

# Particle Physics: Neutrinos – part I

Edward Dunton

Week 8: November 10, 2018  
Columbia University Science Honors Program



# Course policies

- Classes from 10:00 AM to 12:30 PM (10 min break at ~ 11:10 AM).
- **Attendance record counts.**
  - Up to four absences
  - Lateness or leaving early counts as half-absence
  - Send email notifications of all absences to [shpattendance@columbia.edu](mailto:shpattendance@columbia.edu)
- Please, no cell phones during class
- **Please, ask questions!**
- Lecture materials + Research Opportunities + Resources to become a particle physicist

<https://twiki.nevis.columbia.edu/twiki/bin/view/Main/ScienceHonorsProgram>

# Schedule

Month	Day	Lecture	Teacher
September	22	Introduction	Yeon-jae
	29	History of Particle Physics	Yeon-jae
October	6	Special Relativity	Edward
	13	Quantum Mechanics	Edward
	20	Experimental Methods	Edward
	27	The Standard Model - Overview	Yeon-jae
November	3	The Standard Model - Limitations	Yeon-jae
	10	Neutrino Theory	Edward
	17	Neutrino Experiment	Edward
	24	No classes, SHP break	
December	1	LHC and Experiments	Yeon-jae
	8	The Higgs Boson and Beyond	Yeon-jae
	15	Particle Cosmology	Edward

# Neutrinos in the Standard Model

What is special about neutrinos  
in the Standard Model?

# Neutrinos in the Standard Model

Three Generations of Matter (Fermions) spin  $\frac{1}{2}$

	I	II	III
Quarks	mass → 2.4 MeV charge → $\frac{2}{3}$ name → Left <b>u</b> Right up	mass → 1.27 GeV charge → $\frac{2}{3}$ name → Left <b>c</b> Right charm	mass → 171.2 GeV charge → $\frac{2}{3}$ name → Left <b>t</b> Right top
	mass → 4.8 MeV charge → $-\frac{1}{3}$ name → Left <b>d</b> Right down	mass → 104 MeV charge → $-\frac{1}{3}$ name → Left <b>s</b> Right strange	mass → 4.2 GeV charge → $-\frac{1}{3}$ name → Left <b>b</b> Right bottom
	mass → 0 eV charge → 0 name → Left <b><math>\nu_e</math></b> Right electron neutrino	mass → 0 eV charge → 0 name → Left <b><math>\nu_\mu</math></b> Right muon neutrino	mass → 0 eV charge → 0 name → Left <b><math>\nu_\tau</math></b> Right tau neutrino
Leptons	mass → 0.511 MeV charge → -1 name → Left <b>e</b> Right electron	mass → 105.7 MeV charge → -1 name → Left <b><math>\mu</math></b> Right muon	mass → 1.777 GeV charge → -1 name → Left <b><math>\tau</math></b> Right tau

Bosons (Forces) spin 1	
mass → 0	charge → 0 name → <b>g</b> gluon
mass → 0	charge → 0 name → <b><math>\gamma</math></b> photon
mass → 91.2 GeV	charge → 0 name → <b>Z</b> weak force
mass → 80.4 GeV	charge → $\pm 1$ name → <b>W</b> weak force

- Only weak interaction.

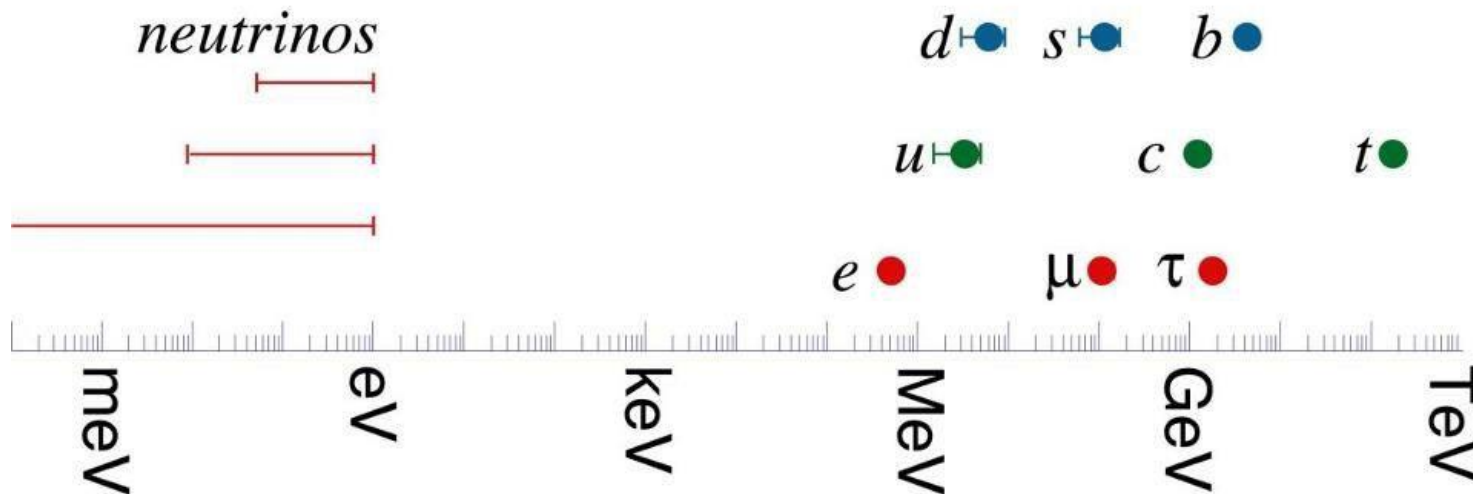
Only left-handed neutrinos (and right-handed antineutrinos) in the Standard Model.

- Initially implemented as massless particles.

Neutrino oscillations show neutrinos have mass!

- Why neutrino masses are so different from the other fermions?

Are neutrinos acquiring mass through the same mechanism (Higgs) or from something else?



# Neutrino oscillations (two-neutrino example)

- Consequence of **neutrino mixing** (**quantum superposition**, as in Schrödinger's cat): **the neutrinos that interact are not the same kind as the neutrinos that propagate.**
- Two-flavor approximation:

Flavor eigenstates

Mass eigenstates

$$\begin{pmatrix} |\nu_l\rangle \\ |\nu_x\rangle \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \end{pmatrix}$$

Mixing angle

- Transition probability (*derivation in blackboard*):

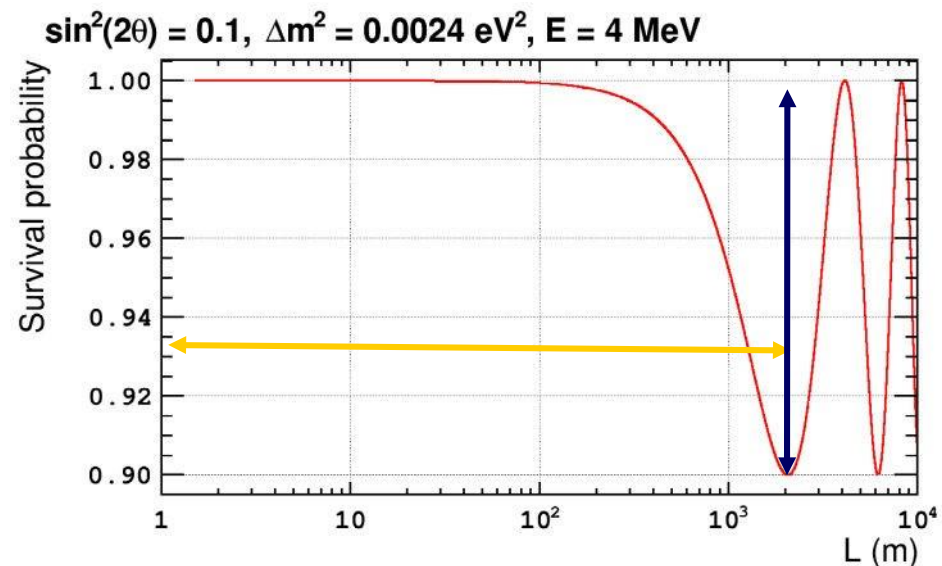
$$P_{lx}^{2\nu}(L, E) = \boxed{\sin^2(2\theta)} \sin^2\left(\frac{\boxed{\Delta m^2 L}}{\boxed{4E}}\right)$$

Controlled by the experiment

- Survival probability:

$$P_{ll}^{2\nu}(L, E) = 1 - P_{lx}^{2\nu}(L, E)$$

- Neutrino oscillation** implies **neutrinos are massive** and non-degenerated.



# 3 neutrino mixing

- **Flavor eigenstates ( $\nu_e, \nu_\mu, \nu_\tau$ )  $\neq$  mass eigenstates ( $\nu_1, \nu_2, \nu_3$ ).**

- Related by **Pontecorvo-Maki-Nakagawa-Sakata mixing**

**matrix:** 3 neutrinos  $\rightarrow$  3 angles ( $\theta_{12}, \theta_{23}, \theta_{13}$ ) + 1 CP-violating phase ( $\delta$ ).

PMNS matrix: U

$c_{ij} = \cos \theta_{ij}, s_{ij} = \sin \theta_{ij}$

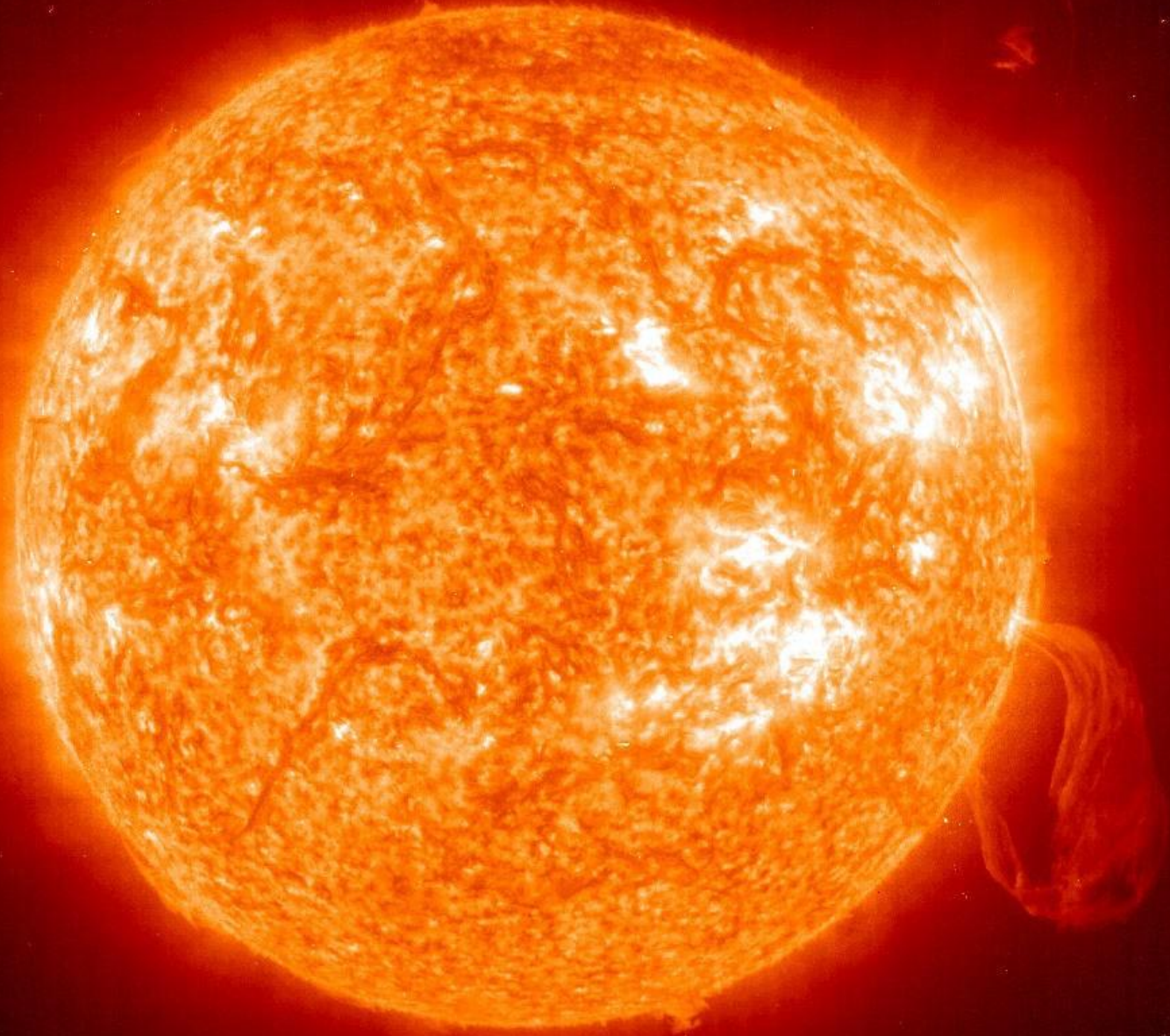
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \underbrace{\begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix}}_{\text{Atmospheric \& Long-baseline accelerator experiments}} \underbrace{\begin{pmatrix} c_{13} & & s_{13} e^{-i\delta} \\ & 1 & \\ -s_{13} e^{i\delta} & & c_{13} \end{pmatrix}}_{\text{Reactor \& Long-baseline accelerator experiments}} \underbrace{\begin{pmatrix} c_{12} & s_{12} \\ -s_{12} & c_{12} \\ & & 1 \end{pmatrix}}_{\text{Solar \& KamLAND experiments}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \rightarrow \begin{matrix} m_1 \\ m_2 \\ m_3 \end{matrix}$$

- CP-violating phase changes sign for antineutrinos: a **source of matter-antimatter different behavior!**
- CP violation only possible if all three angles are not zero  $\rightarrow$  need to **measure them all!**

Measurement of  $\theta_{12}$  and  $\Delta m^2_{21}$

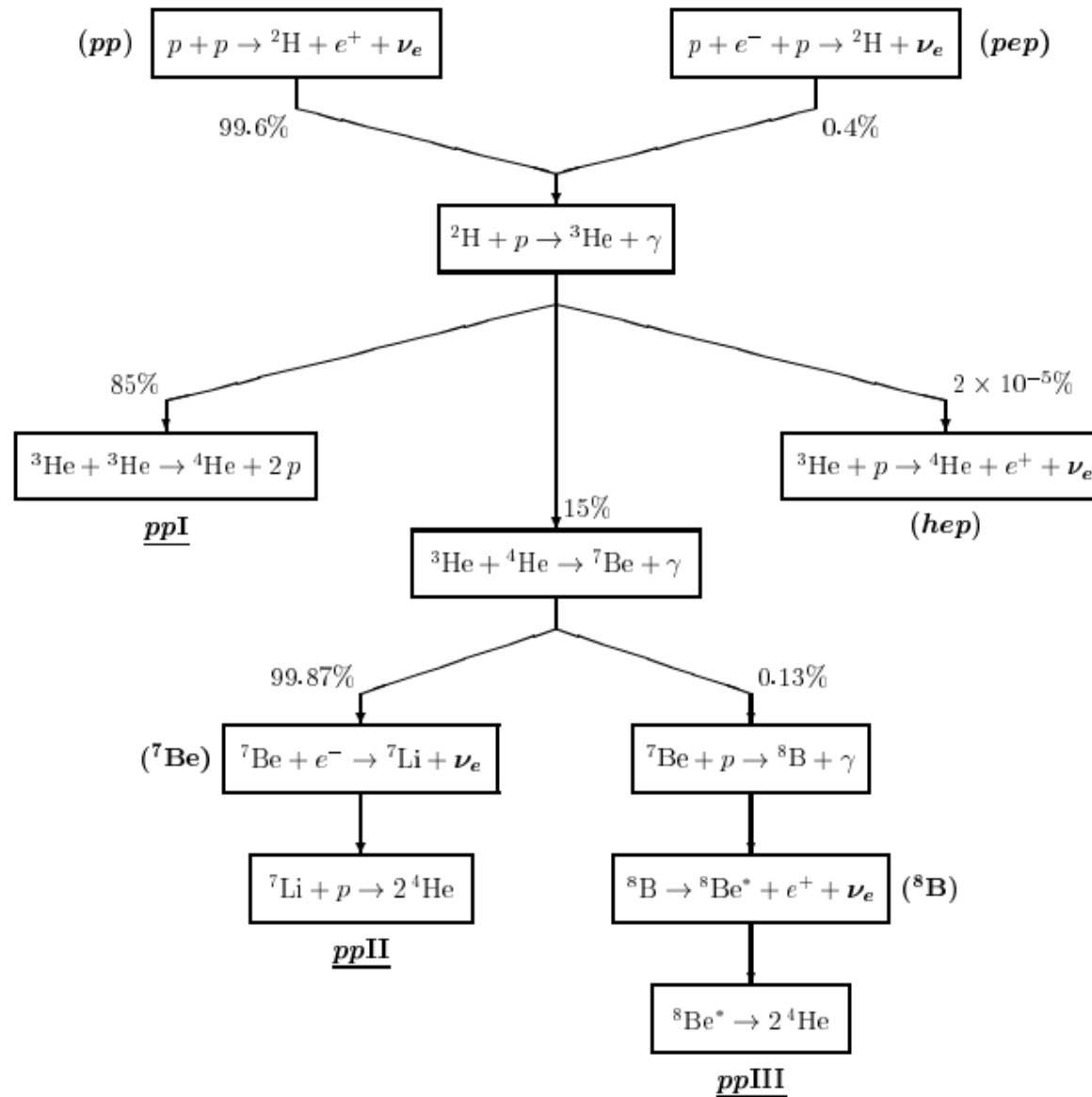


# Solar experiments



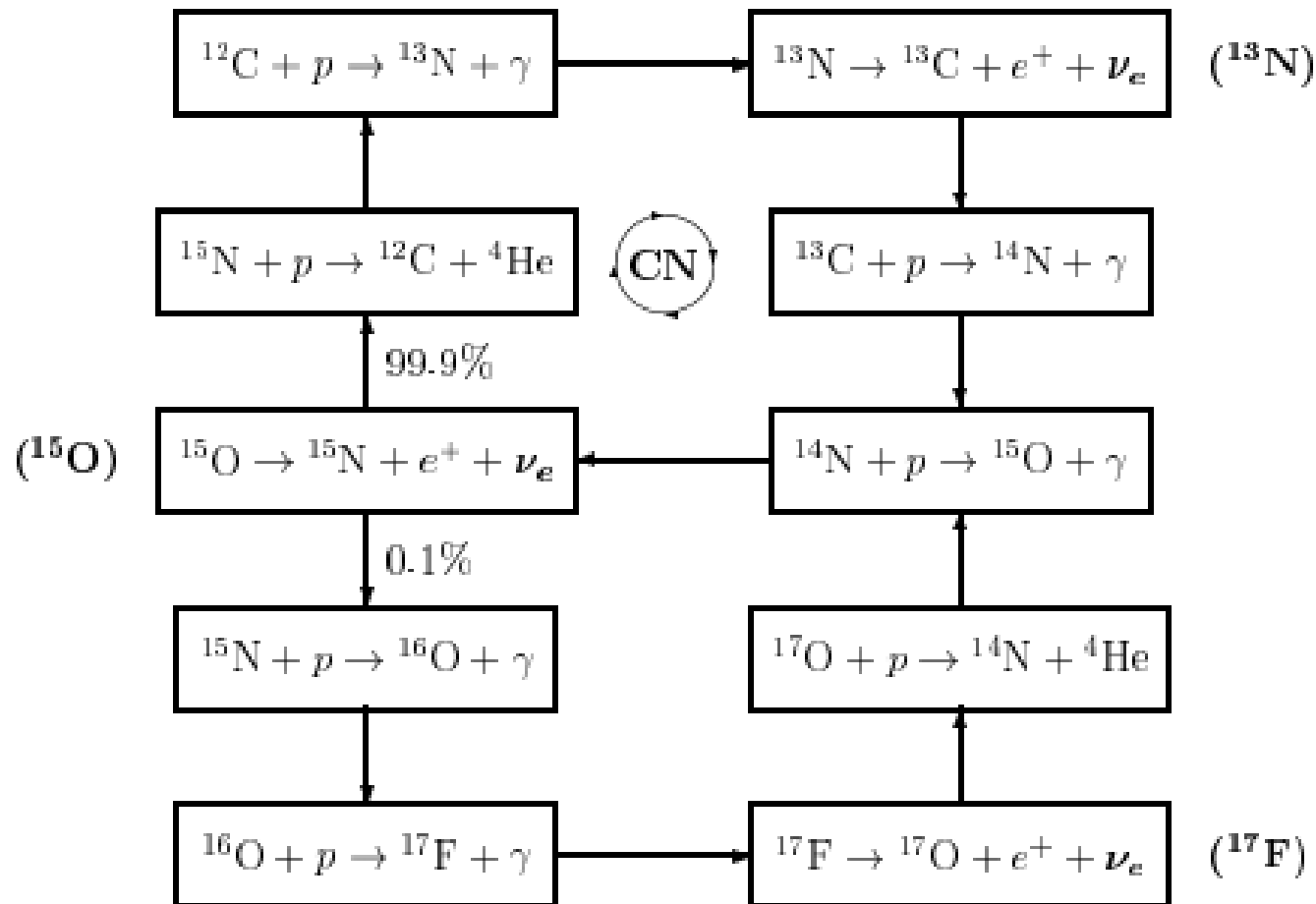
# Solar neutrinos: pp chain

- pp chain produces 98.4% of Sun's fusion energy. It also produces **electron neutrinos**.



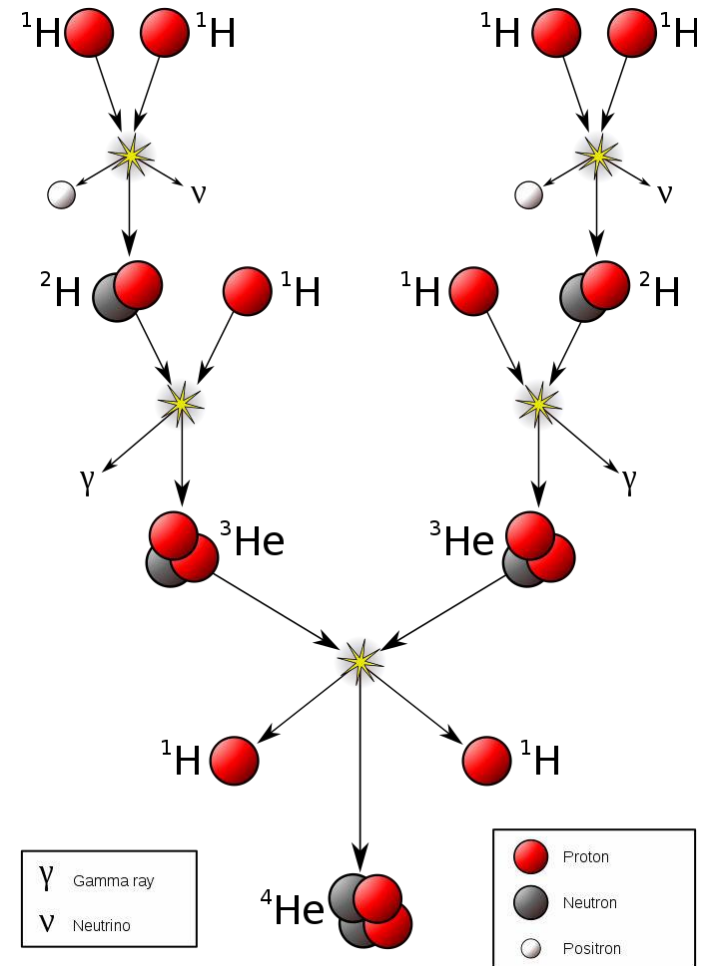
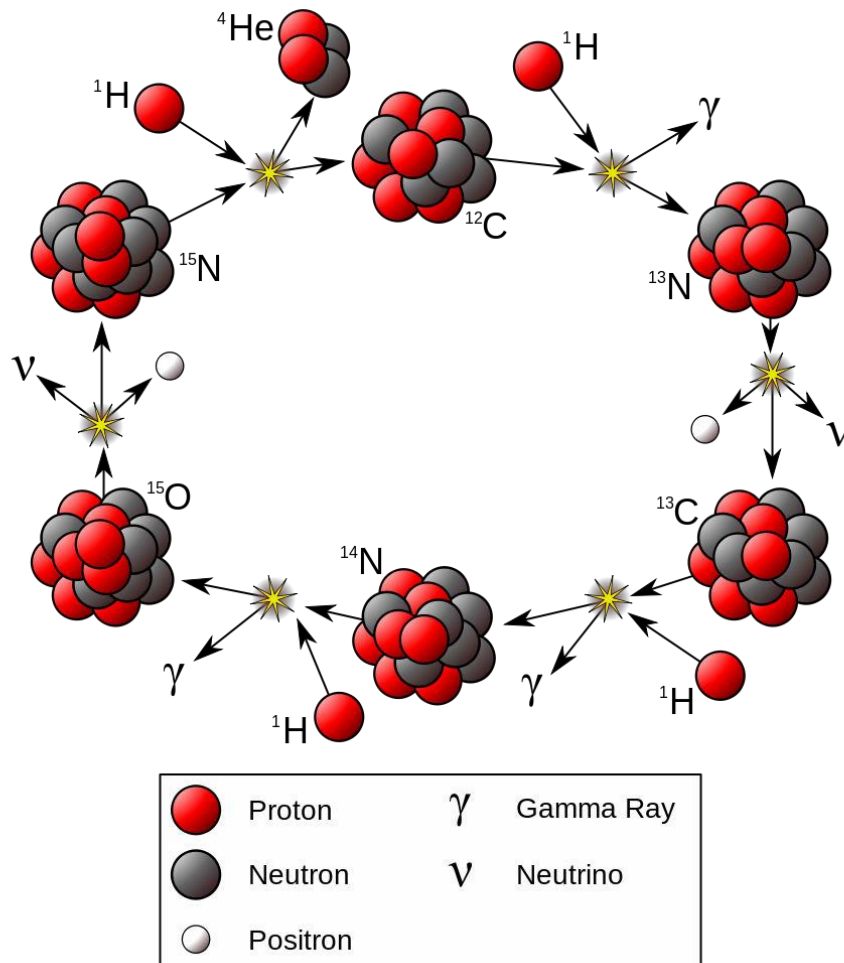
# Solar neutrinos: CNO cycle

- CNO cycle produces 1.6% of Sun's fusion energy. It also produces **electron neutrinos**.

