

# Particle Physics: Neutrinos – part II

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Week 9: April 1, 2017  
Columbia University Science Honors Program



# Course Policies

## **Attendance**

Up to four absences

Send email notifications of all absences to  
shpattendance@columbia.edu.

Please, no cell phones

## **Please, ask questions!**

## **Lecture materials**

<https://twiki.nevis.columbia.edu/twiki/bin/view/Main/ScienceHonorsProgram>

# Schedule

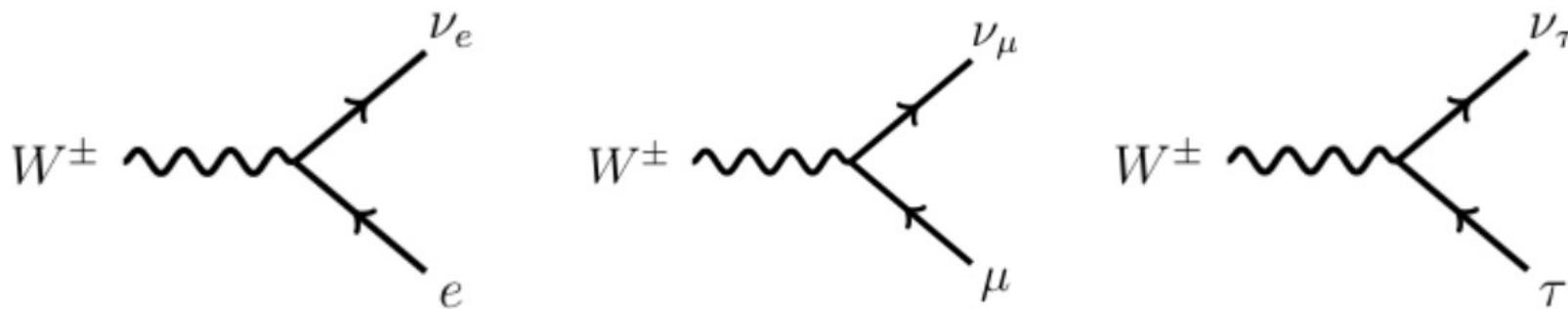
1. ~~Introduction~~ (Inês)
2. ~~History of Particle Physics~~ (José)
3. ~~Special Relativity~~ (José)
4. ~~Quantum Mechanics~~ (Inês)
5. ~~Experimental Methods~~ (Cris)
6. ~~The Standard Model – Overview~~ (Cris)
7. ~~The Standard Model – Limitations~~ (Cris)
8. ~~Neutrinos – part I~~ (José)
9. ~~Neutrinos – part II~~ (José)
10. LHC and Experiments (Inês)
11. The Higgs Boson and Beyond (Inês)
12. Particle Cosmology (Cris)

# 3 evidences for 3 neutrinos

# 3 neutrinos: 3 charged leptons

- Neutrinos are the only neutral elementary fermions → **only weak interaction.**
- Weak interaction only couples to **left-handed neutrinos or right-handed antineutrinos.**
- The **neutrino flavor** is assigned according to the charged lepton they accompany in the charged-current weak interaction (mediated by the W bosons).
- **3 charged leptons → 3 neutrinos.**

|                                      |                              |                                  |                   |               |              |          |             |
|--------------------------------------|------------------------------|----------------------------------|-------------------|---------------|--------------|----------|-------------|
| mass → $\approx 2.3 \text{ MeV}/c^2$ | charge → 2/3                 | spin → 1/2                       | u                 | c             | t            | g        | H           |
|                                      |                              |                                  | up                | charm         | top          | gluon    | Higgs boson |
| $\approx 4.8 \text{ MeV}/c^2$        | $\approx 95 \text{ MeV}/c^2$ | $\approx 173.07 \text{ GeV}/c^2$ | d                 | s             | b            | $\gamma$ |             |
| -1/3                                 | -1/3                         | -1/3                             | down              | strange       | bottom       | photon   |             |
| 0.511 $\text{MeV}/c^2$               | 0.1057 $\text{MeV}/c^2$      | 1.777 $\text{GeV}/c^2$           | e                 | $\mu$         | $\tau$       | Z        |             |
| -1                                   | -1                           | -1                               | electron          | muon          | tau          | Z boson  |             |
| $<2.2 \text{ eV}/c^2$                | $<0.17 \text{ MeV}/c^2$      | $<15.5 \text{ MeV}/c^2$          | $\nu_e$           | $\nu_\mu$     | $\nu_\tau$   | W        |             |
| 0                                    | 0                            | 0                                | electron neutrino | muon neutrino | tau neutrino | W boson  |             |
| 1/2                                  | 1/2                          | 1/2                              |                   |               |              |          |             |



# 3 neutrinos: the Z boson width

- Unstable particles have an intrinsic uncertainty (width) on their mass (*Heisenberg uncertainty principle*):

$$\Delta E \cdot \Delta t \geq \frac{\hbar}{2}$$

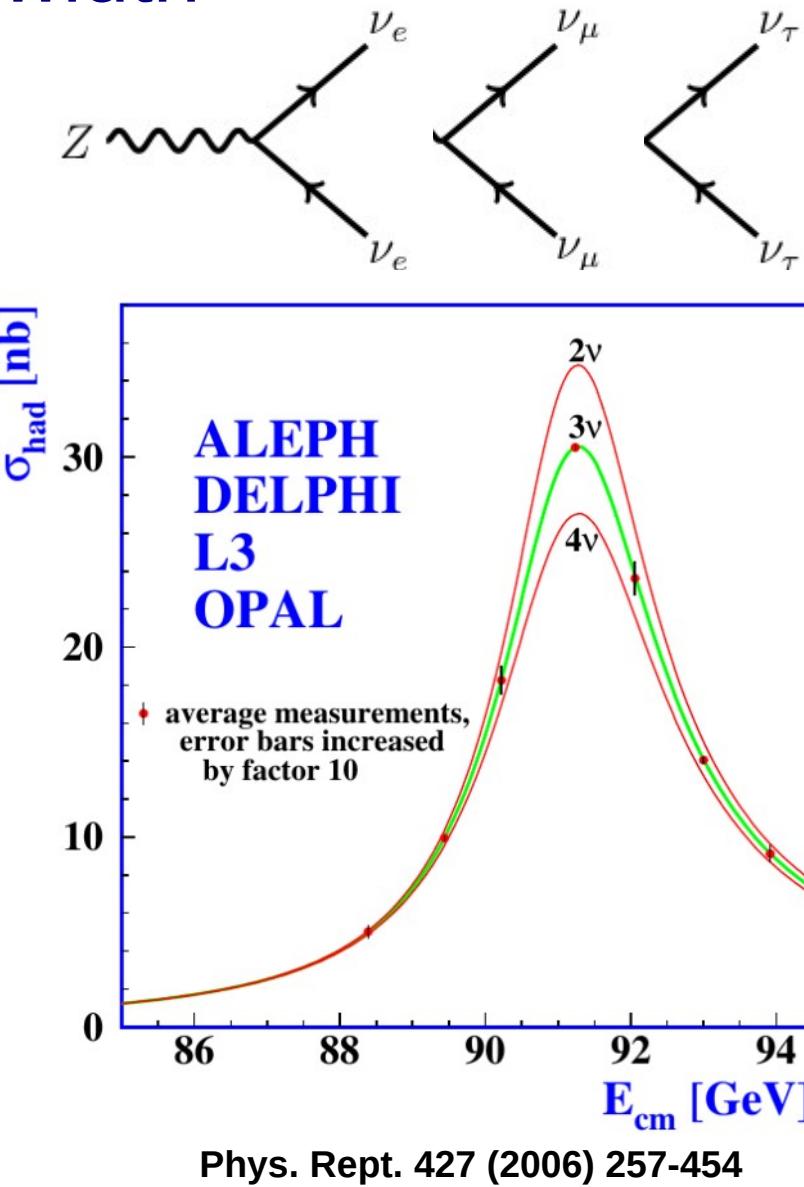
- This width is proportional to the number of disintegration modes and their frequency.
- The width of the Z boson is  $\sim 2.5$  GeV and  $\sim 20\%$  of the times the Z decays into neutrinos (invisible width).
- The 4 detectors of LEP (predecessor of the LHC at CERN) measured this width, which is related to the number of neutrinos\*.

**$N_\nu = 2.9840 \pm 0.0082$ .**

(\*) Only possibilities left:

Very heavy neutrinos ( $> m_Z/2 \approx 45$  GeV).

Neutrinos which do not couple to the Z boson:  
**sterile neutrinos...**



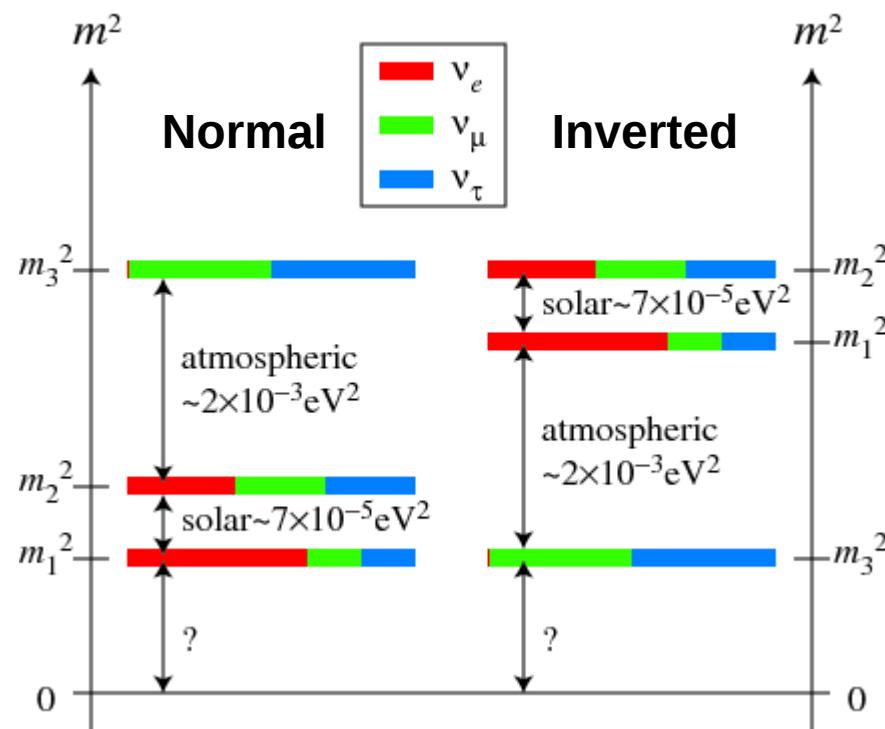
# 3 neutrinos: mass hierarchy

- 2 squared-mass differences  $\rightarrow$  **3 neutrinos**.

PMNS matrix:  $U$

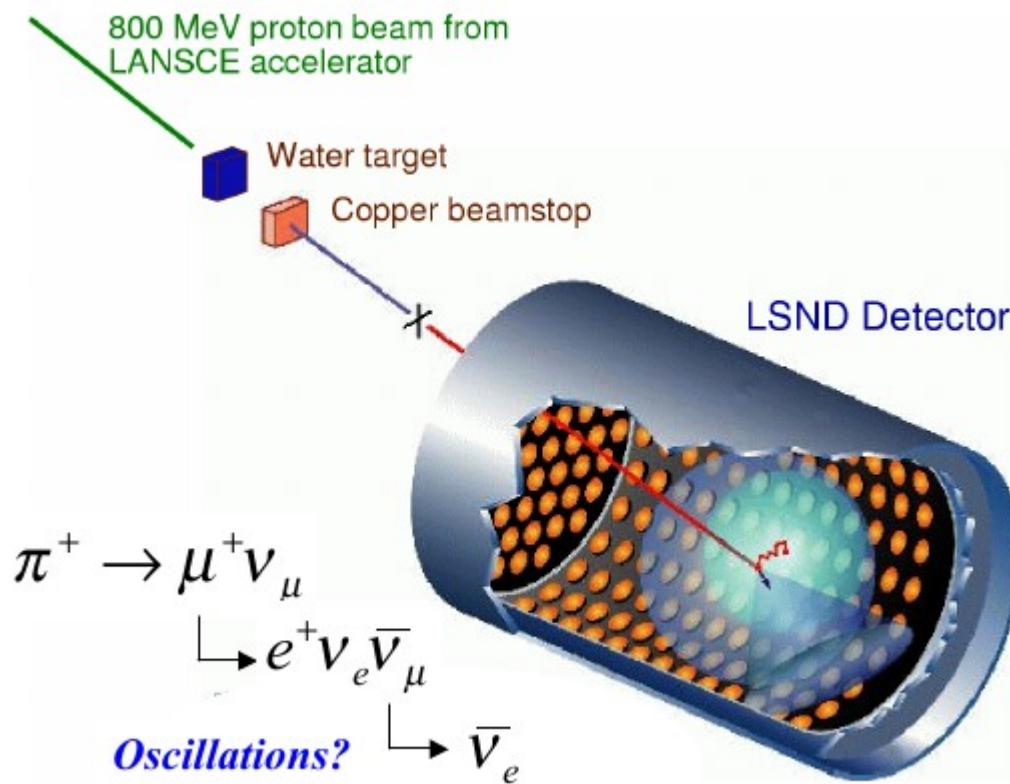
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & s_{13} e^{-i\delta} & 1 \\ -s_{13} e^{i\delta} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \rightarrow \begin{matrix} m_1 \\ m_2 \\ m_3 \end{matrix}$$

$c_{ij} = \cos \theta_{ij}, \quad s_{ij} = \sin \theta_{ij}$



End of story?

# LSND anomaly



$\mu^+$  decay-at-rest experiment.

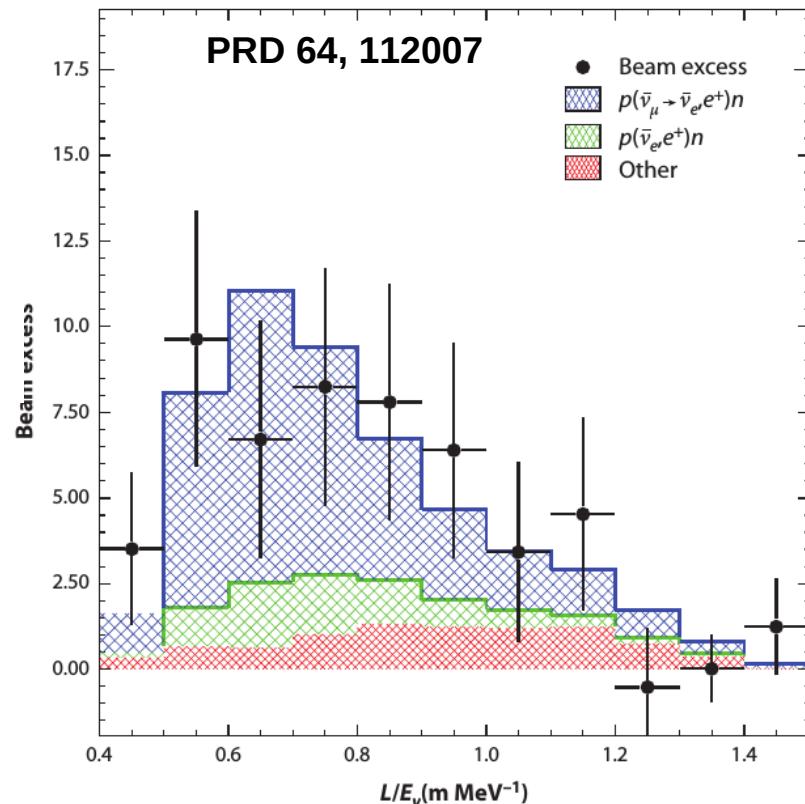
Very low  $\nu_e^-$  contamination.

Liquid scintillator detector.

Low background: inverse  $\beta$ -decay detection:

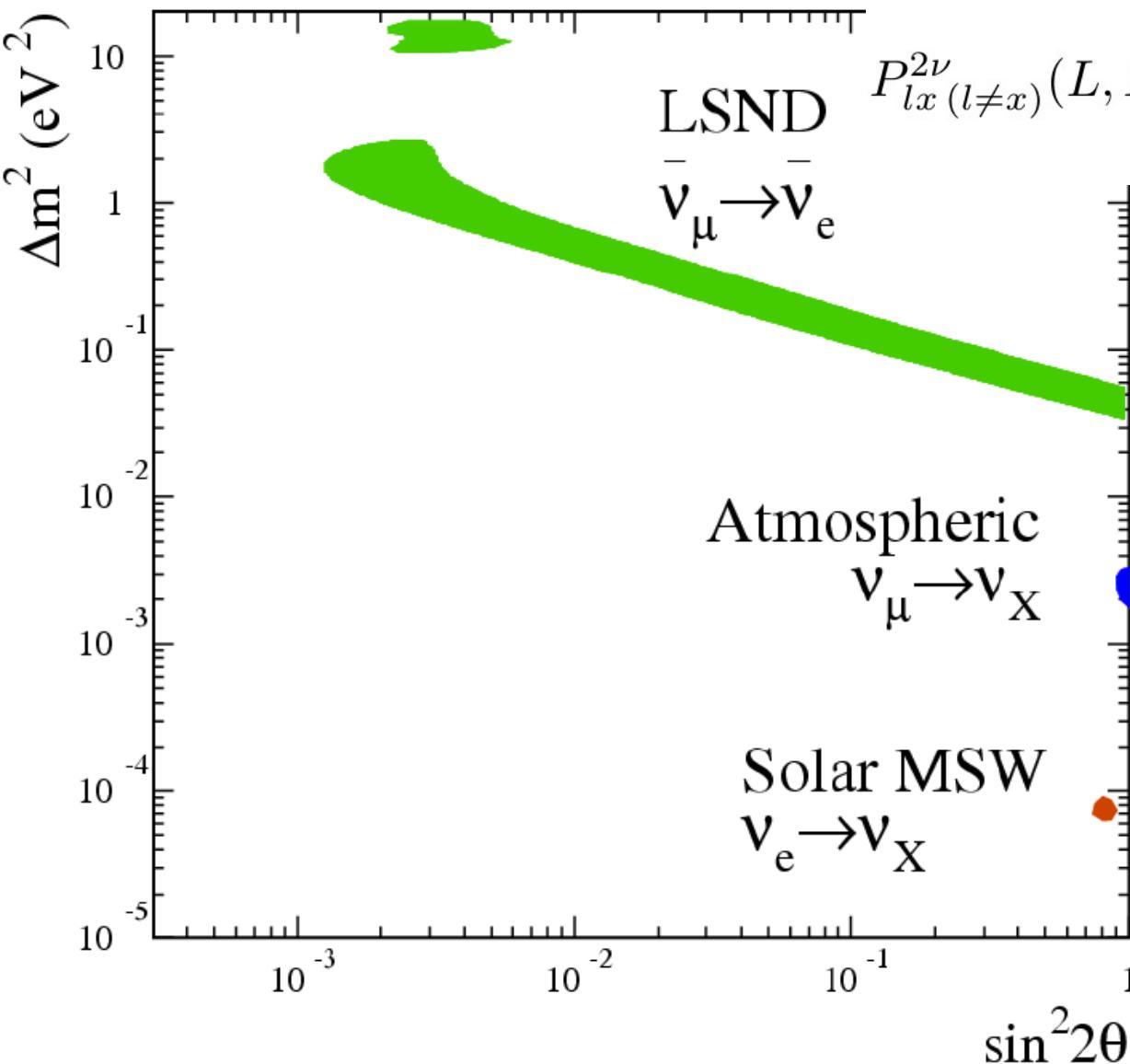


Excess of  $87.9 \pm 22.4 \pm 6.0$  events.



# LSND anomaly

Oscillation probability:  $(0.264 \pm 0.067 \pm 0.045)\%$



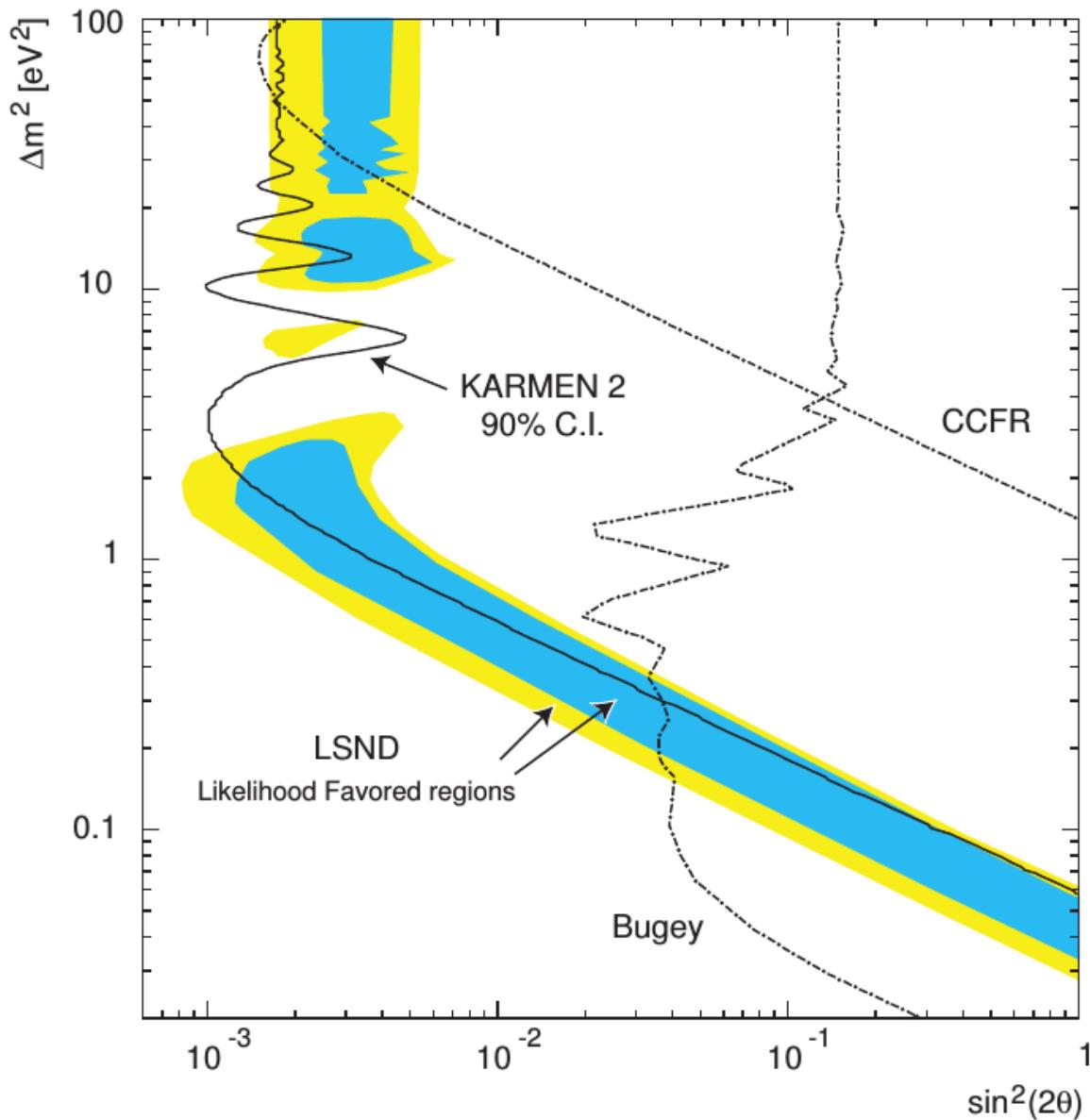
$$P_{lx(l \neq x)}^{2\nu}(L, E) = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$

Cannot be explained  
with just 3 neutrinos!

