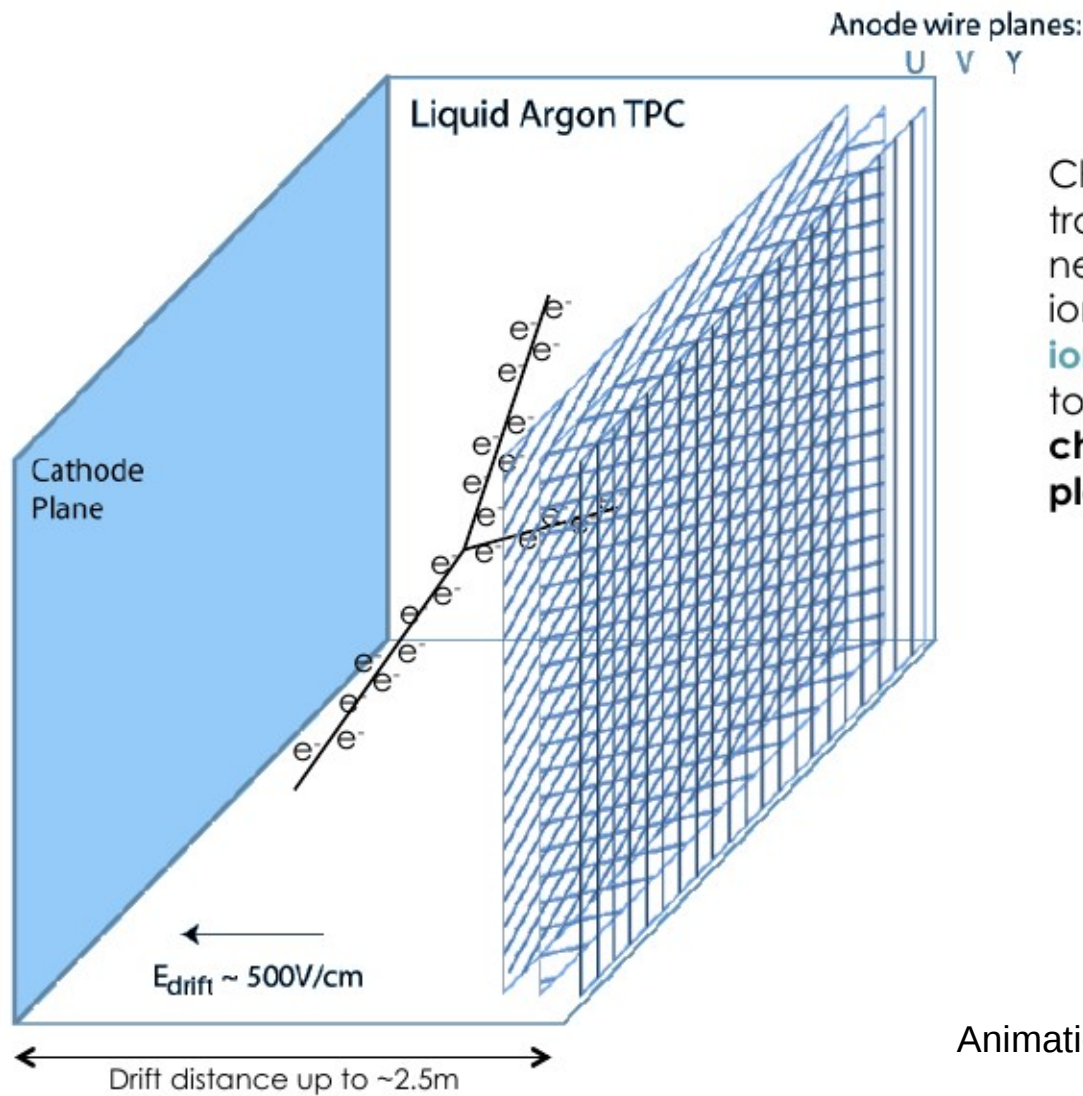


Animation by Georgia Karagiorgi

How a LArTPC works:



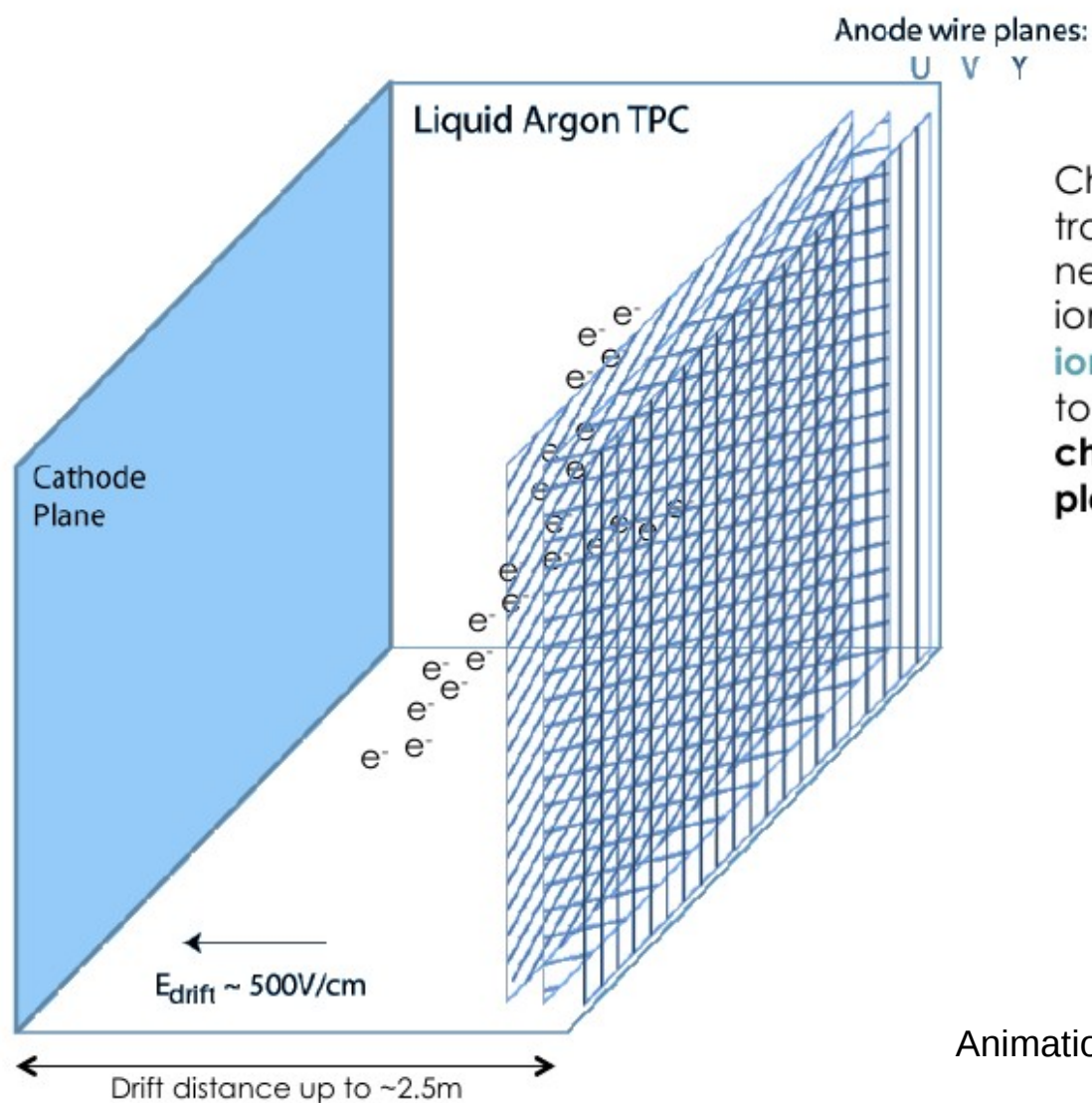
How a LArTPC works:



Charged particle tracks produced in neutrino interaction ionize argon atoms; **ionization charge** drifts to **finely segmented charge collection planes** over ~ 1 -few ms.