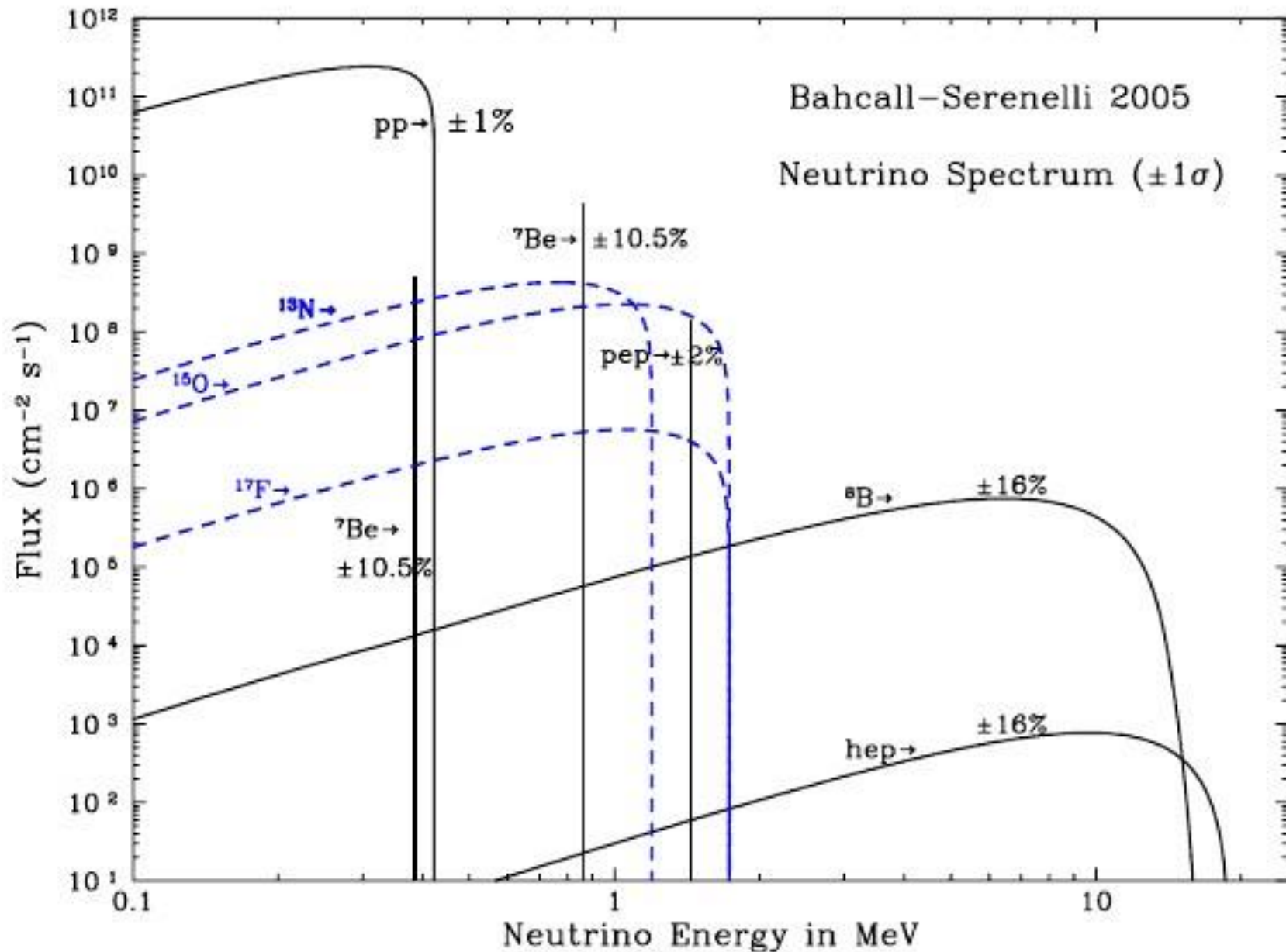


# Solar neutrinos: pp chain and CNO cycle

## Illustrations

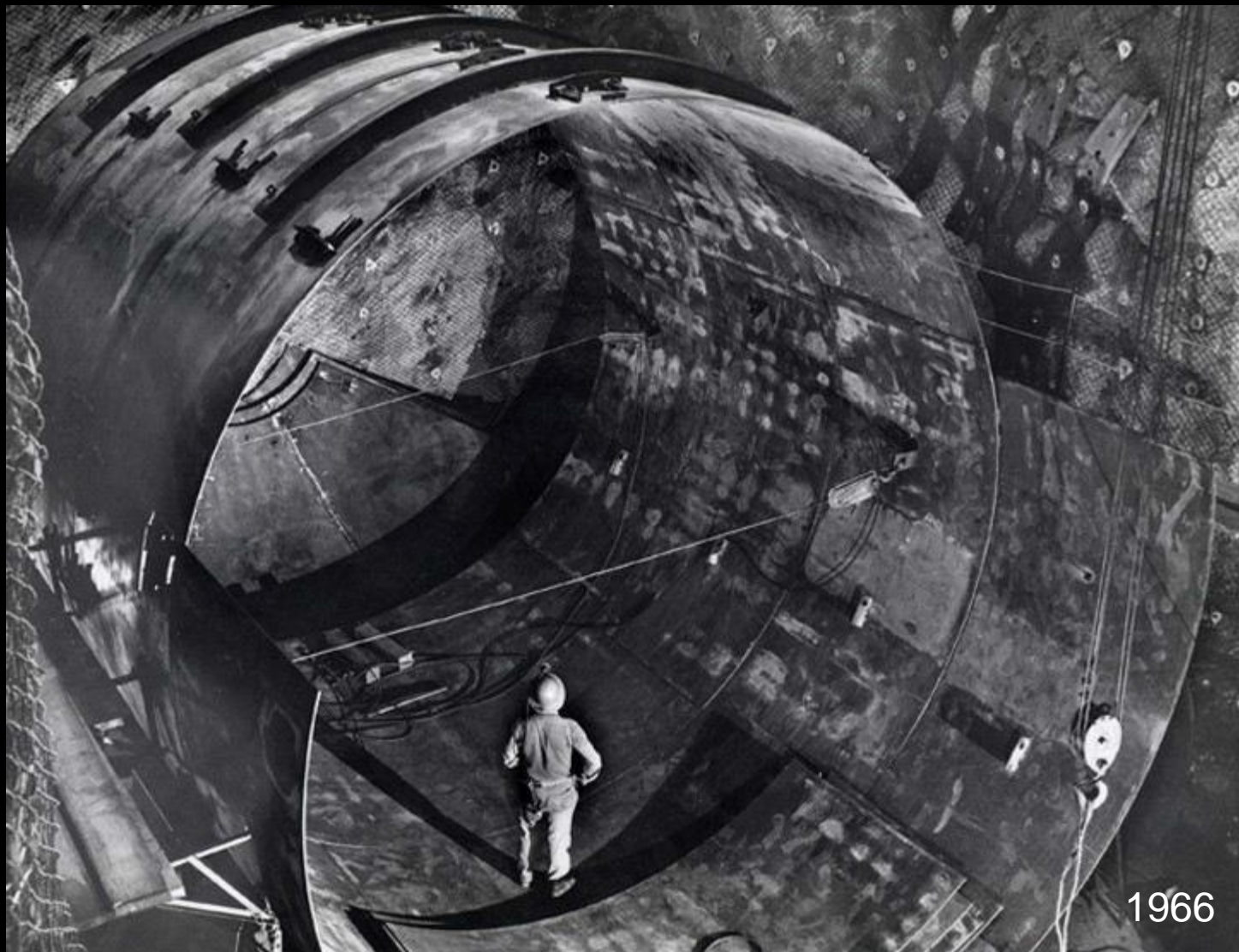


# Solar neutrinos: energy spectrum

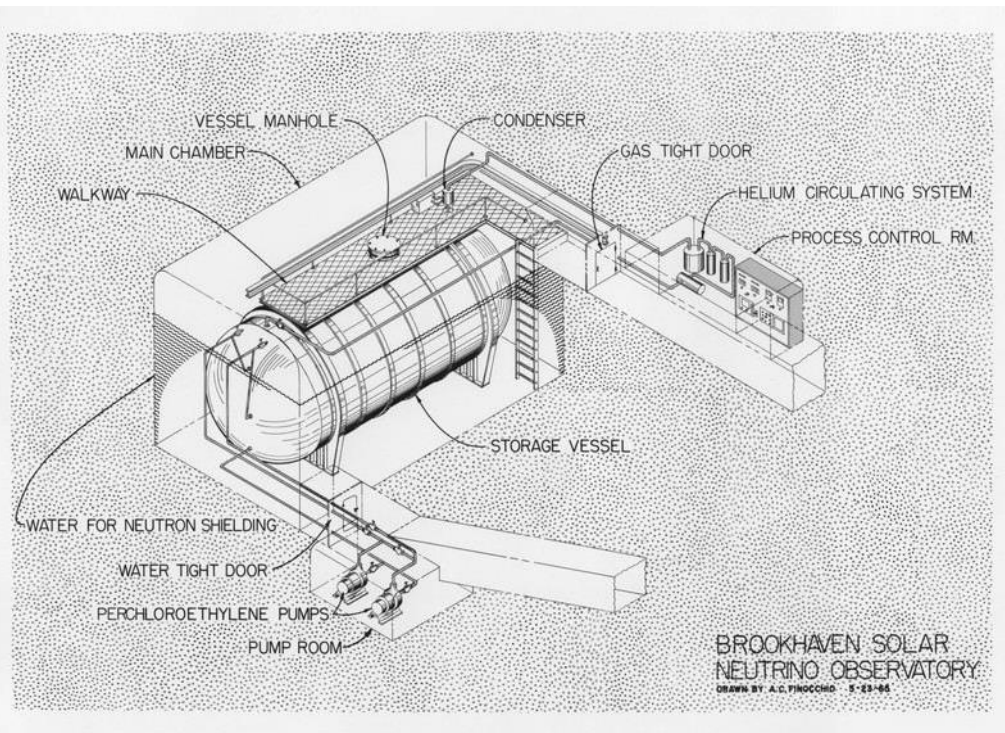




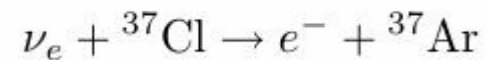
# Homestake experiment (1970 - 1994)



# Homestake experiment



- In the late 1960s Ray Davis and John Bahcall set up an experiment to try to detect these solar neutrinos.
- Detection of solar neutrinos using the reaction:



- Radiochemical detector.
- Ratio of observed to predicted:

$$\frac{R_{\text{Cl}}}{R_{\text{SSM}}} = 0.301 \pm 0.027$$

- **Missing neutrinos!**



# Kamiokande (1983 - 1996)



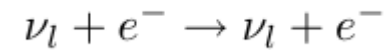
(c) 東京大学宇宙線研究所 神岡宇宙素粒子研究施設



# Kamiokande



- Detection of solar neutrinos using the reaction:

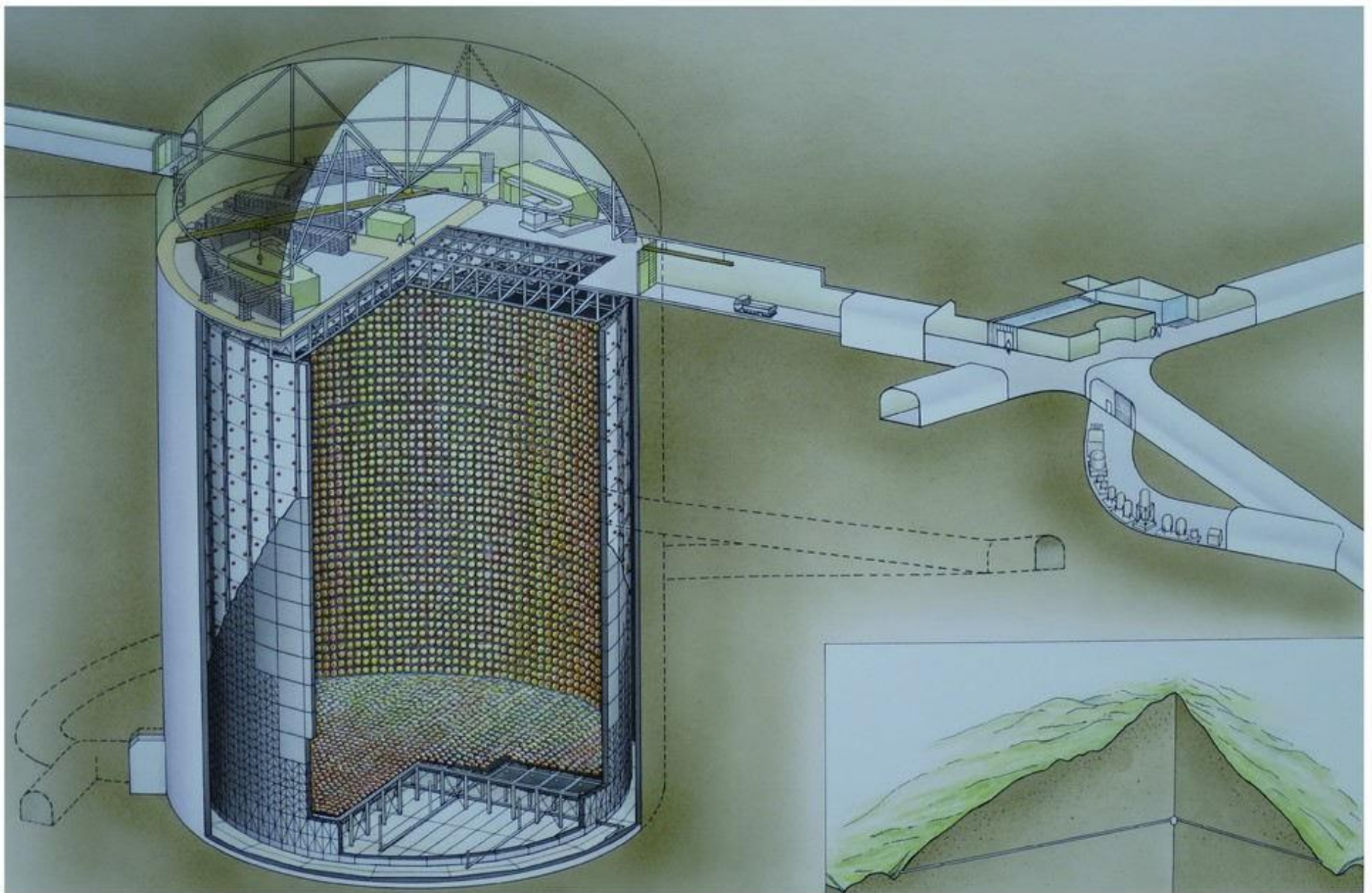


- Water Cherenkov detector.
- Ratio of observed to predicted:

$$\frac{\Phi_{\text{Kamiokande}}}{\Phi_{\text{SSM}}} = 0.484 \pm 0.066.$$

- **Missing neutrinos again!**

# Super-Kamiokande (since 1996)

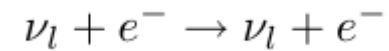




# Super-Kamiokande



- Detection of solar neutrinos using the reaction:



- Water Cherenkov detector.
- Ratio of observed to predicted:

$$\frac{\Phi_{\text{SK-I}}}{\Phi_{\text{SSM}}} = 0.406 \pm 0.014$$

- **Improved result over Kamiokande, neutrinos still missing!**

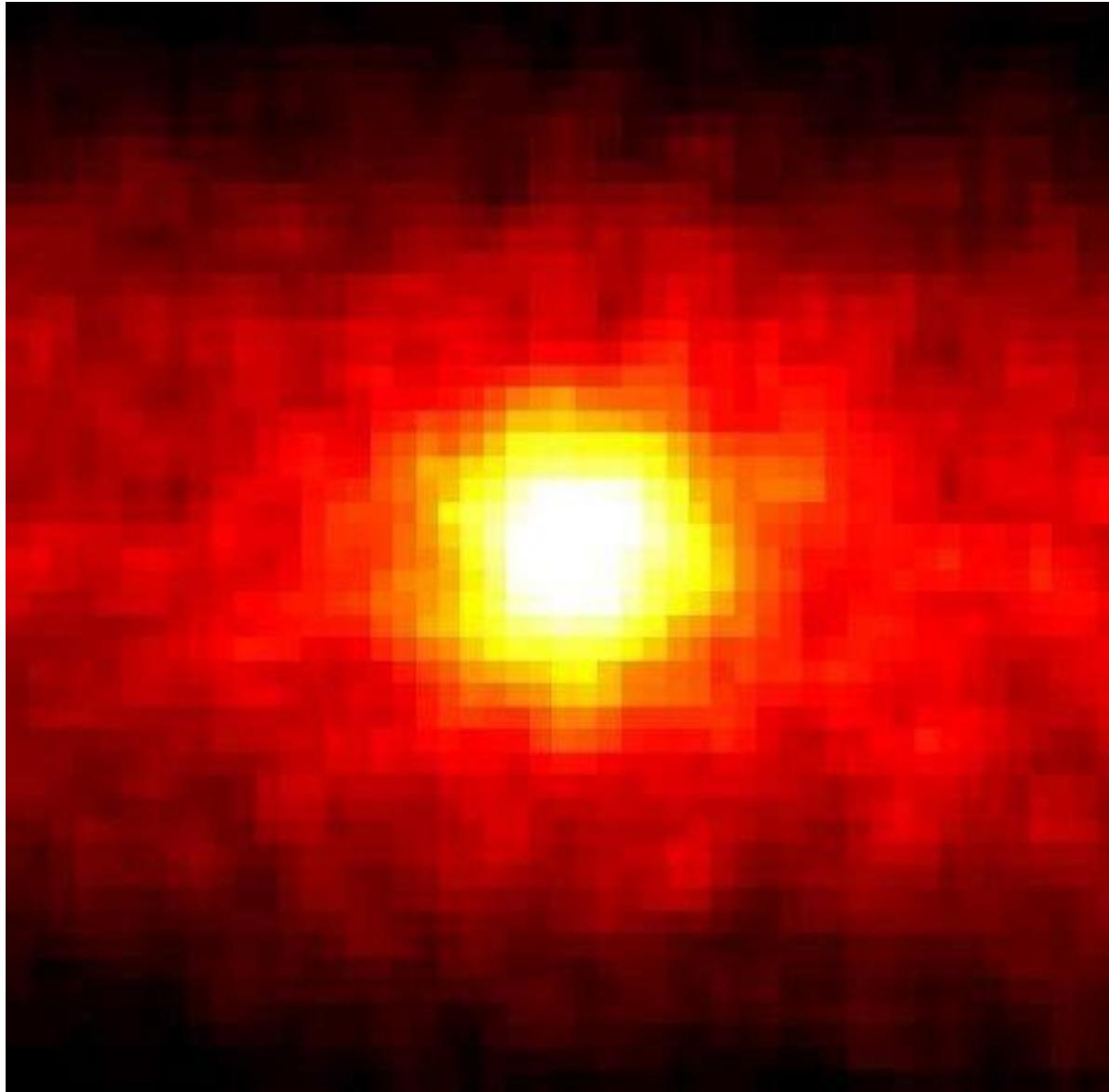
# Super-Kamiokande



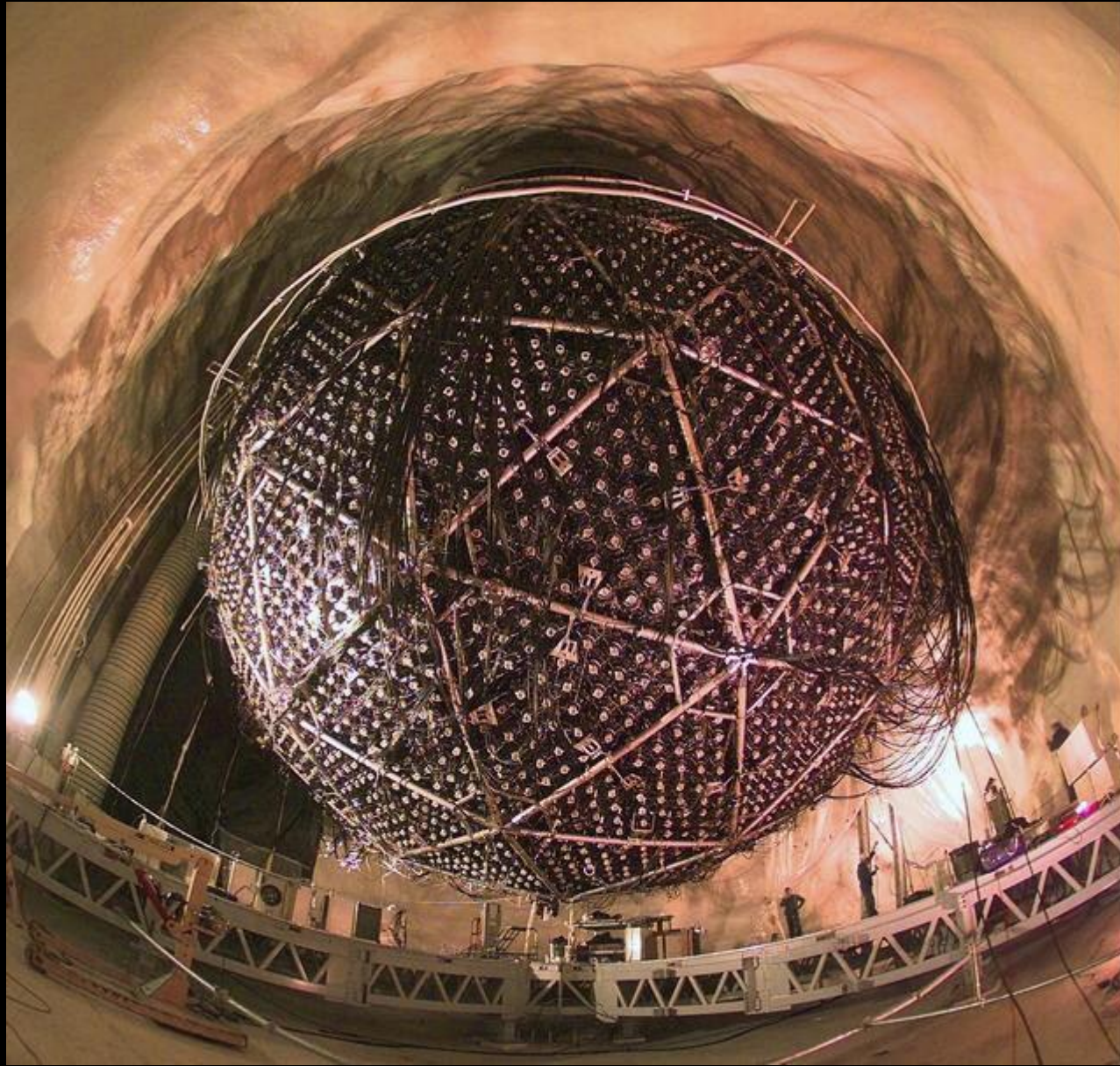


# Super-Kamiokande

- **NEUTRINOGRAPHY** of the Sun. 500 days exposure!