Difference between squared  
masses 3 and 2, 1

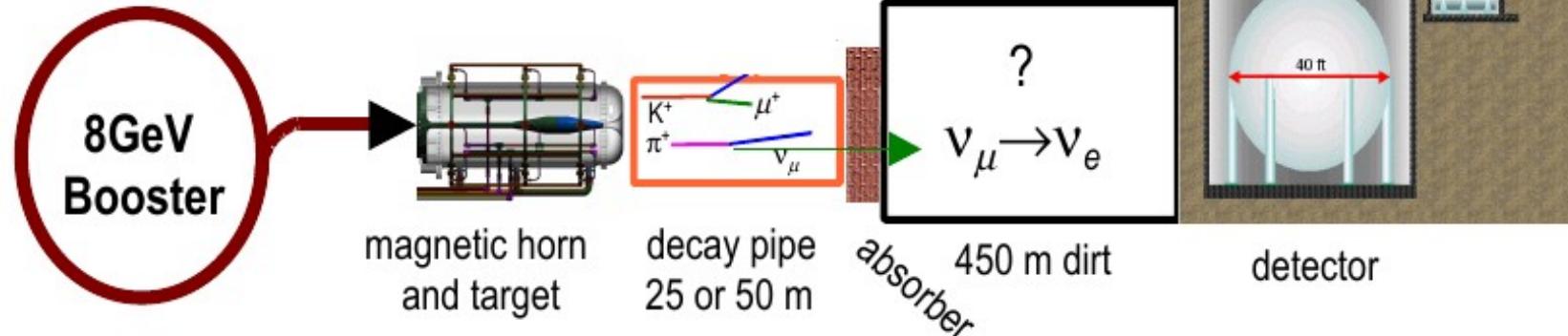
Difference between  
squared masses 2 and 1

# LSND anomaly

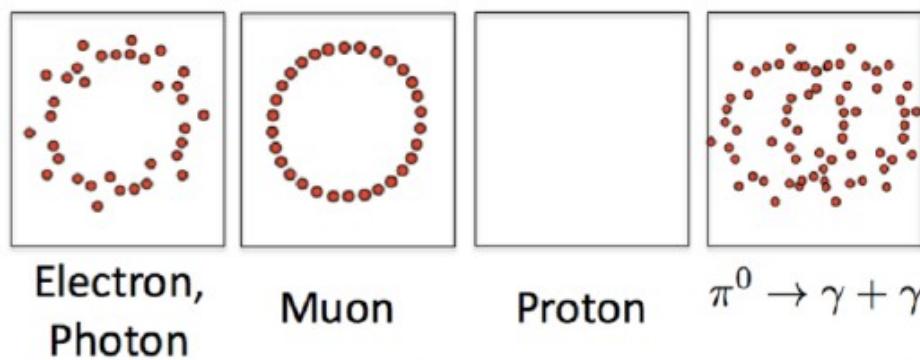


A big portion of the allowed region is excluded by the lack of oscillation signal in other experiments, but it cannot be ruled out completely

# MiniBooNE experiment



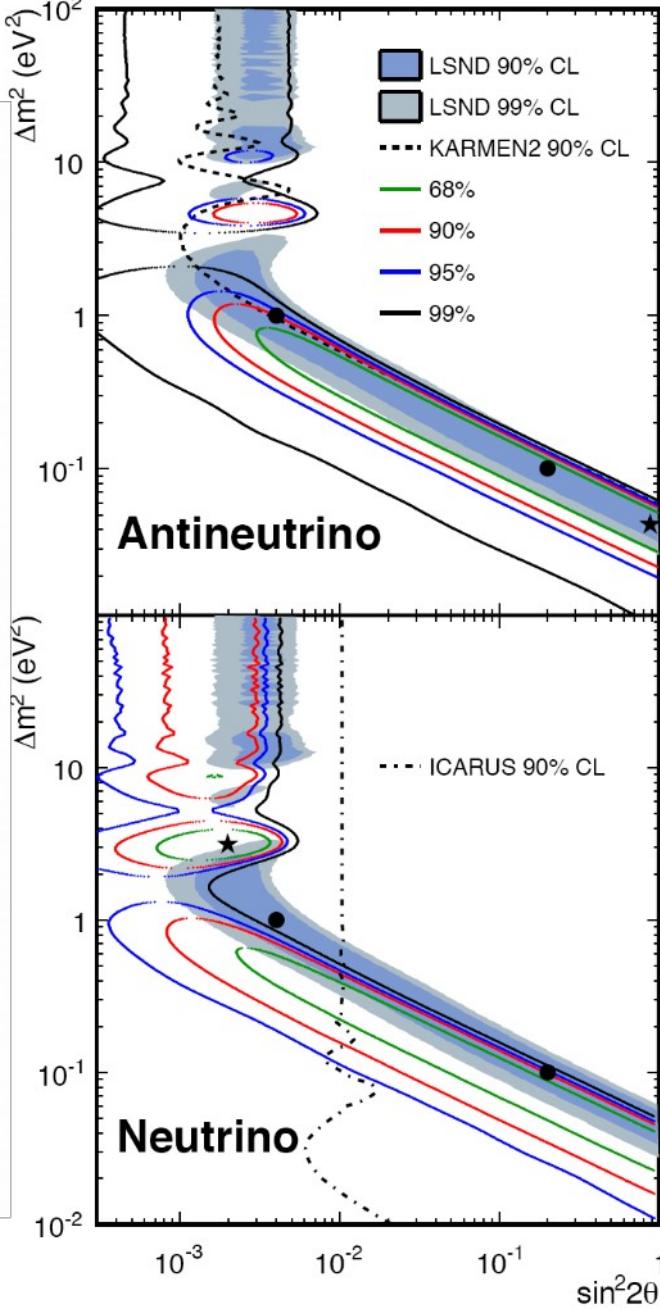
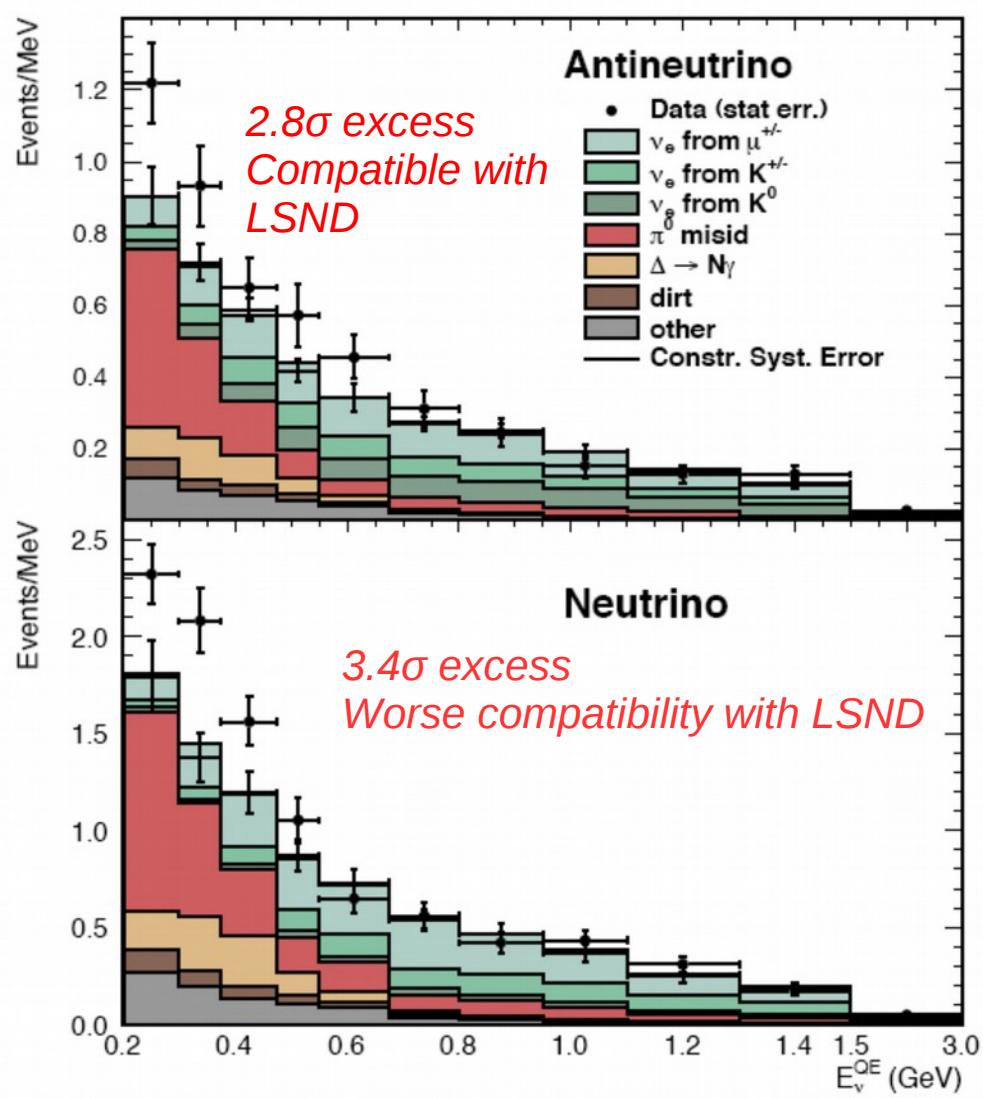
- Different beam: mostly pion decay-in-flight experiment.
- Different detector: Cherenkov detector.



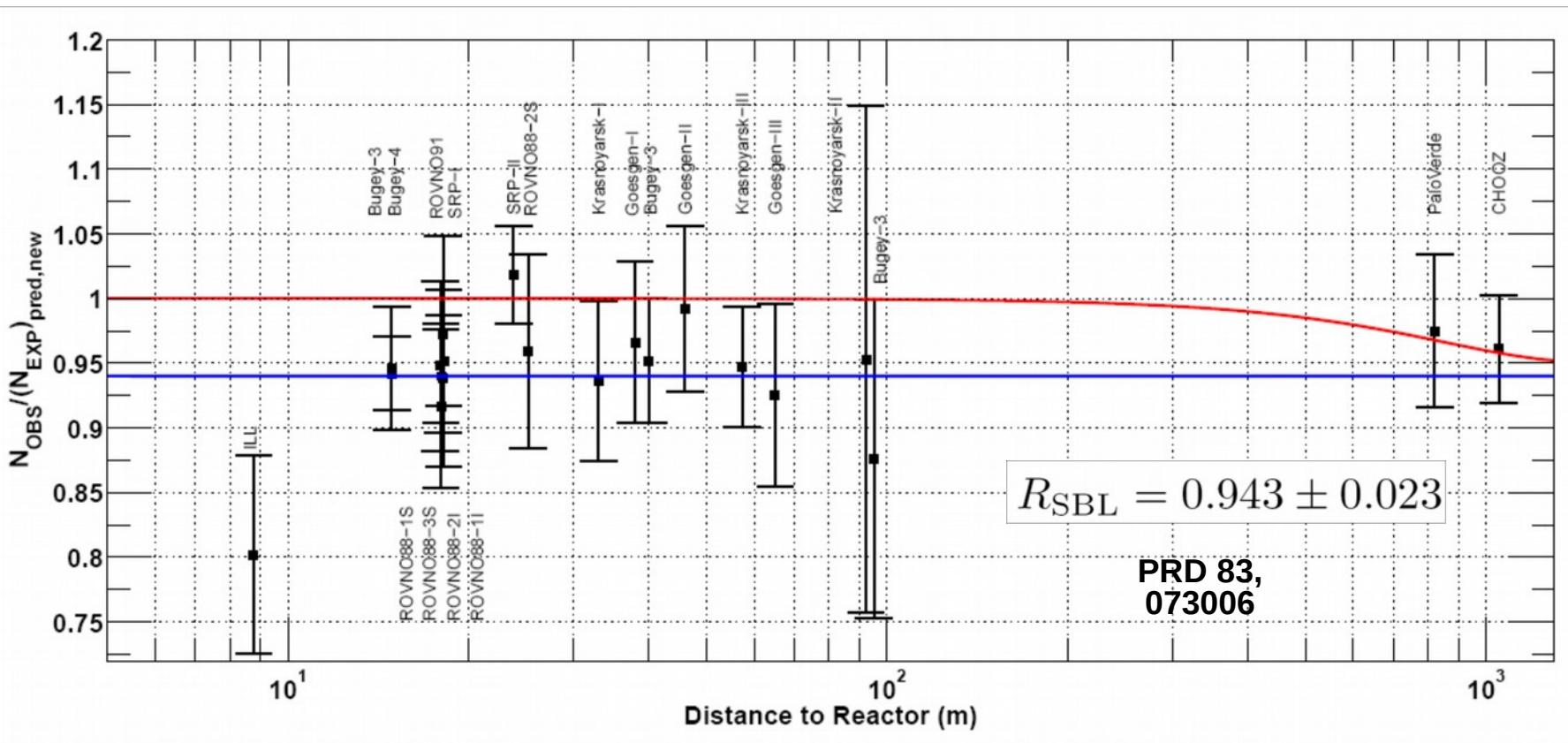
- Different energy range: 8 GeV to 10 GeV, covering the neutrino oscillation region.

$$P_{lx}^{2\nu} (l \neq x) (L, E) = \sin^2(2\theta) \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$$

# MiniBooNE anomaly

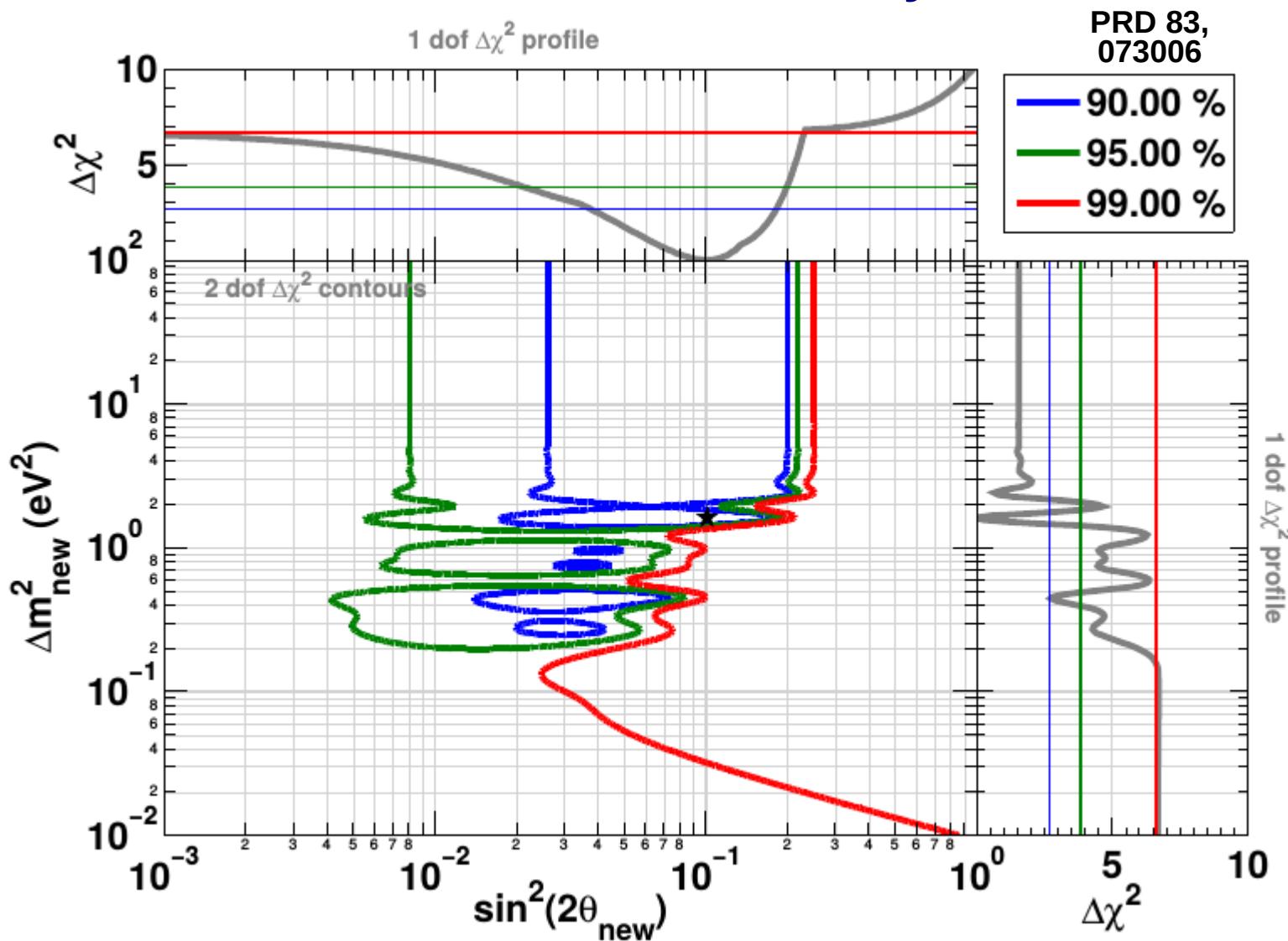


# Reactor anomaly



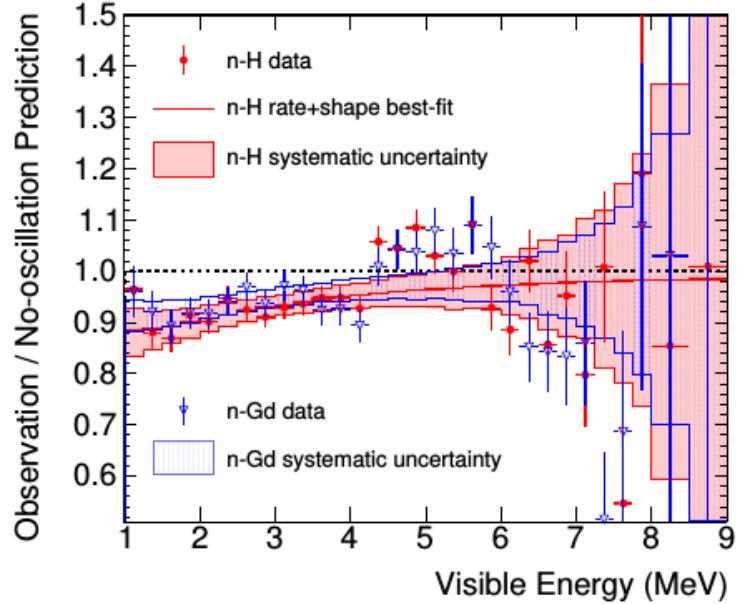
- After re-calculation of the predicted reactor flux, all past short-baseline reactor experiments observe a deficit of electron antineutrinos.
- Can be interpreted as the result of neutrino oscillation driven by a  $\Delta m^2 \gtrsim 1 \text{ eV}^2$

# Reactor anomaly



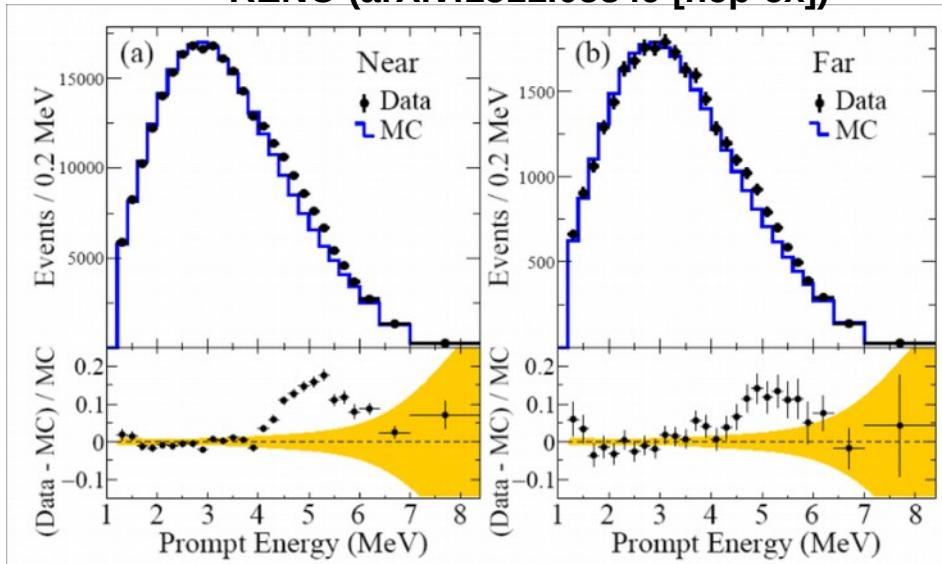
# Reactor anomaly: spectrum distortion in 3 experiments

Double Chooz (JHEP01 (2016) 163)

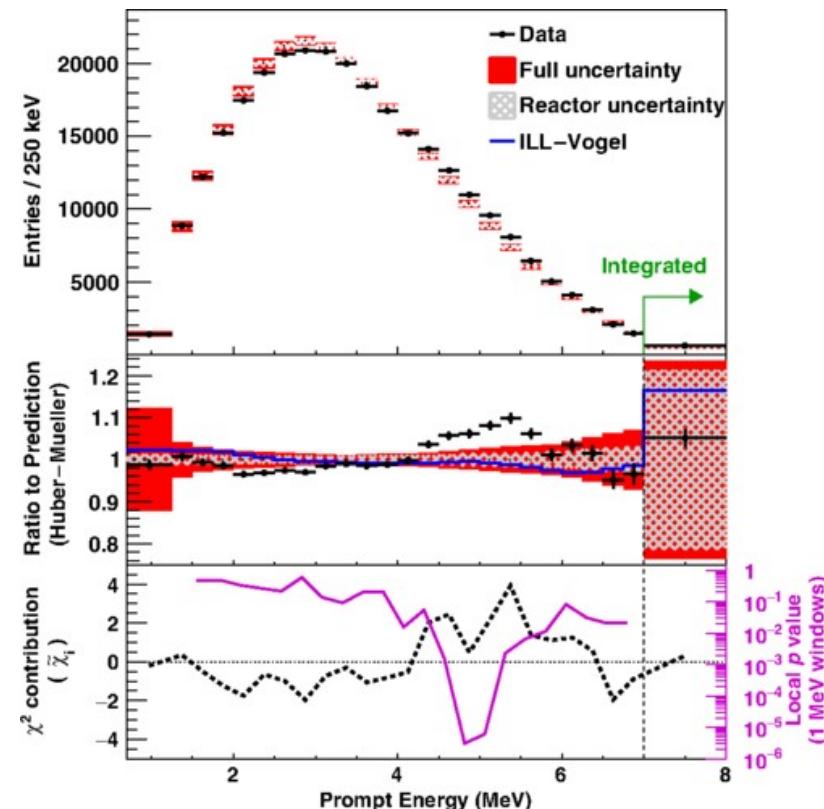


Alternative: predicted reactor flux is wrong.