Animation by Georgia Karagiorgi

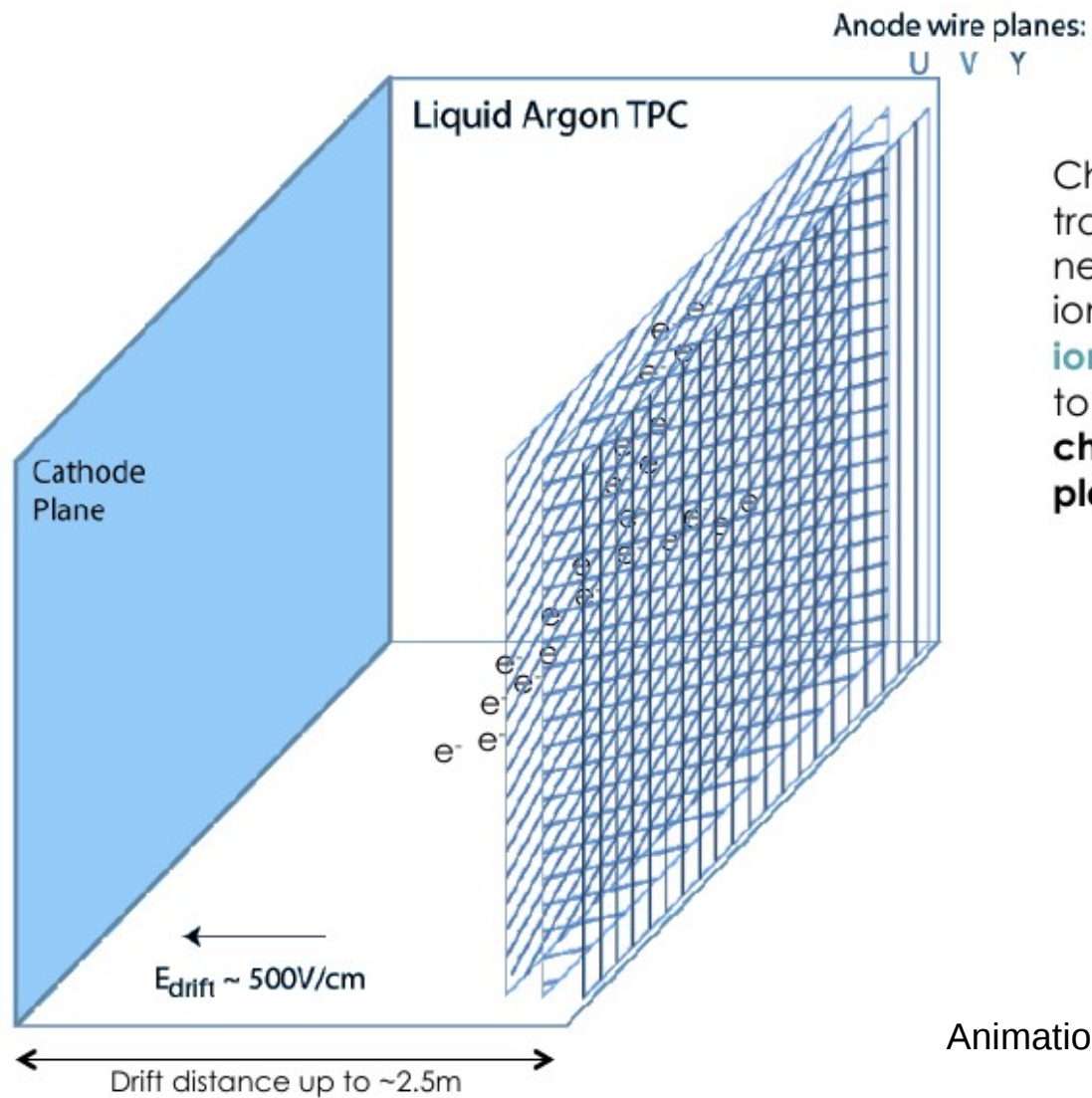
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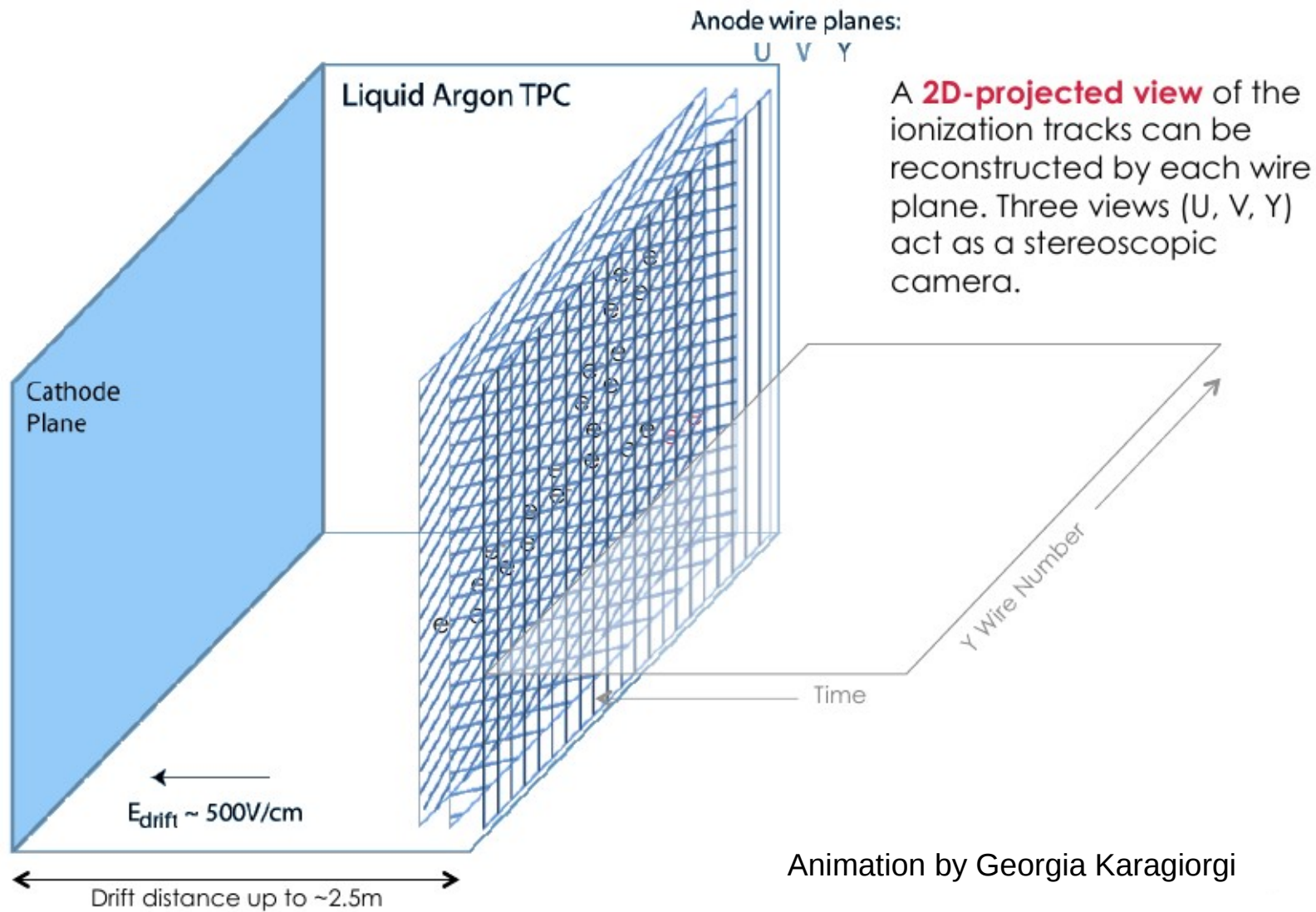
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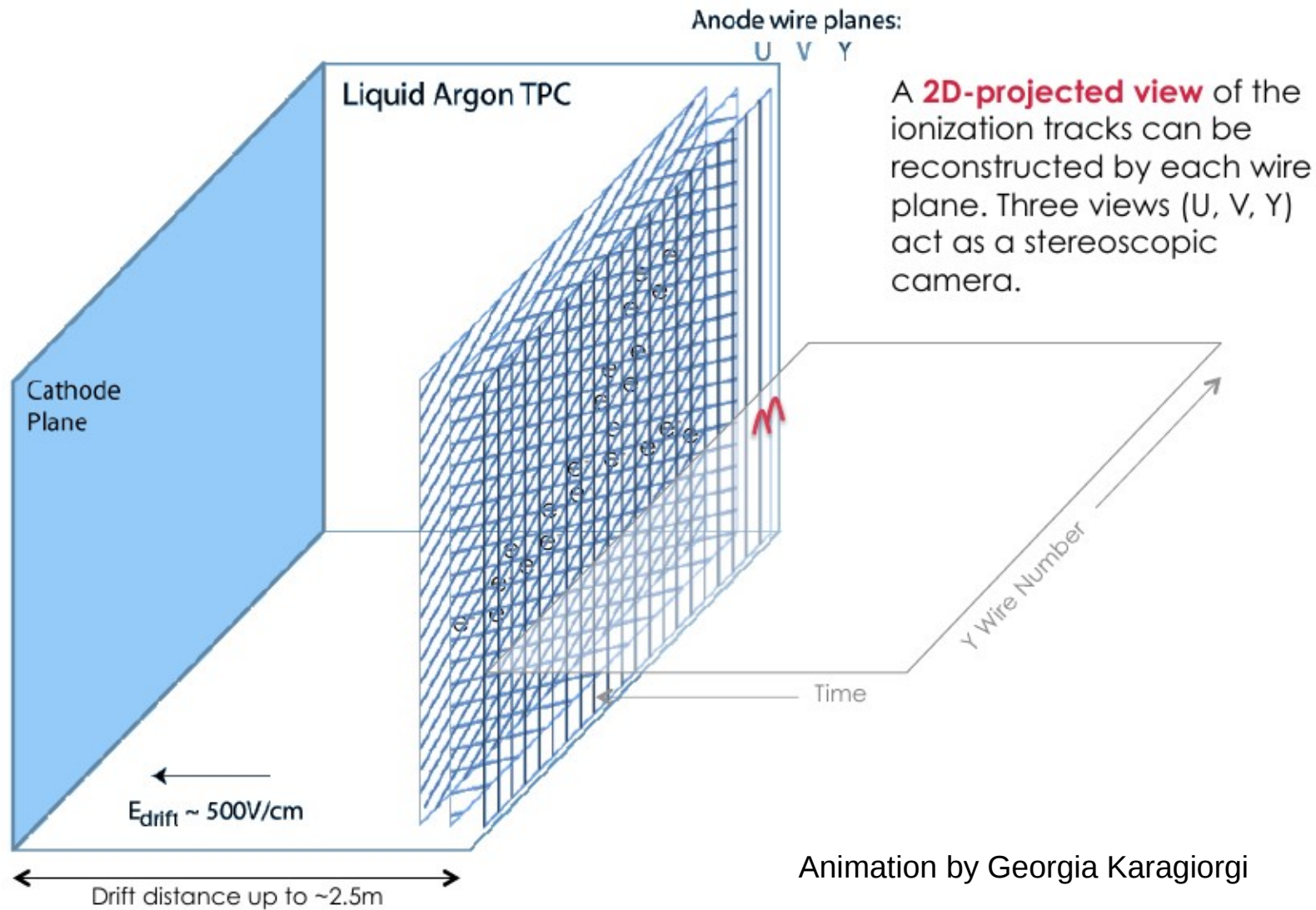
Animation by Georgia Karagiorgi

How a LArTPC works:



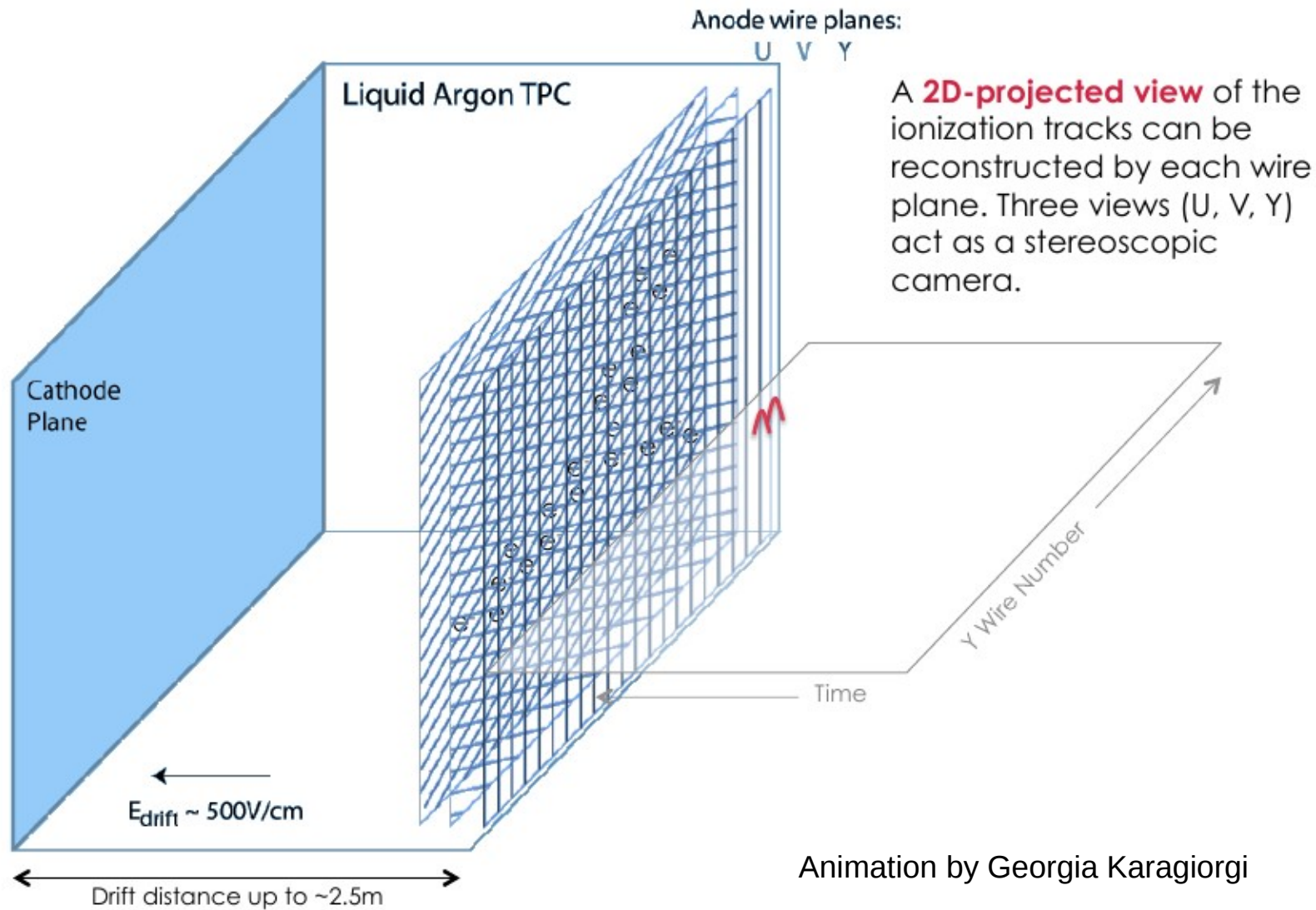
Animation by Georgia Karagiorgi

How a LArTPC works:



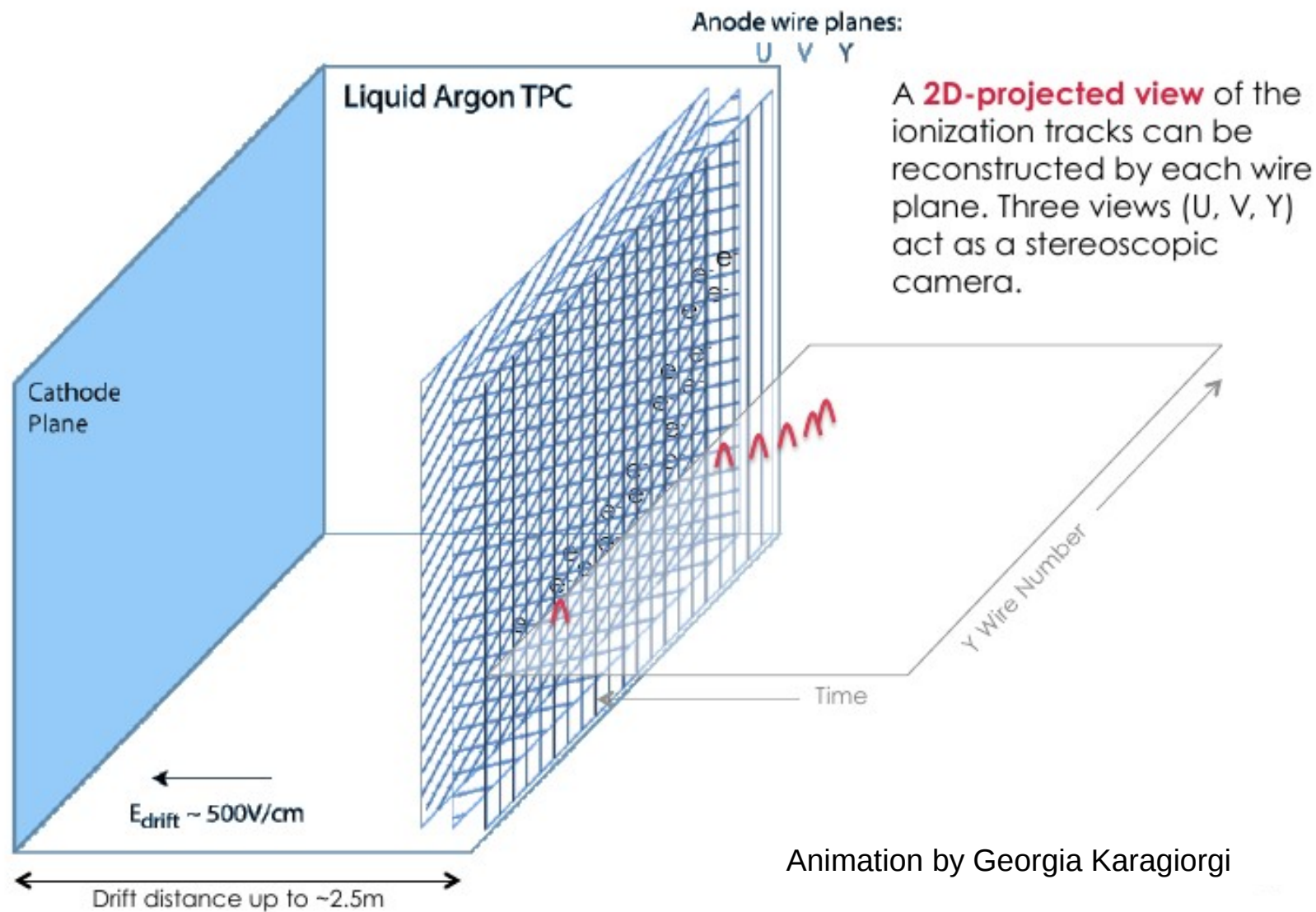
Animation by Georgia Karagiorgi

How a LArTPC works:



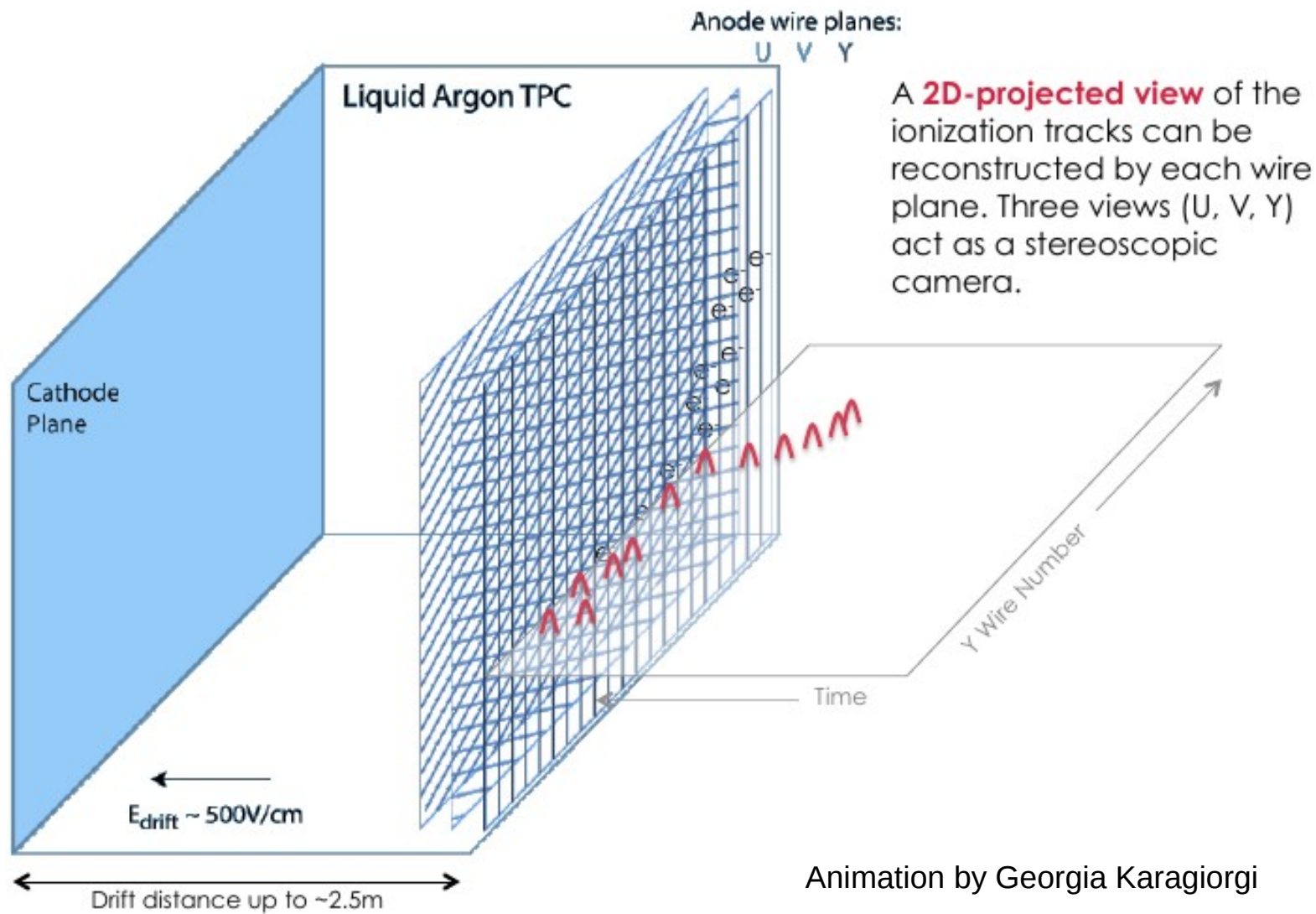
Animation by Georgia Karagiorgi

How a LArTPC works:



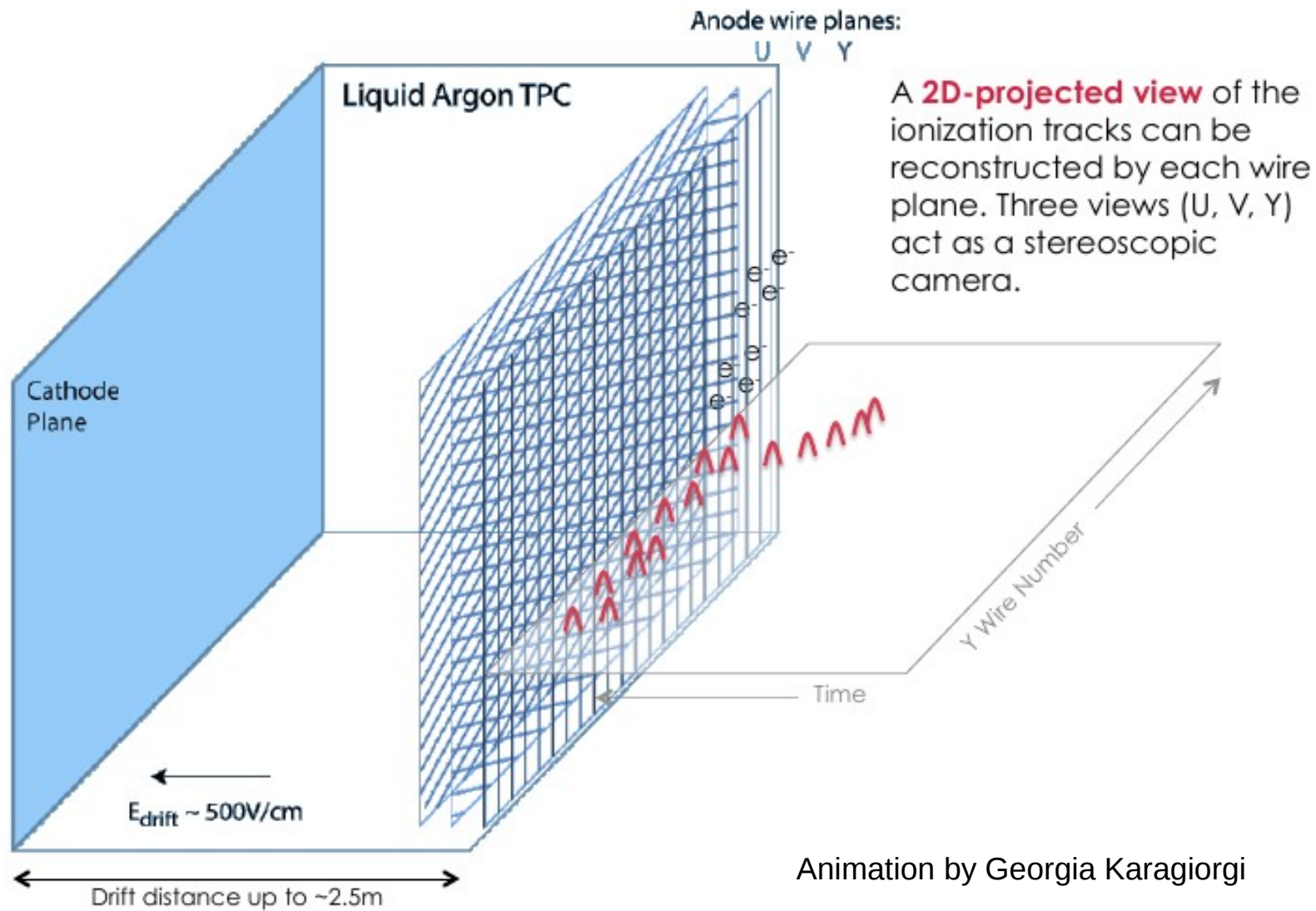
Animation by Georgia Karagiorgi

How a LArTPC works:



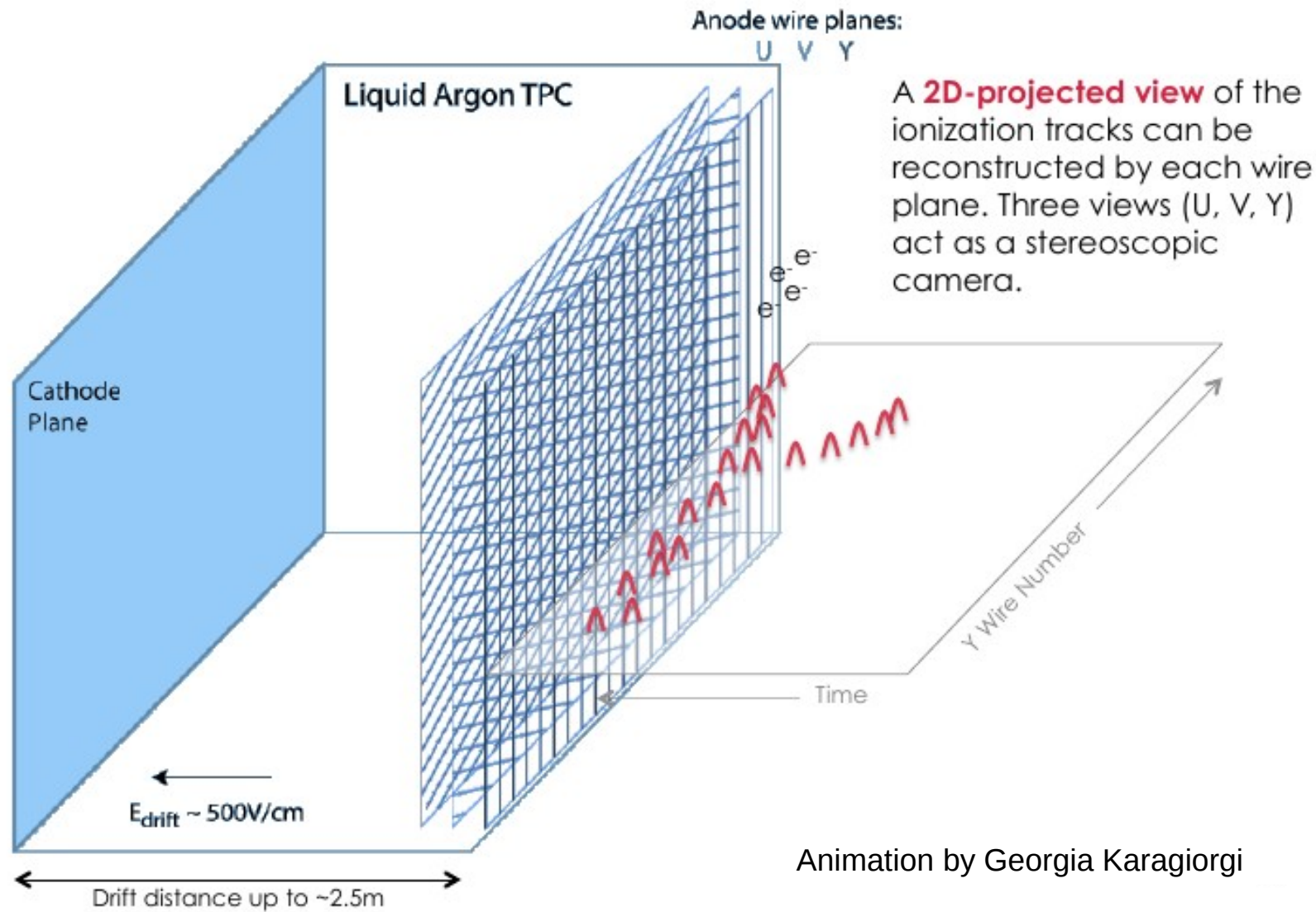
Animation by Georgia Karagiorgi

How a LArTPC works:



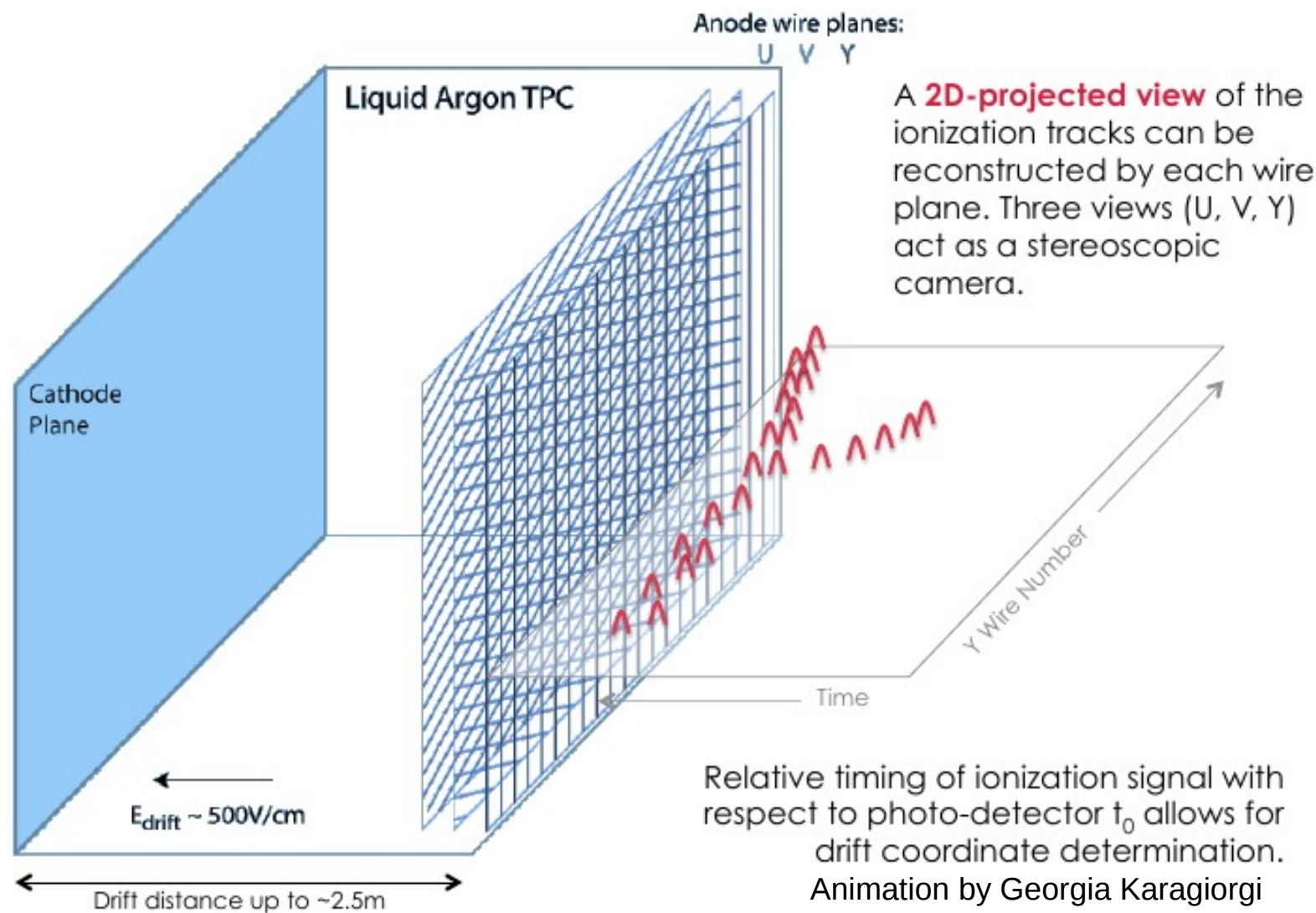
Animation by Georgia Karagiorgi

How a LArTPC works:

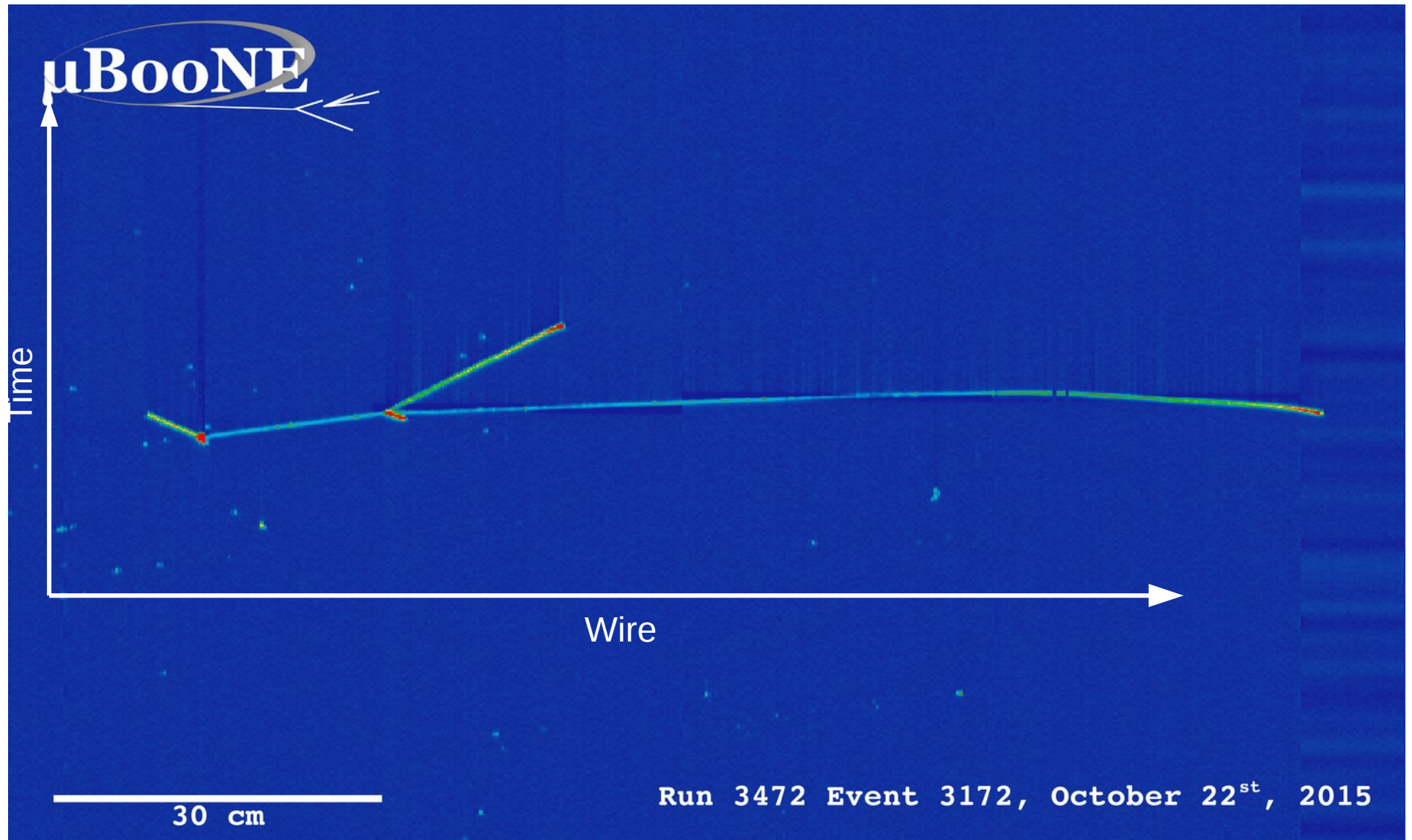


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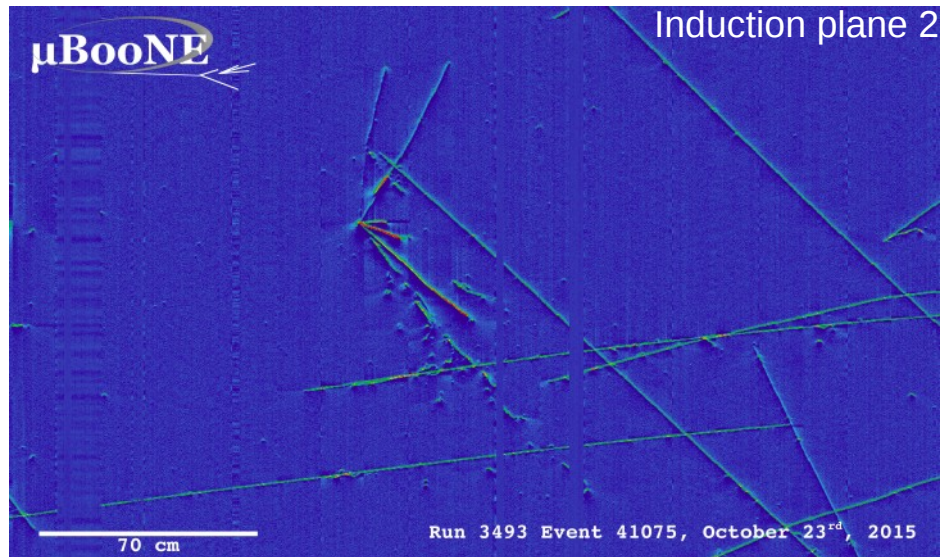
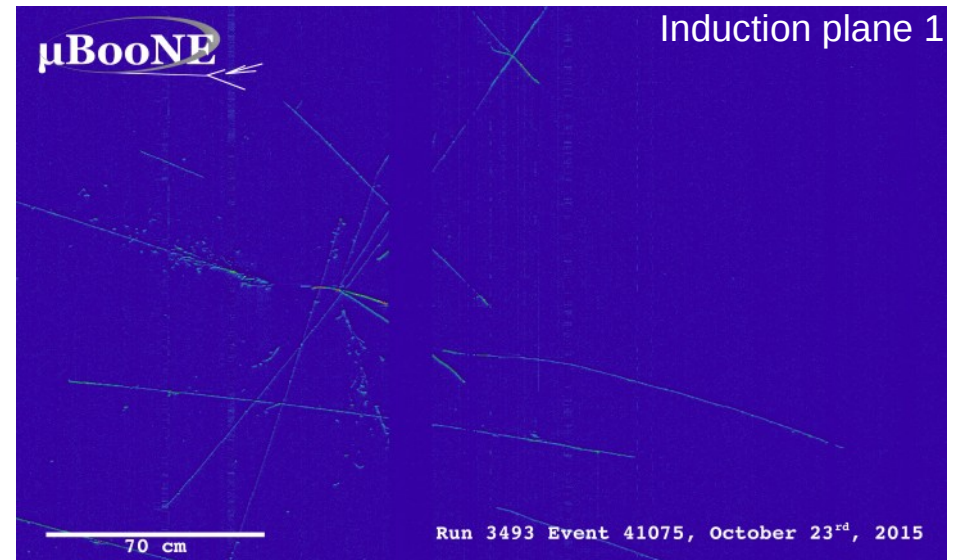
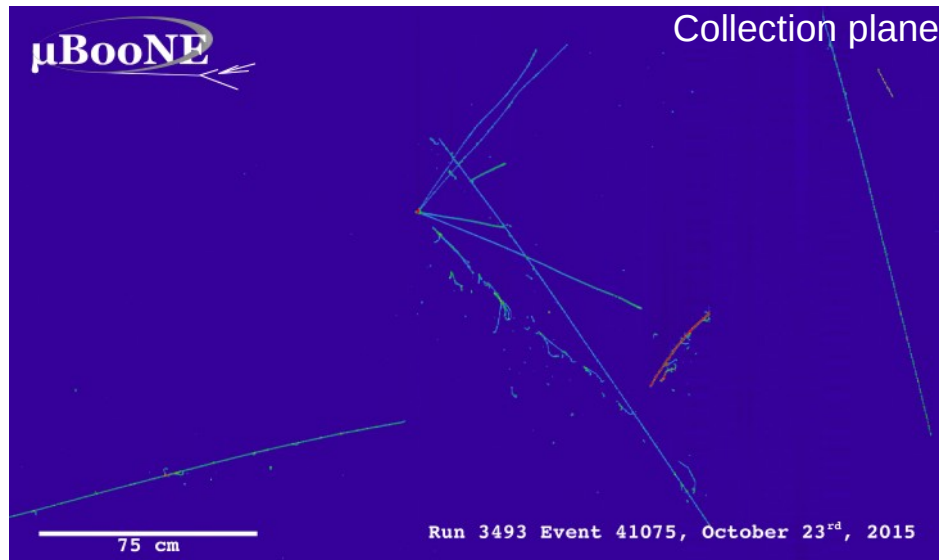
How a LArTPC works:



A real neutrino candidate in a LArTPC



Neutrino candidate in LArTPC



- The 3D view of the event is projected onto three planes.