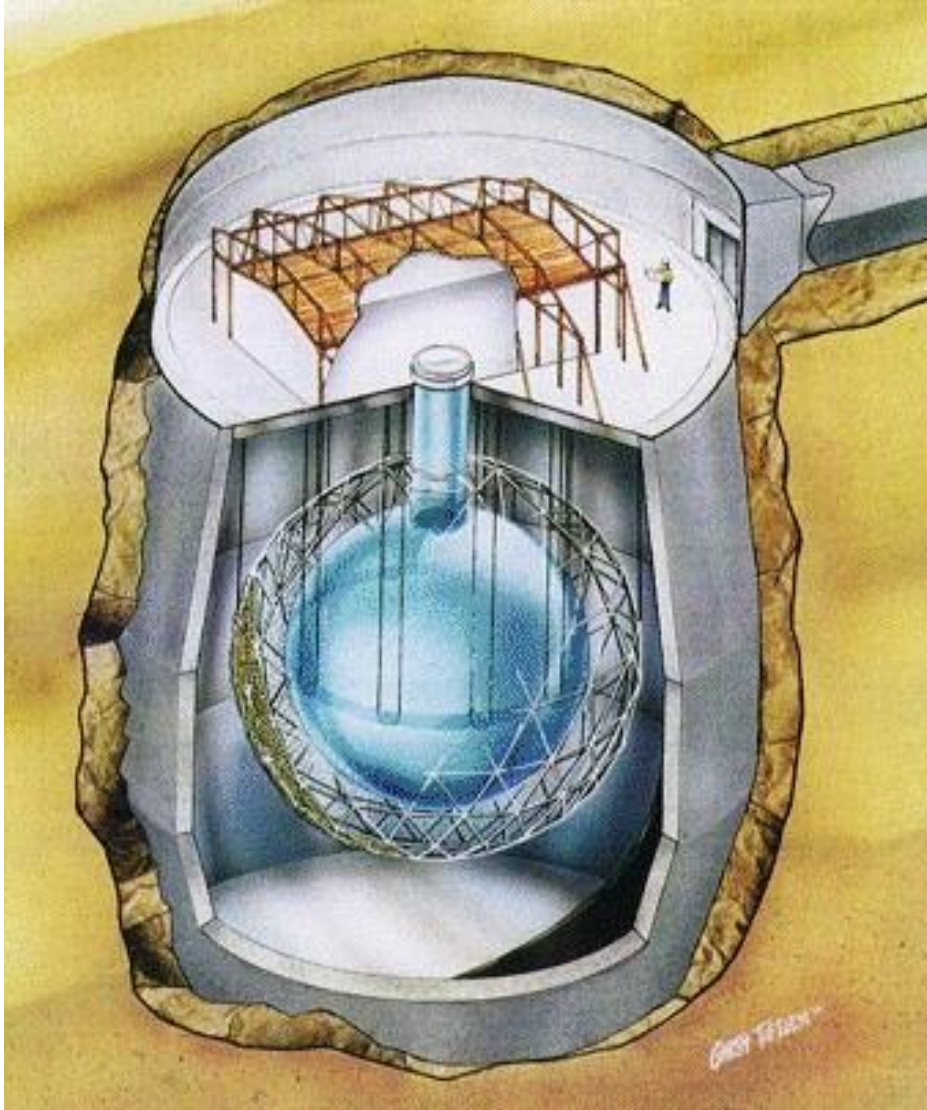


# SNO (1999 - 2006)

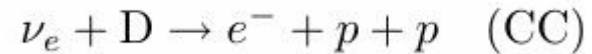
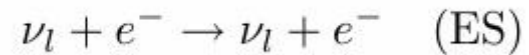




# SNO



- Detection of solar neutrinos using the reactions:



- Heavy Water Cherenkov detector.
- Ratio of observed to predicted:

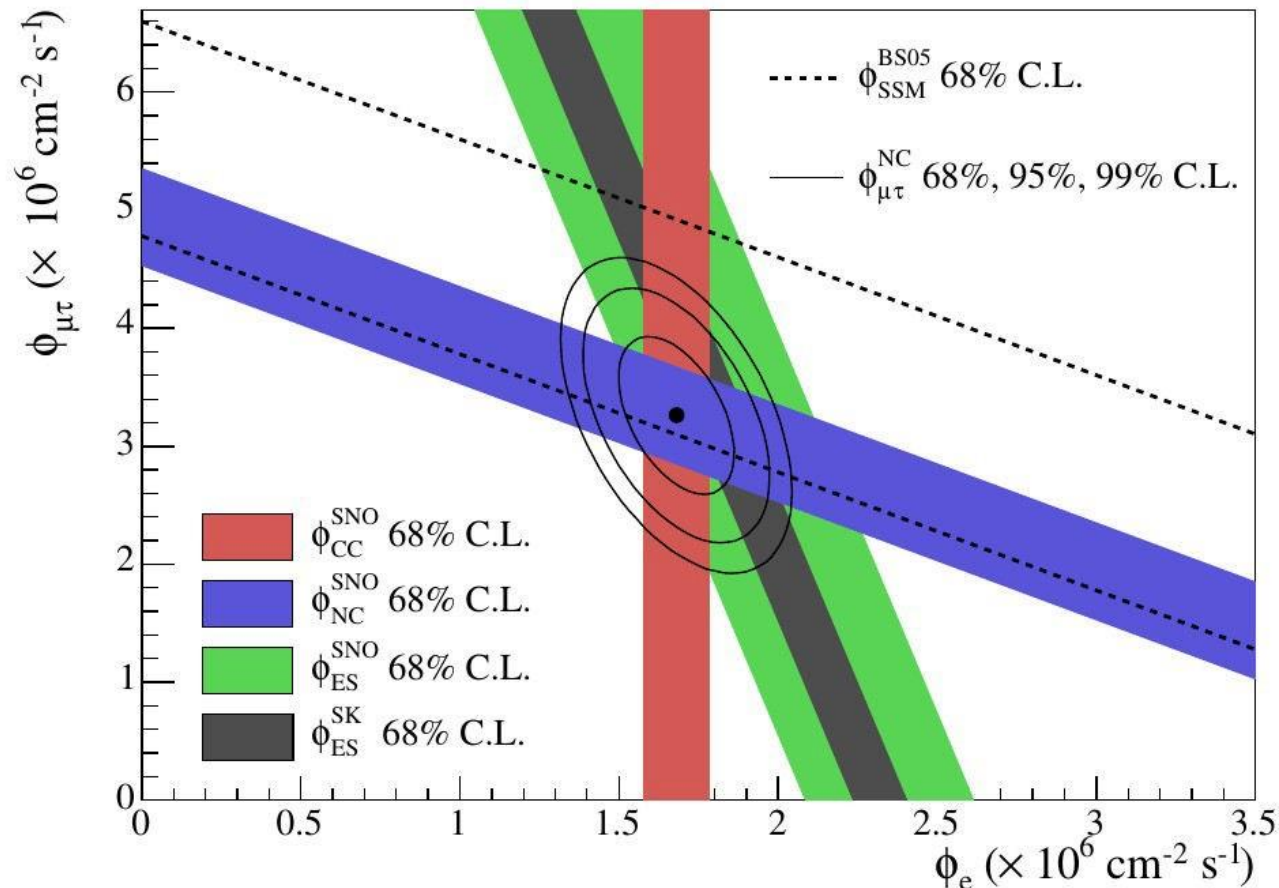
$$\frac{\Phi_{\text{SNO}}^{\text{ES}}}{\Phi_{\text{SSM}}} = 0.406 \pm 0.046$$

$$\frac{\Phi_{\text{SNO}}^{\text{CC}}}{\Phi_{\text{SSM}}} = 0.290 \pm 0.017$$

$$\frac{\Phi_{\text{SNO}}^{\text{NC}}}{\Phi_{\text{SSM}}} = 0.853 \pm 0.075$$

# SNO

$$\begin{aligned}\Phi_{\text{SNO}}^{\nu_e} + r^{\text{ES}} \Phi_{\text{SNO}}^{\nu_{\mu,\tau}} &= \Phi_{\text{SNO}}^{\text{ES}} & \underline{r^{\text{ES}}} &\equiv \sigma_{\nu_{\mu,\tau}}^{\text{ES}} / \sigma_{\nu_e}^{\text{ES}} \approx 0.1553 \\ \Phi_{\text{SNO}}^{\nu_e} &= \Phi_{\text{SNO}}^{\text{CC}} \\ \Phi_{\text{SNO}}^{\nu_e} + \Phi_{\text{SNO}}^{\nu_{\mu,\tau}} &= \Phi_{\text{SNO}}^{\text{NC}}\end{aligned}$$

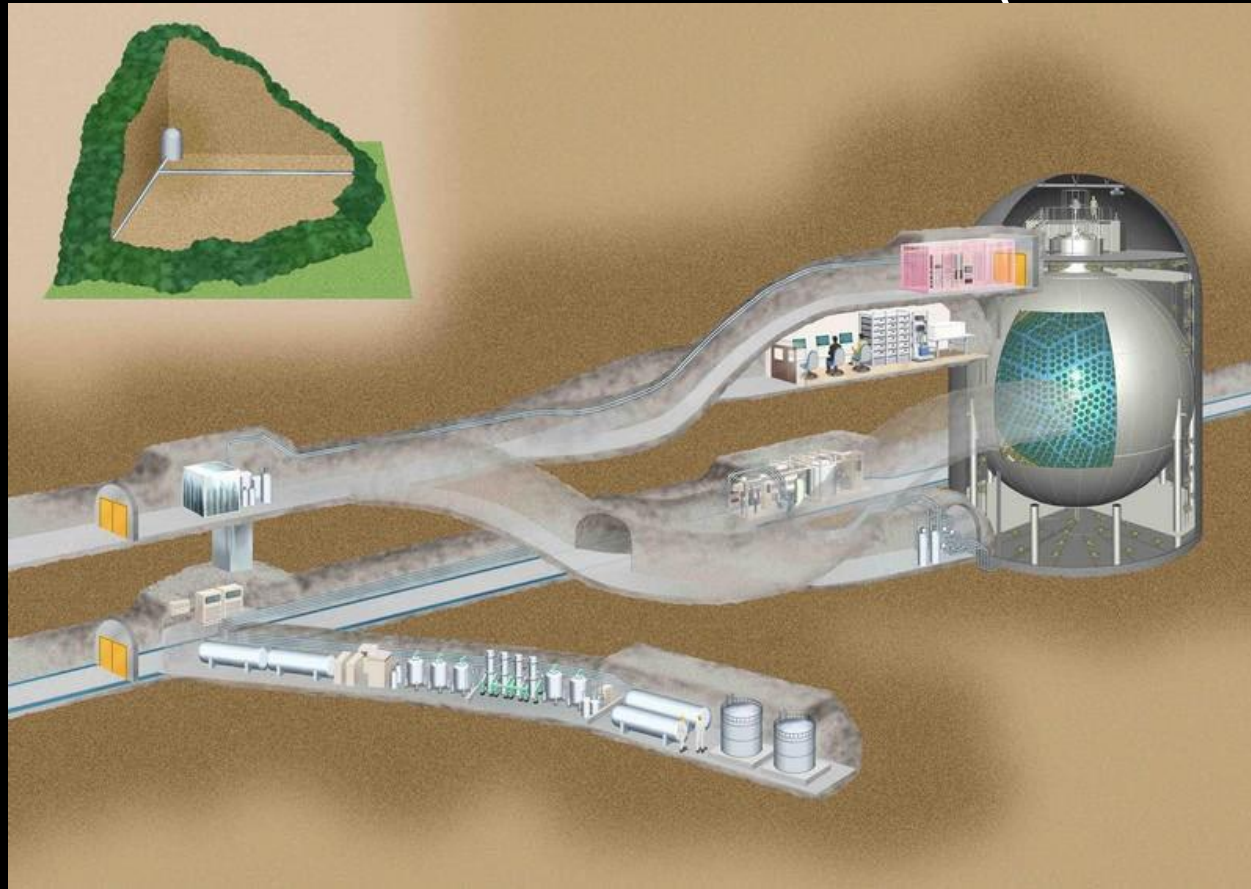


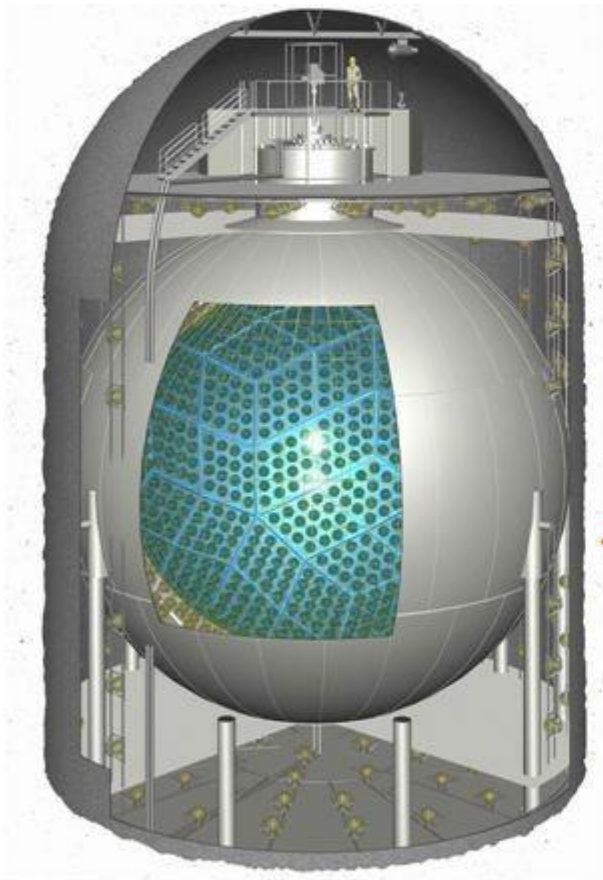
- The SNO results (along with Super-K for atmospheric neutrinos) led to a Nobel prize in 2015.



Additional material:  
Reactor Experiments

# KamLAND (2002 - 2011)

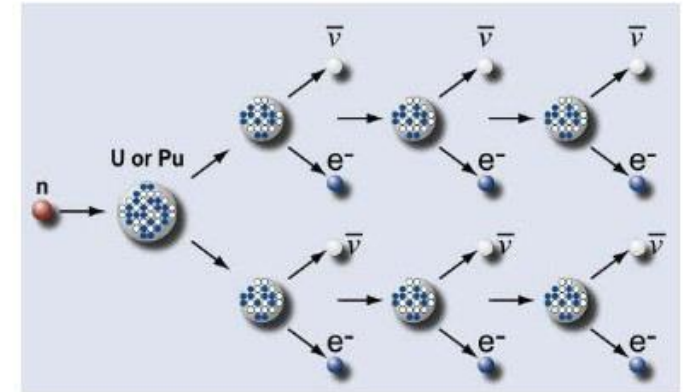
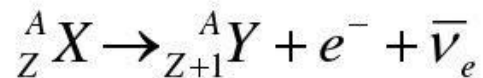




# $\bar{\nu}_e$ production at nuclear reactors

- Fission of nuclear fuel ( $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$ ) produces neutron rich fission products.

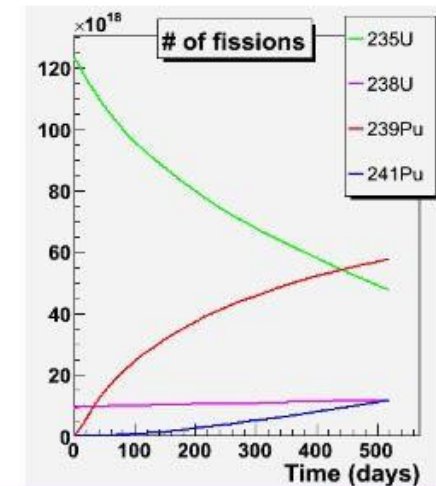
- $\beta^-$  decay of fission products:



- Average per fission:

- 200 MeV released.
- 6 antineutrinos.

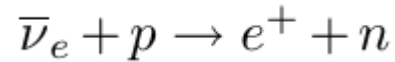
- Nuclear power plants: greatest man-made antineutrino source.
- Need to consider nuclear fuel evolution.



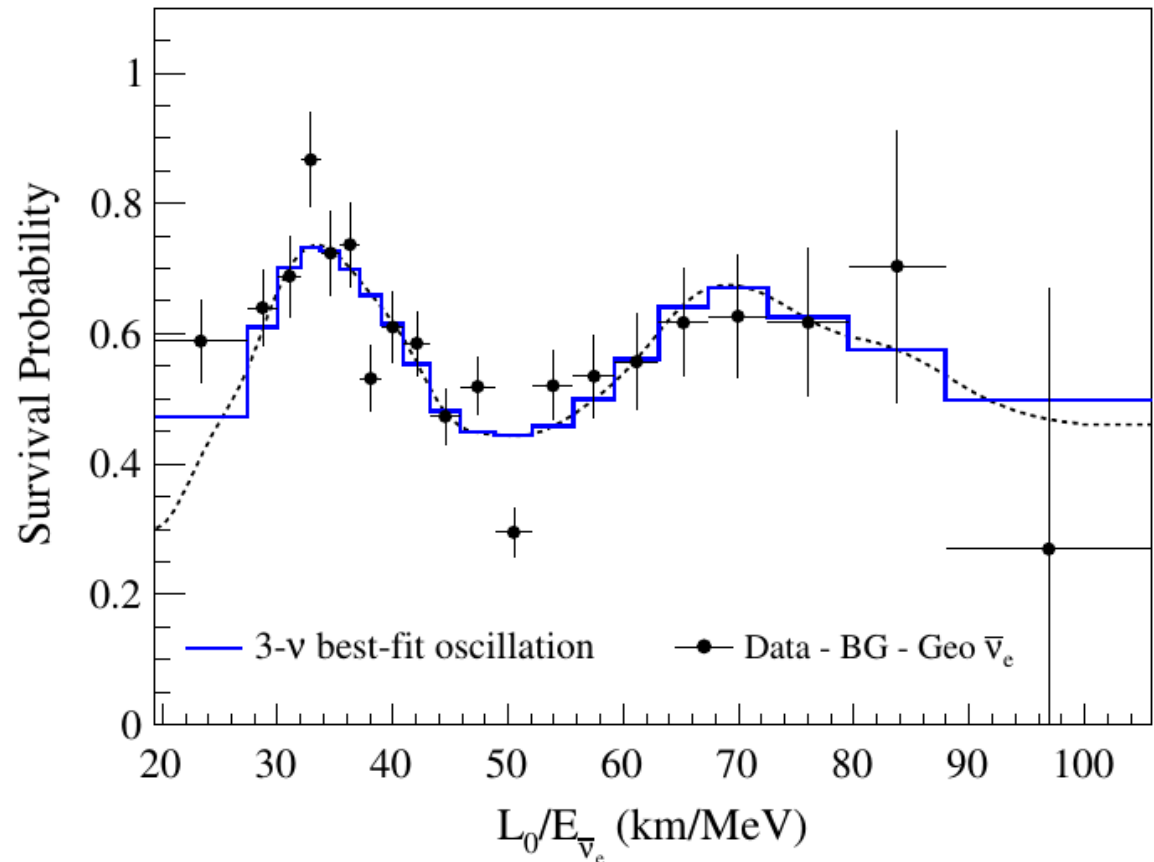
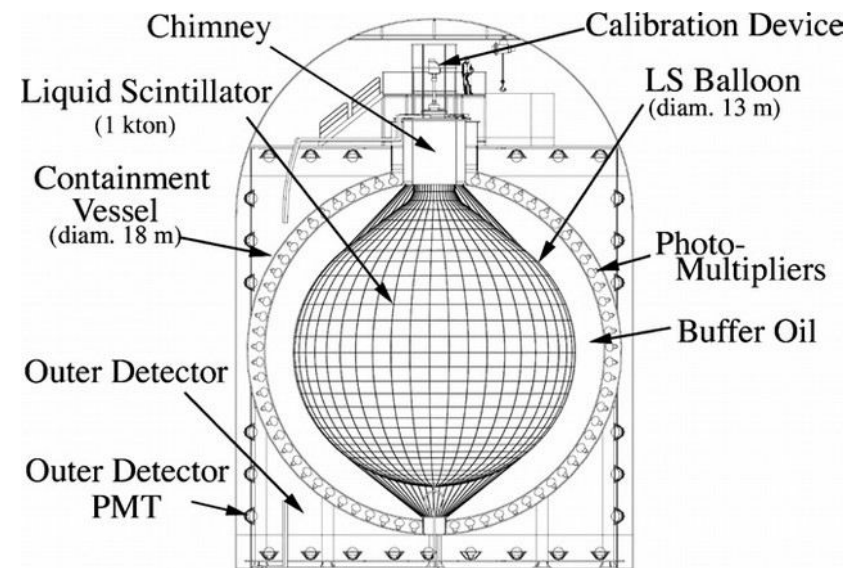


# KamLAND

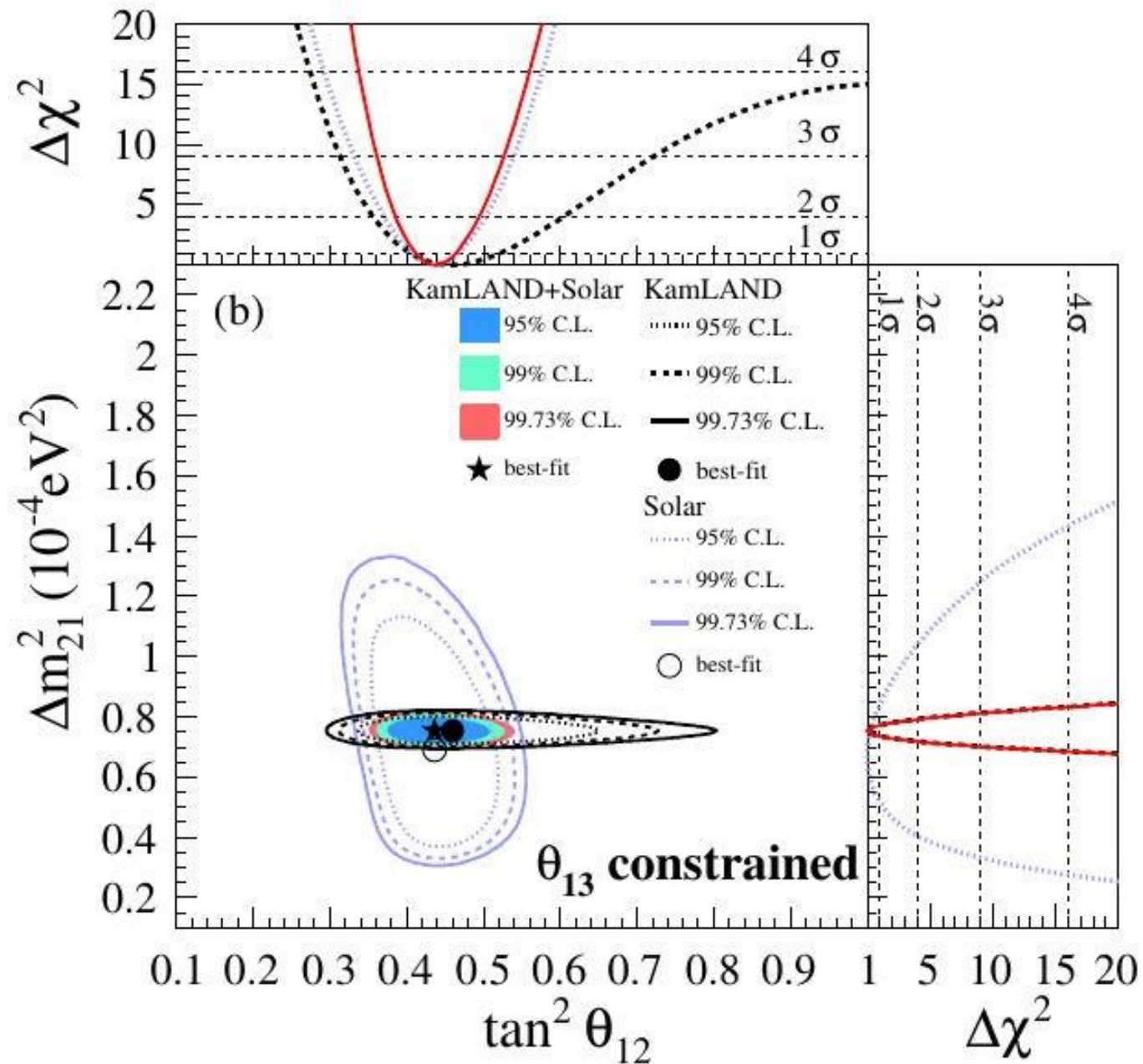
- Detection of reactor neutrinos using the inverse beta-decay reaction:



- Liquid scintillator detector.