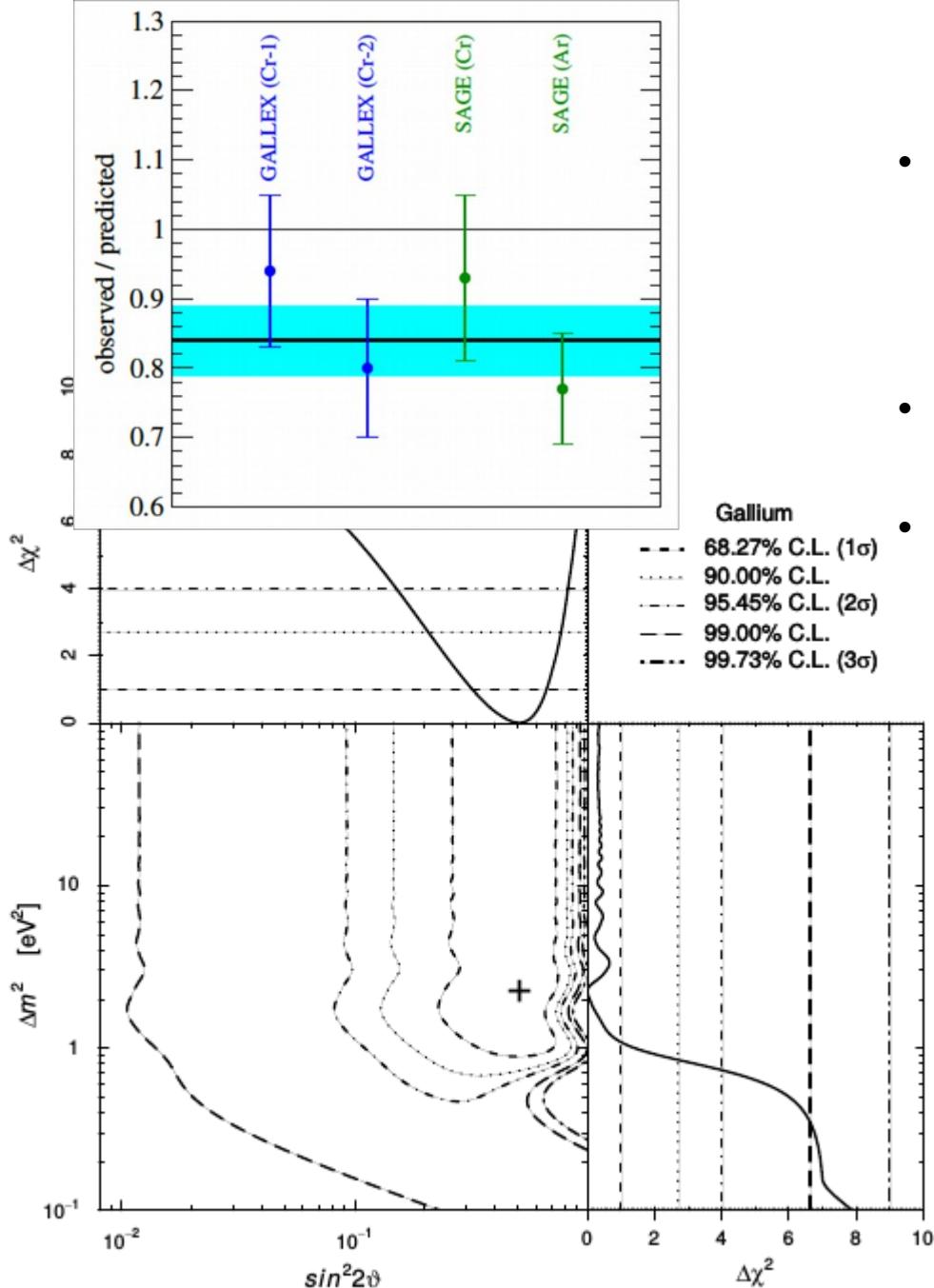
RENO (arXiv:1511.05849 [hep-ex])



Daya Bay (PRL 116, 061801)

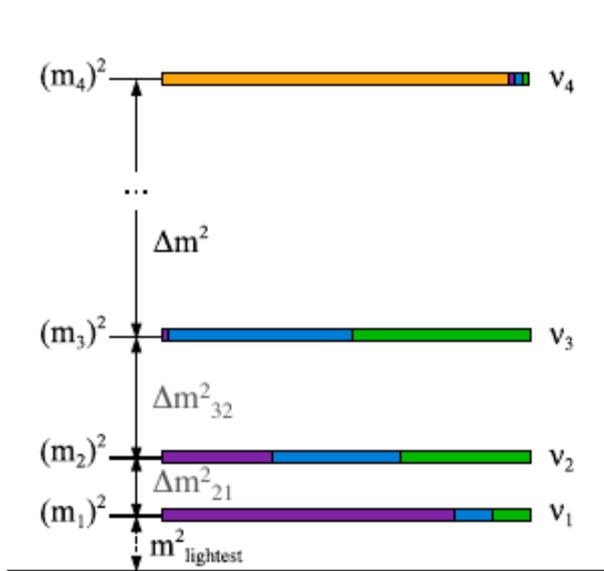


# Gallium anomaly



- Radioactive sources used to calibrate gallium-based solar experiments:
 
$$\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$$
- $R_{\text{Ga}} = 0.86 \pm 0.05$
- Taking into account the uncertainty in the cross-section and the transition to the two excited states of  ${}^{71}\text{Ge}$  (**PRC (2011) 065504**):
 
$$R_{\text{Ga}} = 0.76^{+0.09}_{-0.08}$$
- Can be interpreted as the result of neutrino oscillation driven by a  $\Delta m^2 \gtrsim 1$  eV $^2$

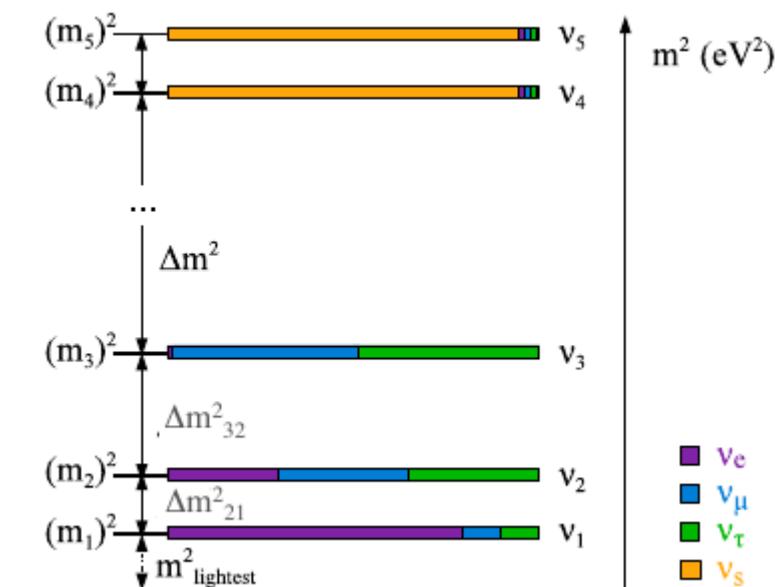
# Sterile neutrino models



**3 + 1**

- The sterile neutrino gives the high  $\Delta m^2$
- Cannot explain differences between neutrinos/antineutrinos in MiniBooNE.
- Cannot explain the non-disappearance of the muon flavor

█  $\nu_e$   
█  $\nu_\mu$   
█  $\nu_\tau$   
█  $\nu_s$



**3 + 2**

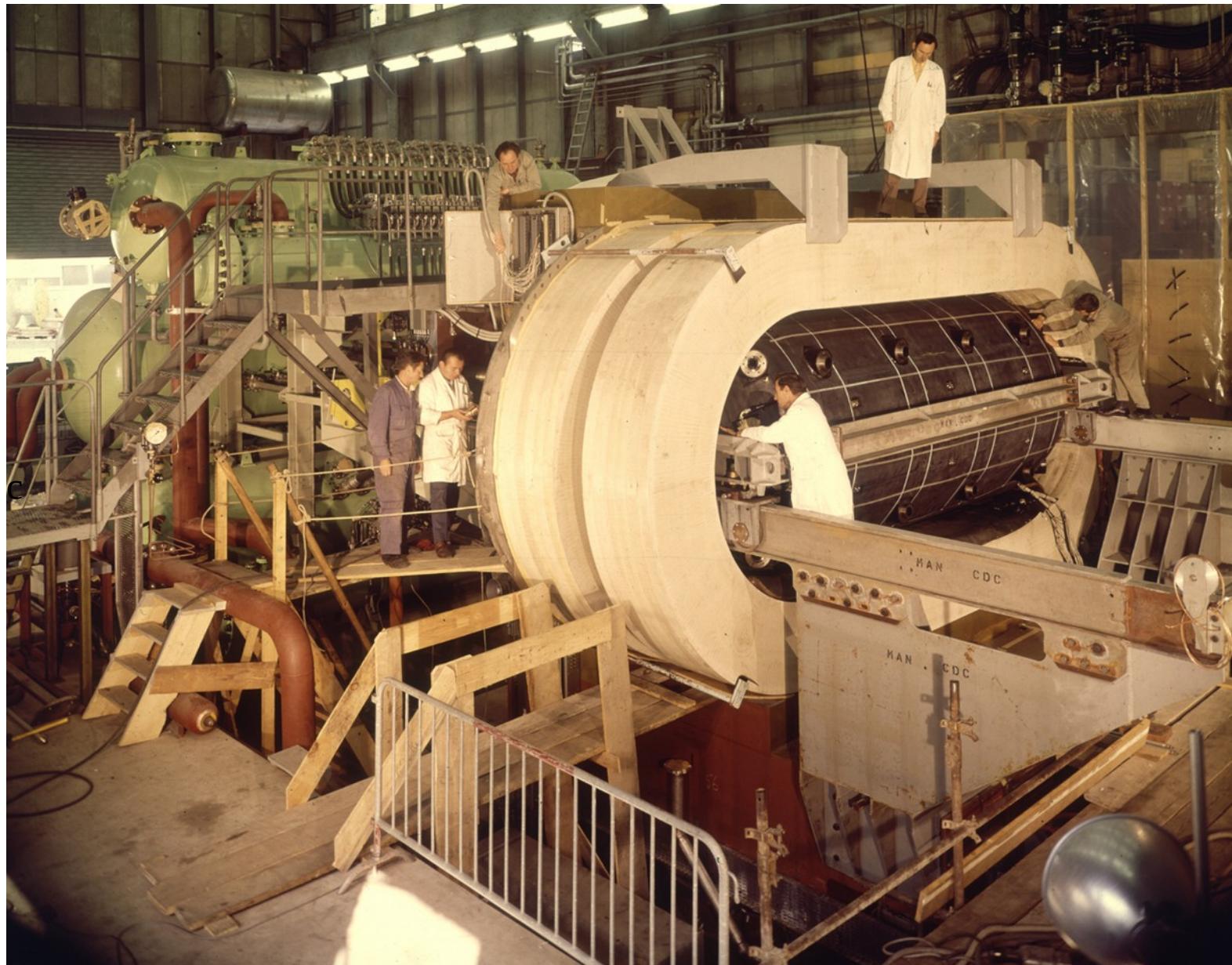
- The two sterile neutrinos give the high  $\Delta m^2$
- Incorporates CP violation: neutrinos and antineutrinos oscillate differently.
- The non-disappearance of the muon flavor still unexplained.

3 + 3 does not improve the situation.

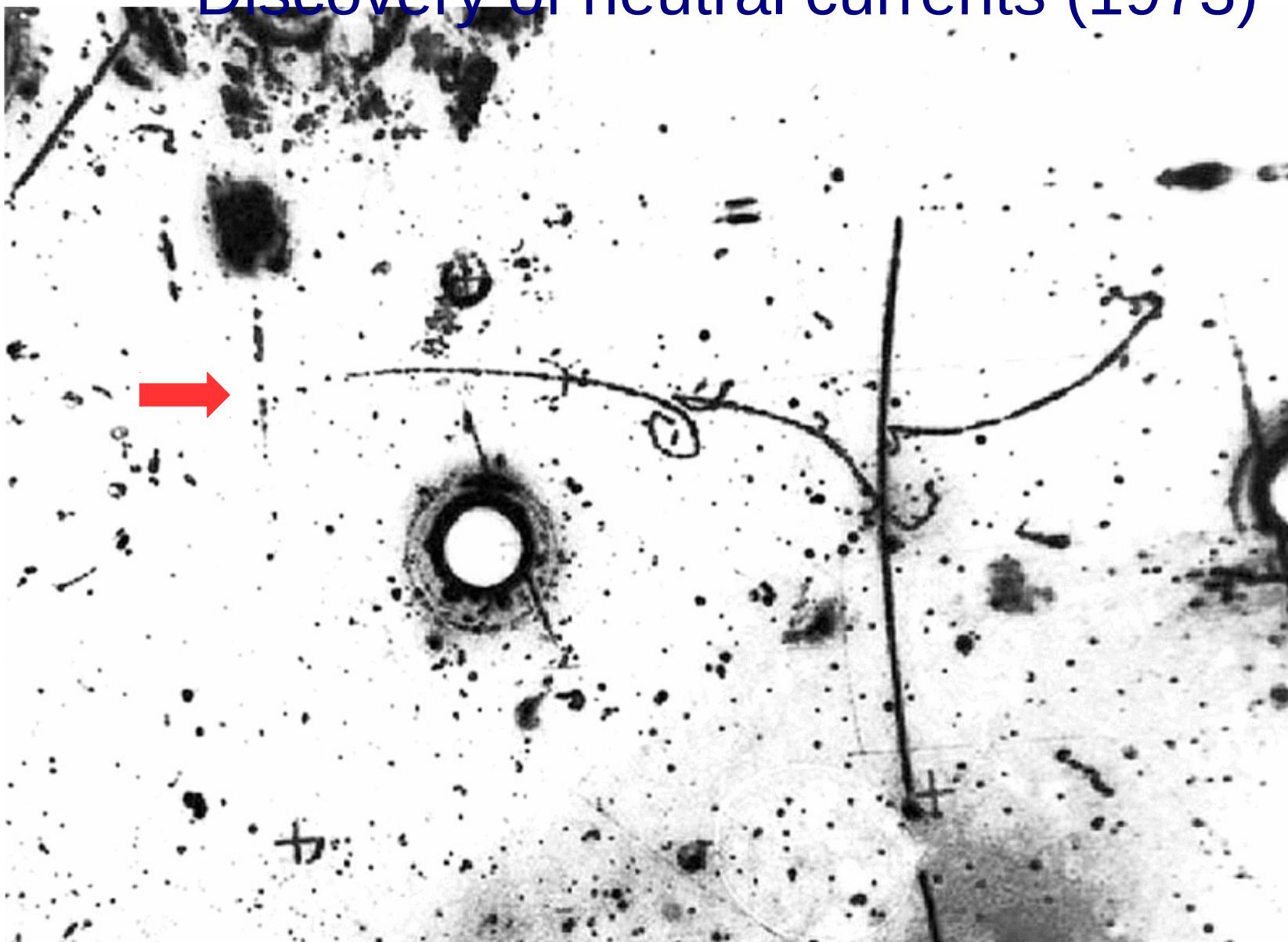
█  $\nu_e$   
█  $\nu_\mu$   
█  $\nu_\tau$   
█  $\nu_s$

# The Short Baseline Neutrino Program at Fermilab

# Bubble chamber: Gargamelle (1970 - 1979)



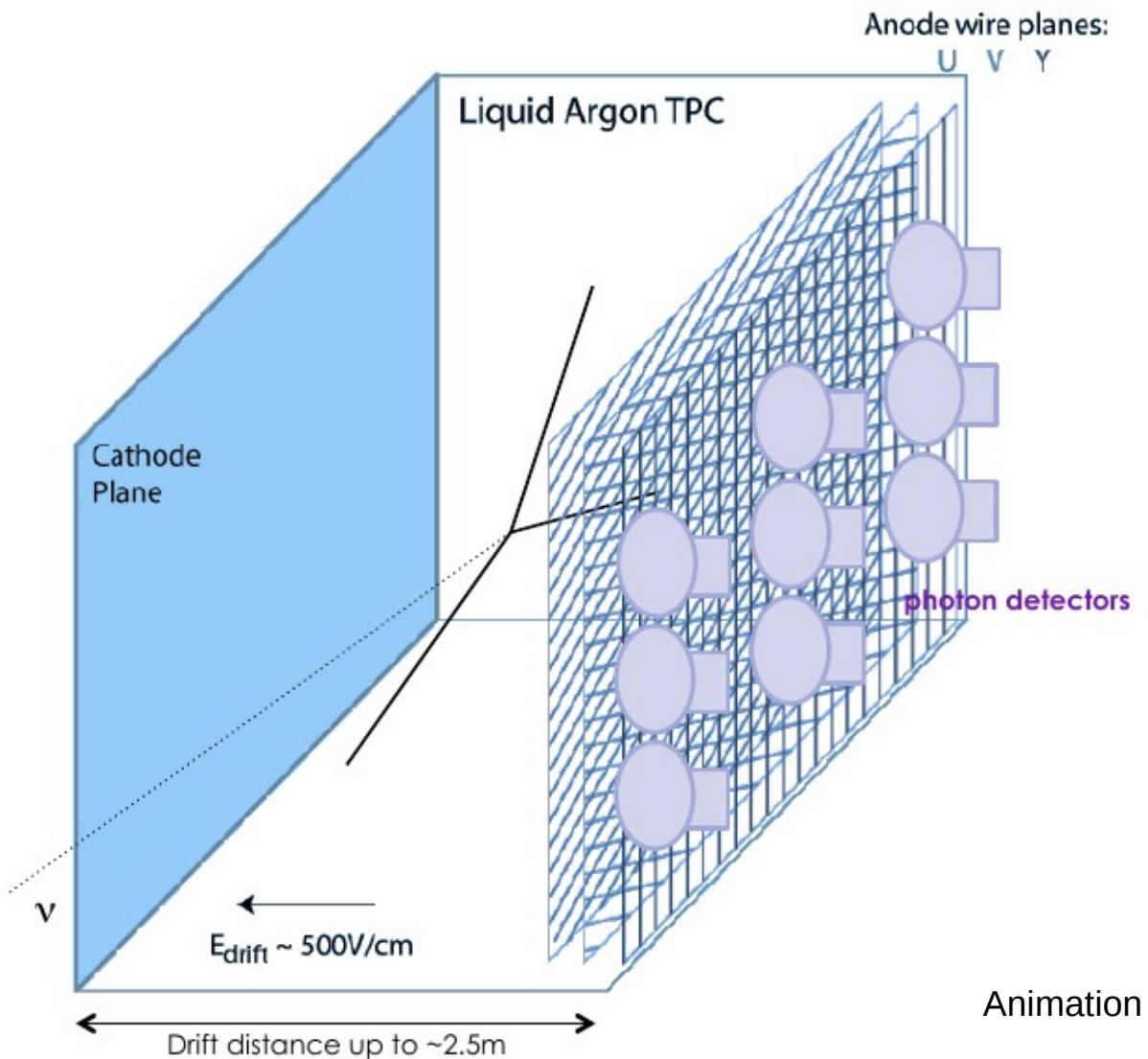
# Discovery of neutral currents (1973)