LArTPC

Why **liquid argon**?

- It is dense (1.4 g/cm^3): more targets for neutrinos per volume.
- It is relatively cheap.
- It can be purified (electronegative elements -oxygen- capture electrons, nitrogen quenches scintillation light): detectors can be bigger.
- Bright scintillator (40000 photons/MeV). Transparent to its own light.

Why **time projection chamber**?

- Modern bubble chamber: very good granularity thanks to a small wire pitch but with automated readout and 3D reconstruction.
- Number of readout channels scales with detector length: less electronics needed.
- Several ways to estimate particle energy: ionization, range... They can be used to identify the particle.

Neutrino beams at Fermilab

BNB

Fermilab's **low-energy** neutrino beam:
 $\langle E_\nu \rangle \approx 700 \text{ MeV}$

Booster - 8 GeV protons

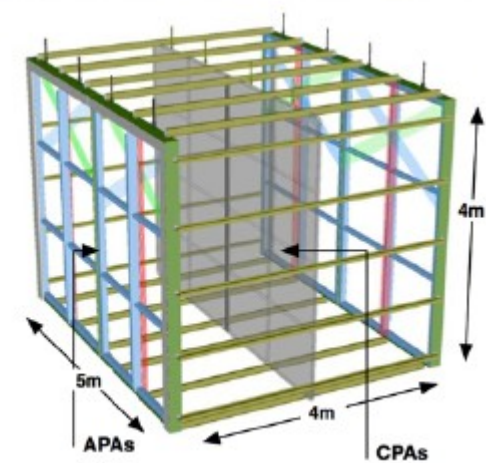
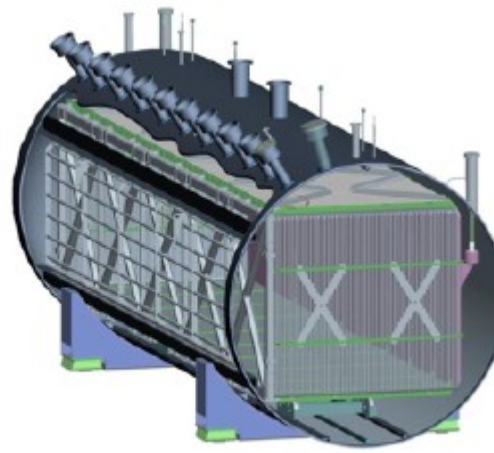
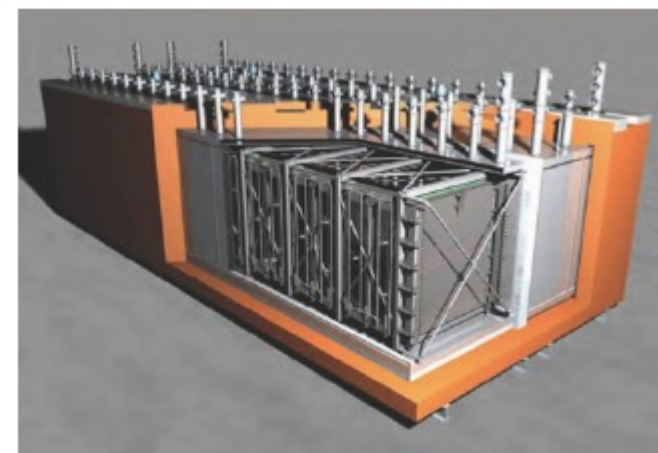
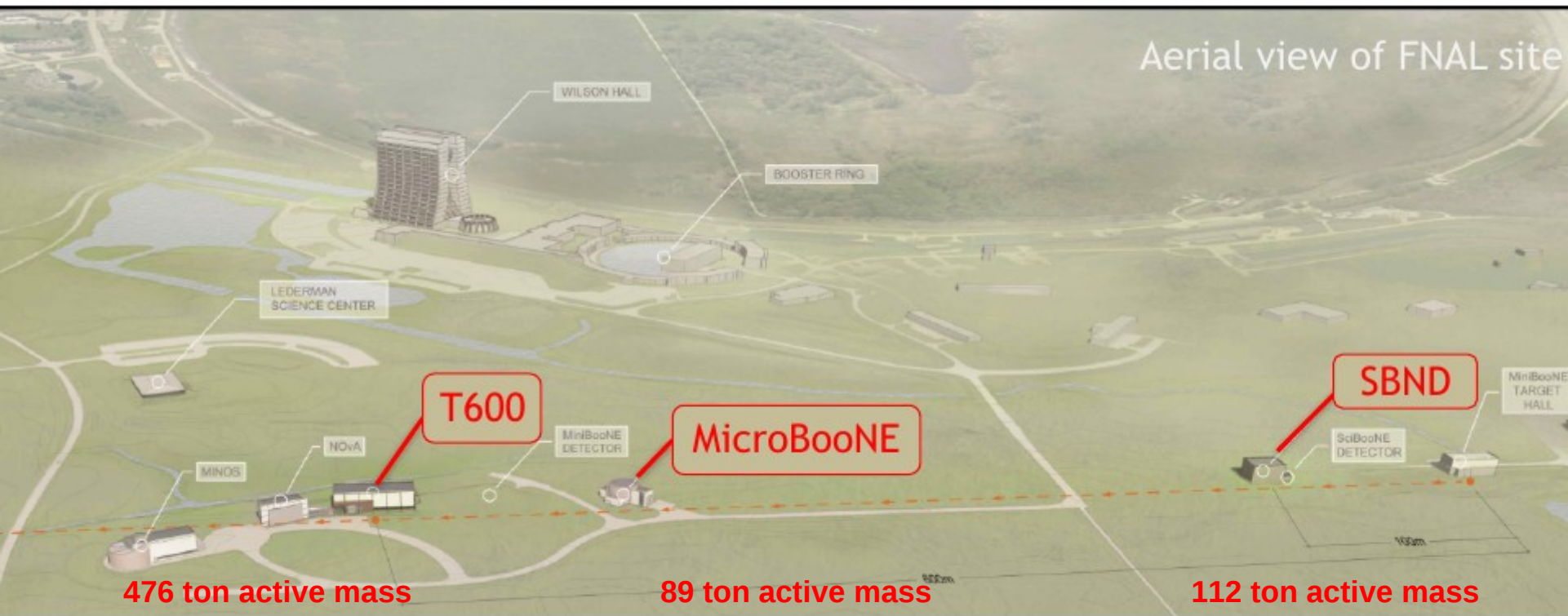
NuMI

Fermilab's **high-energy** neutrino beam: $\langle E_\nu \rangle \approx 7 \text{ GeV}$ (tunable)