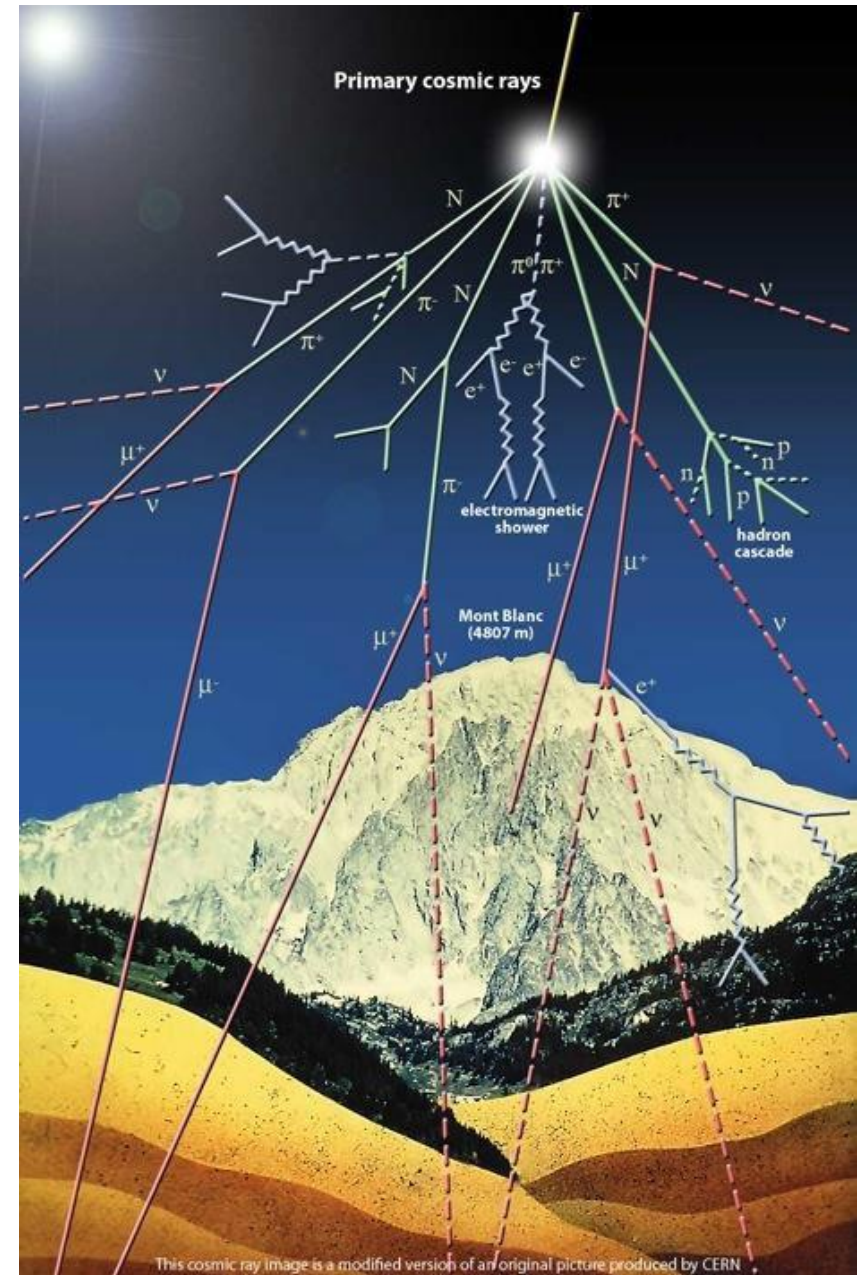
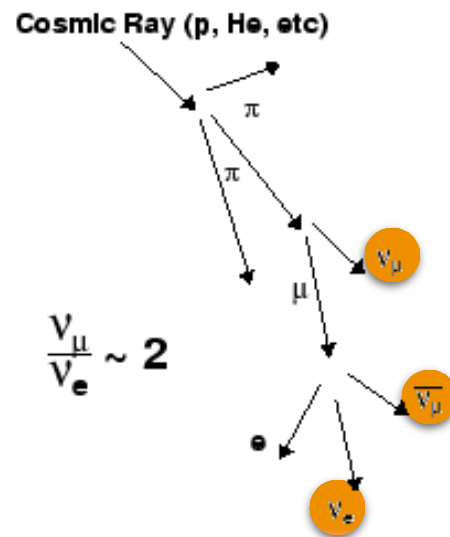
# Solar + KamLAND results



Measurement of  $\theta_{23}$  and  $\Delta m^2_{\text{atm}}$

# NEUTRINOS FROM THE ATMOSPHERE

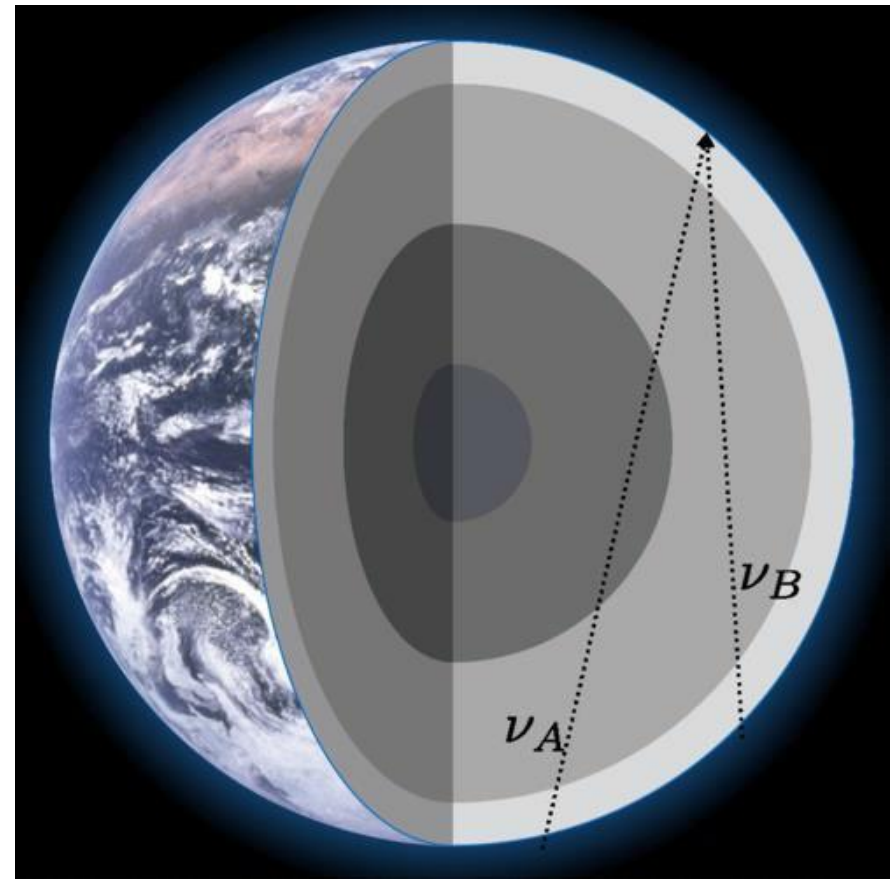
- The Earth is constantly being bombarded by cosmic rays (mostly protons) with astrophysical origin.
- These interact strongly with nuclei in the atmosphere, producing showers of hadrons.
- Unstable hadrons eventually decay to the lightest meson,  $\pi$ , which decays weakly, producing neutrinos!





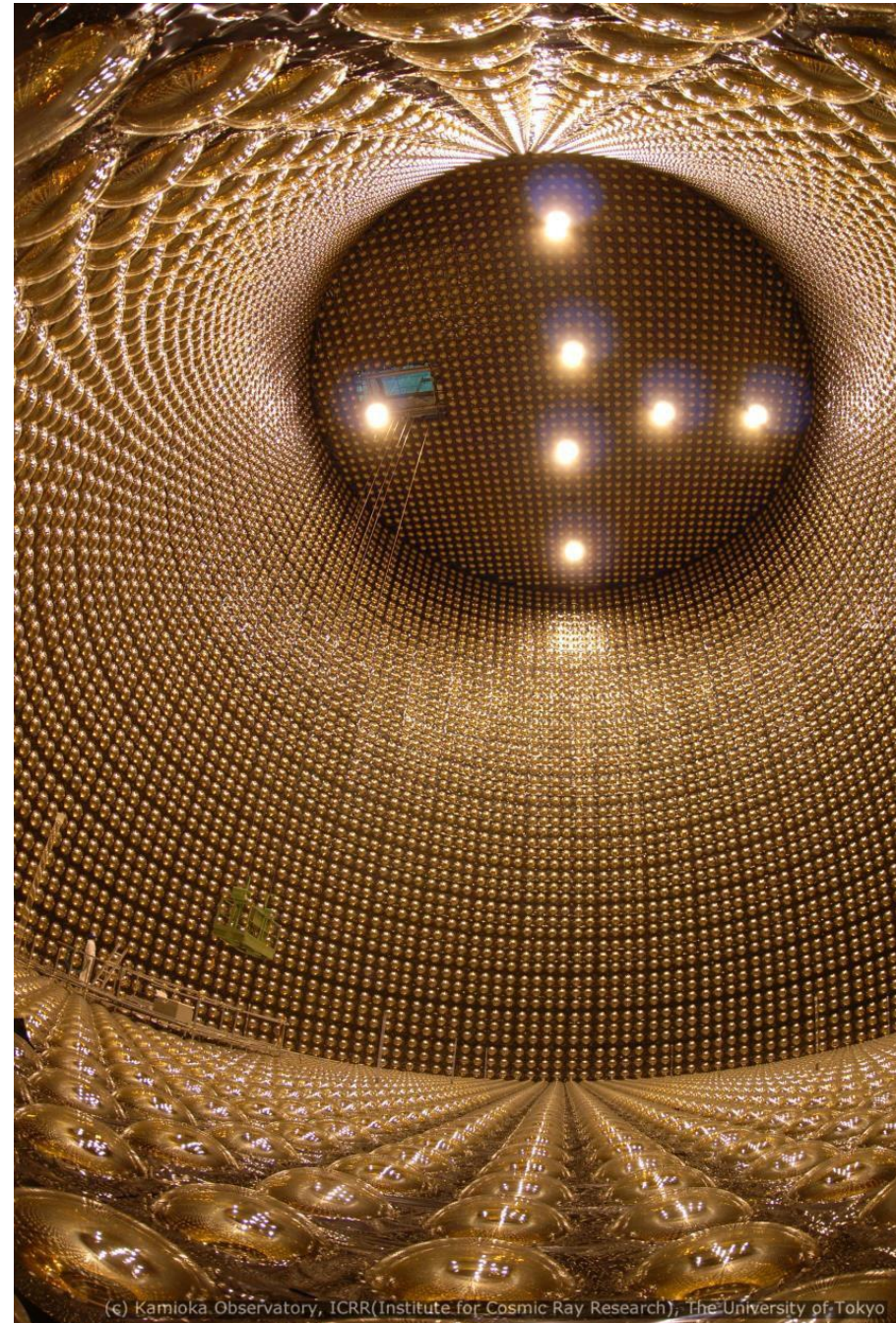
# ATMOSPHERIC NEUTRINO OSCILLATIONS

- Naïvely expect 2-to-1 ratio of muon to electron neutrinos.
- However, neutrinos produced on the “far” side of the earth travel thousands of kilometres before they reach a detector.
  - So, they oscillate!
- Comparing the number of neutrinos hitting the detector from the “top” (short  $L$ ) to those coming from the “bottom” (long  $L$ ) gives a direct measurement of atmospheric neutrino oscillations.



# SUPER-KAMIOKANDE

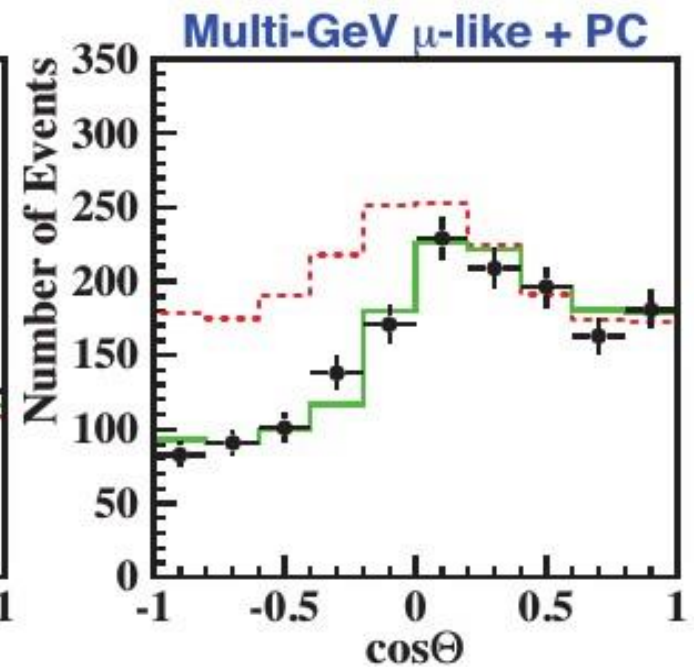
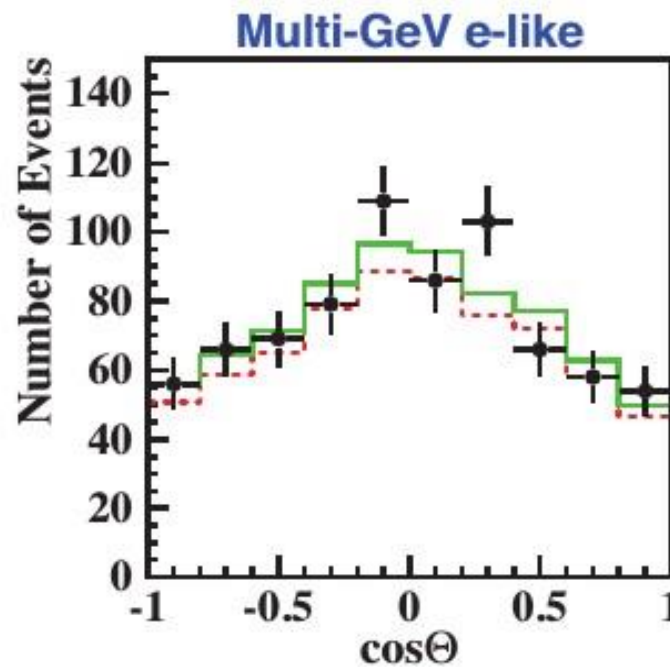
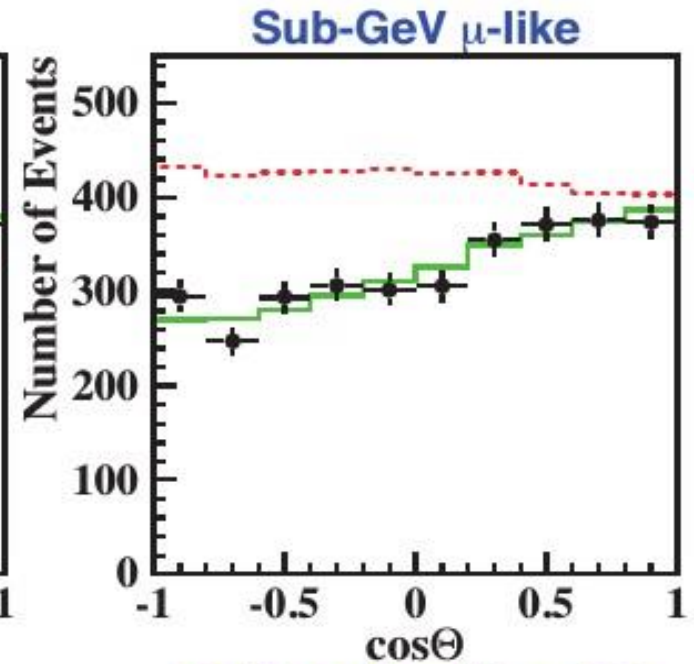
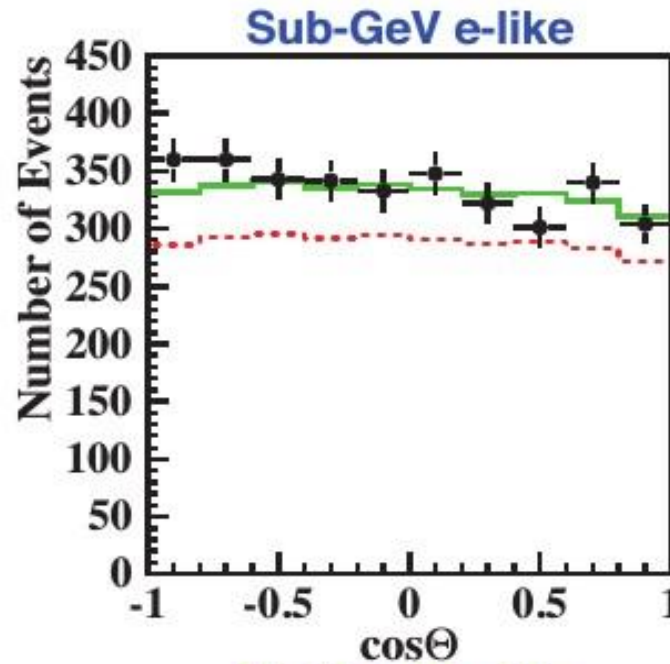
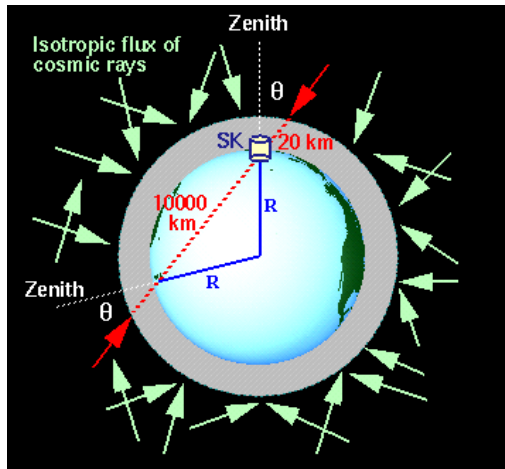
- The Super-Kamiokande experiment was designed to unambiguously observe oscillations in atmospheric neutrinos.
  - Following hints from its predecessor, Kamiokande.
- A tank containing 50 kilo-tons of ultra-pure water is instrumented with 11 000 photo sensors to detect Cherenkov radiation emitted by relativistic charged particles.
- Excellent particle identification and directionality.
  - Crucial for oscillation measurement.



(c) Kamioka Observatory, ICRR (Institute for Cosmic Ray Research), The University of Tokyo