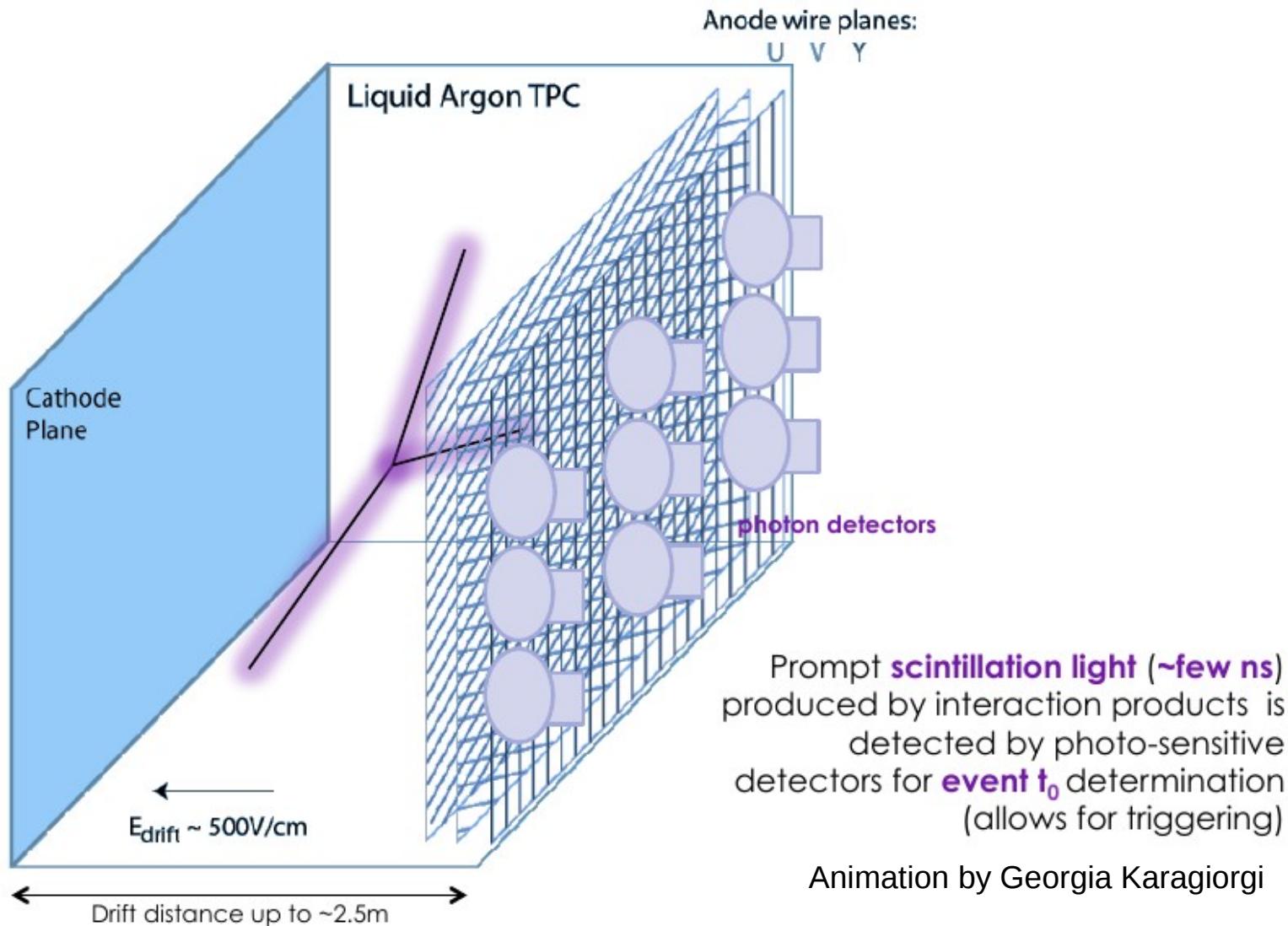
# Liquid argon time projection chamber

How a LArTPC works:

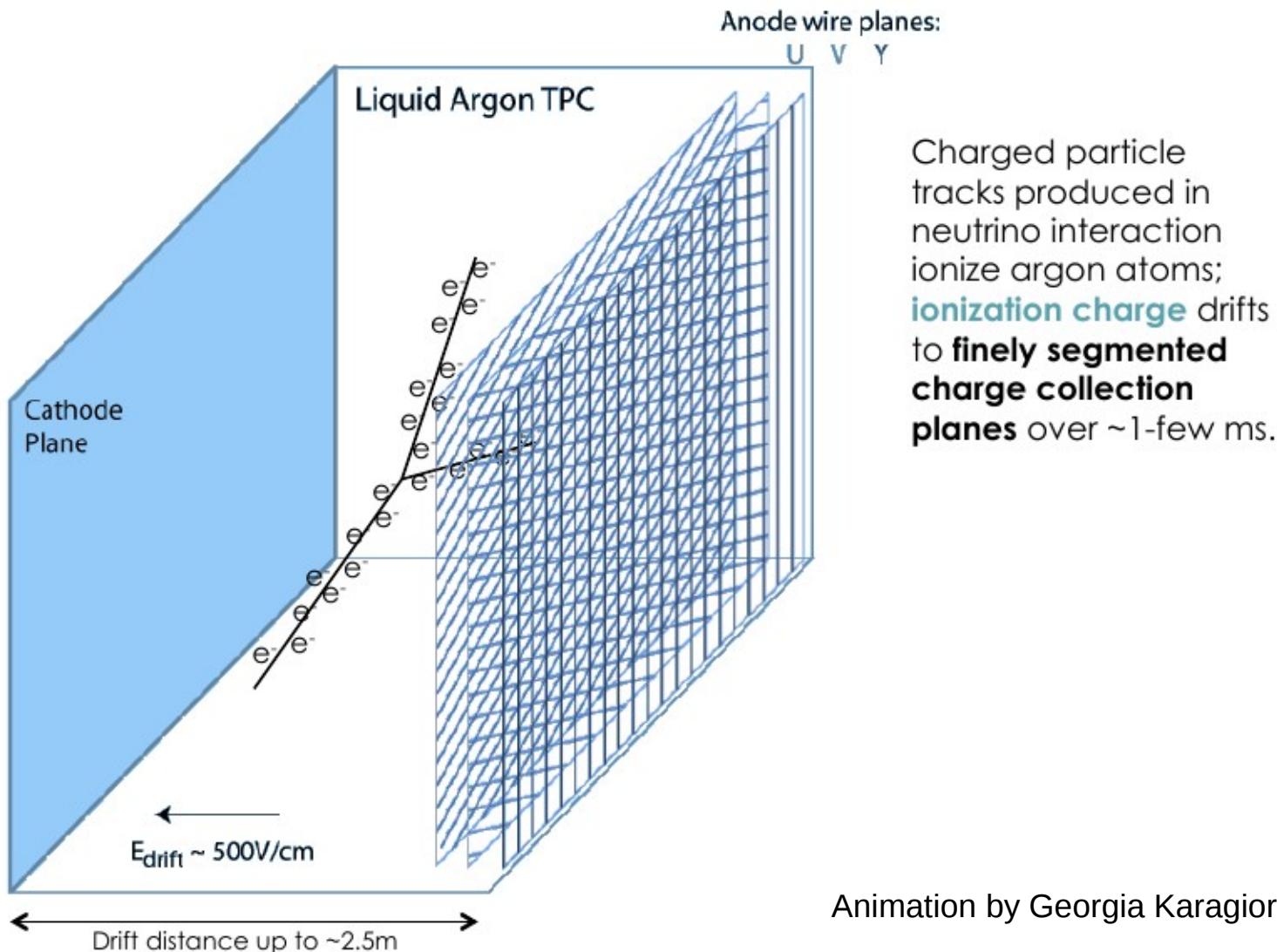


Animation by Georgia Karagiorgi

## How a LArTPC works:

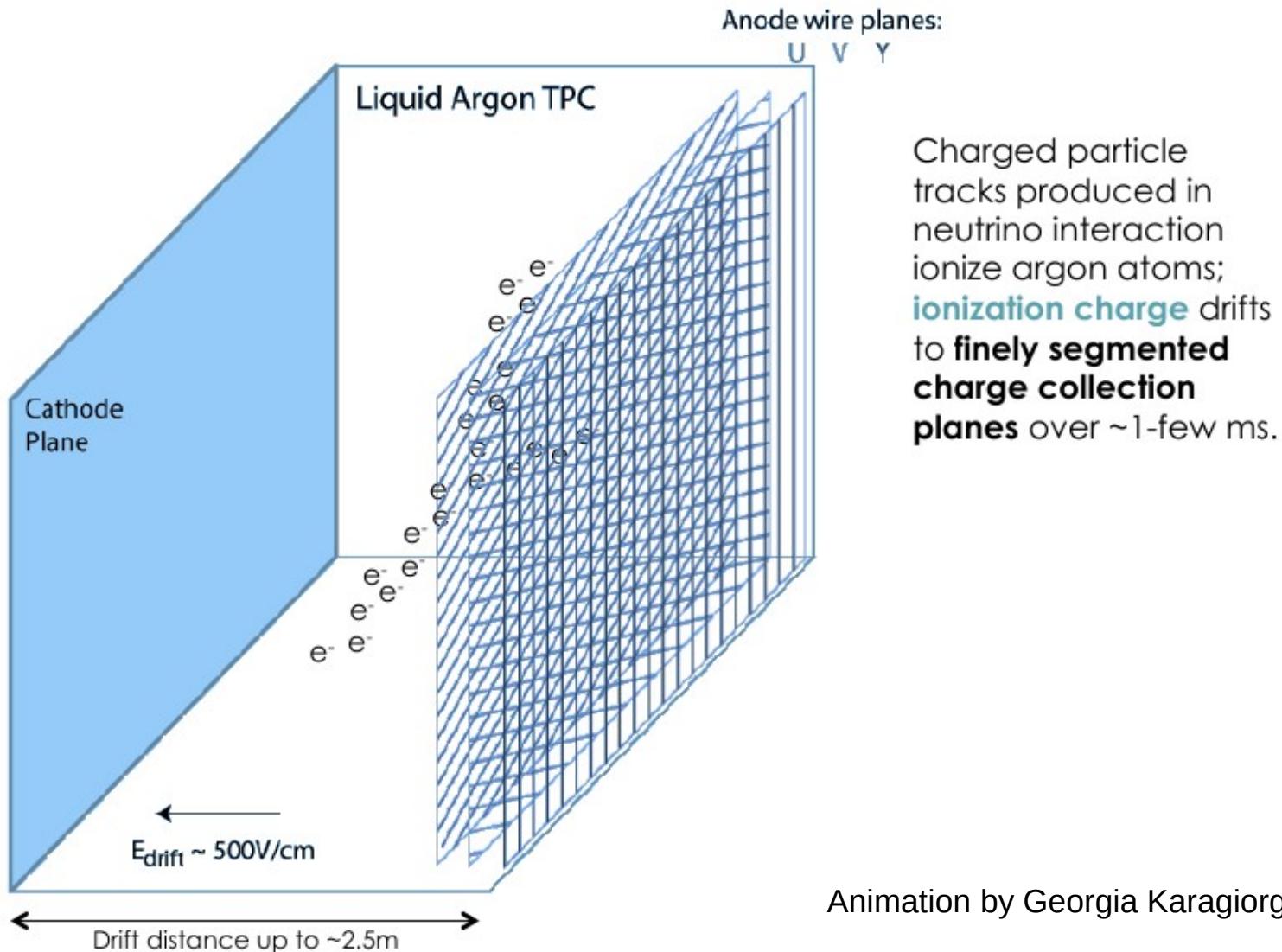


## How a LArTPC works:



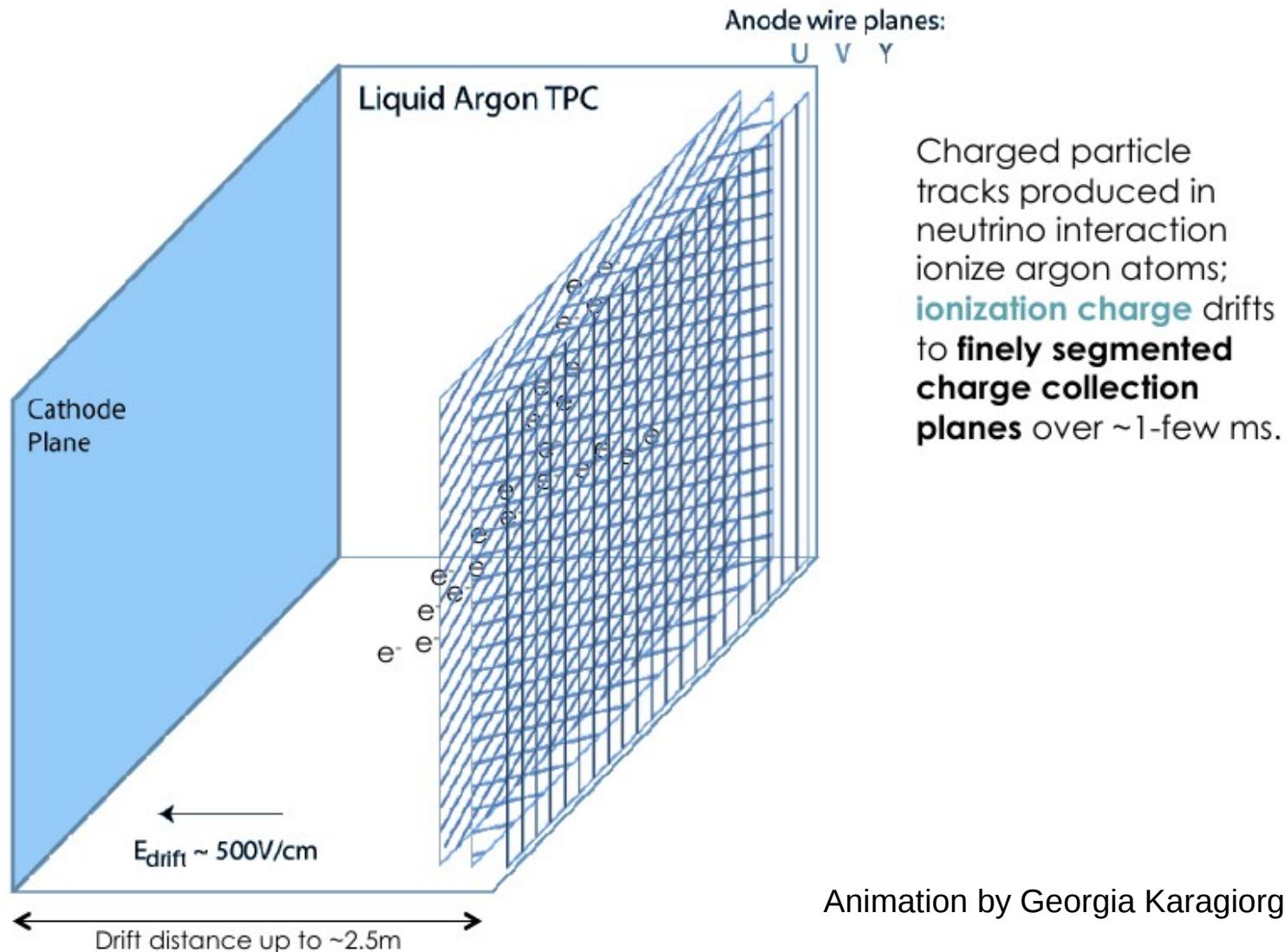
Animation by Georgia Karagiorgi

## How a LArTPC works:

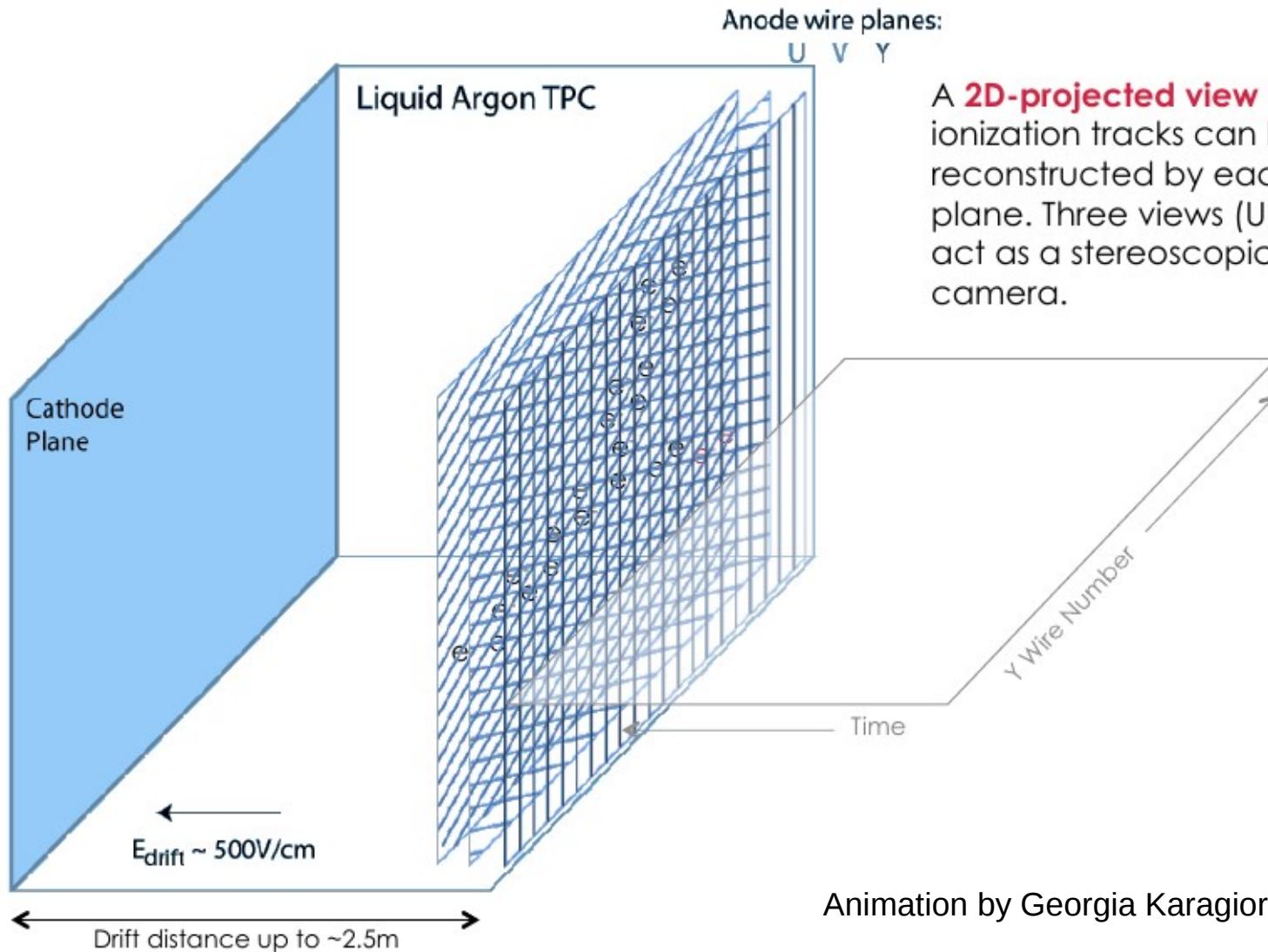


Animation by Georgia Karagiorgi

## How a LArTPC works:



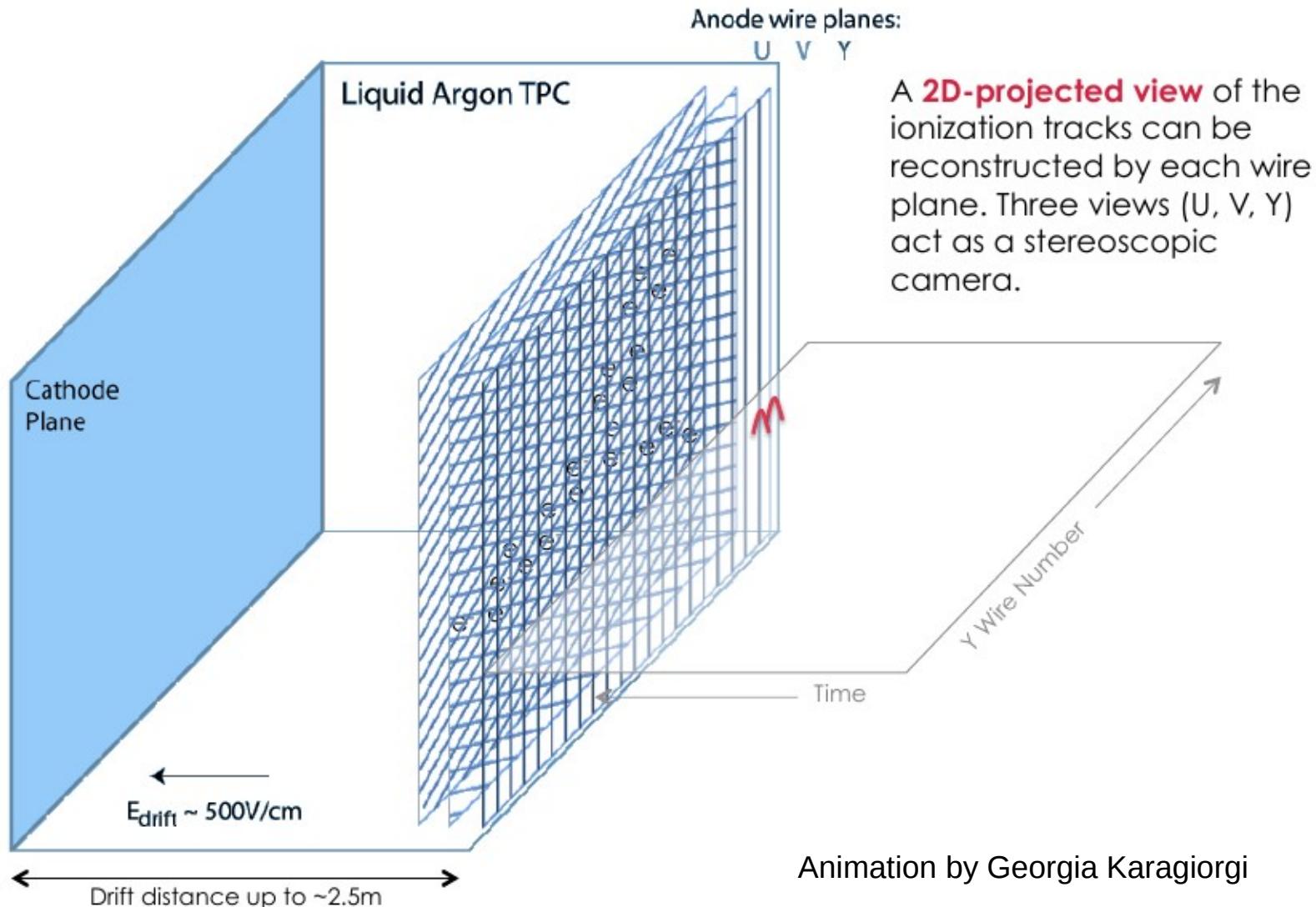
## How a LArTPC works:



Animation by Georgia Karagiorgi

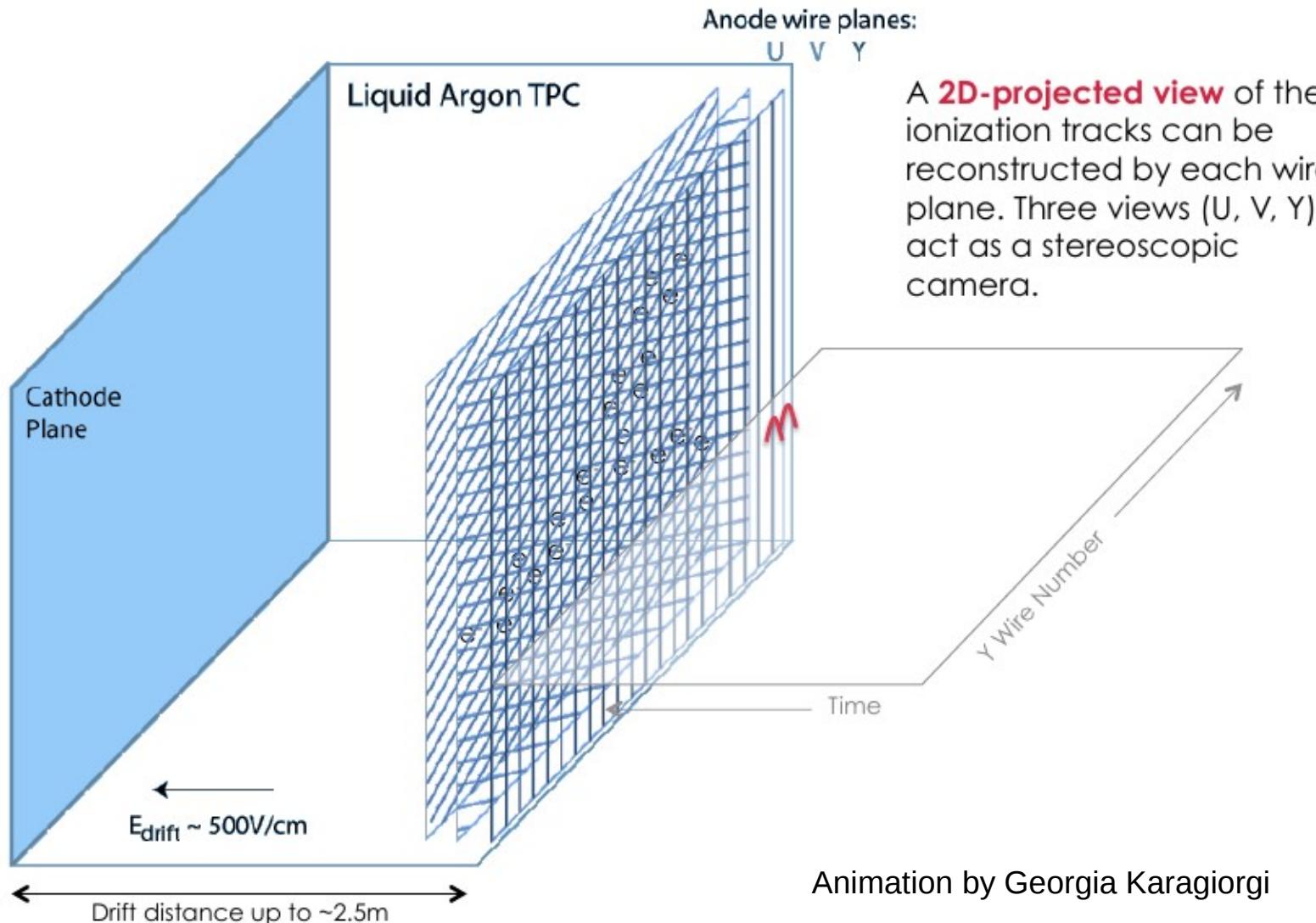
28

## How a LArTPC works:



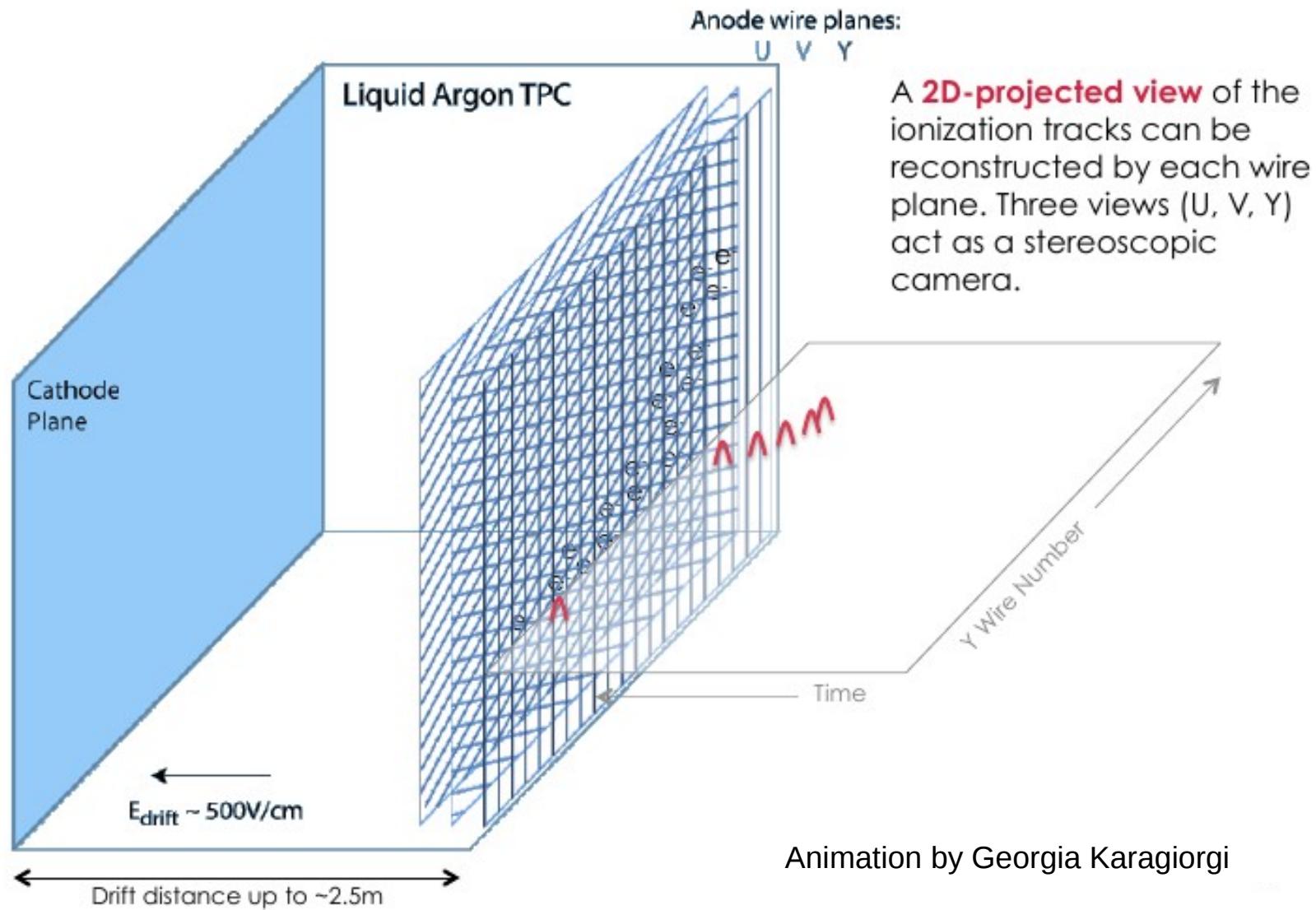
Animation by Georgia Karagiorgi

## How a LArTPC works:

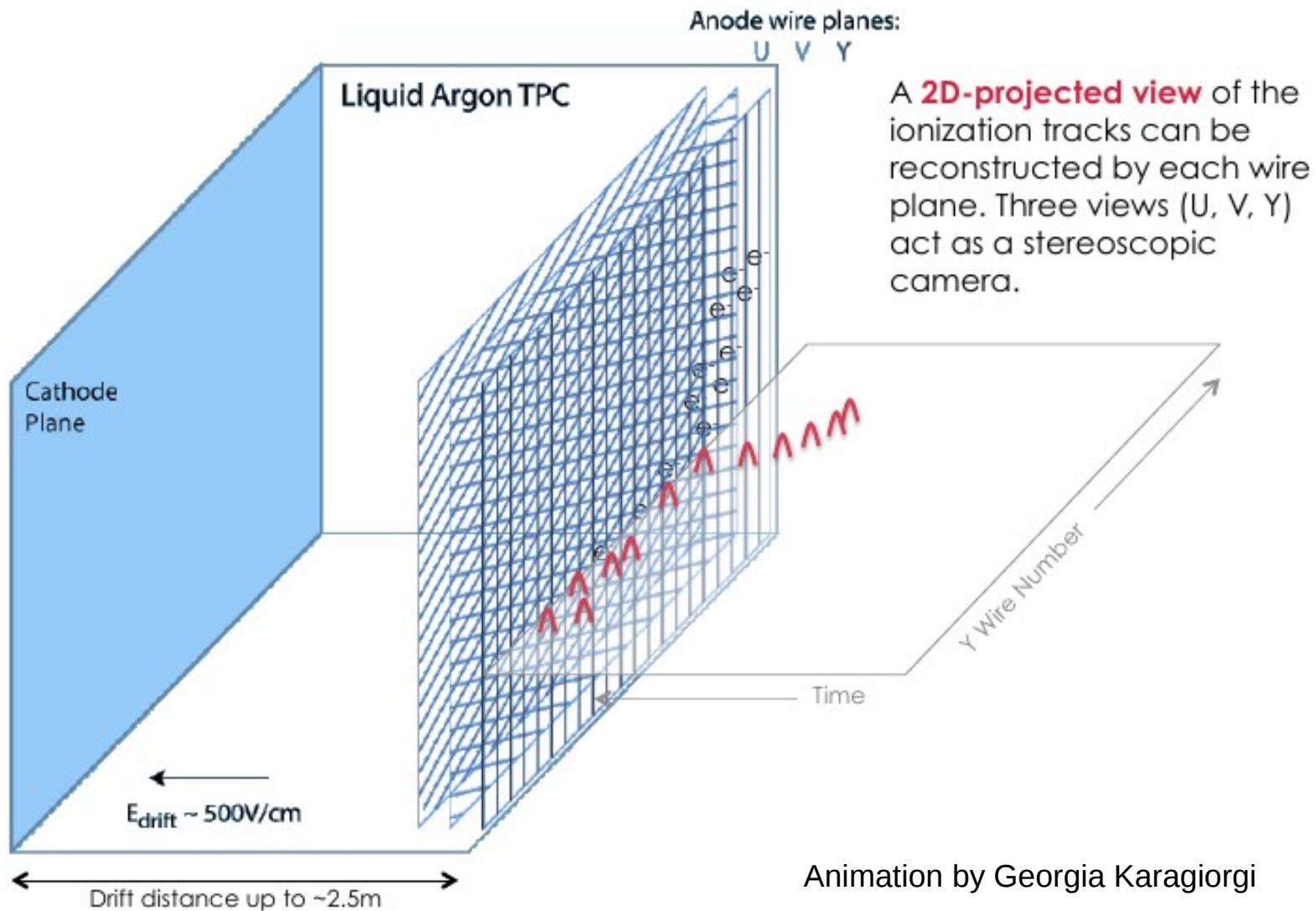


Animation by Georgia Karagiorgi

## How a LArTPC works:

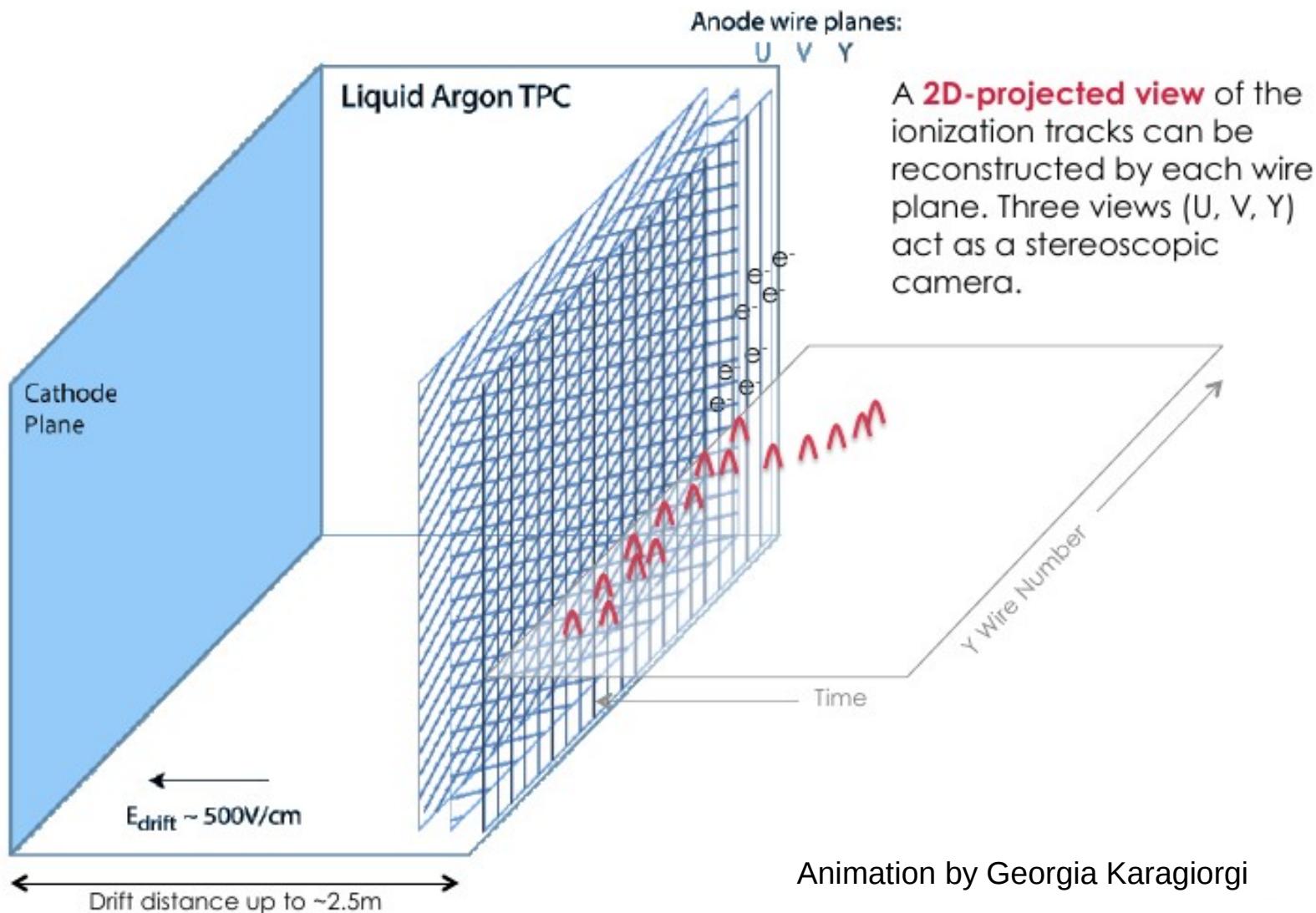


## How a LArTPC works:



Animation by Georgia Karagiorgi

## How a LArTPC works:

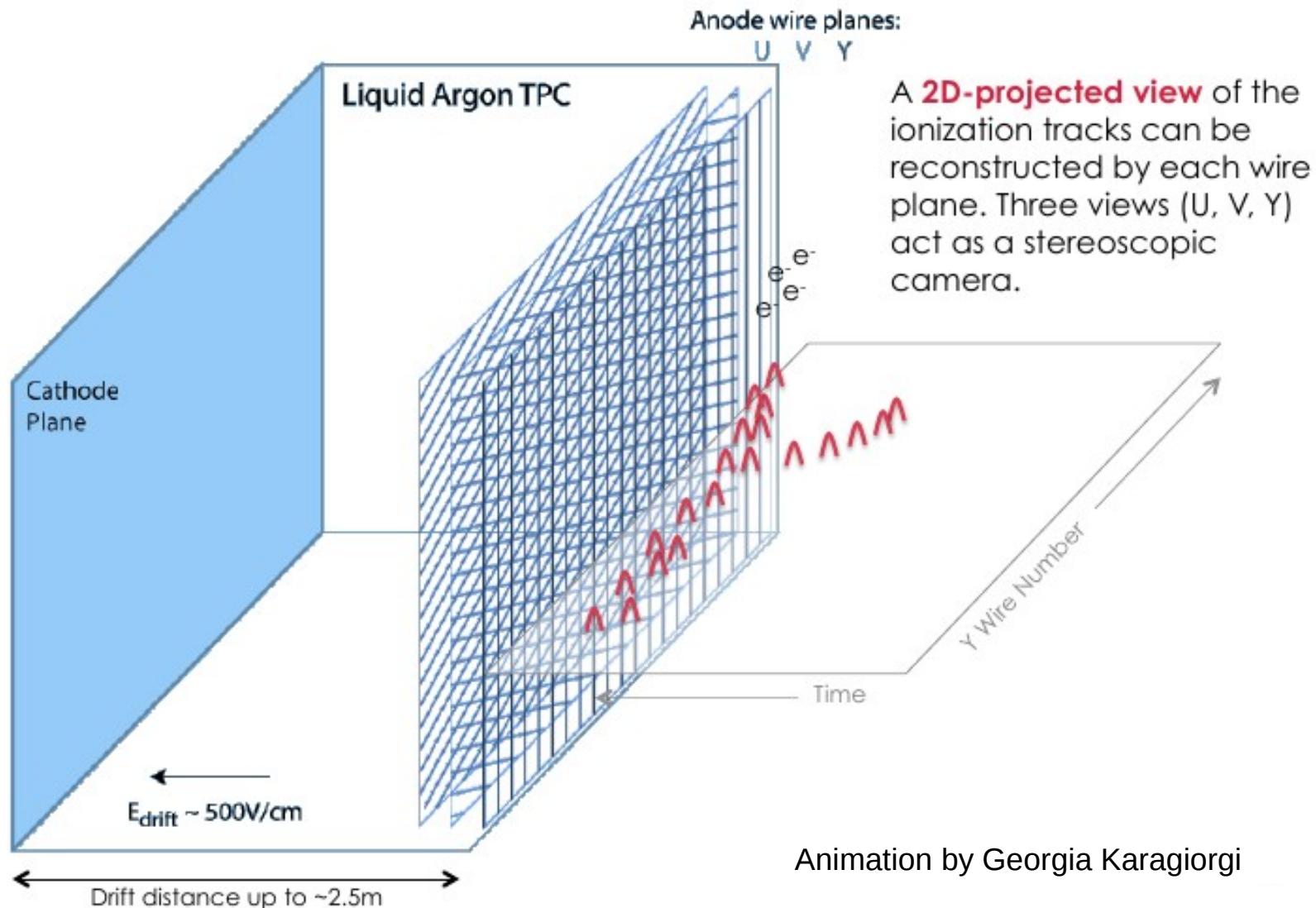


Animation by Georgia Karagiorgi

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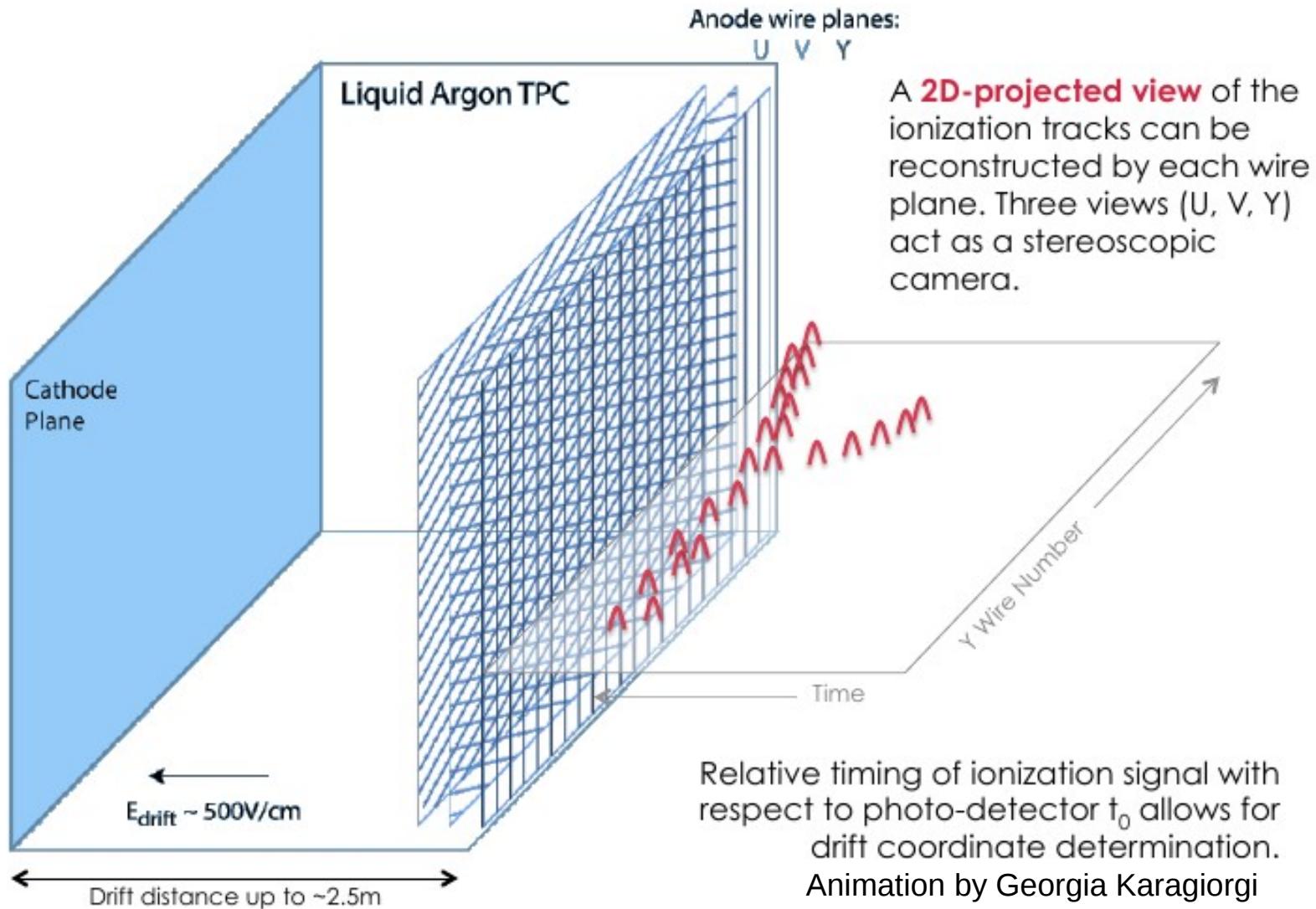
33