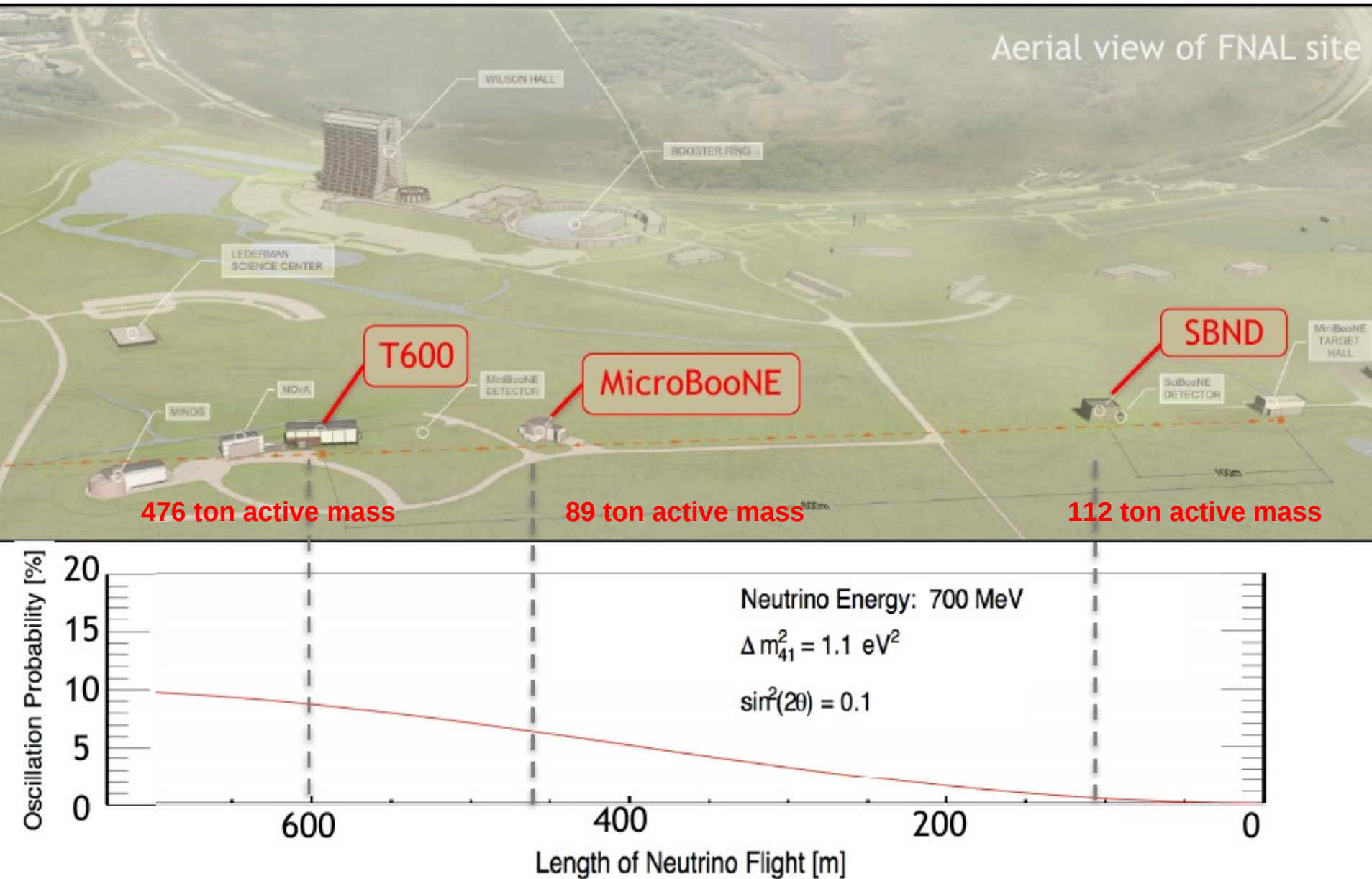
Main Injector - 120 GeV protons

Slide by Anne Schukraft

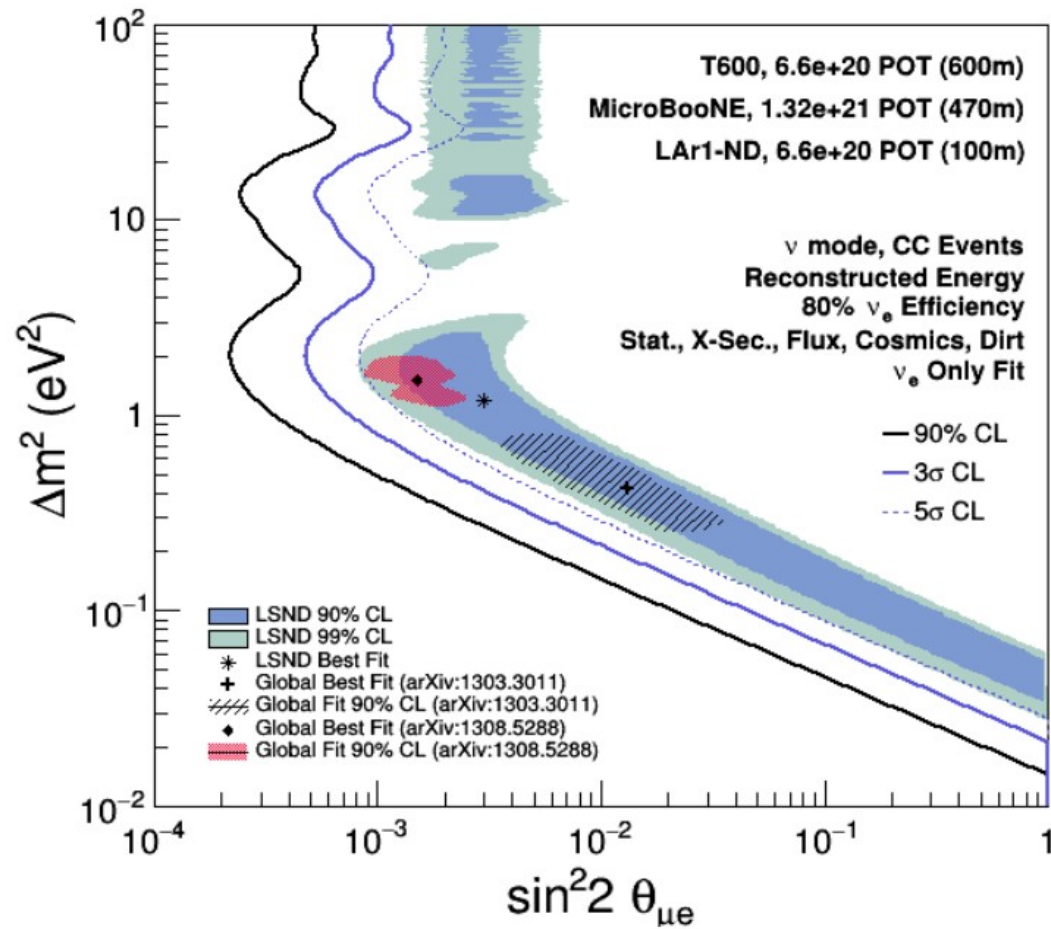
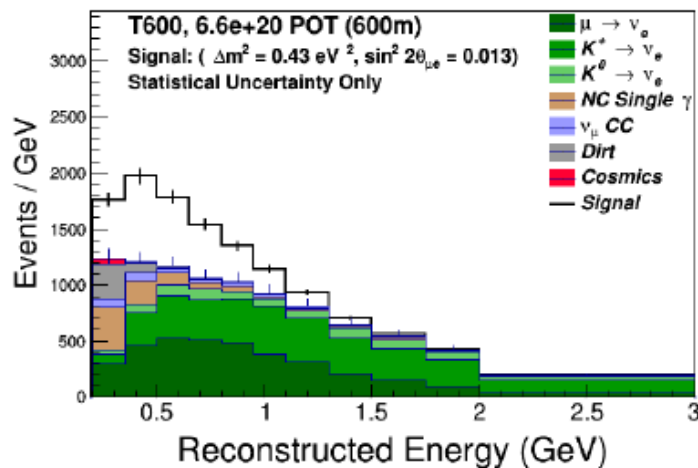
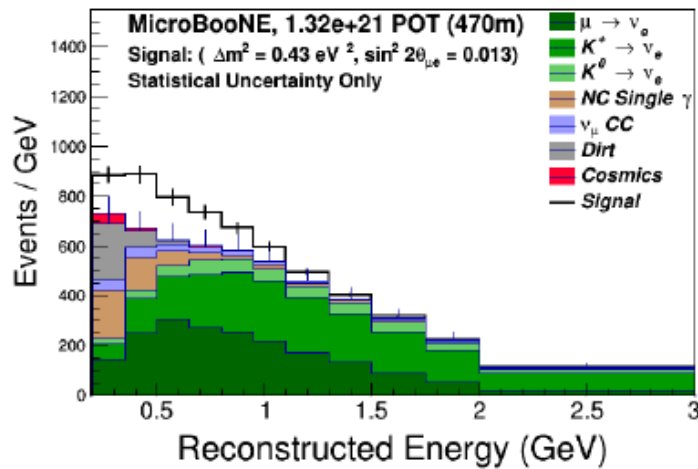
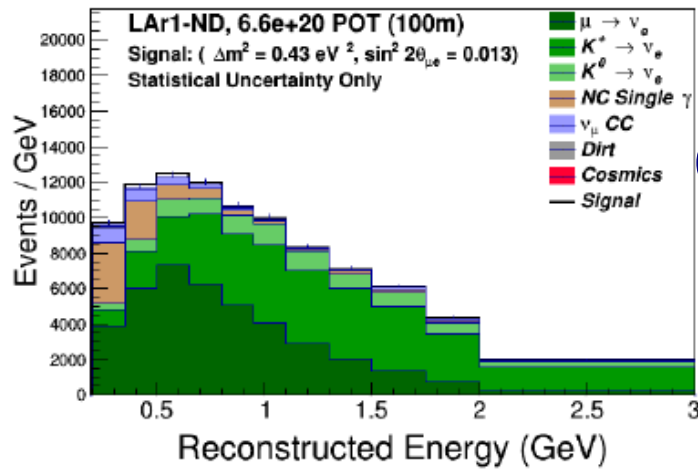
The short-baseline program at Fermilab



The short-baseline program at Fermilab



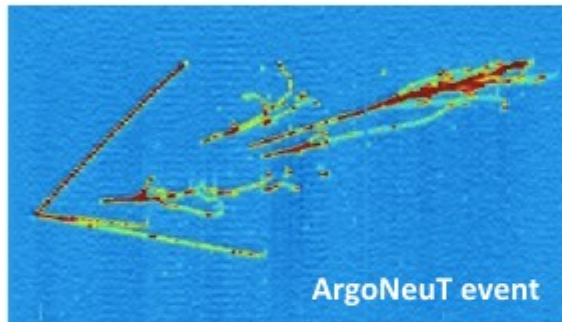
Sensitivity: electron neutrino appearance



Electron vs. gamma discrimination

Proof of principle with ArgoNeuT data!

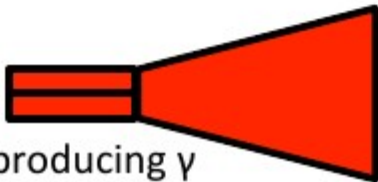
Case 1: The neutral γ (π^0) is observed as gap between vertex and EM shower:



Case 2: If the gap is too small to be observed, the charge at the start of the shower can be reconstructed through a measurement of dE/dx

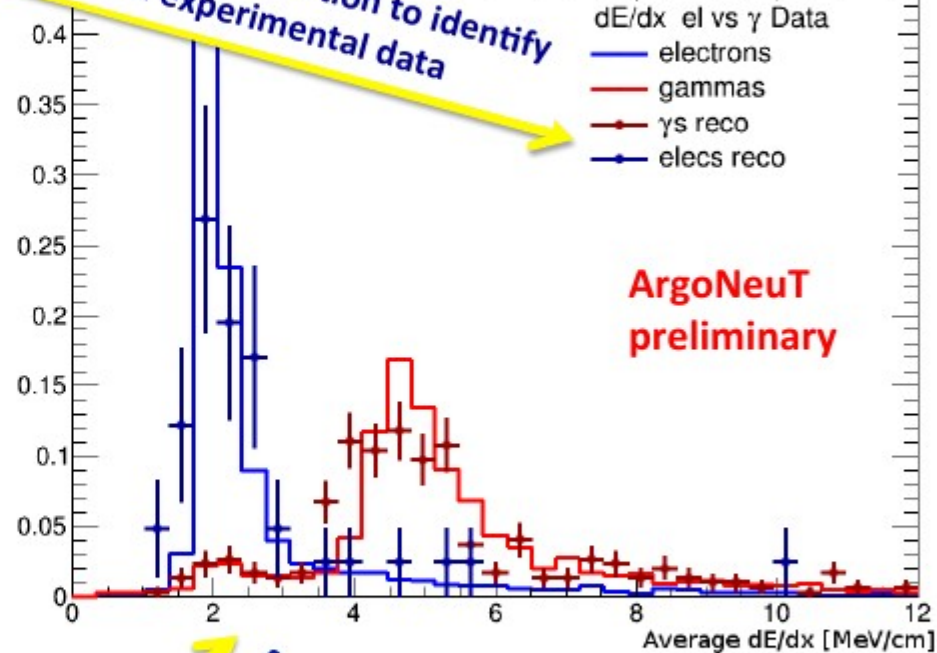


Single electron



e^+/e^- pair producing γ

Use the topological definition to identify γ and e in experimental data

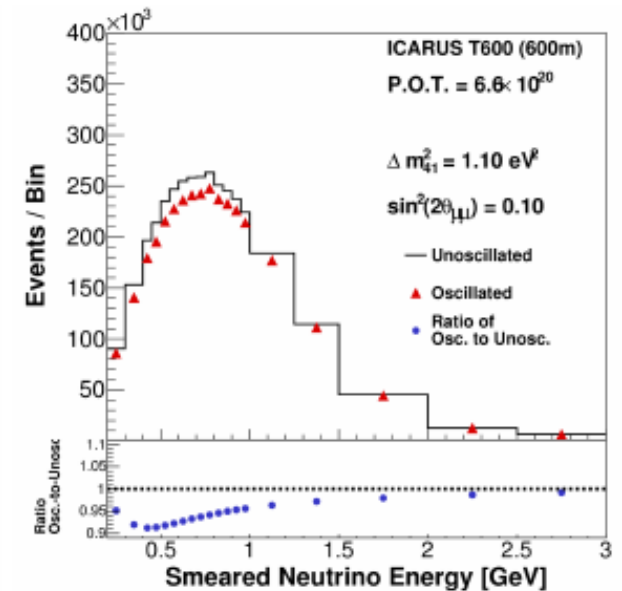
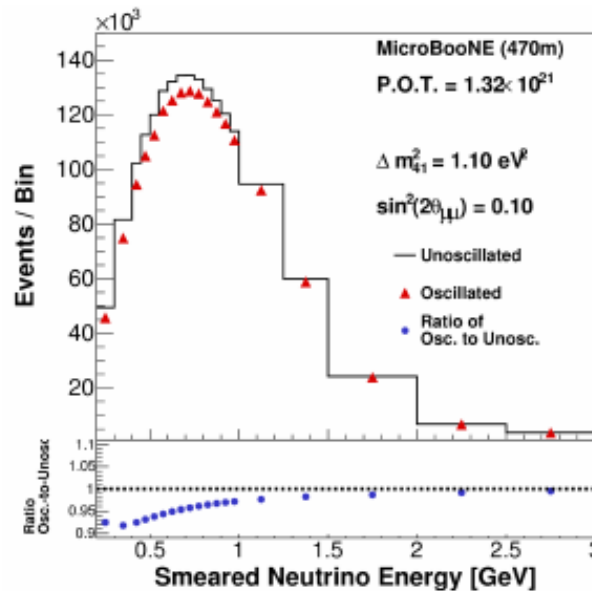
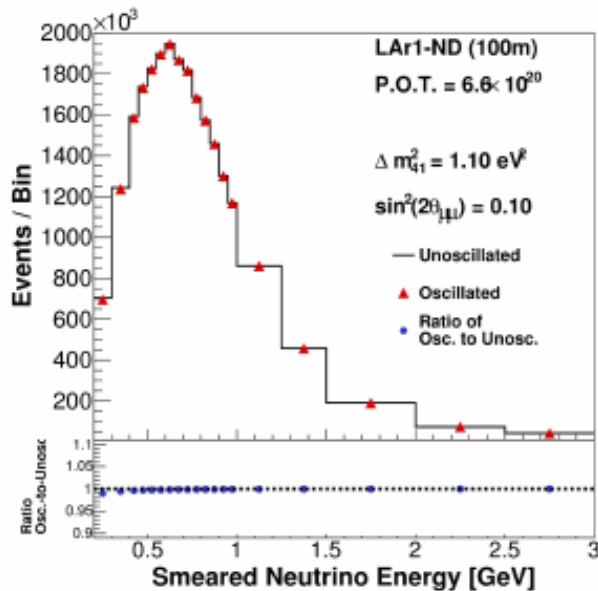
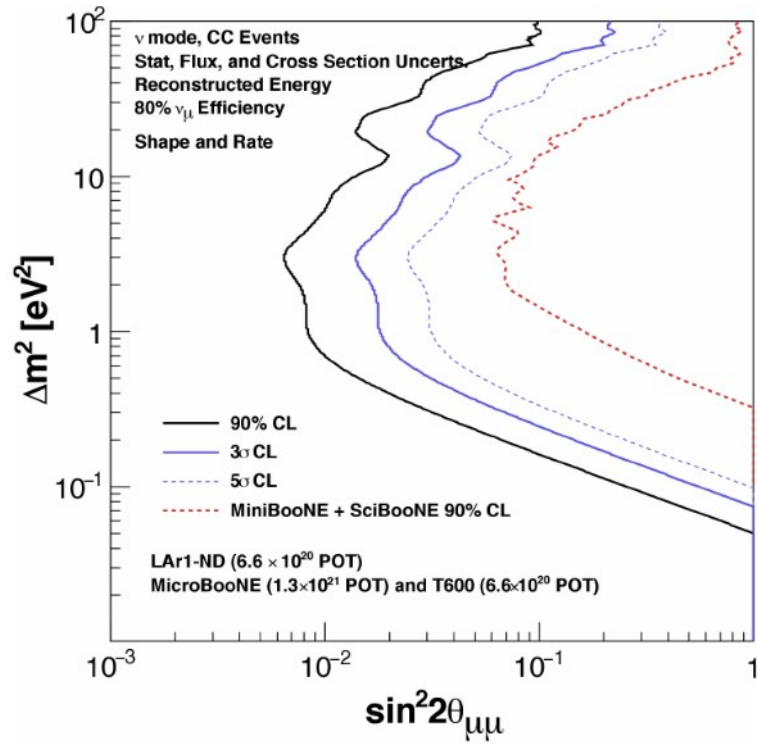


Plot the dE/dx distribution for these events

MicroBooNE will be able to resolve the nature of the MiniBooNE low-energy excess!