# Super-Kamiokande results

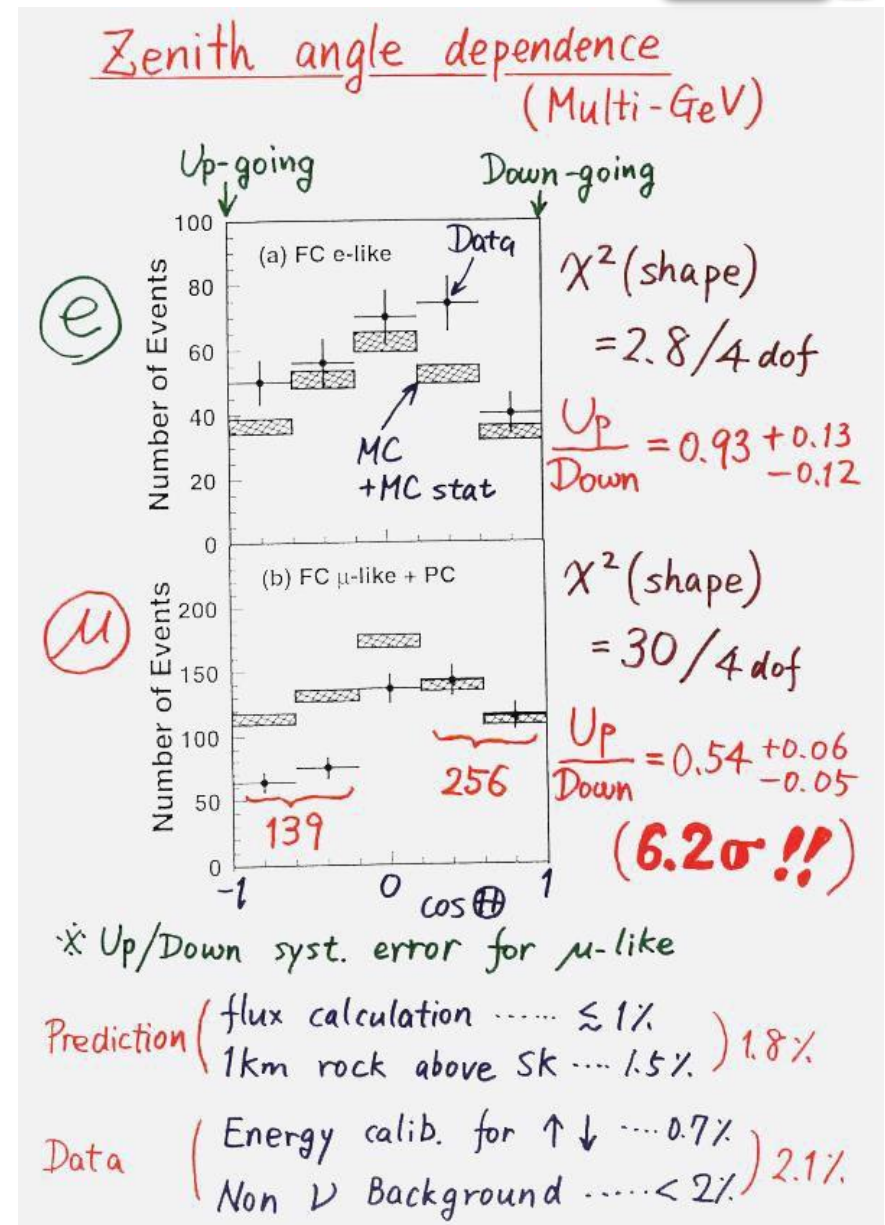


# SUPER-K FIRST RESULTS

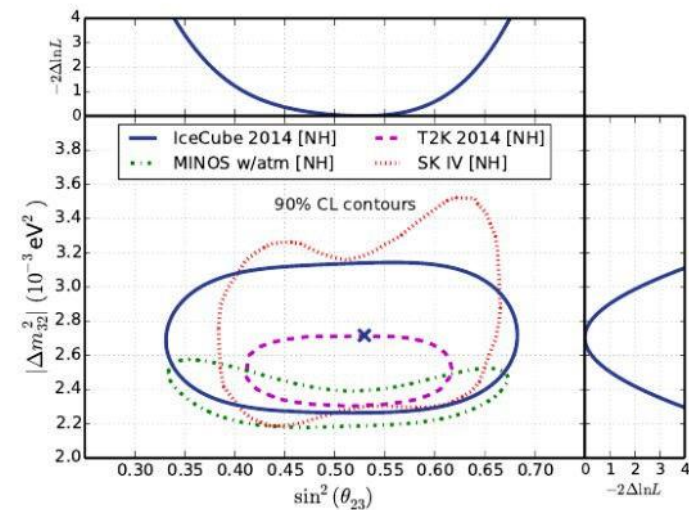
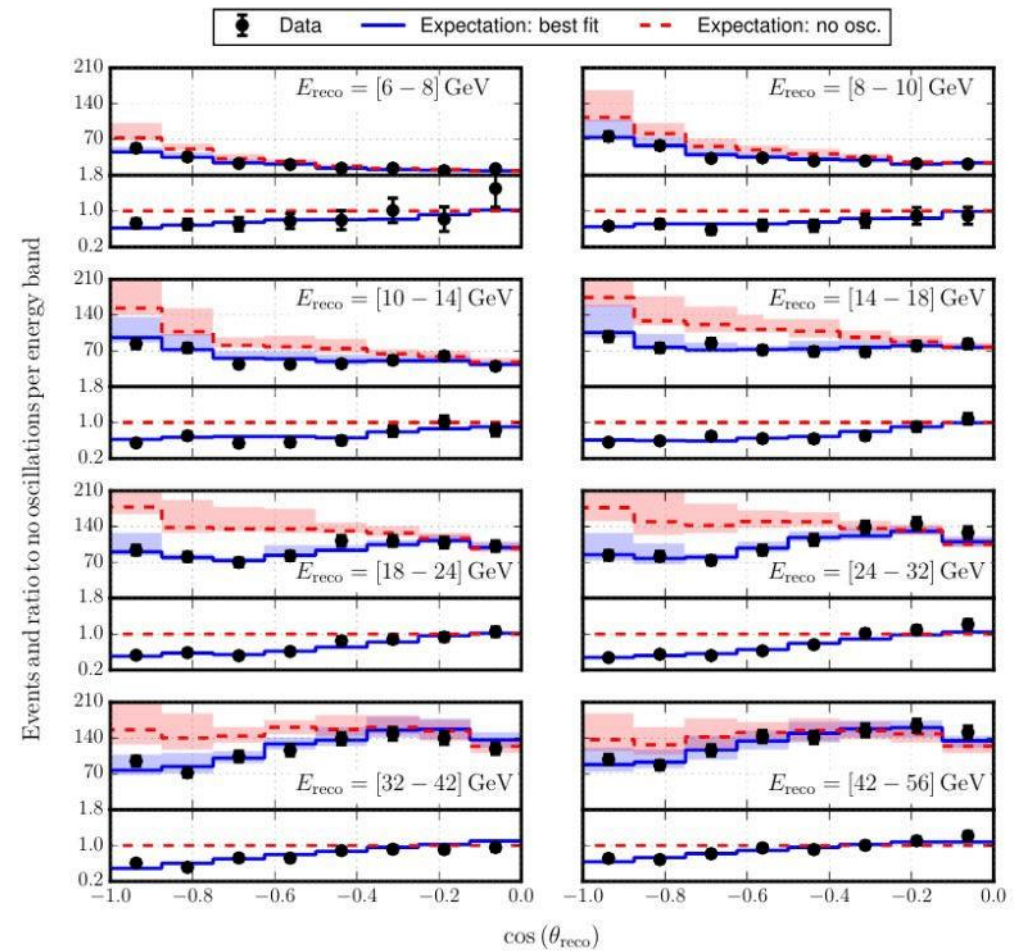
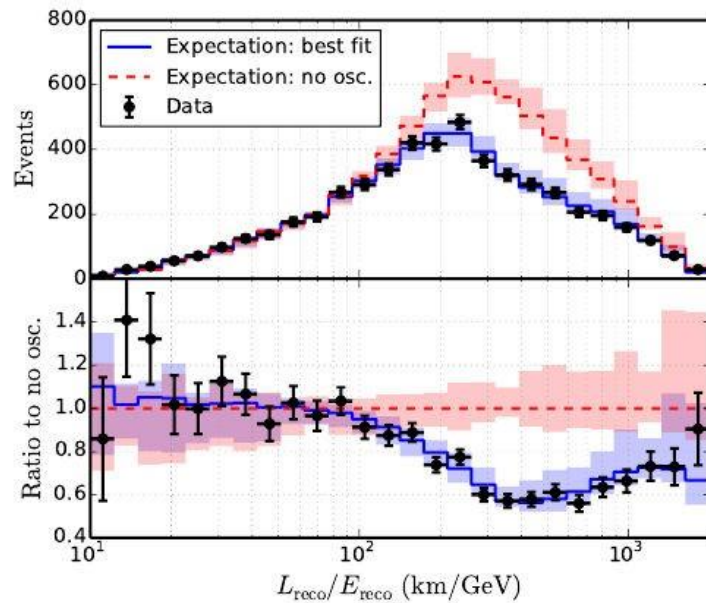
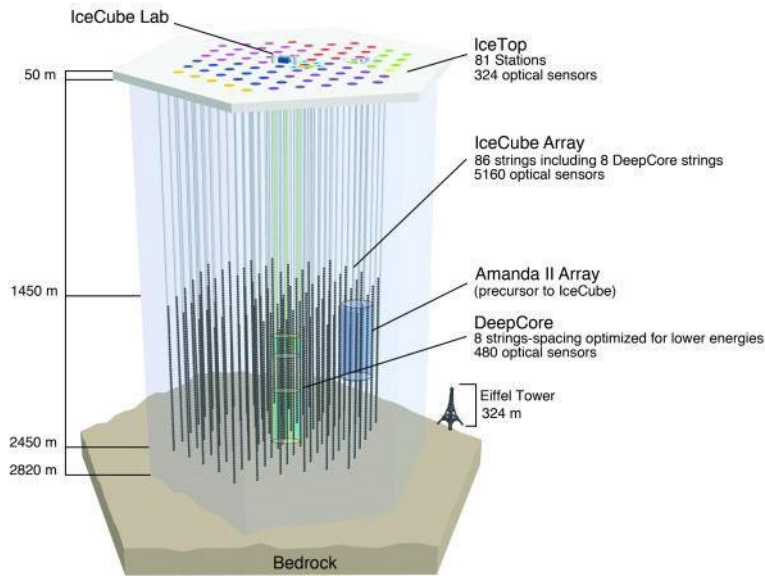
2015



- Super-Kamiokande announced its discovery of oscillations in atmospheric neutrinos in 1998.
- A clear deficit of “up-going” muon neutrinos was observed in the data.
  - Muon neutrinos are oscillating into tau neutrinos, which are not detected.
- Effect not seen in electron neutrinos.
  - Muon neutrino to electron neutrino oscillations are subdominant at this L/E.



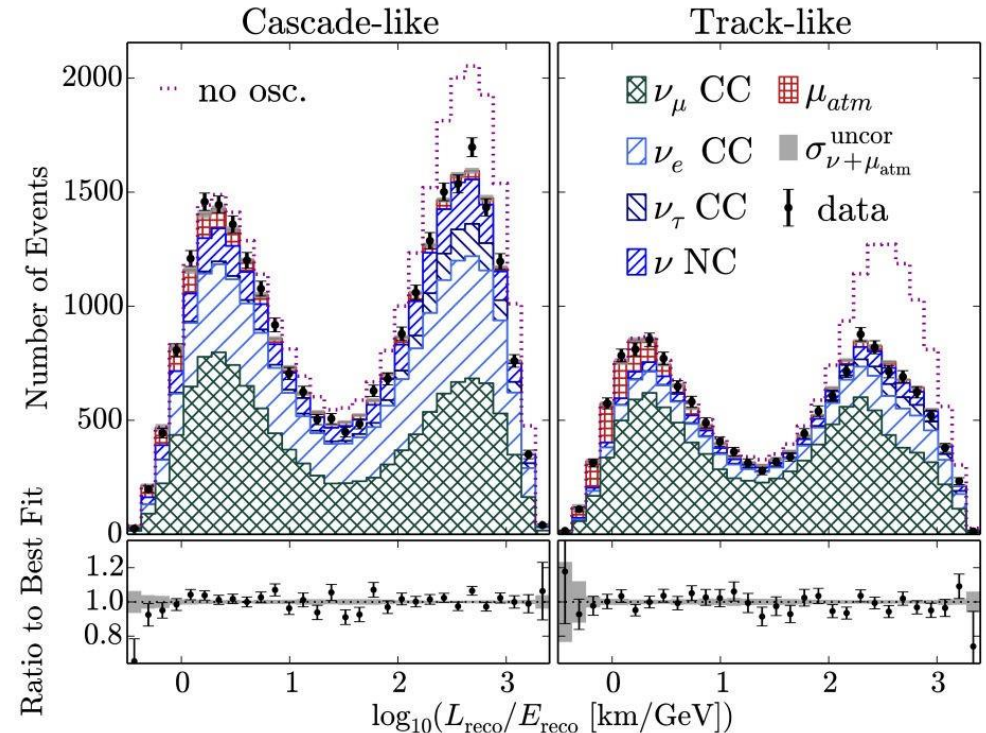
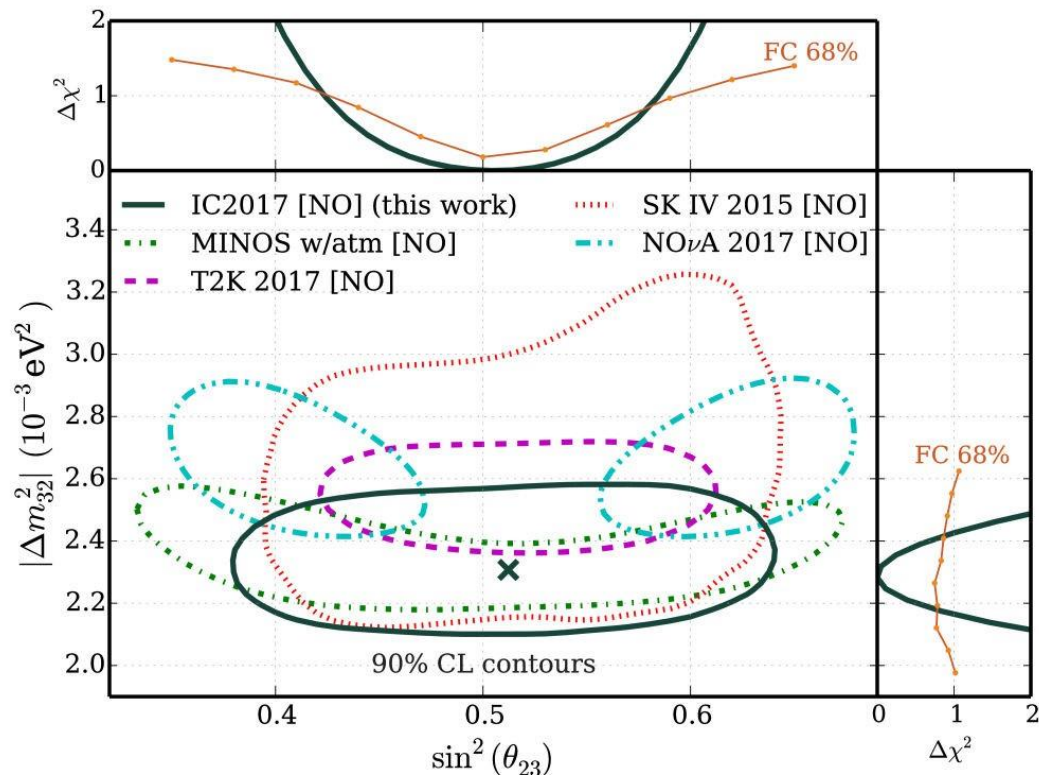
# IceCube





# ICECUBE ATMOSPHERIC NEUTRINOS

- Icecube sees atmospheric neutrino oscillations **consistent** with the Super-K results.



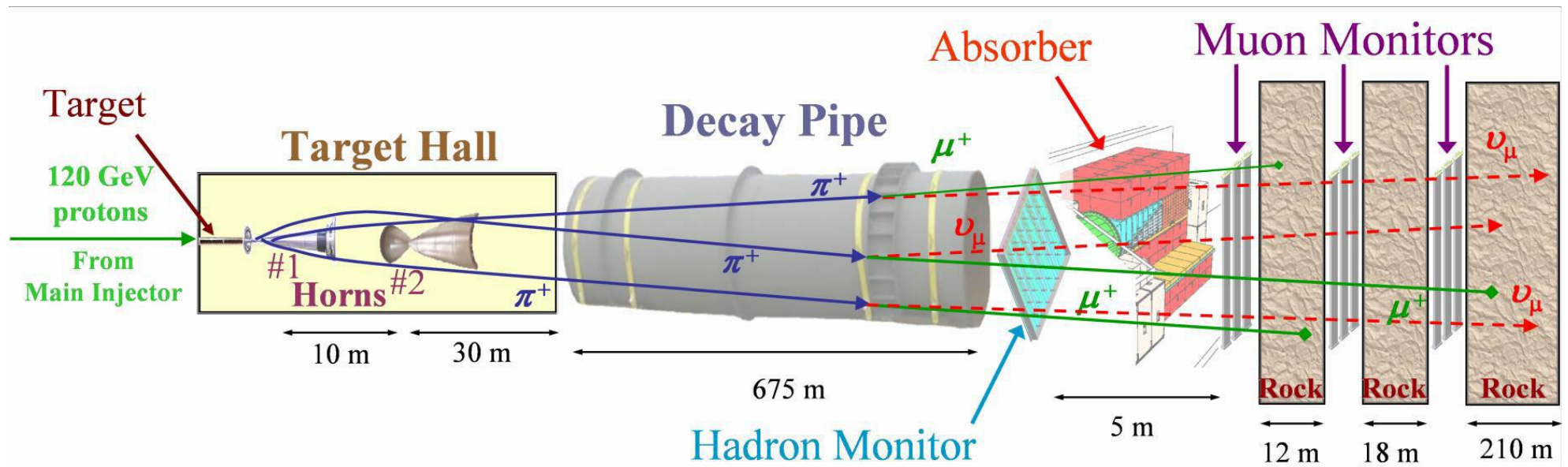
- Note  $\Delta m^2 \sim 10^{-3}$ , 100 times larger than solar mass splitting!
- Also, the mixing angle is **very** large – it looks like mixing is **maximal** or close...

# NEUTRINO BEAMS

- So far, looked at experiments that use pre-existing neutrino sources.
  - Either natural: Sun, cosmic rays impinging on the atmosphere.
  - Or artificial: commercial nuclear reactors – electricity is paid for, but neutrinos are free!
- But we've been producing neutrino beams since the 60s.
- We can confirm neutrino oscillations using a well controlled neutrino source.
- Long baseline neutrino experiments:
  - Produce very intense muon neutrino beam
  - Point it at very large detector very far away.
  - Use well controlled beam energy, direction and timing to make very precise measurements.
    - Including searching for electron neutrino appearance from a muon neutrino beam.
      - Needs non-zero  $\theta_{13}$

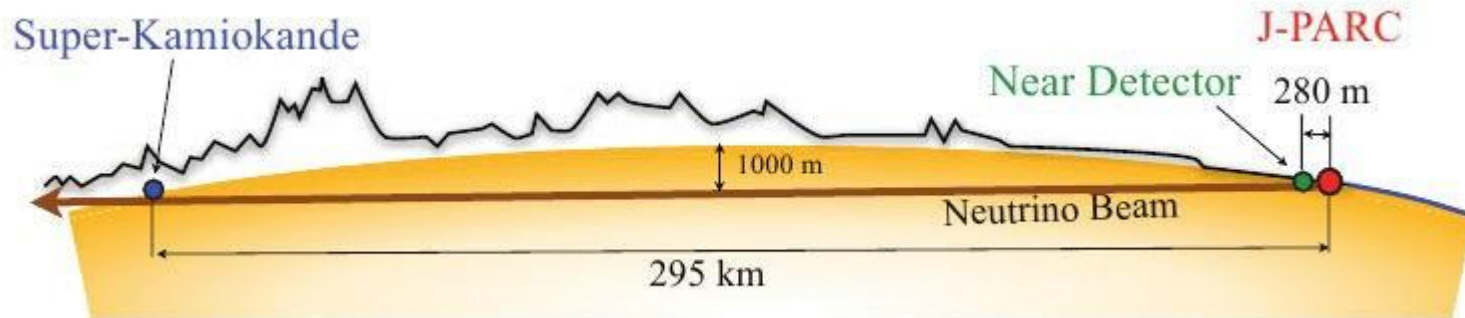
# PRODUCING A NEUTRINO BEAM

- [https://www.youtube.com/watch?v=U\\_xWDWKq1CM](https://www.youtube.com/watch?v=U_xWDWKq1CM)
1. Accelerate protons and aim them at a target.
  2. Focus the resulting pions using magnetic horns.
    - Can focus positive or negative pions to give neutrinos or anti-neutrinos.
  3. Allow pions to decay in empty volume, producing neutrinos and muons.
  4. Absorb the muons, and neutrinos will go through.

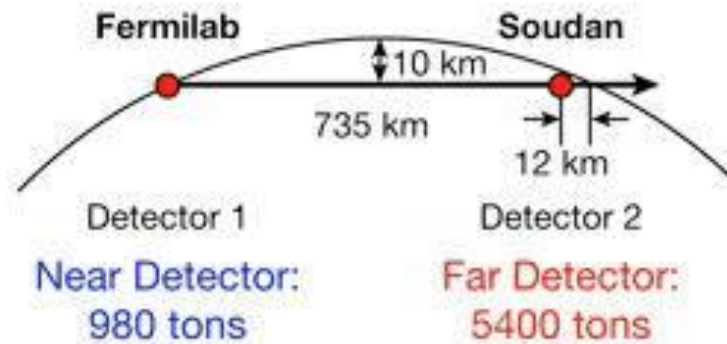




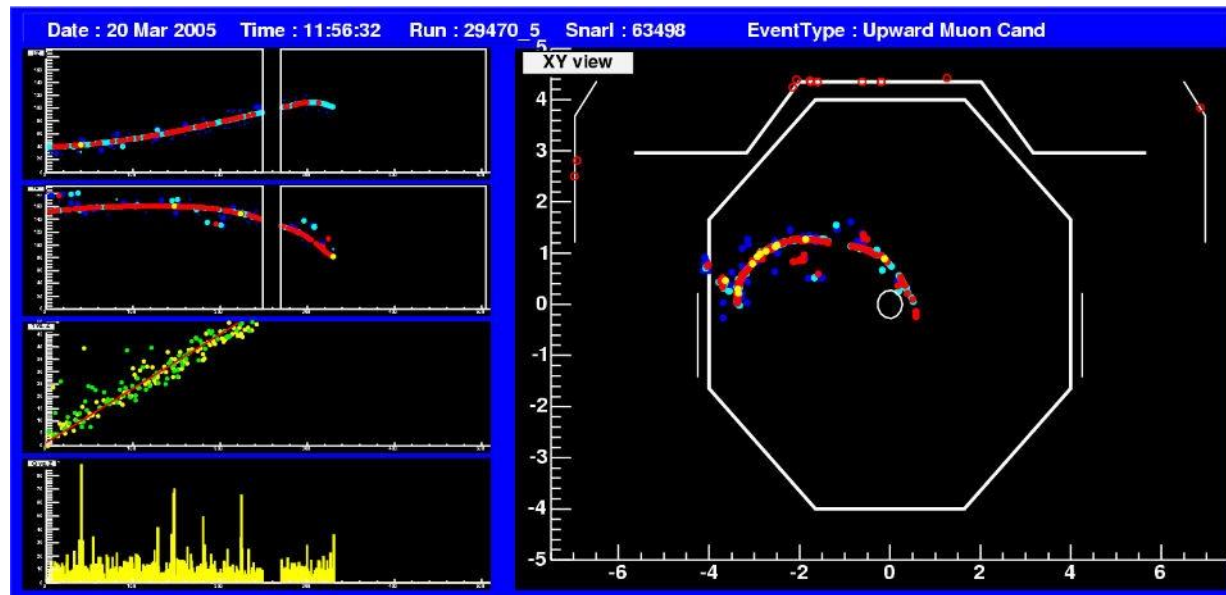
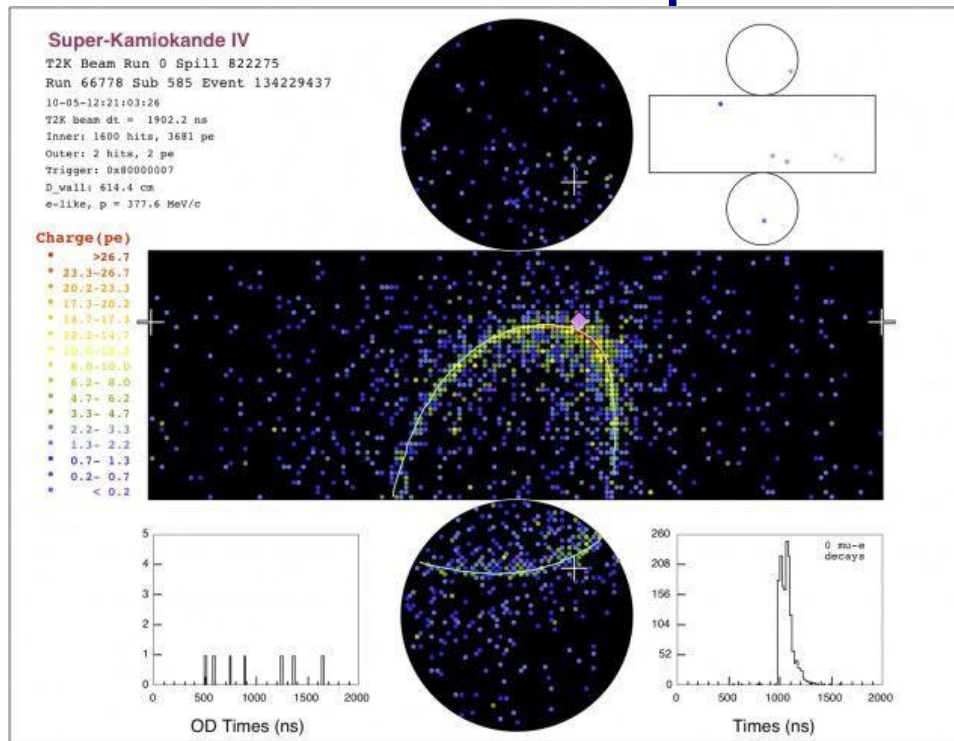
# T2K & MINOS experiments



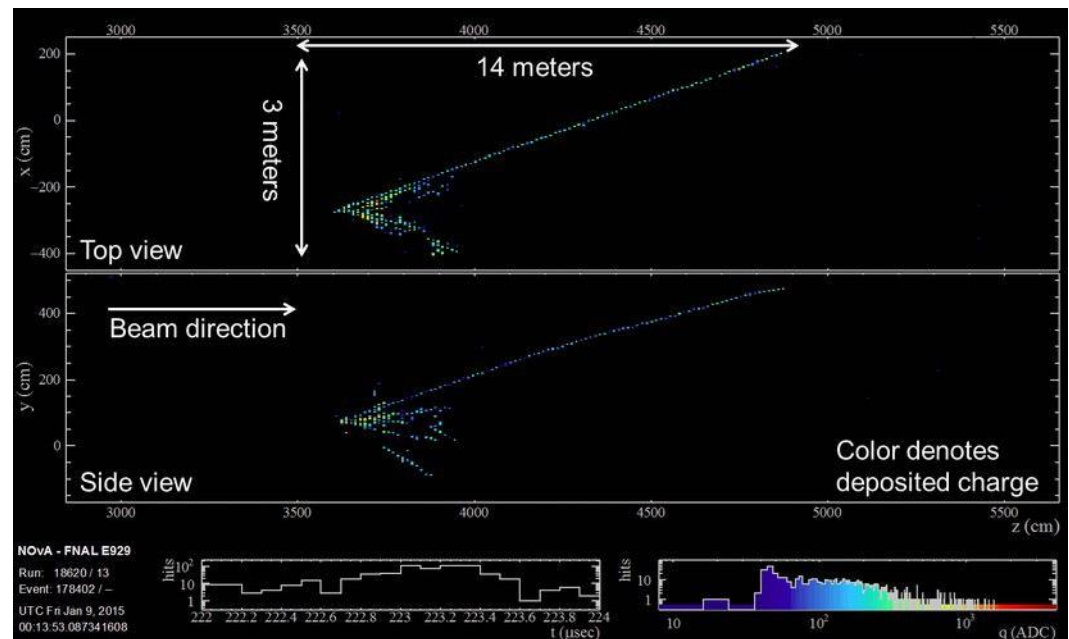
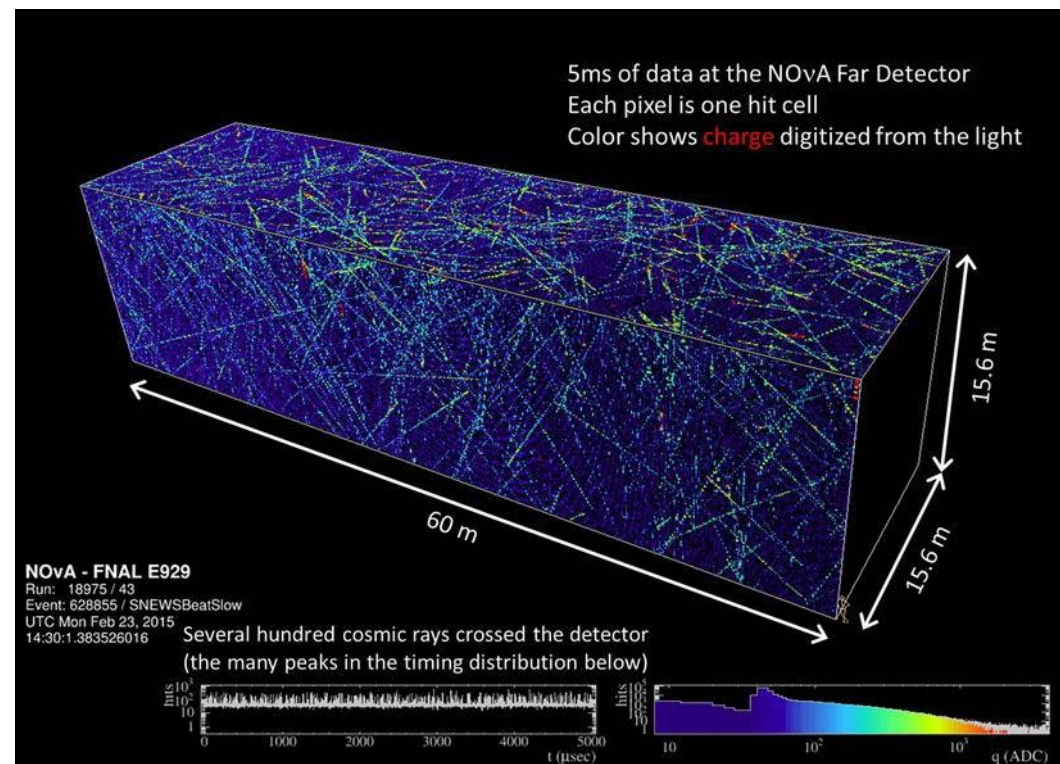
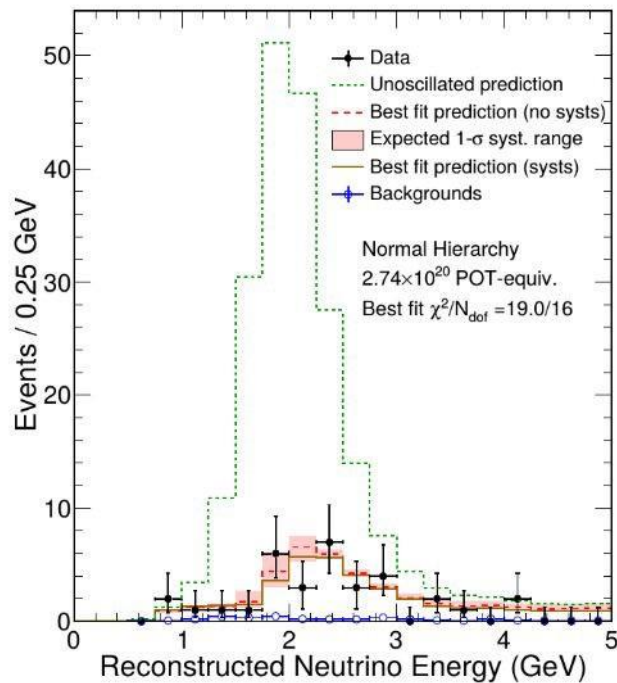
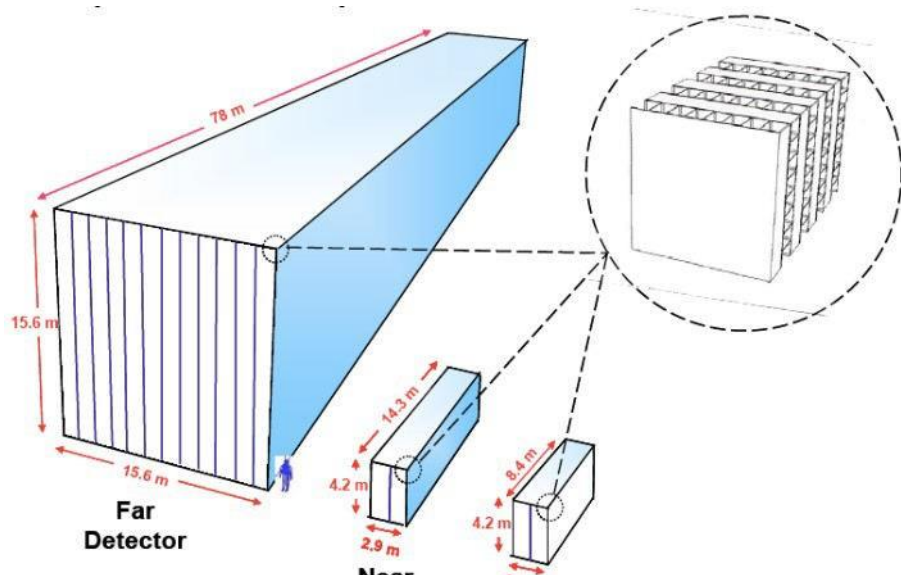
## The MINOS Experiment