## How a LArTPC works:

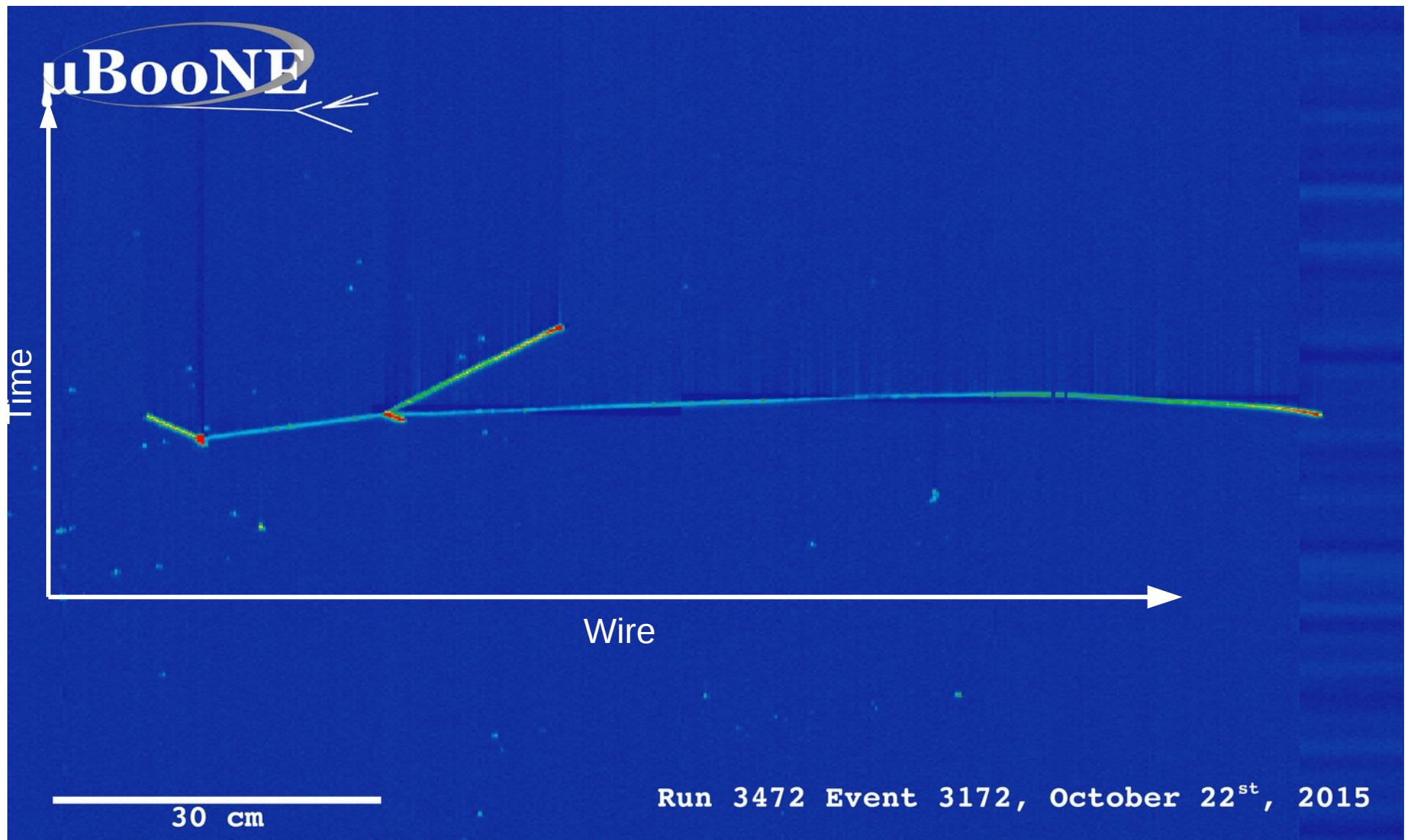


Animation by Georgia Karagiorgi

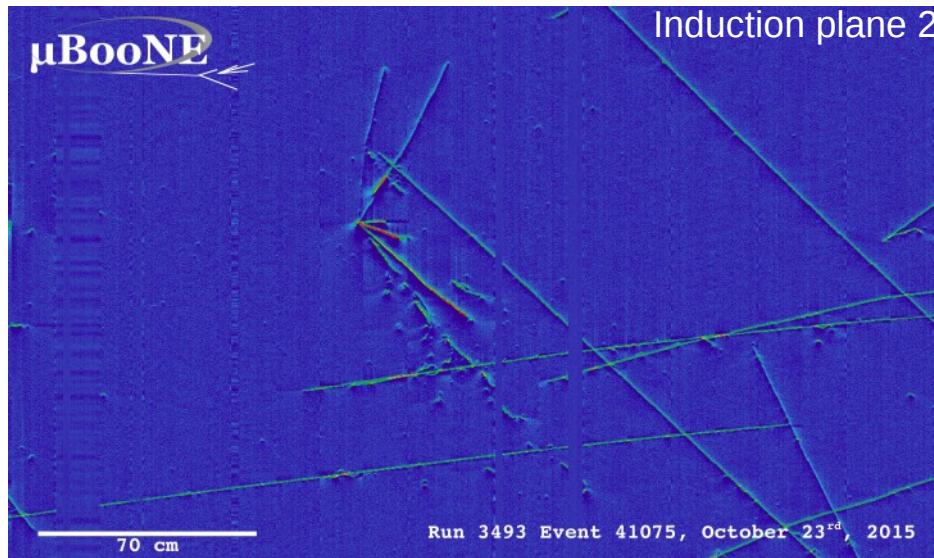
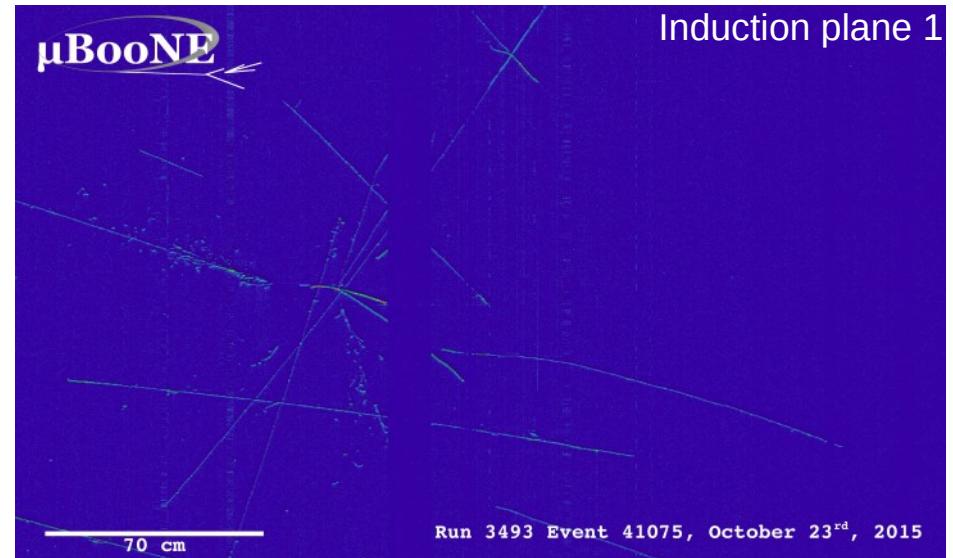
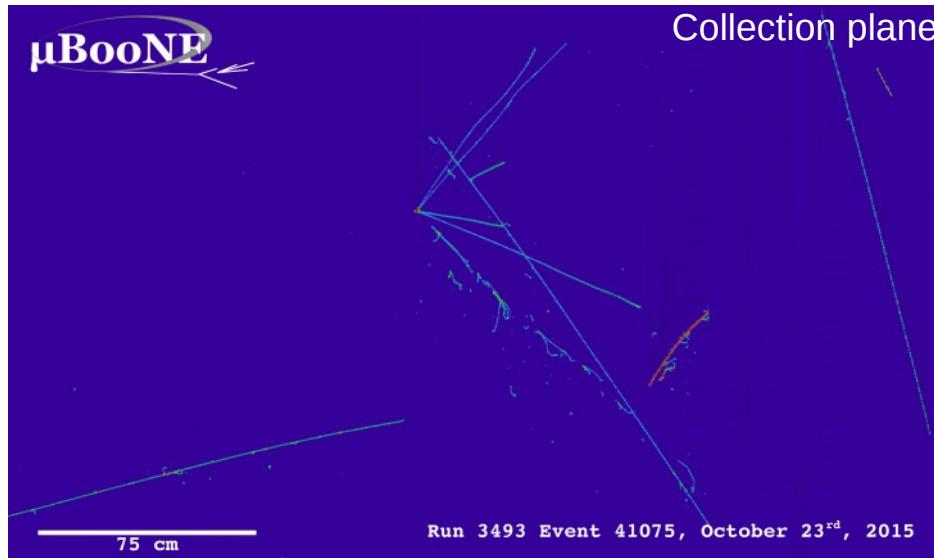
## How a LArTPC works:



# A real neutrino candidate in a LArTPC



# Neutrino candidate in LArTPC



- The 3D view of the event is projected onto three planes.

# LArTPC

## Why liquid argon?

- It is dense ( $1.4 \text{ g/cm}^3$ ): more targets for neutrinos per volume.
- It is relatively cheap.
- It can be purified (electronegative elements -oxygen- capture electrons, nitrogen quenches scintillation light): detectors can be bigger.
- Bright scintillator (40000 photons/MeV). Transparent to its own light.

## Why time projection chamber?

- Modern bubble chamber: very good granularity thanks to a small wire pitch but with automated readout and 3D reconstruction.
- Number of readout channels scales with detector length: less electronics needed.
- Several ways to estimate particle energy: ionization, range... They can be used to identify the particle.

# Neutrino beams at Fermilab

## BNB

Fermilab's **low-energy** neutrino beam:

$\langle E_\nu \rangle \approx 700 \text{ MeV}$

Booster - 8 GeV protons

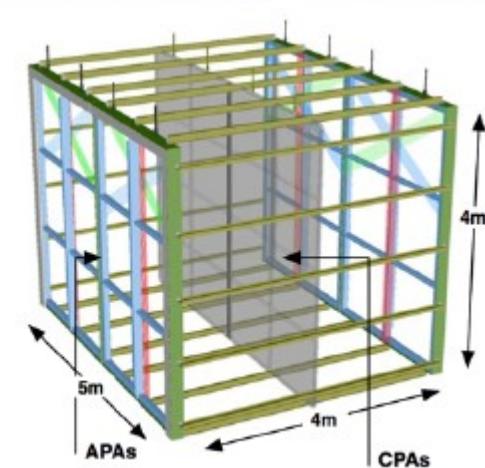
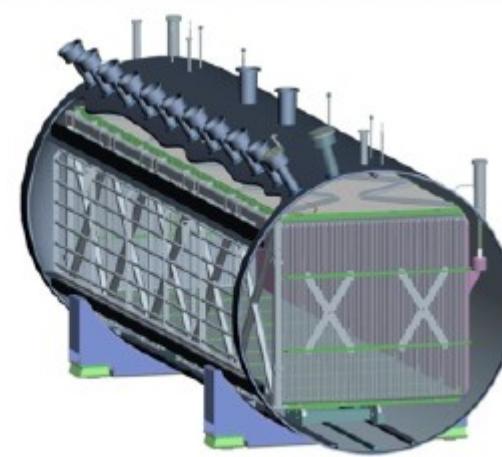
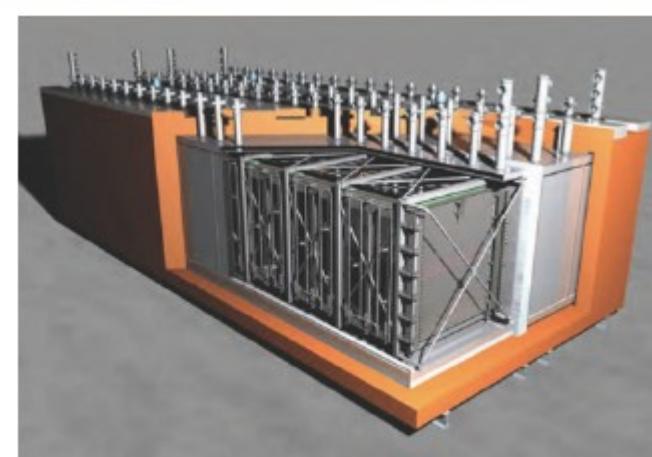
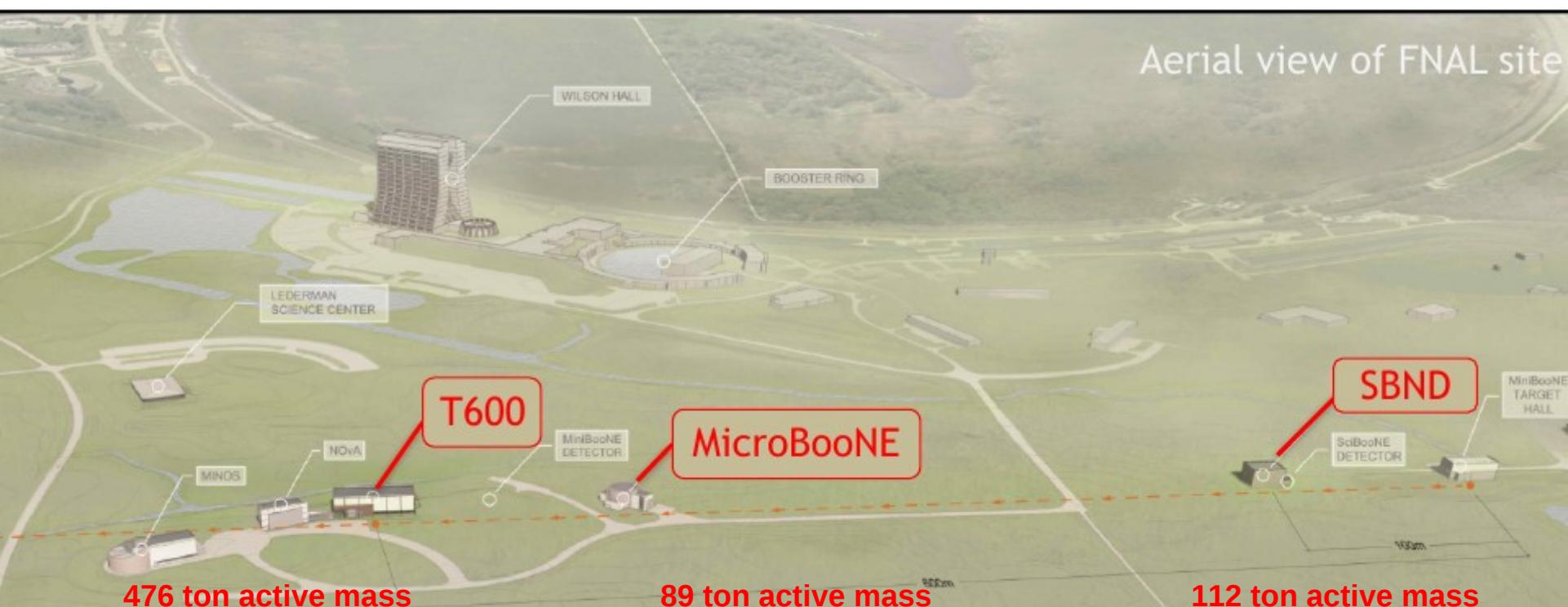
## NuMI

Fermilab's **high-energy** neutrino beam:  $\langle E_\nu \rangle \approx 7 \text{ GeV}$  (tunable)

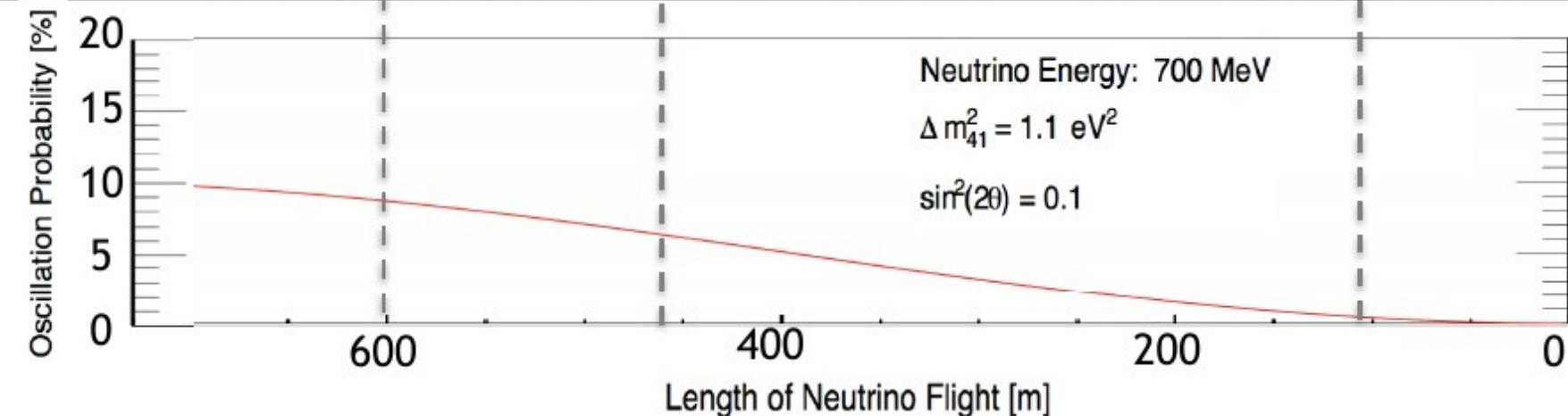
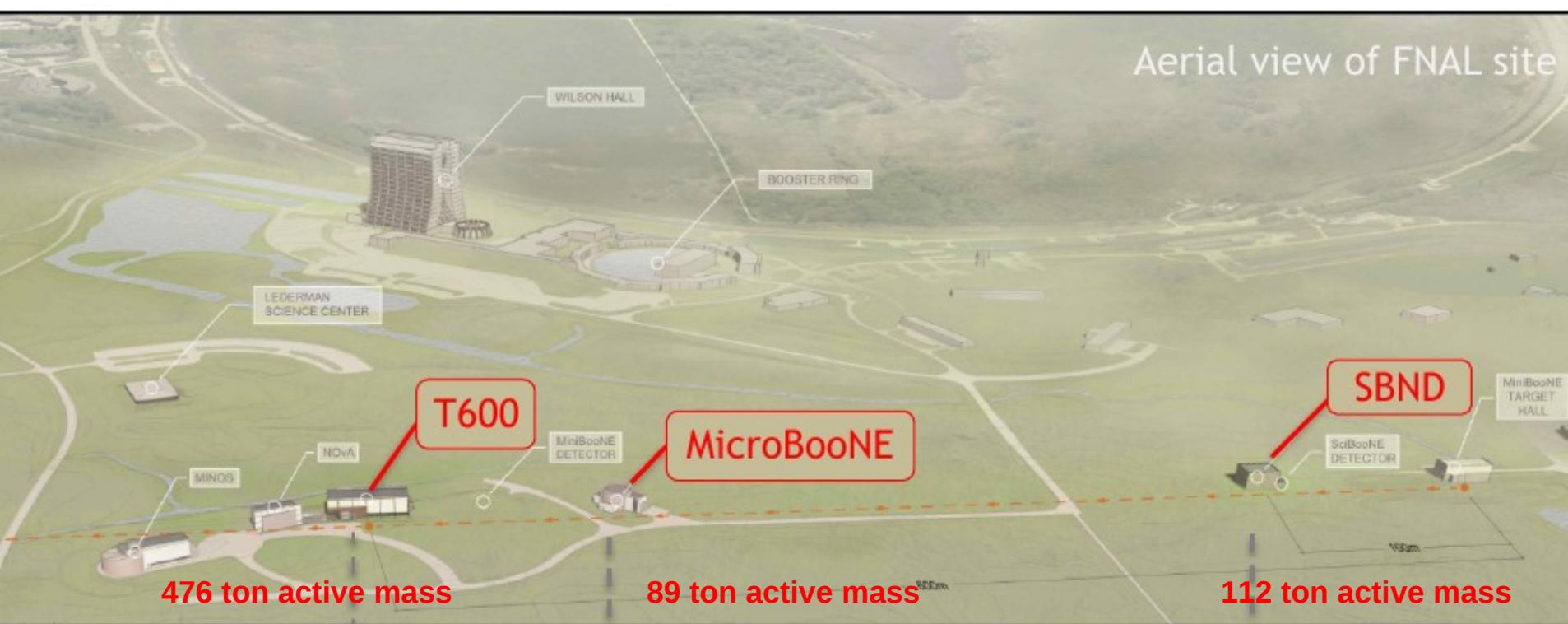
Main Injector - 120 GeV protons