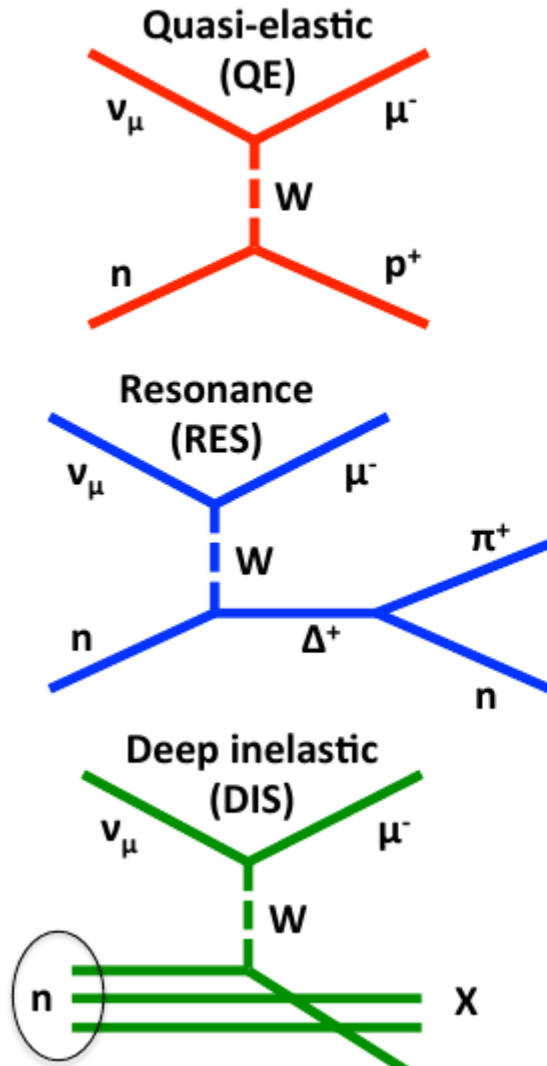
Slide by Anne Schukraft

Sensitivity: muon neutrino disappearance

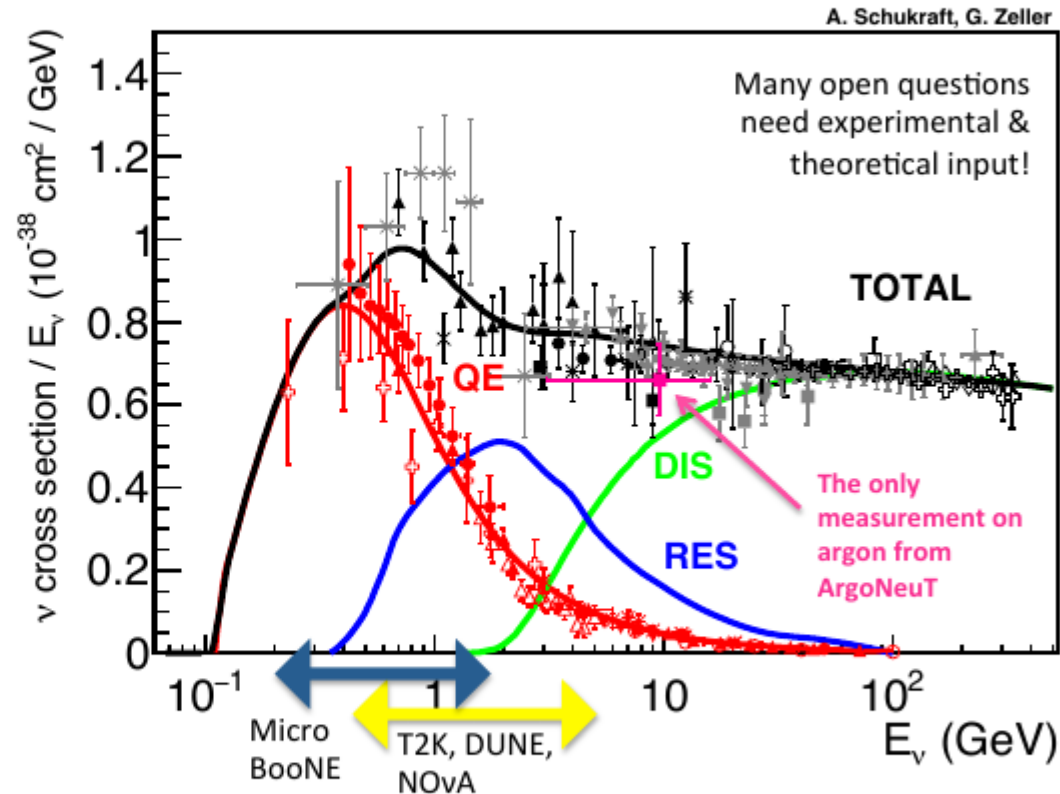


Cross-section program

Neutrinos interacting with nucleons

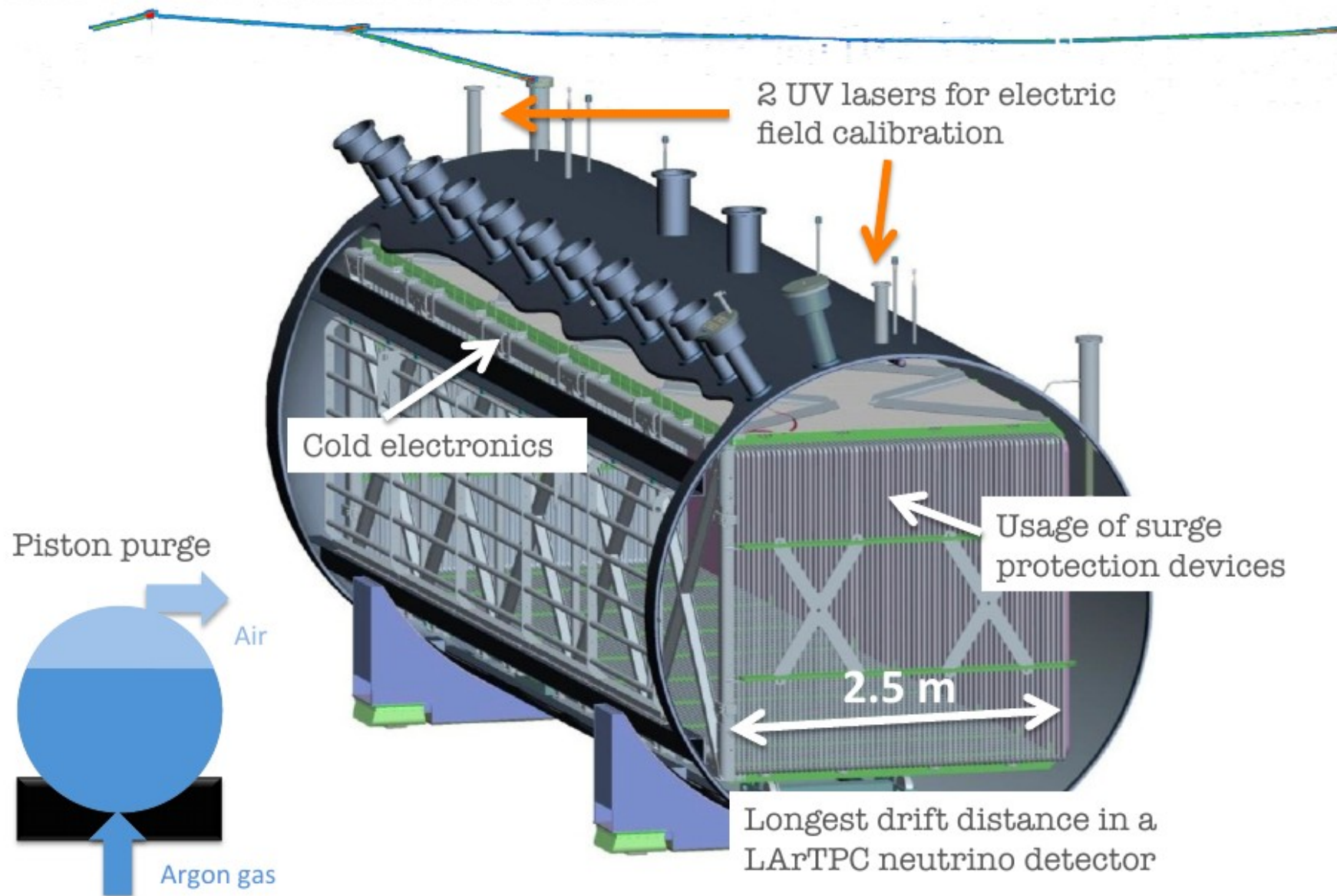


Lots of interesting (nuclear) physics over all energy ranges.



We need to understand neutrino-nucleon scattering to understand event rates, final states and neutrino energy estimation in oscillation experiments

R&D in MicroBooNE



MicroBooNE construction



TPC construction: 2013



3 mm wire spacing

Slide by Anne Schukraft

Installation – a picture series

TPC insertion: Dec 23rd, 2013



PMT system installation: Dec 2013



Moving day! June 23rd, 2014



MicroBooNE's home in the beam line: The LAr Test Facility



Foamed in! July 2014



Cabled up! Sept. 2014



All electronics in! Dec. 10, 2014

Slide by Anne Schukraft

Measurement of neutrino mass

Lower limits

- If we take the lightest neutrino mass to be 0, we can derive lower limits for the other mass eigenstates.

- For normal hierarchy:

$$m_2 \geq \sqrt{\Delta m_{21}^2} = 0.0087 \pm 0.0001 \text{ eV}$$

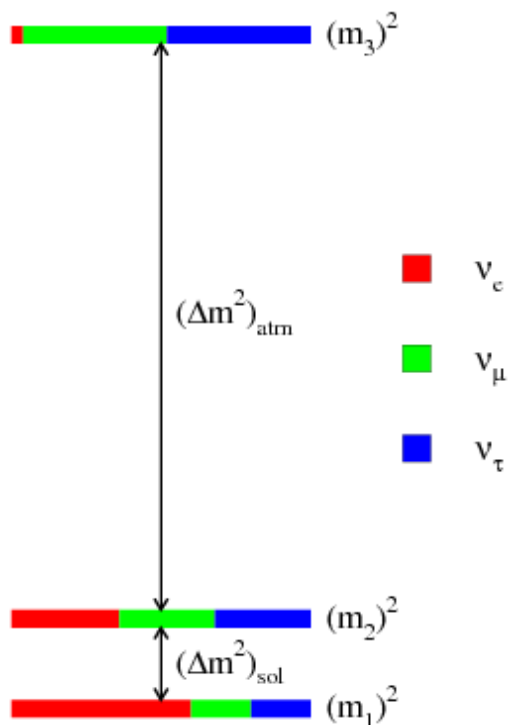
$$m_3 \geq \sqrt{\Delta m_{32}^2 + \Delta m_{21}^2} = 0.0502 \pm 0.0006 \text{ eV}$$

- For inverted hierarchy:

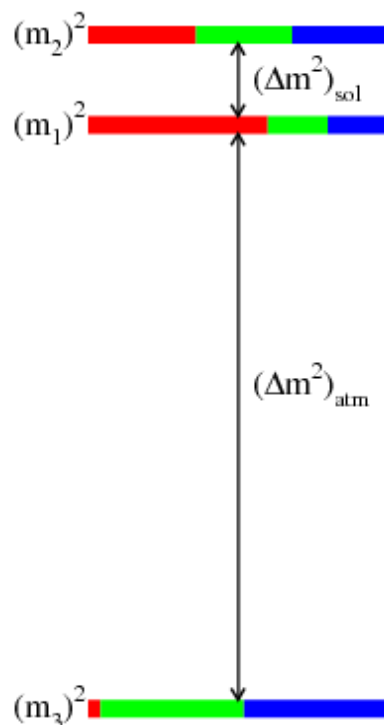
$$m_1 \geq \sqrt{|\Delta m_{32}^2| - \Delta m_{21}^2} = 0.0494 \pm 0.0007 \text{ eV}$$