# T2K & MINOS experiments



# NOvA

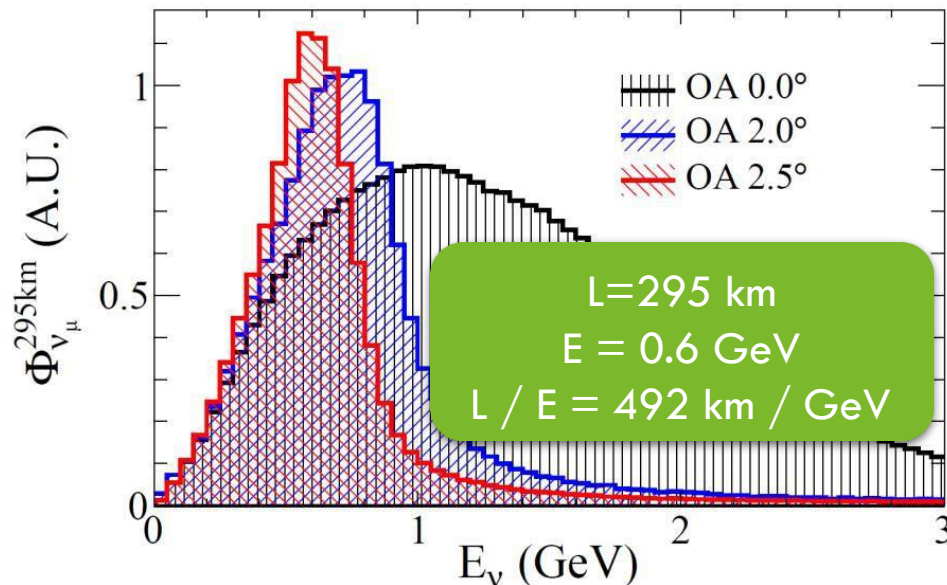




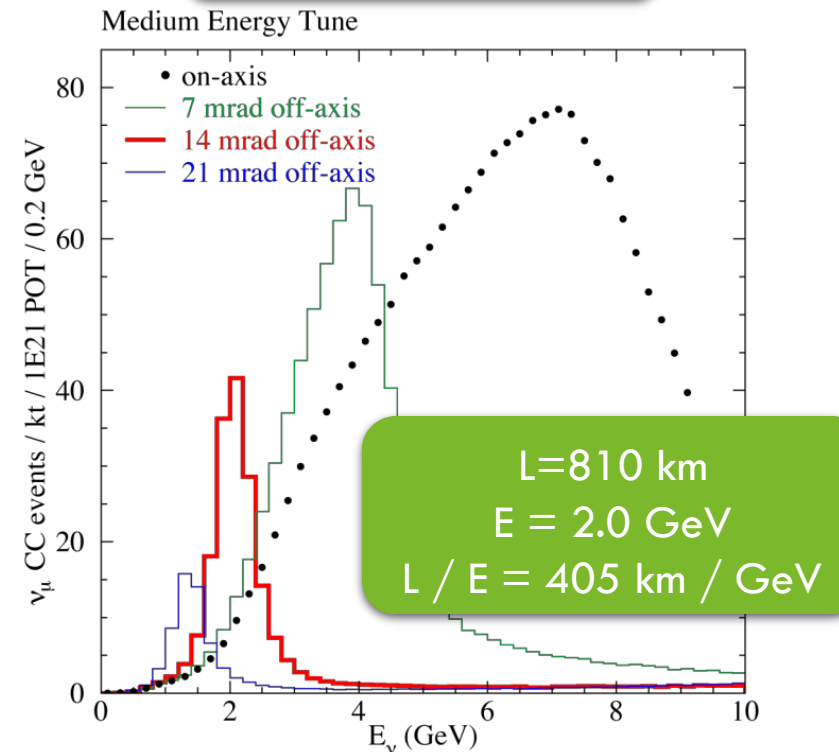
# THE OFF-AXIS TRICK

- Both NOvA and T2K use the “off-axis trick”.
- Don't place the detector right in front of the neutrino beam, but a little to the side.
- Neutrinos that leave the decay pipe at a high angle have a more well defined energy.
  - Can tune  $L / E$  very precisely!

T2K



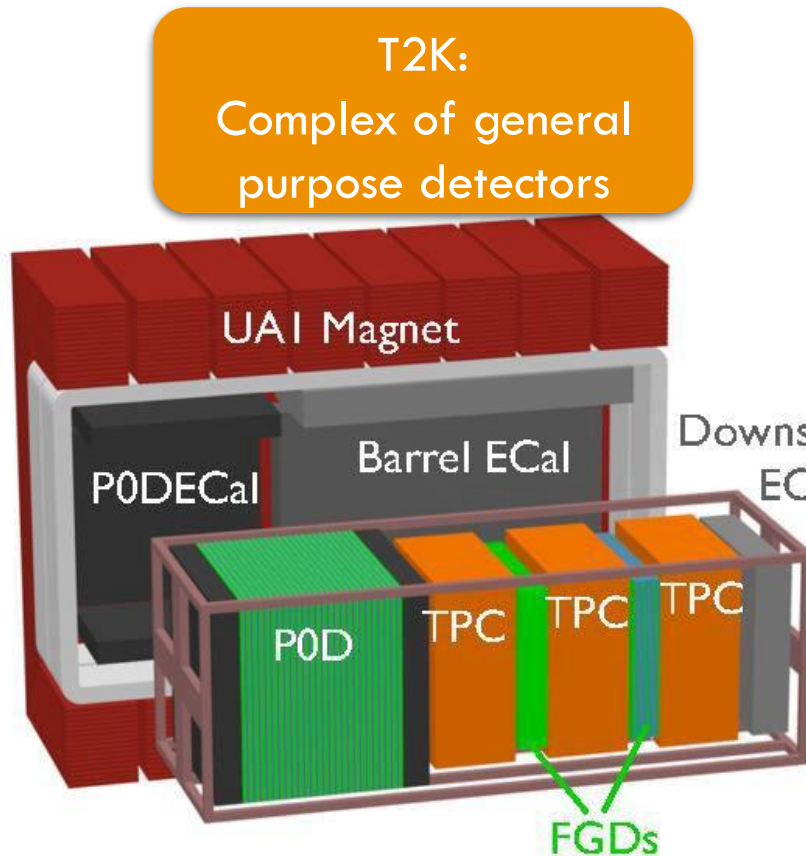
NOvA



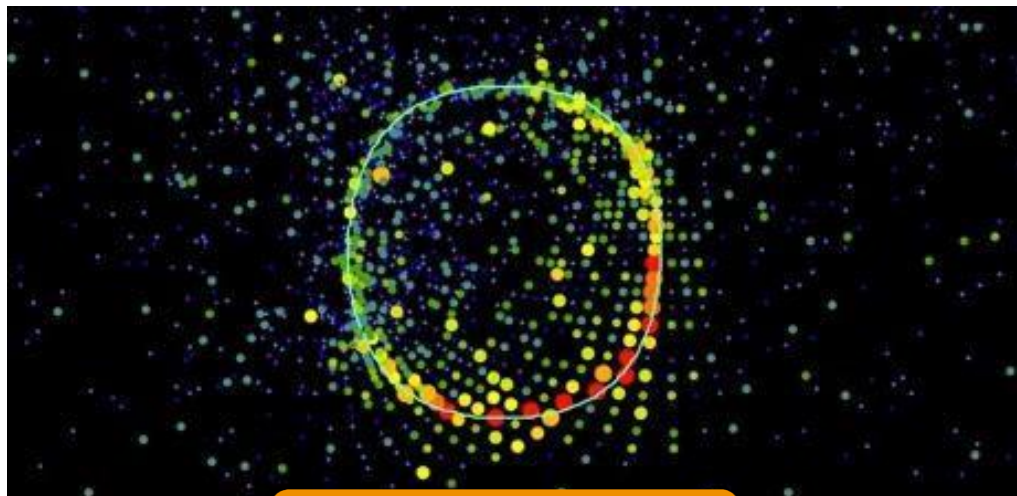


# NEAR DETECTORS

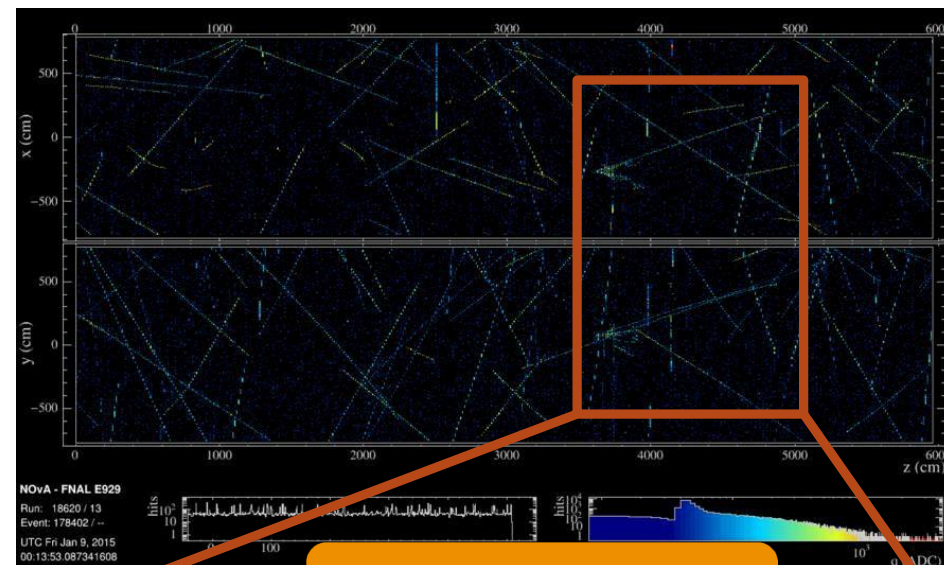
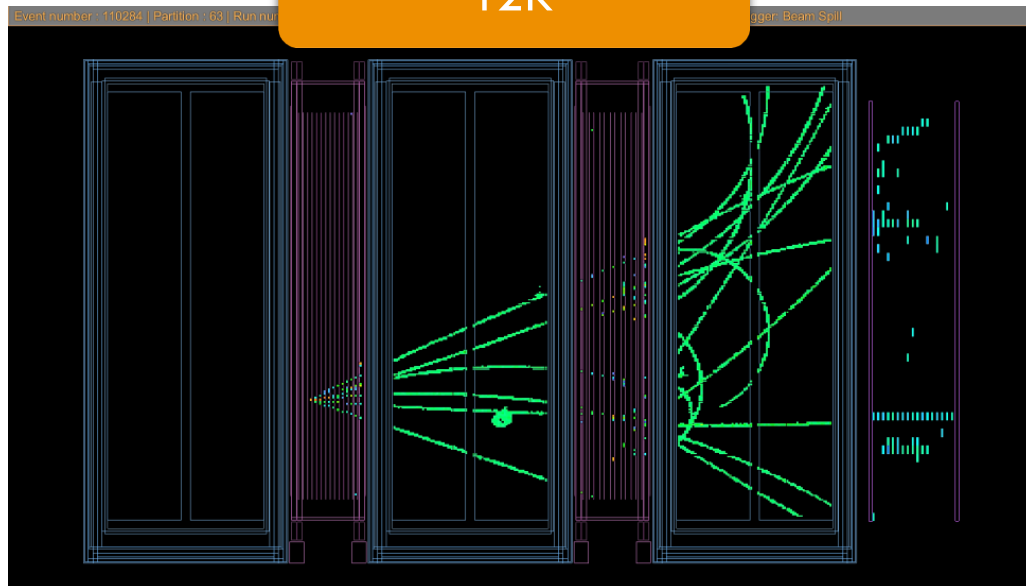
- Want to characterise the neutrino beam as well as possible before any oscillations.
- Place neutrino detectors near the neutrino production point.
- Use data from these detectors to measure neutrino cross sections.