Slide by Anne Schukraft

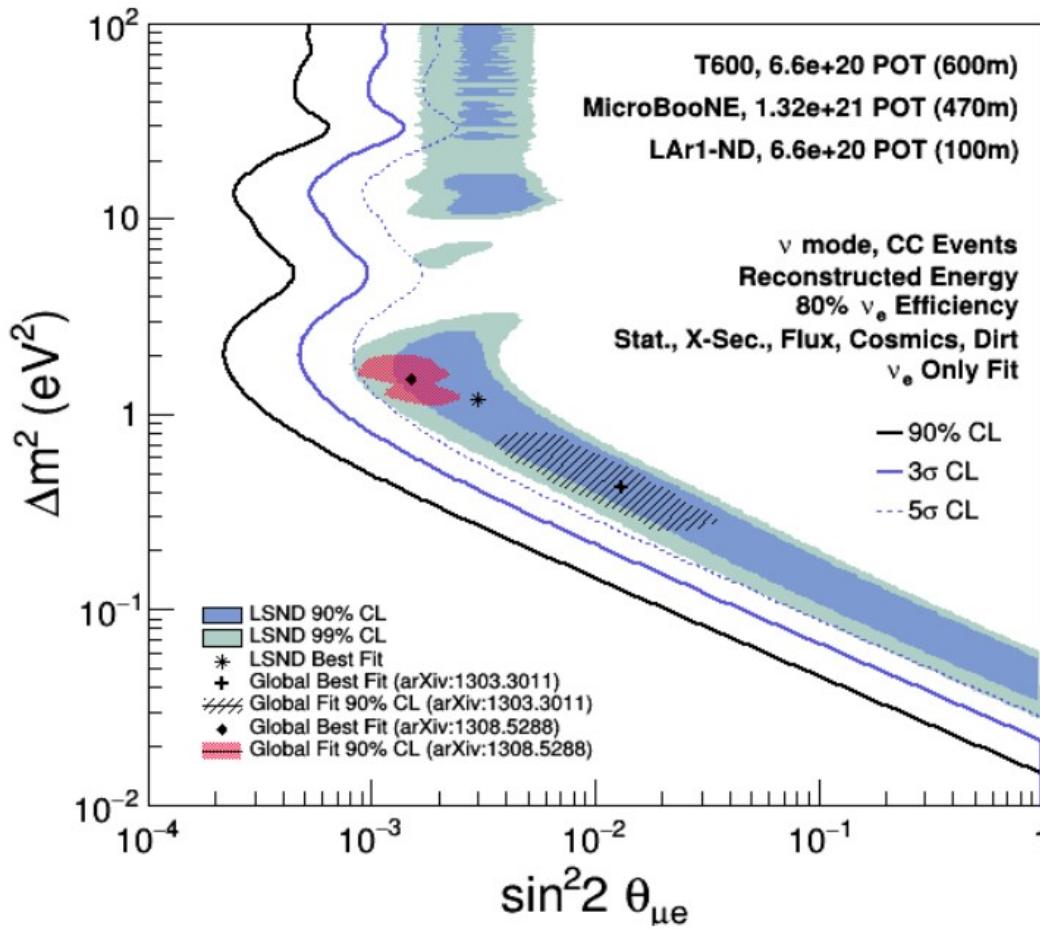
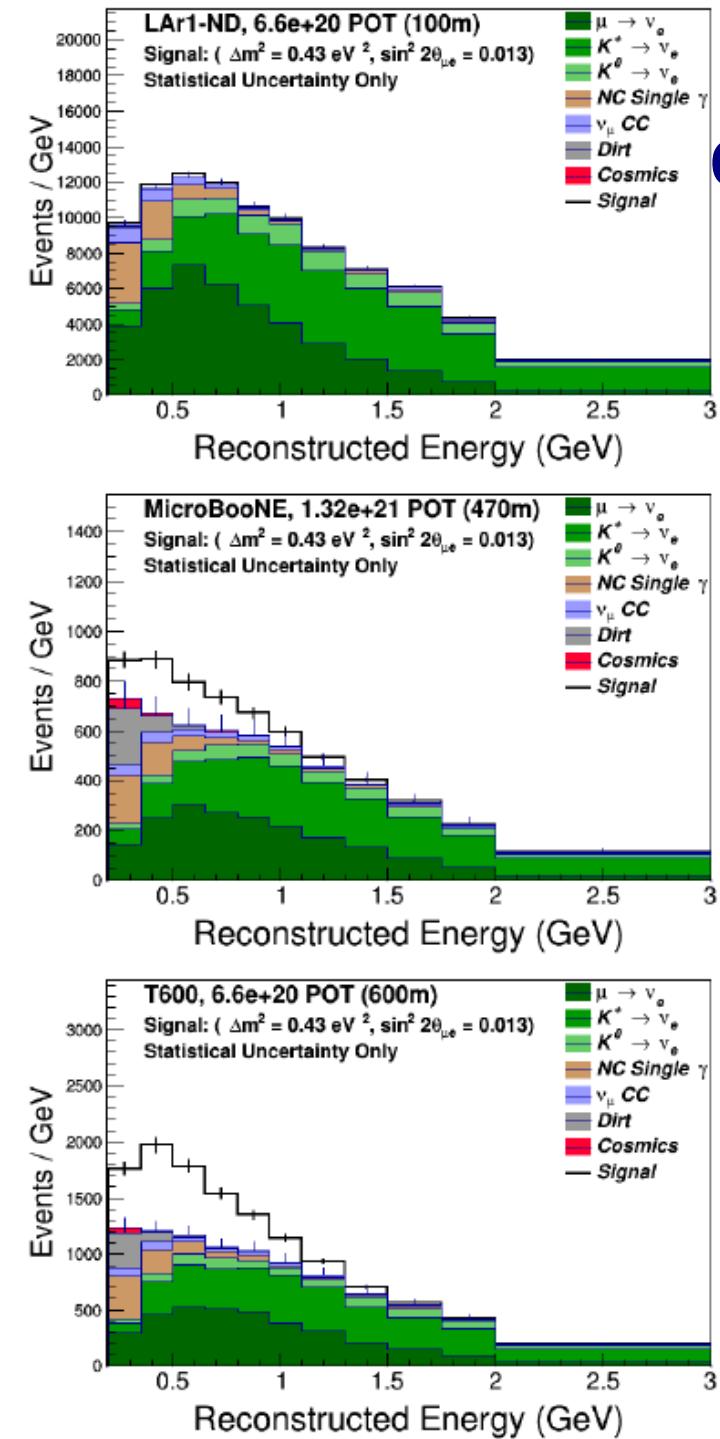
# The short-baseline program at Fermilab



# The short-baseline program at Fermilab



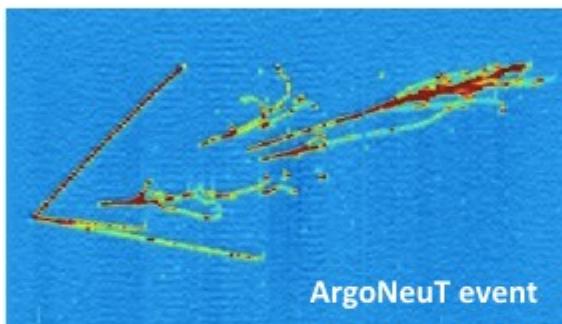
# Sensitivity: electron neutrino appearance



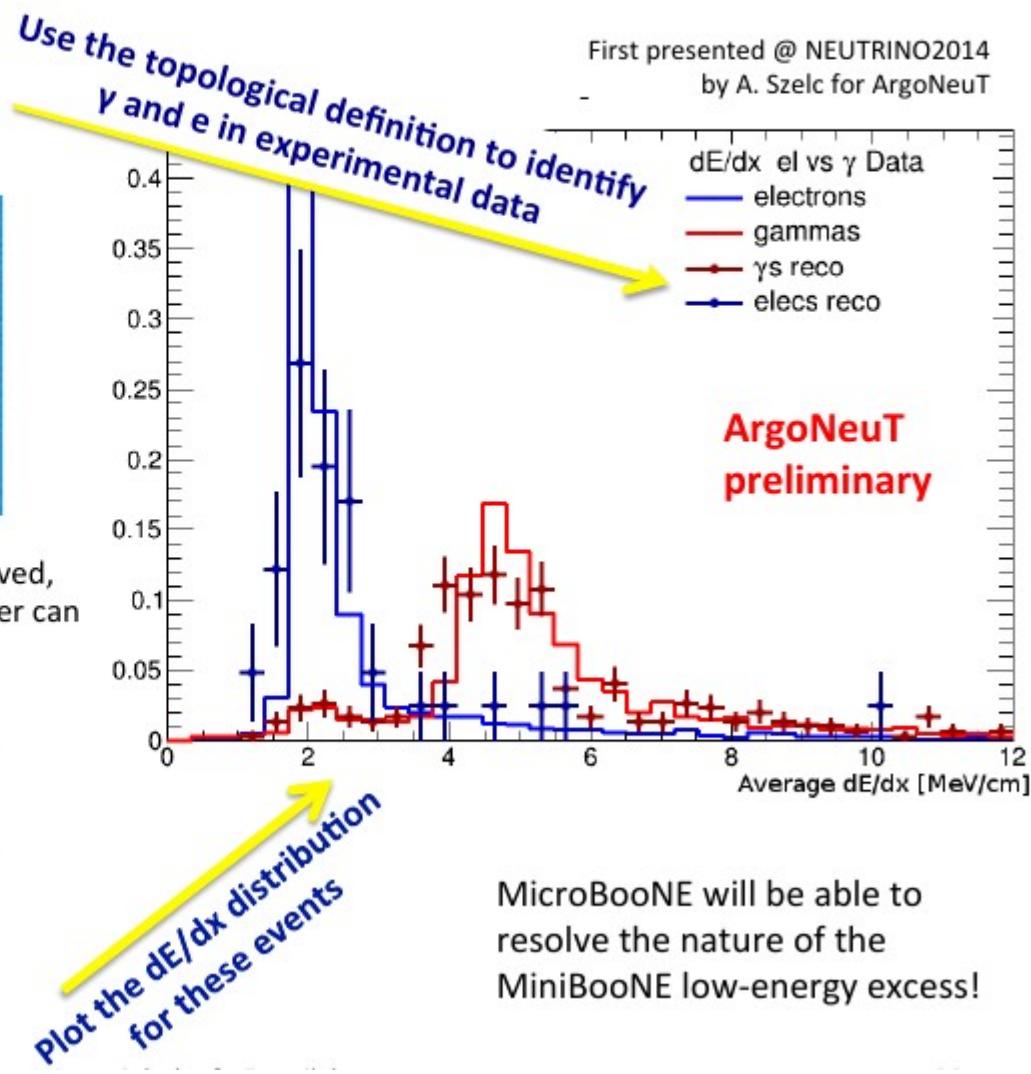
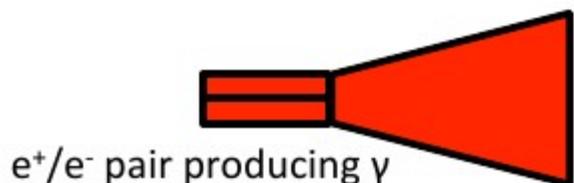
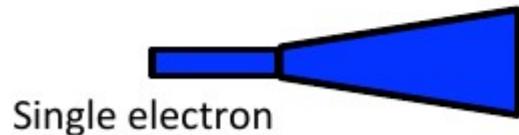
# Electron vs. gamma discrimination

Proof of principle with ArgoNeuT data!

**Case 1:** The neutral  $\gamma$  ( $\pi^0$ ) is observed as gap between vertex and EM shower:



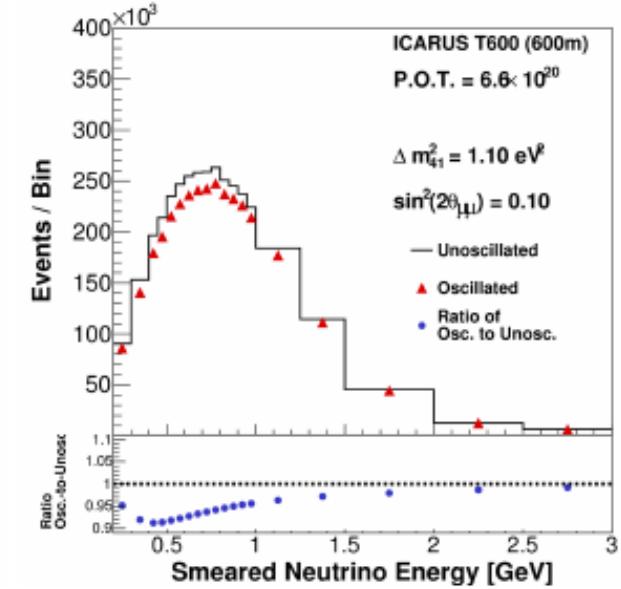
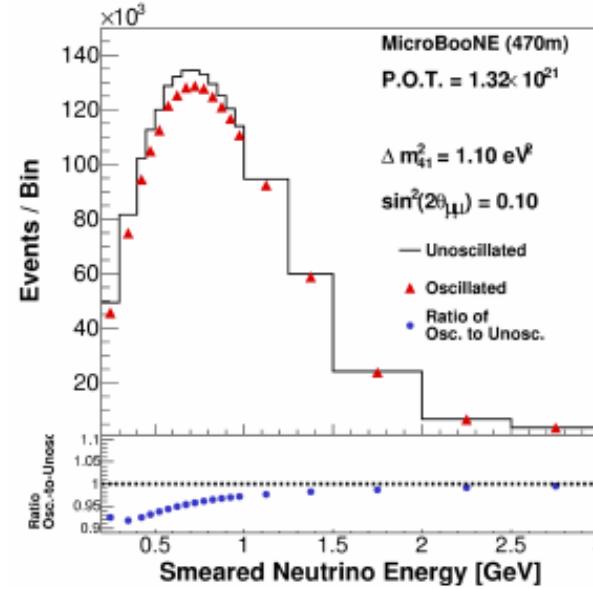
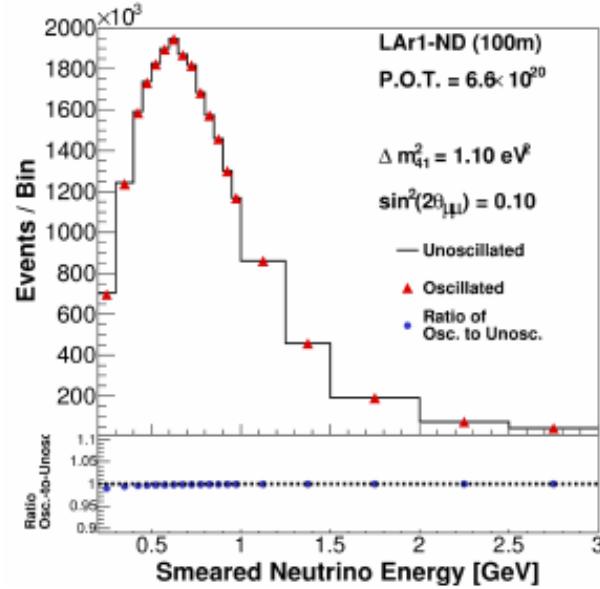
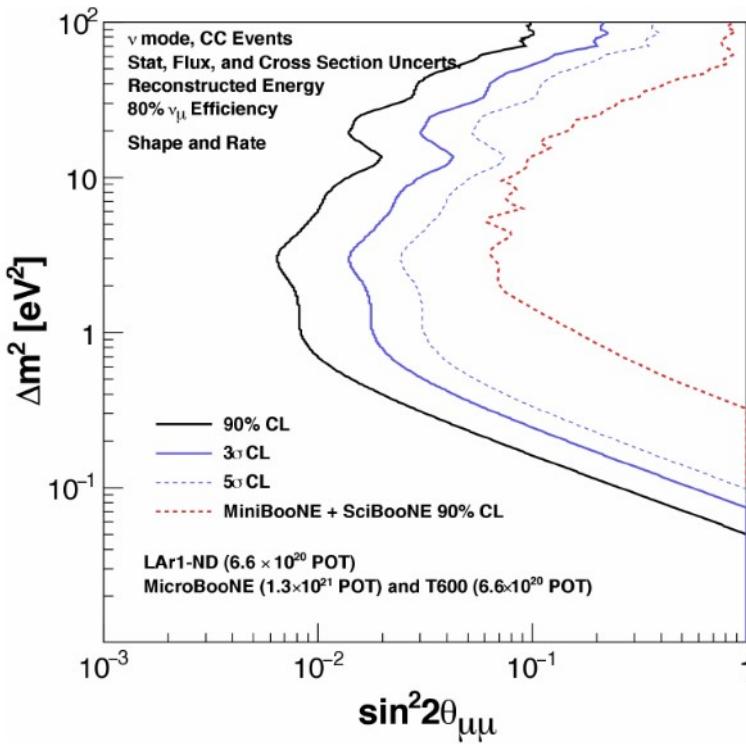
**Case 2:** If the gap is too small to be observed, the charge at the start of the shower can be reconstructed through a measurement of  $dE/dx$



MicroBooNE will be able to resolve the nature of the MiniBooNE low-energy excess!

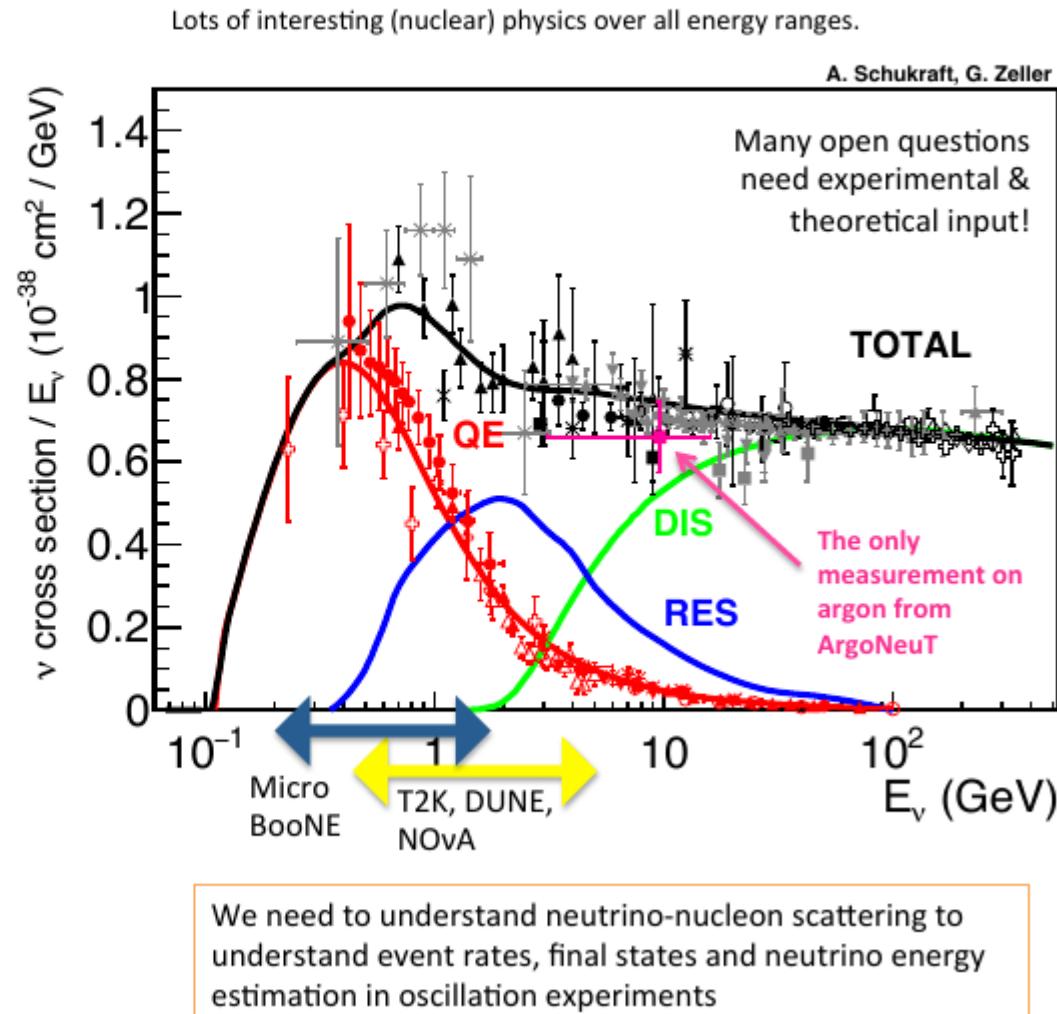
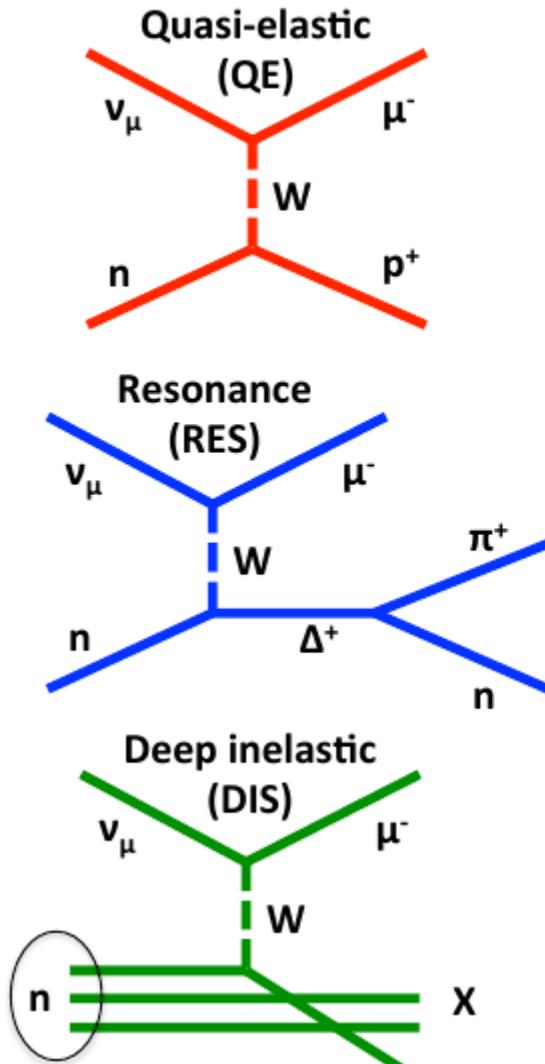
Slide by Anne Schukraft