$$m_2 \geq \sqrt{|\Delta m_{32}^2|} = 0.0502 \pm 0.0007 \text{ eV}$$

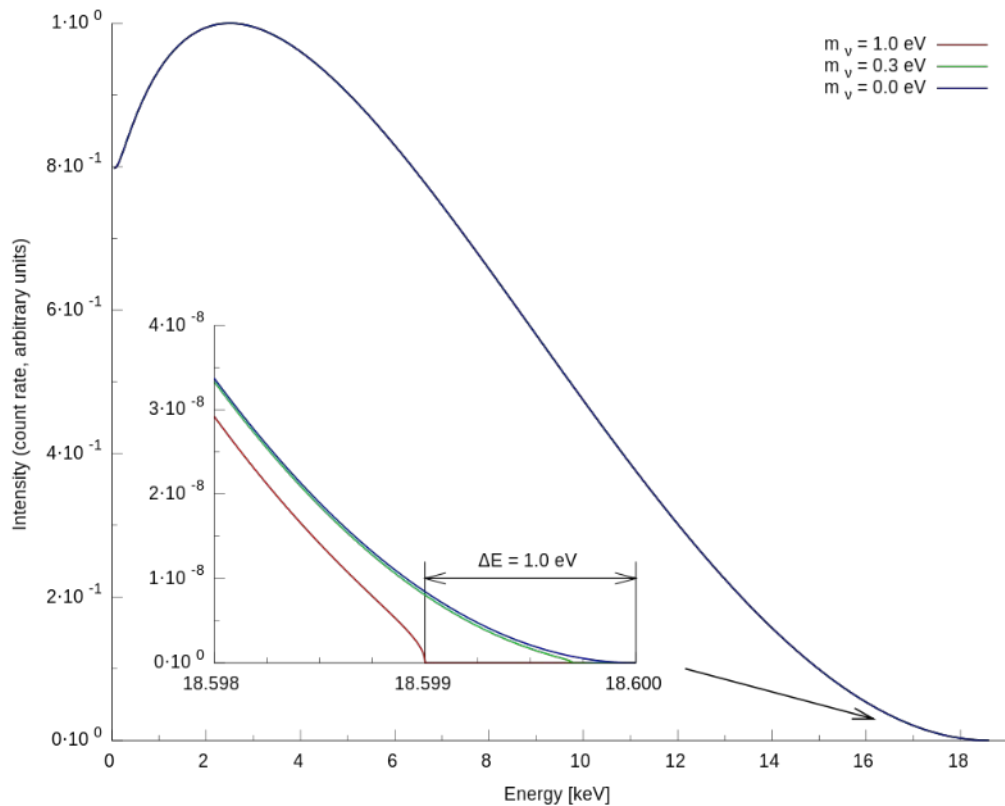
normal hierarchy



inverted hierarchy

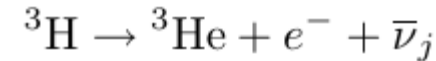


Kinematic limits



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- Example: tritium decay



Q value: 18.6 keV; half-life: 12.3 y

- The masses of ${}^3\text{H}$, ${}^3\text{He}$ and the electron are known precisely. Using conservation of energy and momentum, the mass of the antineutrino can be inferred.
- Kurie function:

$$K(T) \simeq \left[(Q_\beta - T) \sqrt{(Q_\beta - T)^2 - m_\beta^2} \right]^{\frac{1}{2}}$$

$$m_\beta = \left[\sum_{j=1}^3 |U_{ej}|^2 m_j^2 \right]^{\frac{1}{2}}$$

$$= \left[c_{12}^2 c_{13}^2 m_1^2 + s_{12}^2 c_{13}^2 m_2^2 + s_{13}^2 m_3^2 \right]^{\frac{1}{2}}$$

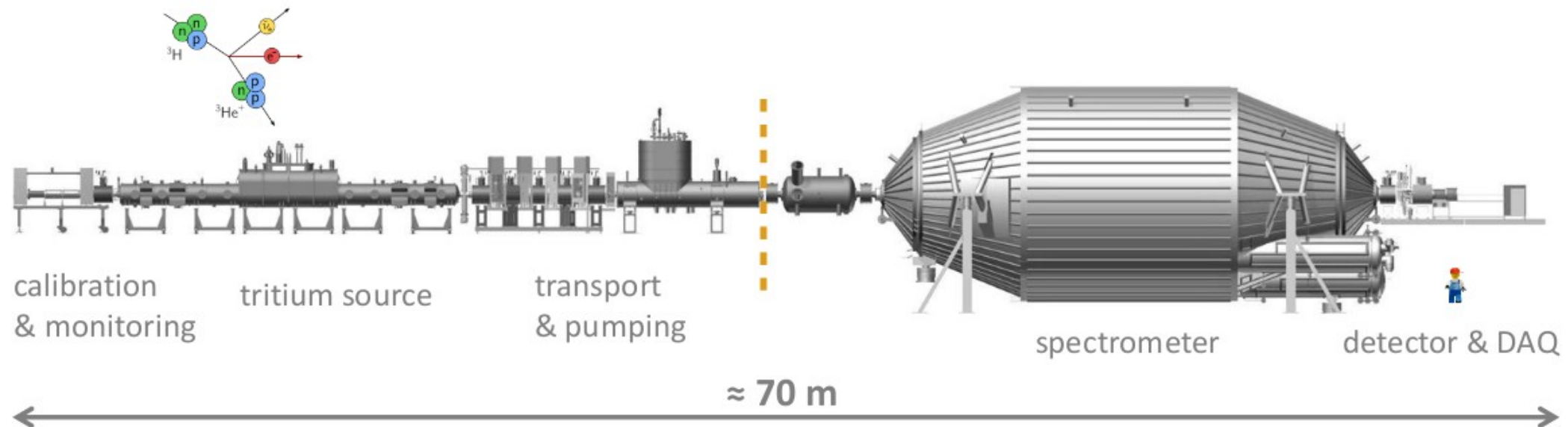
$$m_\beta < 2.05 \text{ eV} \quad (\text{Troitsk})$$

$$m_\beta < 2.3 \text{ eV} \quad (\text{Mainz})$$

KATRIN experiment



Sensitivity of 0.2 eV (90% C.L.)

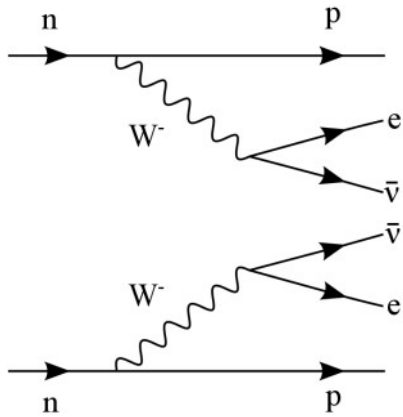


Other kinematic limits

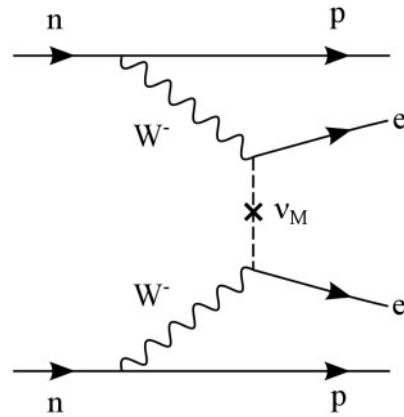
$$\pi^+ \rightarrow \mu^+ + \nu_\mu \qquad (m_{\nu_\mu}^{\text{eff}})^2 = \sum_{j=1}^3 |U_{\mu j}|^2 m_j^2 \qquad m_{\nu_\mu}^{\text{eff}} < 0.17 \text{ MeV (90\% C.L.)}$$

$$\begin{array}{l} \tau^- \rightarrow 2\pi^- \pi^+ \nu_\tau \\ \qquad 3\pi^- 2\pi^+ (\pi^0) \nu_\tau \end{array} \qquad (m_{\nu_\tau}^{\text{eff}})^2 = \sum_{j=1}^3 |U_{\tau j}|^2 m_j^2 \qquad m_{\nu_\tau}^{\text{eff}} < 18.2 \text{ MeV (95\% C.L.)}.$$

Neutrinoless double beta-decay



Allowed by
Standard Model



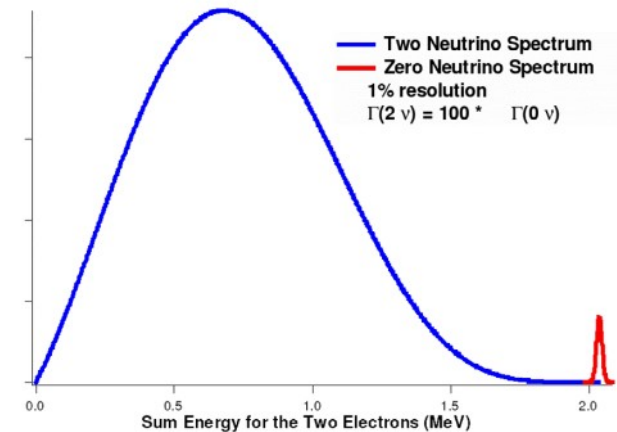
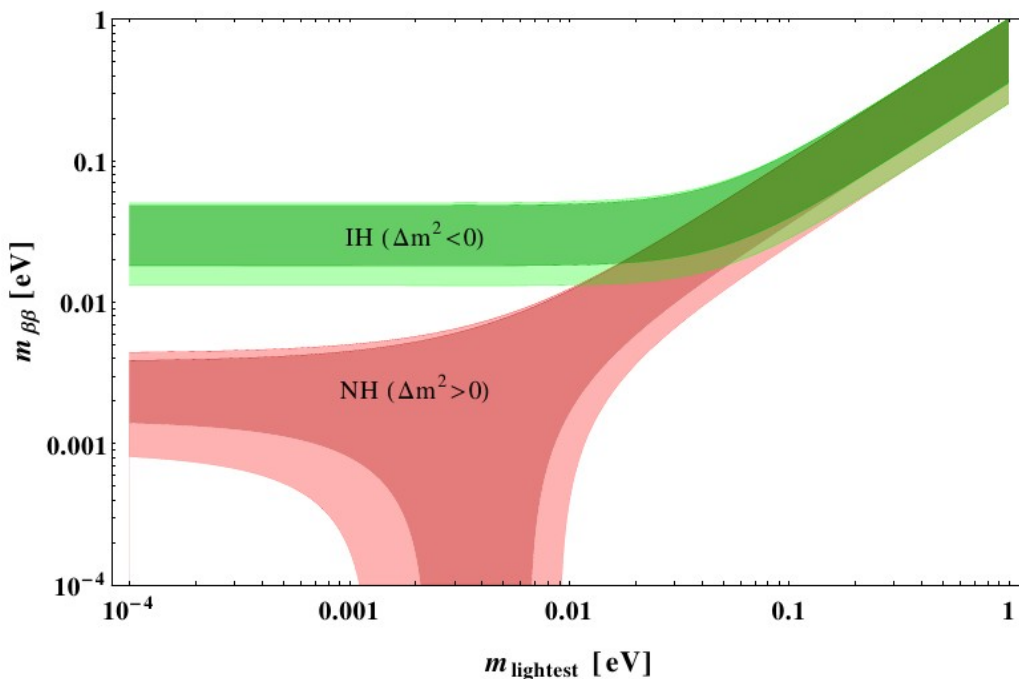
Physics beyond
Standard Model

- Neutrinos might be Majorana fermions. "They are their own antiparticle".
- Majorana mass does not require left and right-handed states (as the Higgs-based one does, a.k.a. as Dirac mass).
- The Majorana nature of neutrinos can be tested by looking for neutrinoless double beta-decay.

$$T_{1/2}^{0\nu}(X) = \left[G_X^{0\nu} |\mathcal{M}_X^{0\nu}|^2 \left(\frac{m_{\beta\beta}}{m_e} \right)^2 \right]^{-1}$$

$$m_{\beta\beta} = \left| \sum_{j=1}^3 U_{ej}^2 m_j \right|$$

$$= \left| c_{12}^2 c_{13}^2 m_1 + e^{2i\alpha_1} s_{12}^2 c_{13}^2 m_2 + e^{2i(\alpha_2 - \delta)} s_{13}^2 m_3 \right|$$



Many experiments...

... with different isotopes.

- GERDA, MAJORANA: germanium solid-state detectors enriched with ^{76}Ge .
- CUORE: bolometer with ^{130}Te crystals.
- SNO+: ^{130}Te dissolved in liquid scintillator in the SNO detector.
- EXO: TPC with liquid ^{136}Xe .
- NEXT: TPC with high-pressure gaseous ^{136}Xe .
- KamLAND-Zen: a balloon filled with liquid scintillator doped with ^{136}Xe in the KamLAND detector.
- SuperNEMO: multiple isotopes in a calo-tracker detector.

NO SIGNAL* YET

Seesaw mechanism

- Neutrinos can have both Dirac and Majorana masses.
- The active neutrinos we know seem to be so light because they would be being “lifted up” by heavy sterile neutrinos.
 - The light neutrinos would be mostly left-handed.
 - The heavy neutrinos would be mostly right handed, so their interactions are suppressed.



$$m_{\text{light}} \simeq \frac{(M_D^\nu)^2}{M_M^\nu}$$

$$m_{\text{heavy}} \simeq M_M^\nu$$

Cosmology bound

- The masses of the neutrinos have an effect on cosmology observables:
 - Cosmic microwave background.
 - Large-scale structure of the Universe.
 - Element abundances from Big Bang Nucleosynthesis.
- From Cosmology, the sum of the masses of the neutrinos is < 0.2 eV.
 - Model dependent fit.
 - Depends on datasets used.
- Cosmological data is also sensitive to the number of “neutrinos”.
 - $N_{\text{eff}} = 3.046$