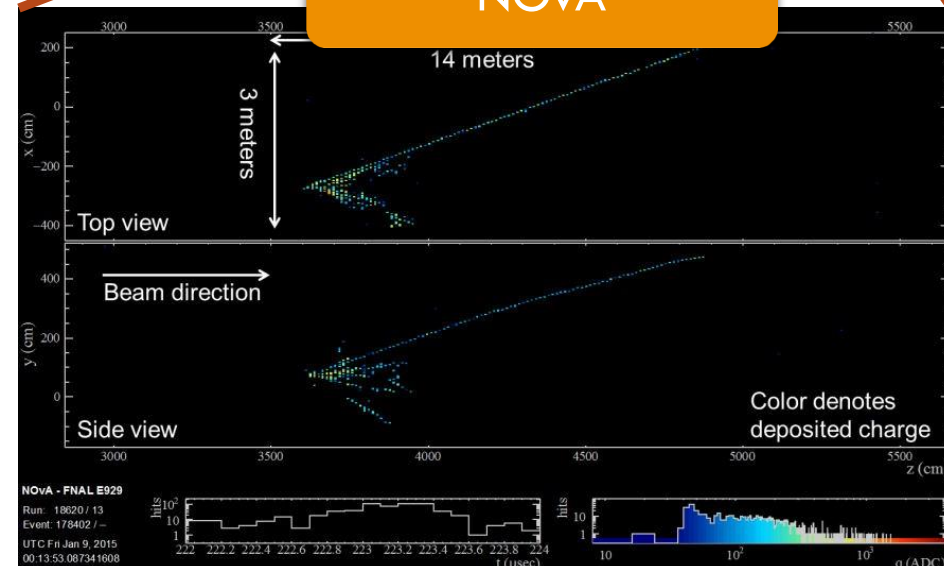
# T2K AND NOVA NEUTRINO EVENTS



T2K



NOvA

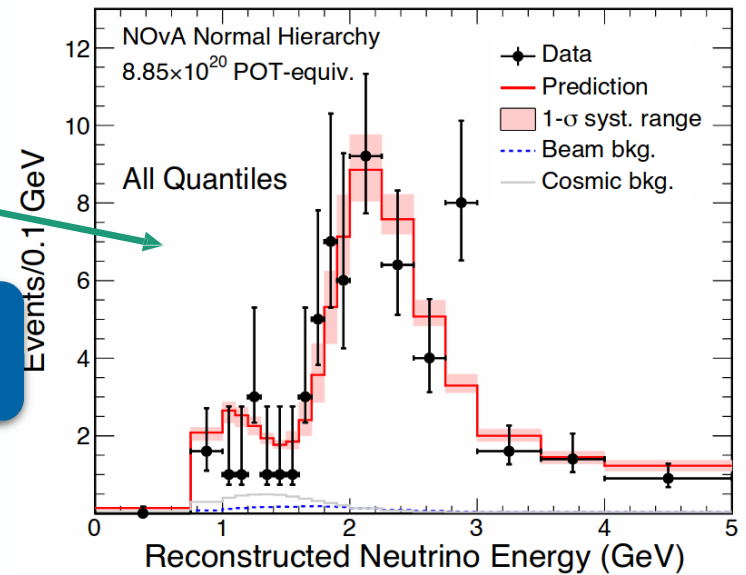


# T2K AND NOVA DATA

Muon neutrinos disappear

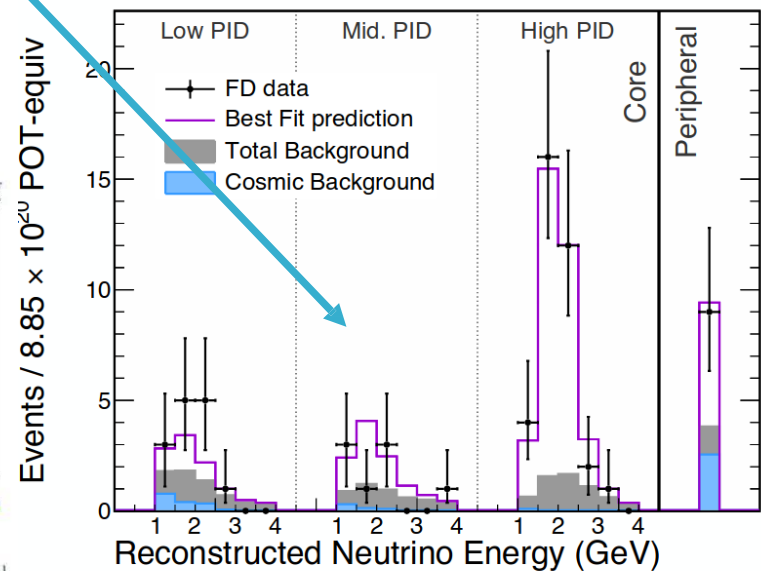
Electron neutrinos appear

NOvA Preliminary

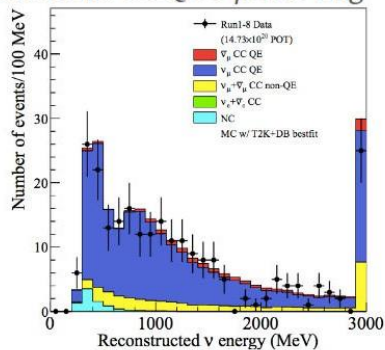


T2K Preliminary

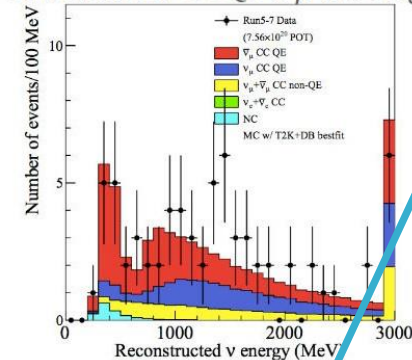
NOvA Preliminary



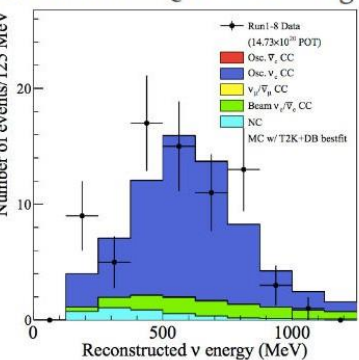
Neutrino CCQE 1  $\mu$ -like ring



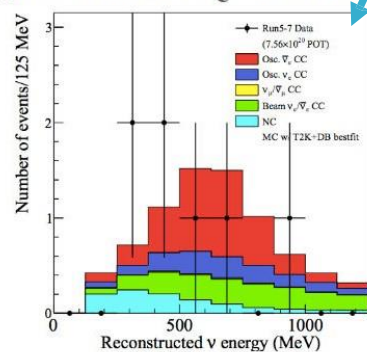
Antineutrino CCQE 1  $\mu$ -like ring



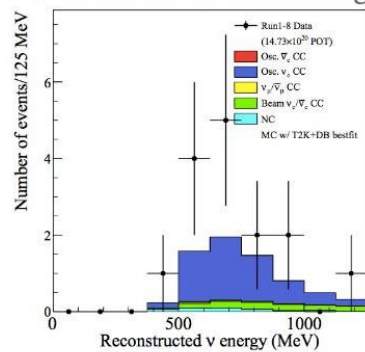
Neutrino CCQE 1  $e$ -like ring



Antineutrino CCQE 1  $e$ -like ring



Neutrino CC1 $\pi$  1e-like ring



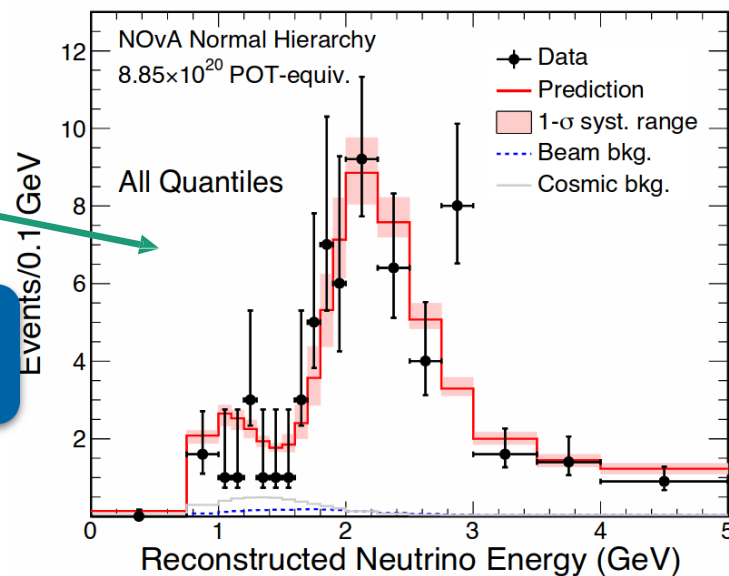


# T2K AND NOVA DATA

Muon neutrinos disappear

Electron neutrinos appear

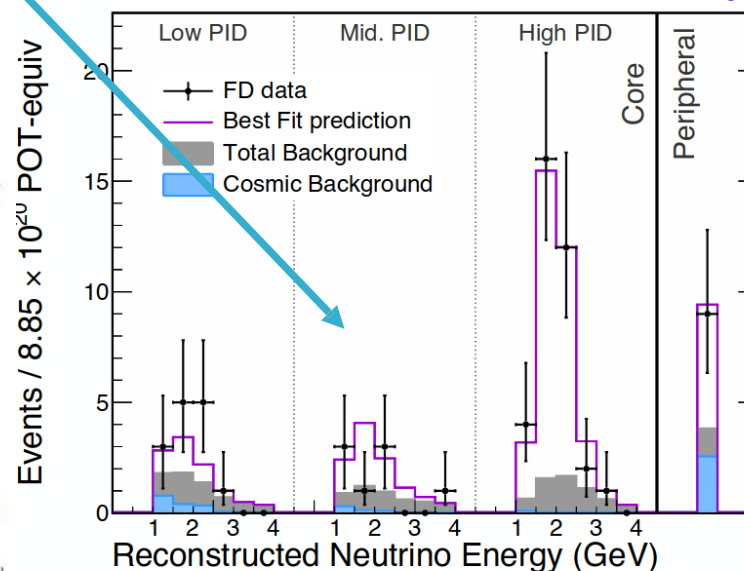
NOvA Preliminary



T2K Preliminary

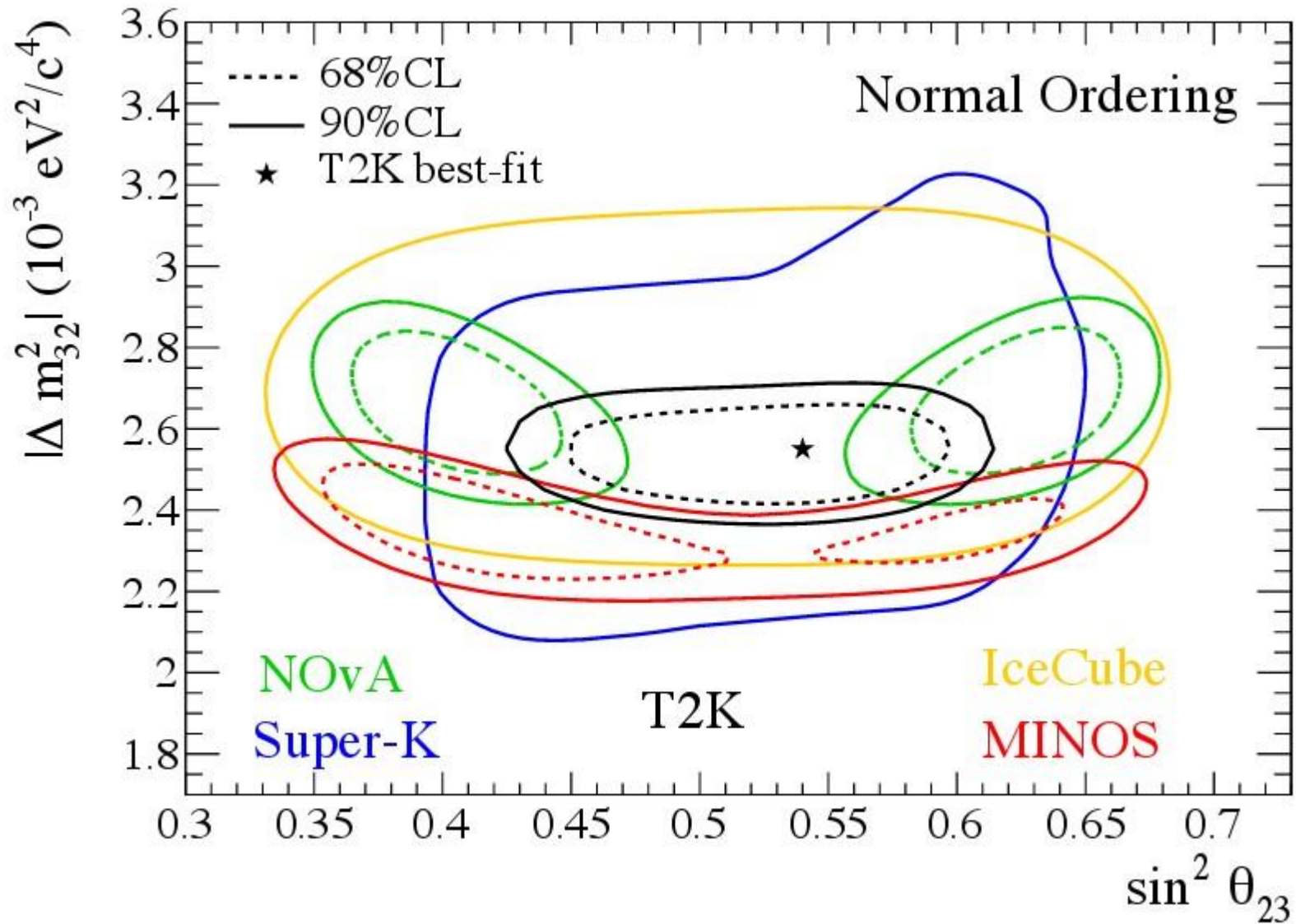
T2K observed electron neutrinos appearing from a muon neutrino beam for the first time in 2013  
 $\theta_{13} \neq 0$

NOvA Preliminary



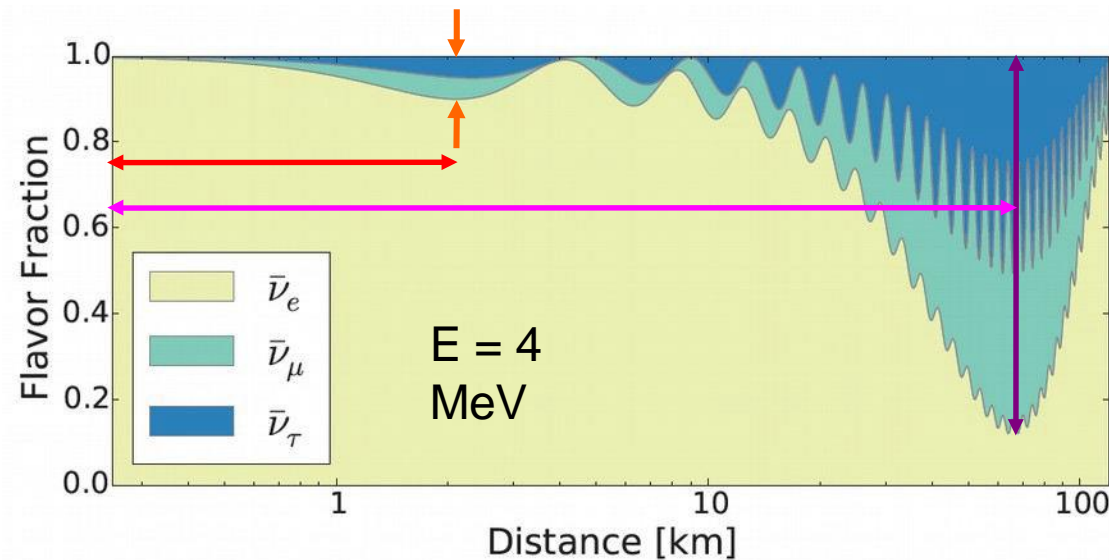


# SK (atm), T2K, MINOS, IceCube (atm), NOvA



Measurement of  $\theta_{13}$

# Measurement of $\theta_{13}$ with reactors



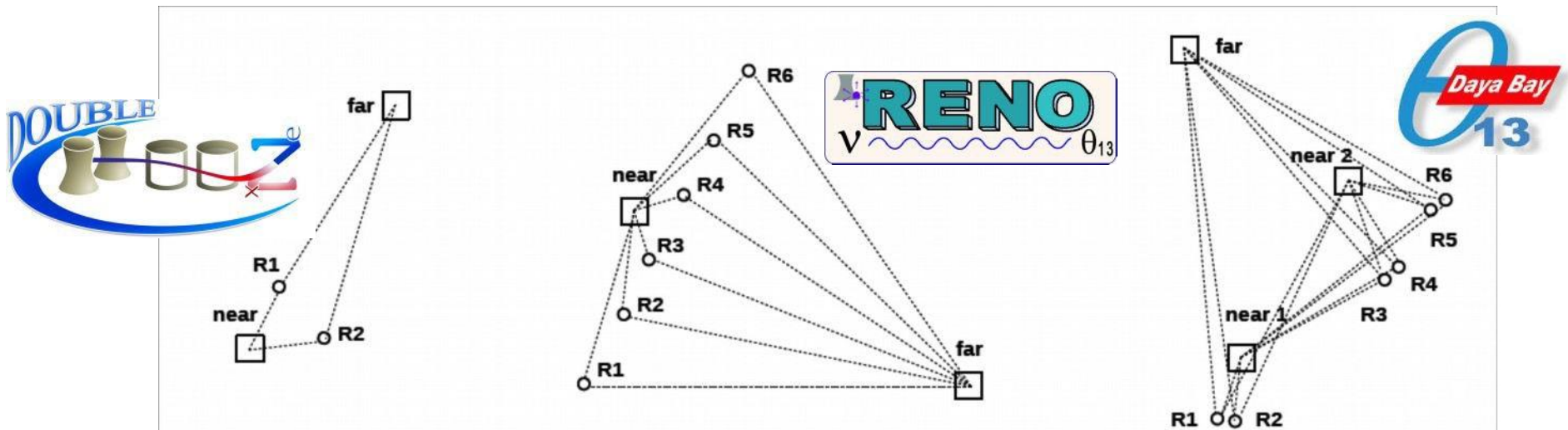
$$\begin{aligned}
 P_{ee}(L, E) &= \\
 &= 1 - \boxed{\cos^4(\theta_{13}) \sin^2(2\theta_{12})} \boxed{\sin^2\left(\frac{\Delta m_{21}^2 L}{4E}\right)} \\
 &\quad - \boxed{\cos^2(\theta_{12}) \sin^2(2\theta_{13})} \boxed{\sin^2\left(\frac{\Delta m_{31}^2 L}{4E}\right)} \\
 &\quad - \boxed{\sin^2(\theta_{12}) \sin^2(2\theta_{13})} \boxed{\sin^2\left(\frac{\Delta m_{32}^2 L}{4E}\right)}
 \end{aligned}$$

- For baselines of  $\sim 1$  km, the probability can be approximated by:

$$\begin{aligned}
 P_{ee}(L, E) &\simeq 1 - \boxed{\sin^2(2\theta_{13})} \boxed{\sin^2\left(\frac{\Delta m_{31}^2 L}{4E}\right)} \\
 &\approx 1 - \sin^2(2\theta_{13}) \sin^2\left(1.27 \frac{\Delta m_{31}^2 [\text{eV}^2] L [\text{m}]}{E [\text{MeV}]}\right)
 \end{aligned}$$

# Measurement of $\theta_{13}$ with two-detector reactor experiments

- Antineutrinos detected by inverse  $\beta$ -decay:  $\bar{\nu}_e + p \rightarrow e^+ + n$  on Gd-loaded liquid scintillator calorimeters.
- Reactor prediction and the antineutrino detection systematic uncertainties can be reduced if **two identical detectors**, one near and one far from the reactors, are built.



Experiment	Reactor power (GW <sub>th</sub> )	Distance (m) Near / Far	Depth (mwe) Near / Far	Target mass (ton) × detectors
Double Chooz	8.5	400 / 1050	120 / 300	8 × 2
Daya Bay	17.4	470, 576 / 1648	260 / 860	20 × 8
RENO	16.5	294 / 1383	120 / 450	16 × 2



**Near Detector**  
 $L \sim 400 \text{ m}$   
 $\sim 300 \text{ v/day}$   
 $120 \text{ mwe}$   
 December 2014

**Chooz-B reactors**  
 PWR N4s  
 $2 \times 4.25 \text{ GW}_{\text{th}}$   
 $\sim 10^{21} \text{ v/s}$   
 $100\% \bar{\nu}_e$

**Distant Detector**  
 $1 \text{ km}$

**Map of France:** Shows the location of Chooz-B (marked with a red star) in the north, near the border with Belgium. Other nuclear reactors are marked with colored icons: blue for 900 MWe, purple for 1300 MWe, pink for 1450 MWe, and yellow for 1600 MWe (under construction). Major cities like Paris, Lyon, and Marseille are also indicated.

L ~ 400 m  
~ 300 v/day  
120 mwe  
December 2014

L ~ 1050 m  
~ 40 v/day  
300 mwe  
April 2011

PWR N4s  
 $2 \times 4.25 \text{ GW}_{\text{th}}$   
 $\sim 10^{21} \text{ v/s}$   
 $100\% \nu_e^-$

nuclear power  
plant (Ardennes,  
France)

# Electron antineutrino detection

## Inverse Beta Decay (IBD):

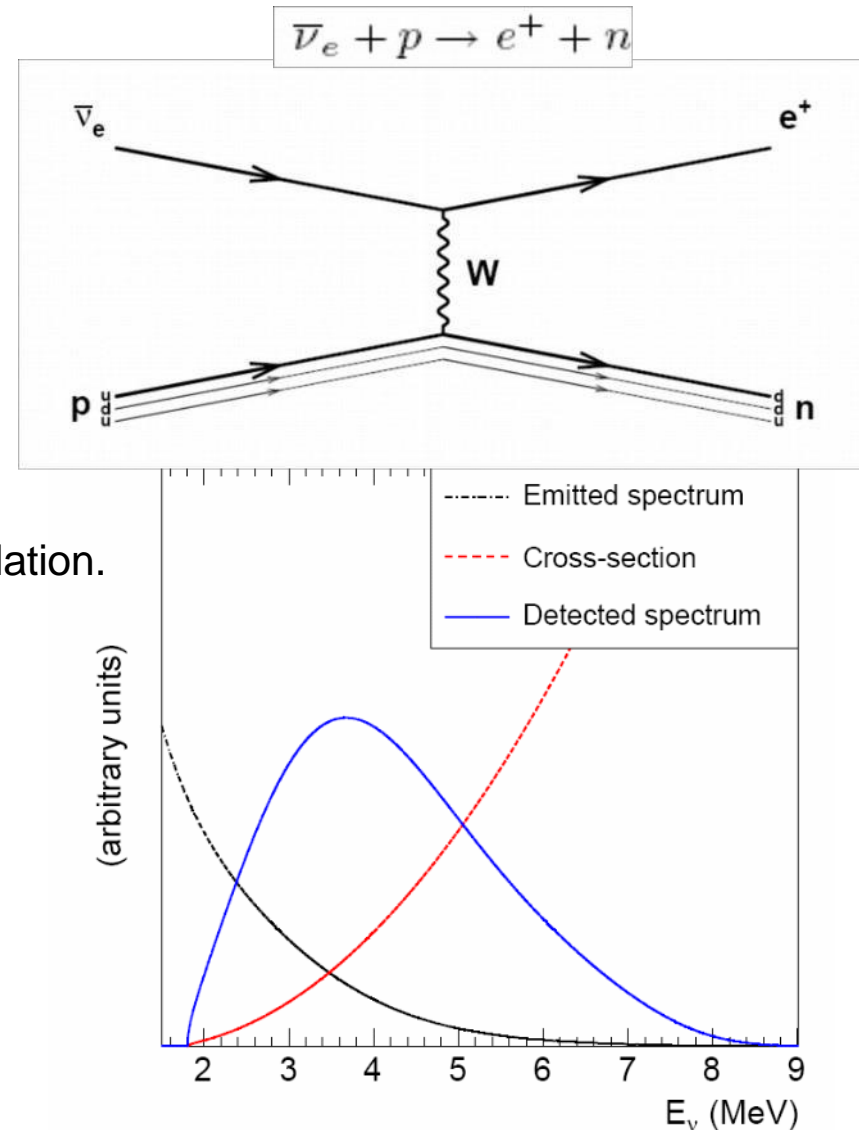
- Reaction threshold:  $E_\nu \geq 1.806 \text{ MeV}$ .
- Disappearance experiment.
- Well known cross-section (0.2%).
- Coincidence of 2 signals: background suppression.

## Prompt signal:

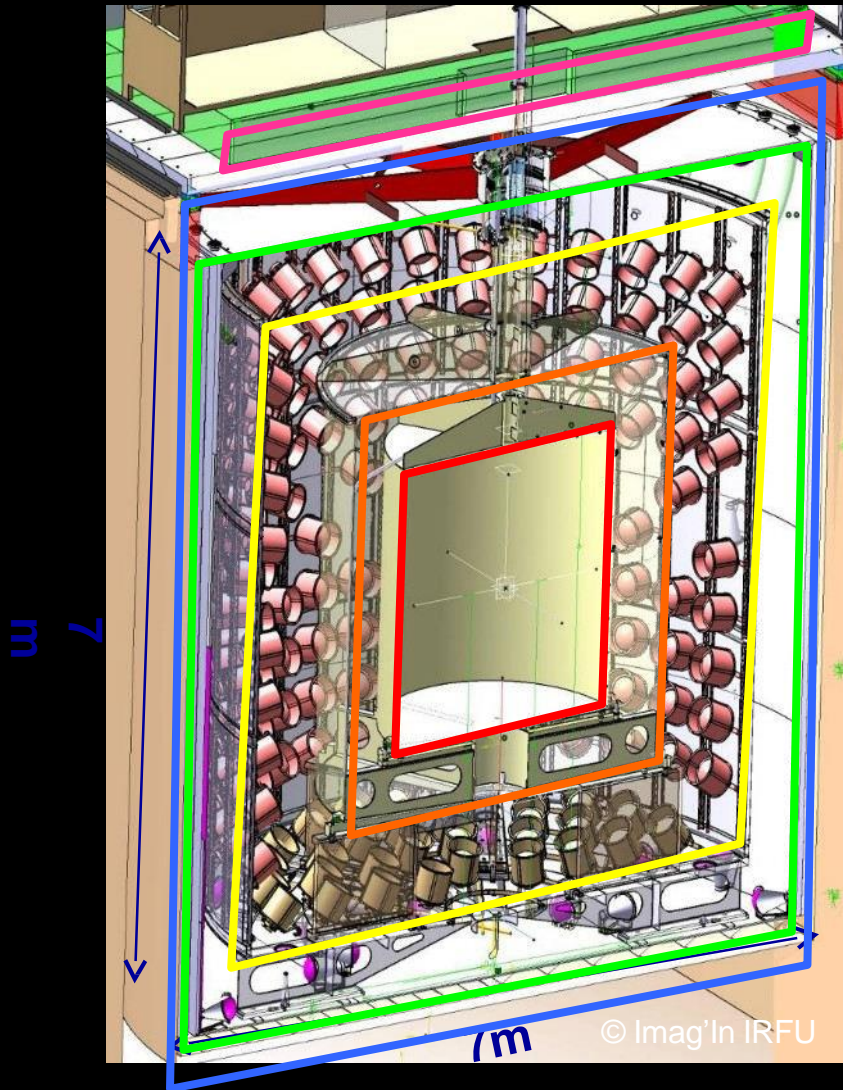
- Positron kinetic energy +  $\gamma$ 's from annihilation.
- $E_{\text{prompt}} \approx E_\nu - 0.782 \text{ MeV}$
- $E_{\text{prompt}} \sim 1 - 9 \text{ MeV}$

## Delayed signal:

- $\gamma$ 's from radiative neutron capture.
- **Gd**:  $\Delta T \sim 30 \mu\text{s}$ ,  $E_{\text{delayed}} \sim 8 \text{ MeV}$ .
- **H**:  $\Delta T \sim 200 \mu\text{s}$ ,  $E_{\text{delayed}} = 2.22 \text{ MeV}$ .



# The Double Chooz Far Detector



## Inner Detector:

- **Neutrino Target:** acrylic vessel (8 mm) with 10.3 m<sup>3</sup> **Gd-loaded** (1 g/l) **liquid scintillator**.
- **Gamma-Catcher:** acrylic (12 mm) vessel with 22.5 m<sup>3</sup> of **liquid scintillator**.
- **Buffer:** stainless steel (3 mm) vessel supporting **390 10" PMTs**, with 110 m<sup>3</sup> of **non-scintillating mineral oil**.

## Outer Detector:

- **Inner Veto:** steel (10 mm) vessel supporting **78 8" PMTs**, with 90 m<sup>3</sup> of **liquid scintillator**.
- **Shielding:** 15 cm **steel**.
- **Outer Veto:** **plastic scintillator strips**.