# Sensitivity: muon neutrino disappearance

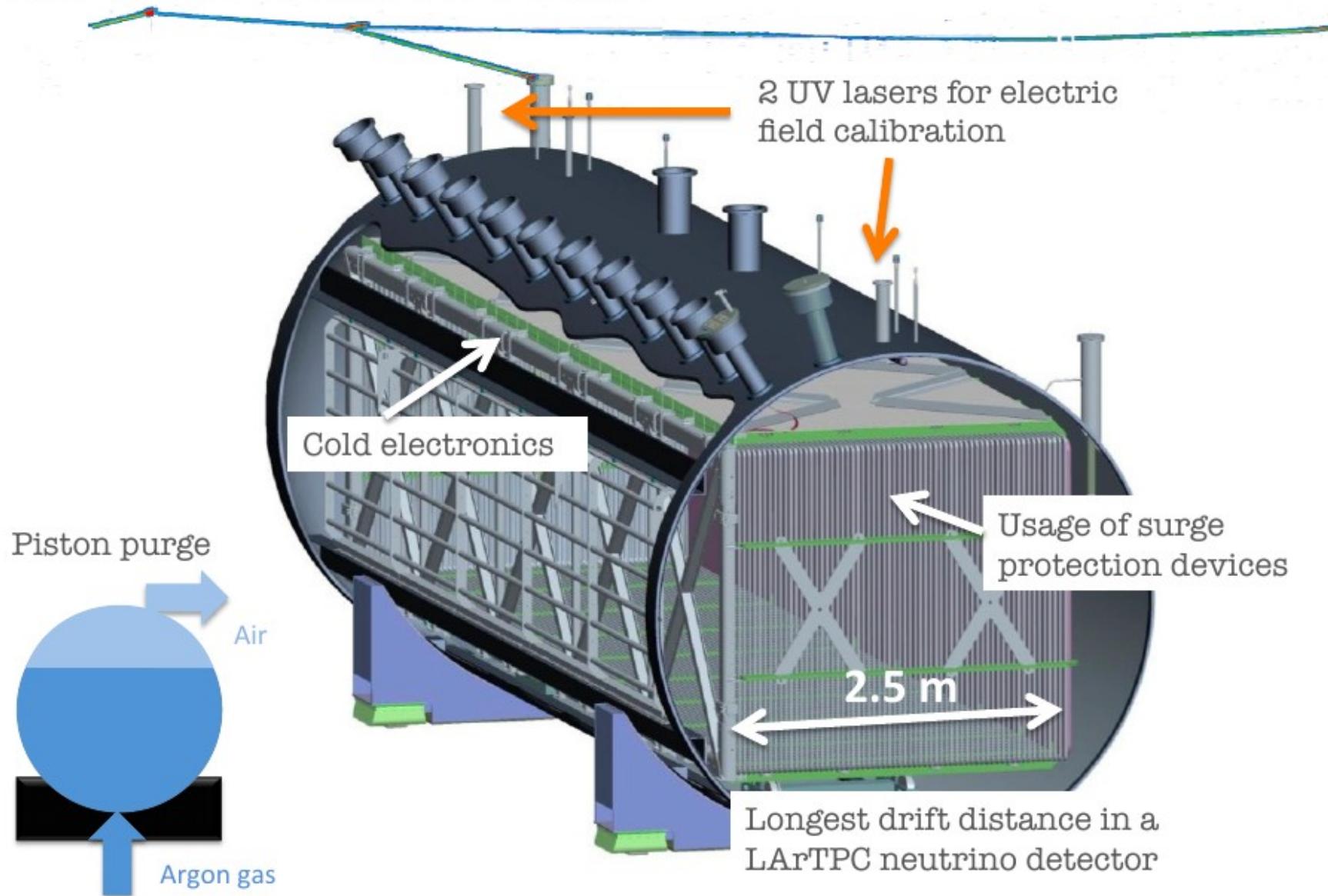


# Cross-section program

## Neutrinos interacting with nucleons



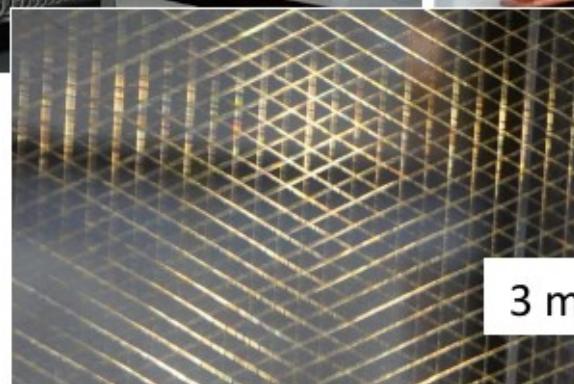
# R&D in MicroBooNE



# MicroBooNE construction



TPC construction: 2013



3 mm wire spacing

Slide by Anne Schukraft

# Installation – a picture series

Moving day! June 23<sup>rd</sup>, 2014

TPC insertion: Dec 23<sup>rd</sup>, 2013

PMT system installation: Dec 2013



Foamed in! July 2014



MicroBooNE's home in the beam line: The LAr Test Facility

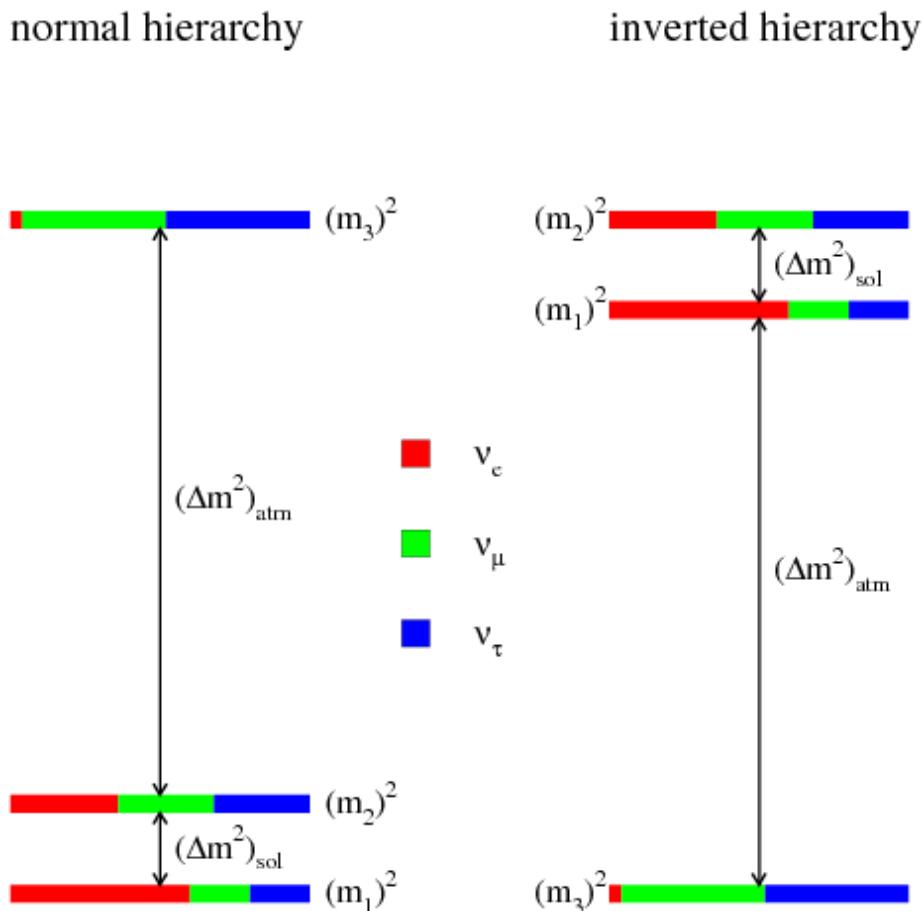
Cabled up! Sept. 2014

All electronics in! Dec. 10, 2014

Slide by Anne Schukraft

# Measurement of neutrino mass

# Lower limits



- If we take the lightest neutrino mass to be 0, we can derive lower limits for the other mass eigenstates.
- For normal hierarchy:

$$m_2 \geq \sqrt{\Delta m_{21}^2} = 0.0087 \pm 0.0001 \text{ eV}$$

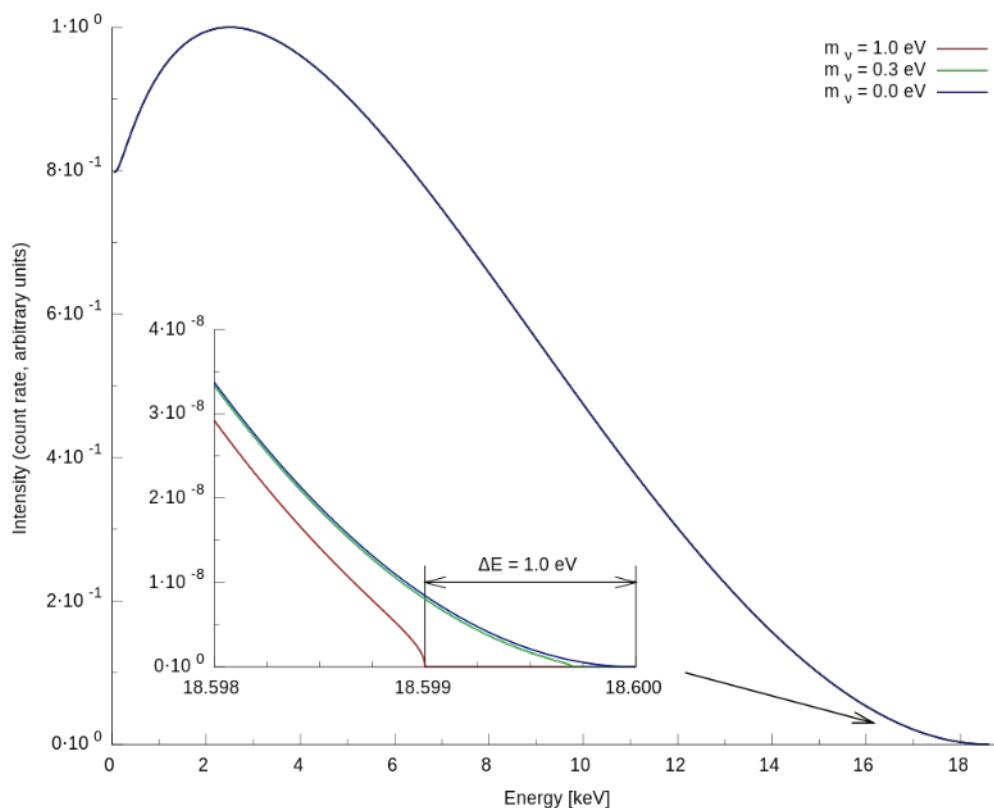
$$m_3 \geq \sqrt{\Delta m_{32}^2 + \Delta m_{21}^2} = 0.0502 \pm 0.0006 \text{ eV}$$

- For inverted hierarchy:

$$m_1 \geq \sqrt{|\Delta m_{32}^2| - \Delta m_{21}^2} = 0.0494 \pm 0.0007 \text{ eV}$$

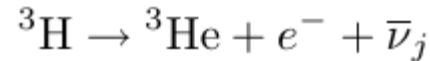
$$m_2 \geq \sqrt{|\Delta m_{32}^2|} = 0.0502 \pm 0.0007 \text{ eV}$$

# Kinematic limits



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<https://commons.wikimedia.org/w/index.php?curid=13493000>

- Example: tritium decay



Q value: 18.6 keV; half-life: 12.3 y

- The masses of  $^3\text{H}$ ,  $^3\text{He}$  and the electron are known precisely. Using conservation of energy and momentum, the mass of the antineutrino can be inferred.
- Kurie function:

$$K(T) \simeq \left[ (Q_\beta - T) \sqrt{(Q_\beta - T)^2 - m_\beta^2} \right]^{\frac{1}{2}}$$

$$\begin{aligned} m_\beta &= \left[ \sum_{j=1}^3 |U_{ej}|^2 m_j^2 \right]^{\frac{1}{2}} \\ &= [c_{12}^2 c_{13}^2 m_1^2 + s_{12}^2 c_{13}^2 m_2^2 + s_{13}^2 m_3^2]^{\frac{1}{2}} \end{aligned}$$

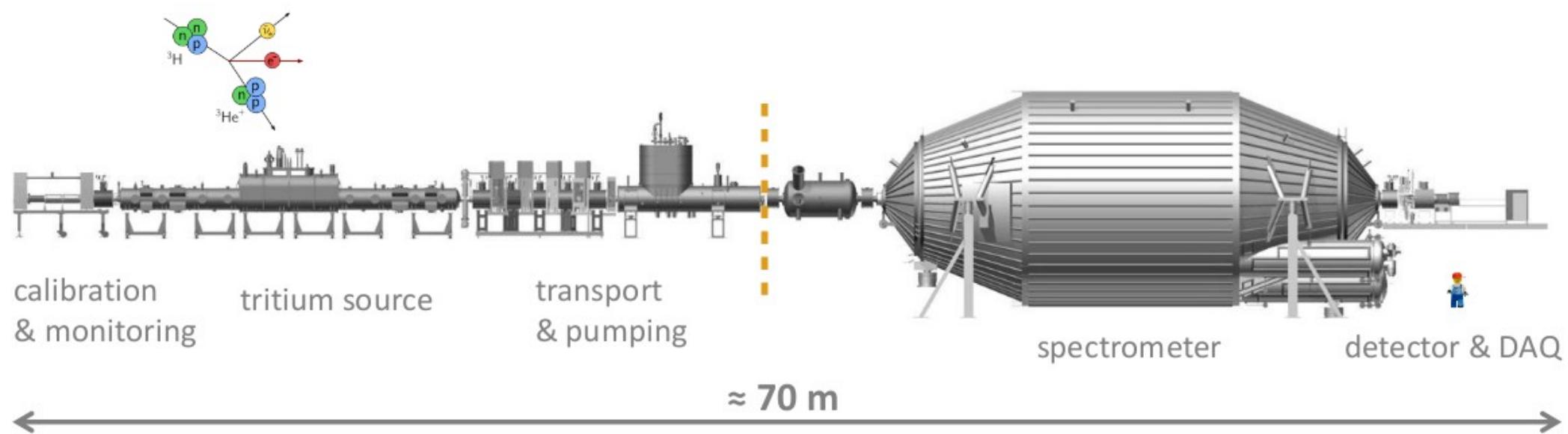
$$m_\beta < 2.05 \text{ eV} \quad (\text{Troitsk})$$

$$m_\beta < 2.3 \text{ eV} \quad (\text{Mainz})$$

# KATRIN experiment



Sensitivity of 0.2 eV (90% C.L.)

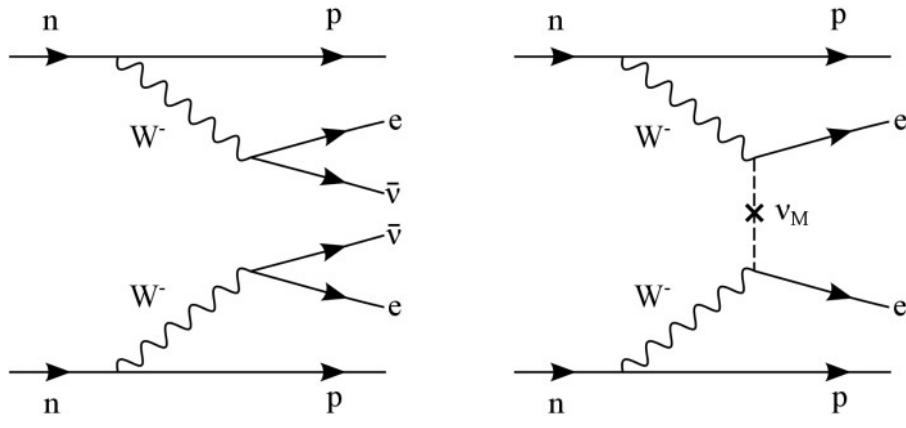


# Other kinematic limits

$$\pi^+ \rightarrow \mu^+ + \nu_\mu \quad (m_{\nu_\mu}^{\text{eff}})^2 = \sum_{j=1}^3 |U_{\mu j}|^2 m_j^2 \quad m_{\nu_\mu}^{\text{eff}} < 0.17 \text{ MeV (90\% C.L.)}$$

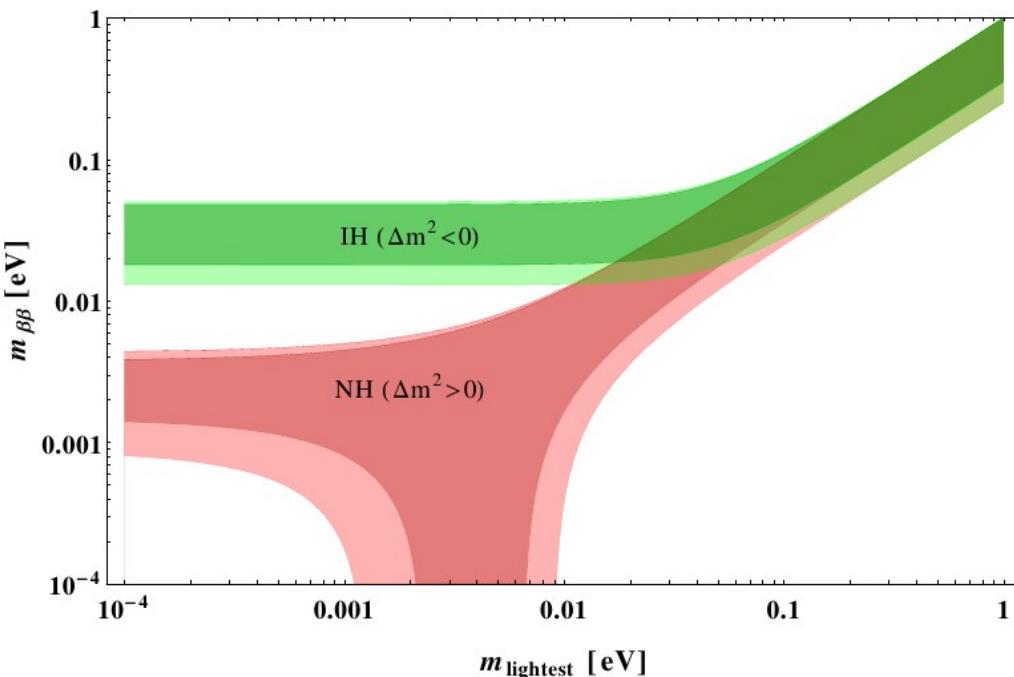
$$\begin{aligned} \tau^- \rightarrow 2\pi^-\pi^+\nu_\tau & \quad (m_{\nu_\tau}^{\text{eff}})^2 = \sum_{j=1}^3 |U_{\tau j}|^2 m_j^2 & m_{\nu_\tau}^{\text{eff}} < 18.2 \text{ MeV (95\% C.L.)} \\ 3\pi^-2\pi^+(\pi^0)\nu_\tau \end{aligned}$$

# Neutrinoless double beta-decay



Allowed by  
Standard Model

Physics beyond  
Standard Model

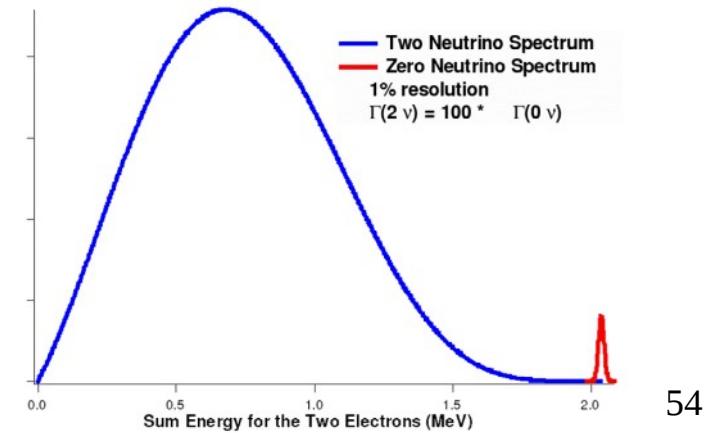


- Neutrinos might be Majorana fermions. “They are their own antiparticle”.
- Majorana mass does not require left and right-handed states (as the Higgs-based one does, a.k.a. as Dirac mass).
- The Majorana nature of neutrinos can be tested by looking for neutrinoless double beta-decay.

$$T_{1/2}^{0\nu}(X) = \left[ G_X^{0\nu} |\mathcal{M}_X^{0\nu}|^2 \left( \frac{m_{\beta\beta}}{m_e} \right)^2 \right]^{-1}$$

$$m_{\beta\beta} = \left| \sum_{j=1}^3 U_{ej}^2 m_j \right|$$

$$= \left| c_{12}^2 c_{13}^2 m_1 + e^{2i\alpha_1} s_{12}^2 c_{13}^2 m_2 + e^{2i(\alpha_2 - \delta)} s_{13}^2 m_3 \right|$$



# Many experiments...

... with different isotopes.

- GERDA, MAJORANA: germanium solid-state detectors enriched with  $^{76}\text{Ge}$ .
- CUORE: bolometer with  $^{130}\text{Te}$  crystals.
- SNO+:  $^{130}\text{Te}$  dissolved in liquid scintillator in the SNO detector.
- EXO: TPC with liquid  $^{136}\text{Xe}$ .
- NEXT: TPC with high-pressure gaseous  $^{136}\text{Xe}$ .
- KamLAND-Zen: a balloon filled with liquid scintillator doped with  $^{136}\text{Xe}$  in the KamLAND detector.
- SuperNEMO: multiple isotopes in a calo-tracker detector.

NO SIGNAL\* YET

# Seesaw mechanism

- Neutrinos can have both Dirac and Majorana masses.
- The active neutrinos we know seem to be so light because they would be being “lifted up” by heavy sterile neutrinos.
  - The light neutrinos would be mostly left-handed.
  - The heavy neutrinos would be mostly right handed, so their interactions are suppressed.



$$m_{\text{light}} \simeq \frac{(M_{\text{D}}^{\nu})^2}{M_{\text{M}}^{\nu}}$$
$$m_{\text{heavy}} \simeq M_{\text{M}}^{\nu}$$

# Cosmology bound

- The masses of the neutrinos have an effect on cosmology observables:
  - Cosmic microwave background.
  - Large-scale structure of the Universe.
  - Element abundances from Big Bang Nucleosynthesis.
- From Cosmology, the sum of the masses of the neutrinos is  $< 0.2$  eV.
  - Model dependent fit.
  - Depends on datasets used.
- Cosmological data is also sensitive to the number of “neutrinos”.
  - $N_{\text{eff}} = 3.046$