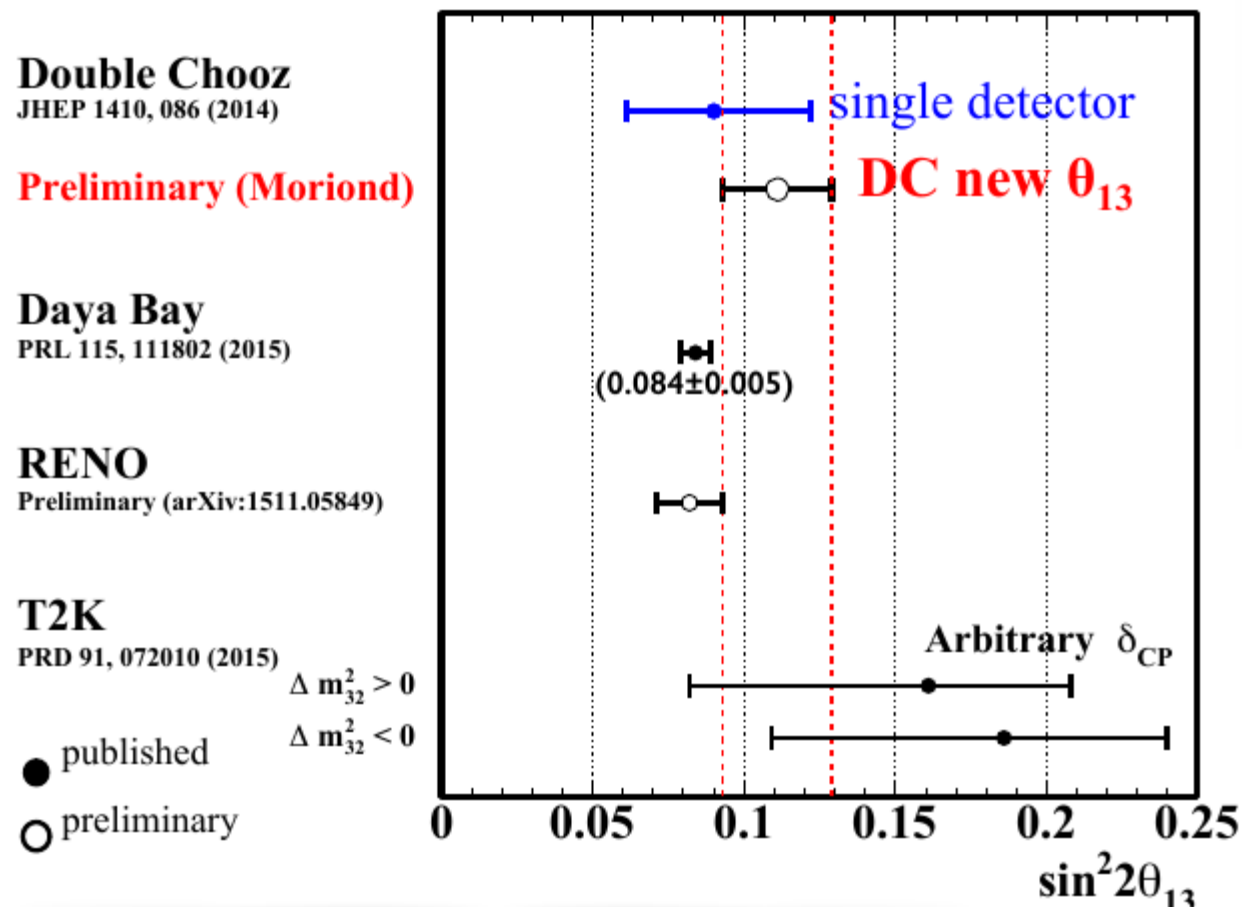






# Latests measurements of $\theta_{13}$

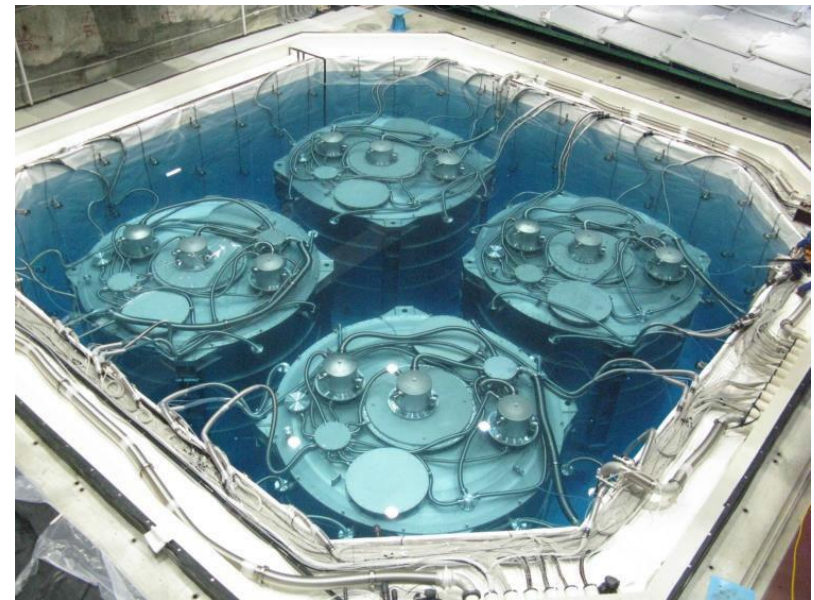
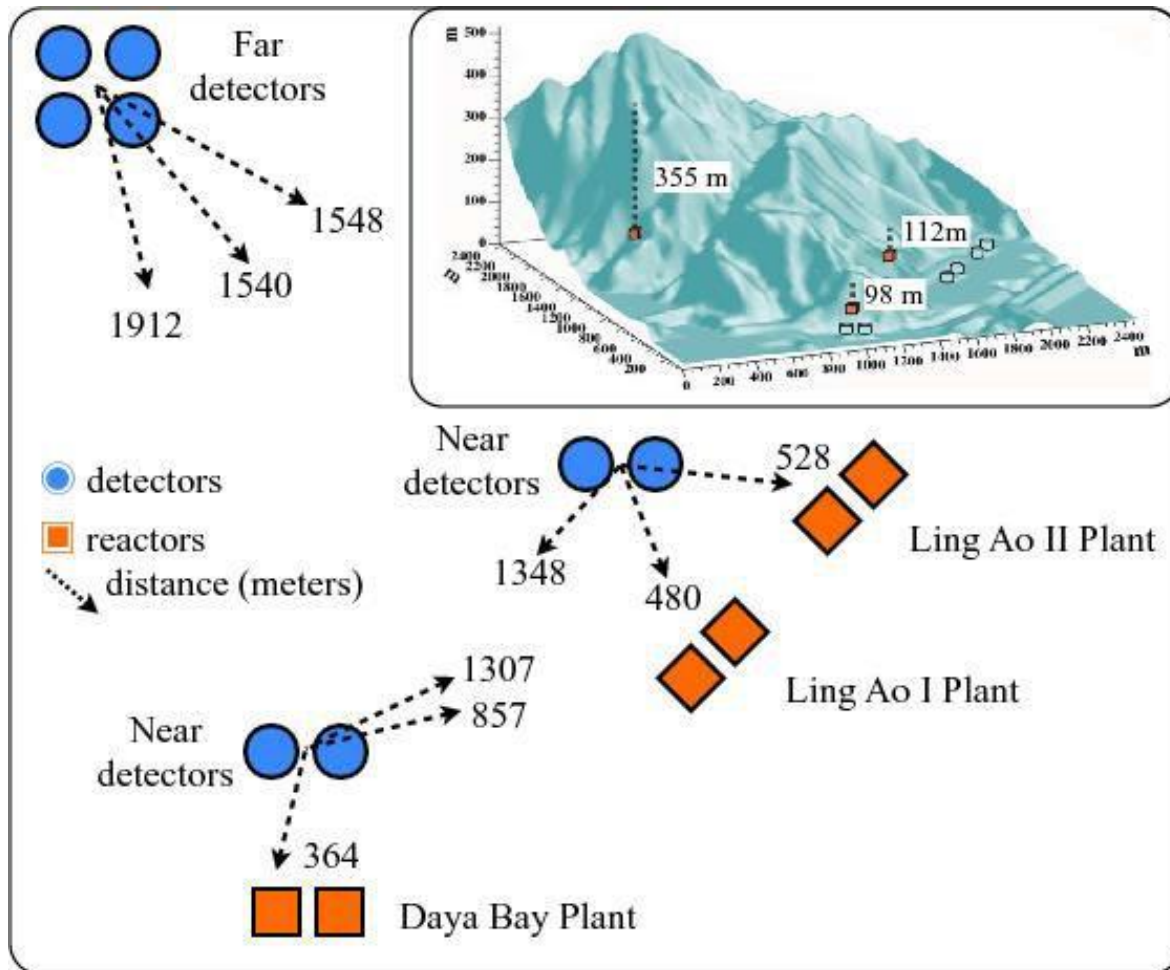
$\theta_{13}$  unknown until 2011. Huge progress in a few years.



A. Cabrera, FNAL seminar 03/25/2016

# SHORT(ER) BASELINE REACTOR EXPERIMENTS

- Daya Bay experiment, south China.
- $L \sim 1.5 \text{ km}$ ;  $E \sim 1 \text{ MeV}$ ; Minimum  $\Delta m^2 \sim 10^{-3} \text{ eV}^2$

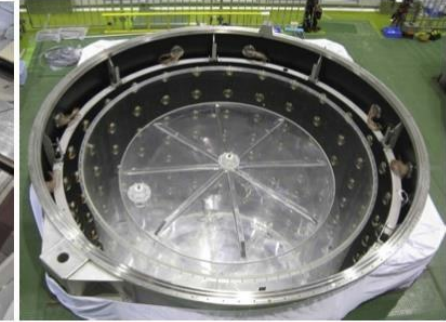
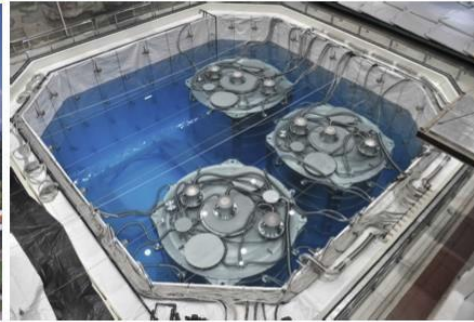


# SHORT(ER) BASELINE REACTOR EXPERIMENTS

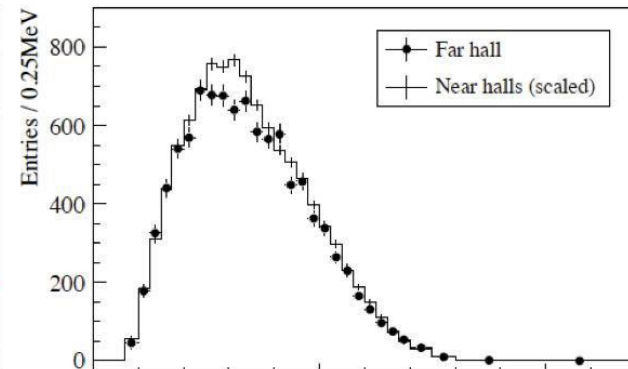
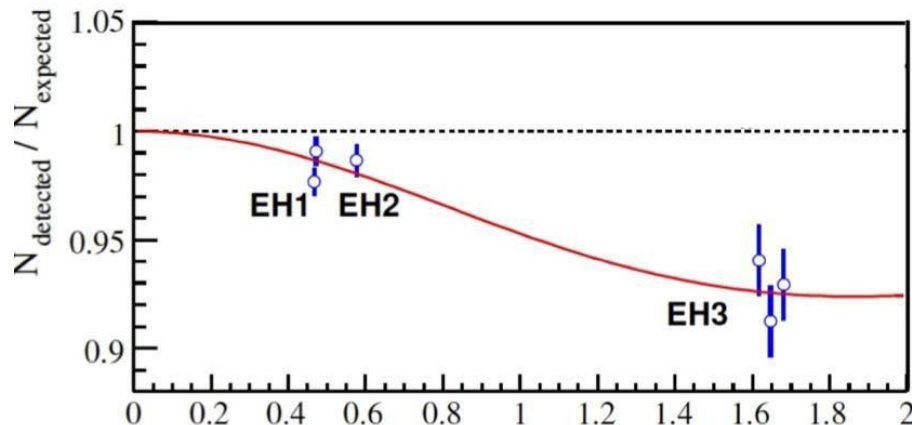
- In 2012,  $\theta_{13}$  went from being the least well known of the mixing angles to the most precisely measured!



## Observation of Electron Antineutrino Disappearance at Daya Bay



$$\sin^2 2\theta_{13} = 0.092 \pm 0.016(\text{stat}) \pm 0.005(\text{syst})$$

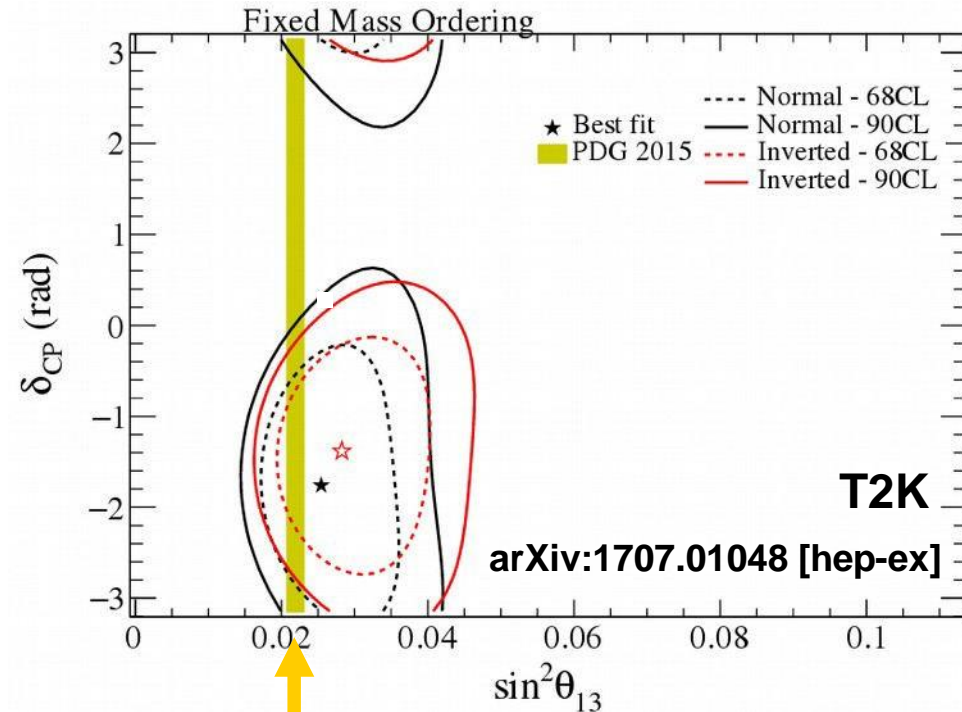




# First glimpse of $\delta$

- $\nu_\mu \rightarrow \nu_e$  depends on the mass hierarchy and CP-violating phase.

$$P_{\mu e}(L, E) = \frac{1}{(A-1)^2} \sin^2(2\theta_{13}) \sin^2(\theta_{23}) \sin^2[(A-1)\Delta] \\ \mp \frac{\alpha}{A(1-A)} \cos(\theta_{13}) \sin(2\theta_{12}) \sin(2\theta_{23}) \sin(2\theta_{13}) \times \\ \times \sin(\delta) \sin(\Delta) \sin(A\Delta) \sin[(1-A)\Delta] \\ + \frac{\alpha}{A(1-A)} \cos(\theta_{13}) \sin(2\theta_{12}) \sin(2\theta_{23}) \sin(2\theta_{13}) \times \\ \times \cos(\delta) \cos(\Delta) \sin(A\Delta) \sin[(1-A)\Delta] \\ + \frac{\alpha^2}{A^2} \cos^2(\theta_{23}) \sin^2(2\theta_{12}) \sin^2(A\Delta),$$



$$\alpha \equiv \Delta m_{21}^2 / \Delta m_{32}^2$$

$$\Delta \equiv \frac{\Delta m_{32}^2 L}{4E}$$

$$A \equiv 2\sqrt{2}G_F N_e \frac{E}{\Delta m_{32}^2}$$

**Critical input:** Using the  $\theta_{13}$  from the reactor experiments, the mass hierarchy and the CP-violating phase can be studied.



# 3 neutrinos: mixing matrix

PMNS matrix: U  $c_{ij} = \cos \theta_{ij}, s_{ij} = \sin \theta_{ij}$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \underbrace{\begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix}}_{\text{Atmospheric \& Long-baseline accelerator experiments}} \underbrace{\begin{pmatrix} c_{13} & & s_{13} e^{-i\delta} \\ & 1 & \\ -s_{13} e^{i\delta} & & c_{13} \end{pmatrix}}_{\text{Reactor \& Long-baseline accelerator experiments}} \underbrace{\begin{pmatrix} c_{12} & s_{12} \\ -s_{12} & c_{12} \\ & & 1 \end{pmatrix}}_{\text{Solar \& KamLAND experiments}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \rightarrow \begin{matrix} m_1 \\ m_2 \\ m_3 \end{matrix}$$

$\Delta m_{jk}^2 \equiv m_j^2 - m_k^2$

- 3 angles measured (mnemonic approximation):**

- $\theta_{12} \approx 34^\circ$
- $\theta_{23} \approx 45^\circ$  (symmetry?)
- $\theta_{13} \approx 9^\circ$

- CP-violating phase  $\delta$ ?**

- Why so different from quark mixing?

$U_{\text{CKM}}$   
 $\parallel$   

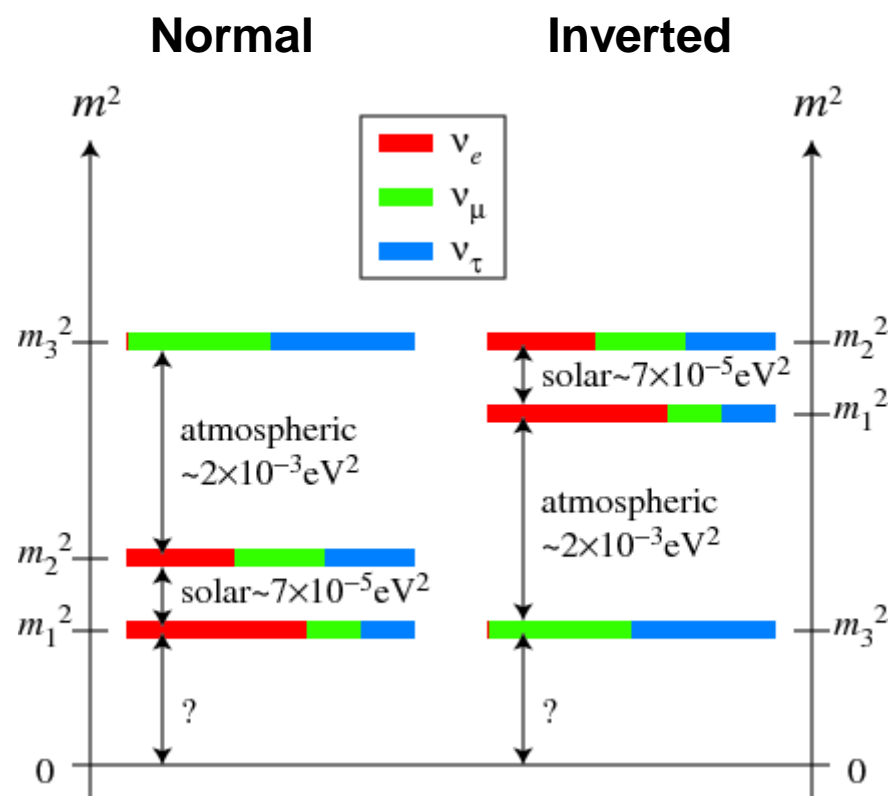
$$\begin{matrix} u \\ c \\ t \end{matrix} \begin{bmatrix} \text{blue} & \text{red} & \text{red} \\ \text{red} & \text{blue} & \text{red} \\ \text{red} & \text{red} & \text{blue} \end{bmatrix} \begin{matrix} d \\ s \\ b \end{matrix}$$

$U_{\text{PMNS}}$   
 $\parallel$   

$$\begin{matrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{matrix} \begin{bmatrix} \text{blue} & \text{red} & \text{red} \\ \text{red} & \text{red} & \text{blue} \\ \text{red} & \text{red} & \text{blue} \end{bmatrix} \begin{matrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{matrix}$$

# 3 neutrinos: mass ordering

- 3 mass eigenstates → **2 independent squared-mass differences**:  $\Delta m_{32}^2 + \Delta m_{21}^2 = \Delta m_{31}^2$
- But which is on top of which?
- **Matter effects within the Sun show the mass eigenstate  $\nu_2$  is heavier than  $\nu_1$ .**
- Which is the **lightest neutrino**? Two possibilities left:



# Future: $\delta$ and mass hierarchy

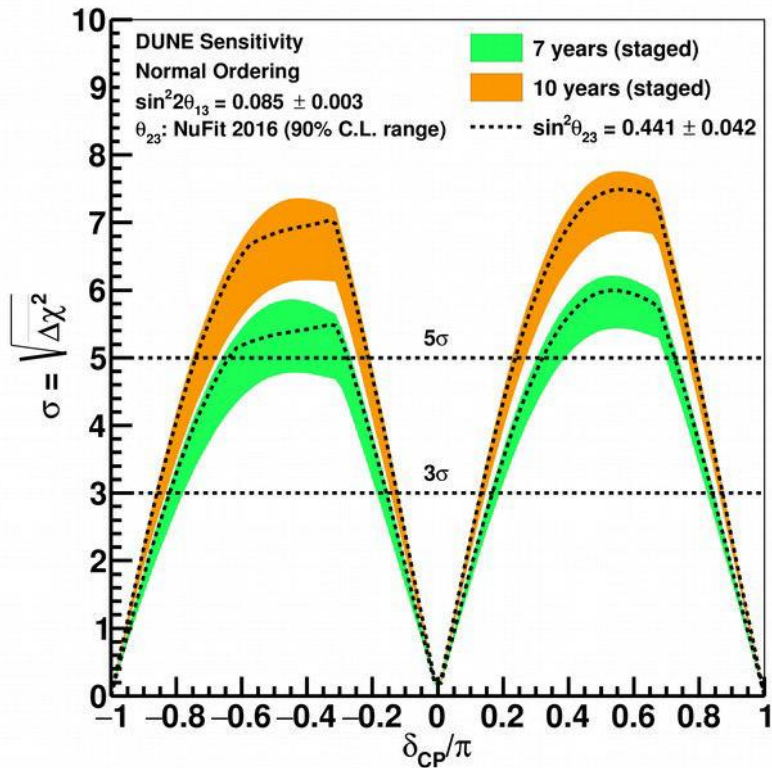
- Both CP-violating phase and the mass hierarchy can be measured in a long-baseline accelerator experiment.
- Need a long baseline and a broad-energy beam to disentangle CP violation caused by matter effects (Earth is made only from matter) from the intrinsic CP violation.



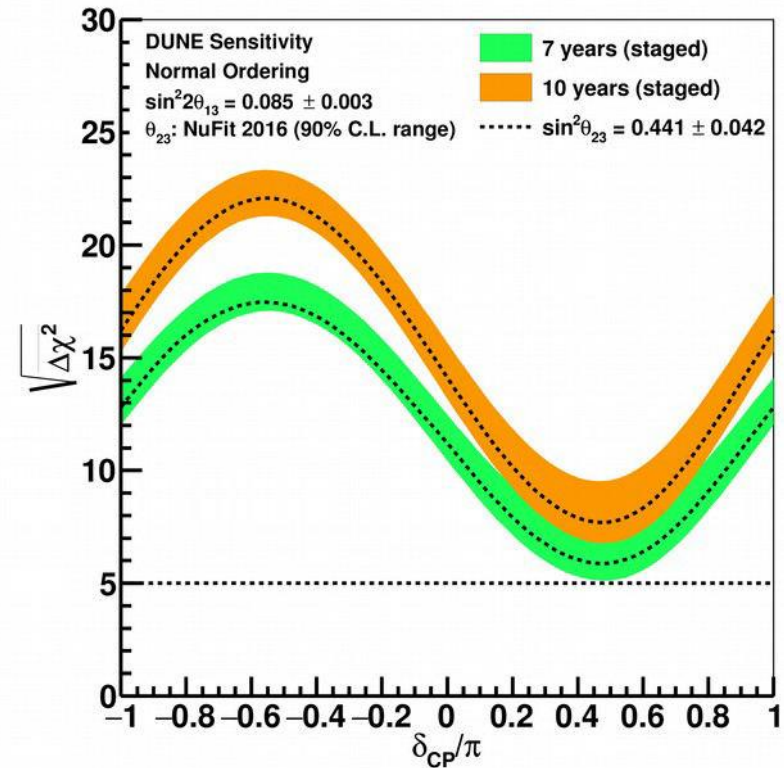
Neutrino beam expected by 2026.

# DUNE

CP Violation Sensitivity



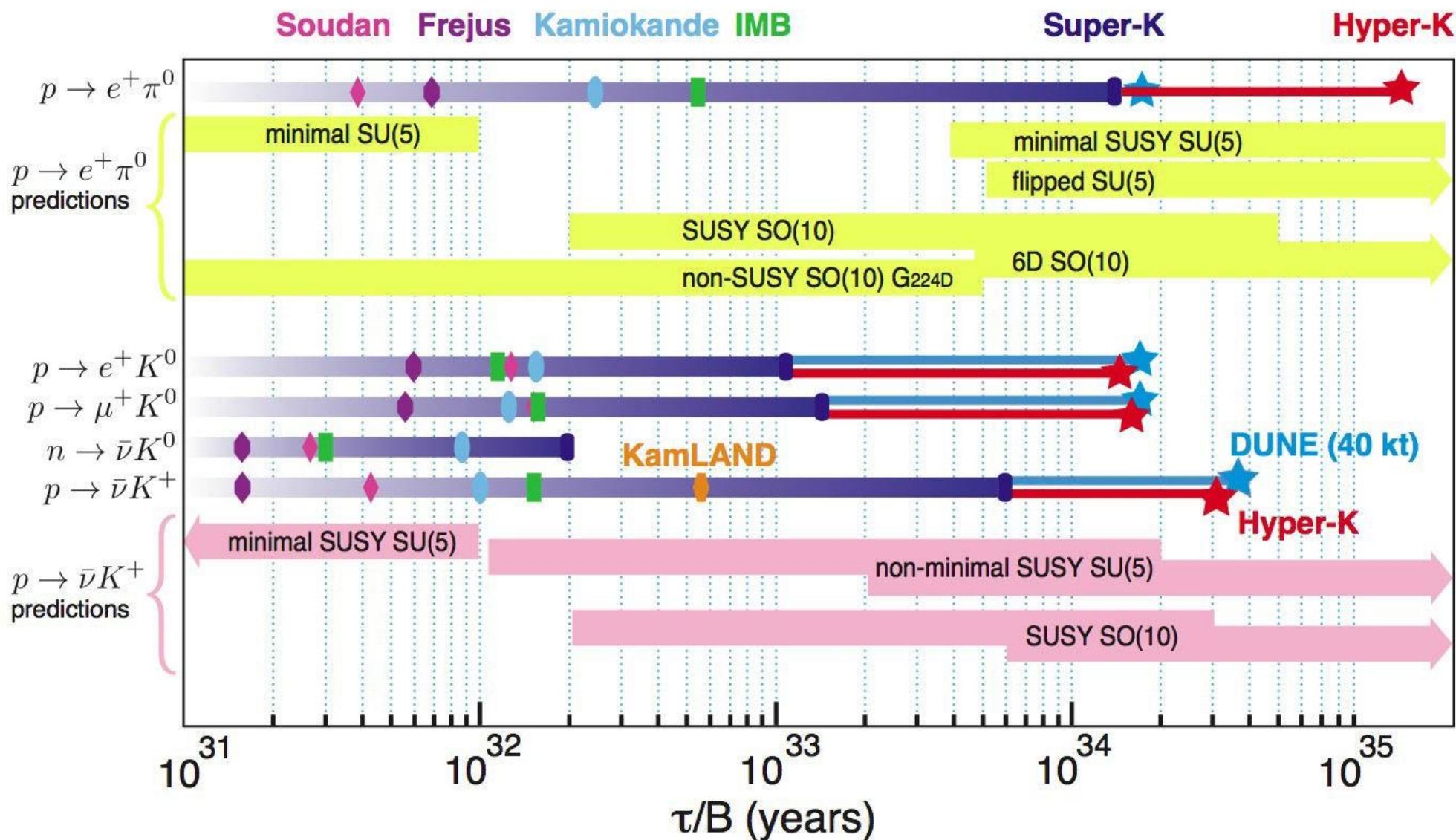
Mass Hierarchy Sensitivity



- > 5 $\sigma$  measurement of CP-violating phase if CP violation is close to maximal.
  - > 3 $\sigma$  measurement for 65% of  $\delta$  range.
- > 5 $\sigma$  determination of mass hierarchy for any value of CP-violating phase.
- 2017: Far Laboratory construction started.
- 2018: DUNE detector prototypes (protoDUNE) at CERN test beam.
- 2021: Far Detector installation begins.
- 2024: Beginning of Physics data taking.
- 2026: First neutrinos from Fermilab beam.



# Proton decay at DUNE



# Core-collapse supernova neutrinos at DUNE

