

The on-going  
programme

# 3-family oscillation matrix (Pontecorvo, Maki, Nakagawa, Sakata)

S = sine c = cosine

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

- $\delta$  CP violation phase.
- $\theta_{12}$  drives SOLAR oscillations:  $\sin^2 \theta_{12} = 0.32^{+0.05}_{-0.04}$  (+- 16%)
- $\theta_{23}$  drives ATMOSPHERIC oscillations:  $\sin^2 \theta_{23} = 0.50^{+0.13}_{-0.12}$  (+-18%)
- $\theta_{13}$  the MISSING link !  $\sin^2 \theta_{13} < 0.033$  Set by a reactor experiment: CHOOZ.

## Present status of the mixing matrix

$$U_{CKM} \rightarrow \begin{bmatrix} 1.0 & 0.2 & 0.001 \\ 0.2 & 1.0 & 0.01 \\ 0.001 & 0.01 & 1.0 \end{bmatrix}$$

$$U_{MNS} \rightarrow \begin{bmatrix} 0.8 & 0.5 & <0.3 \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{bmatrix}$$

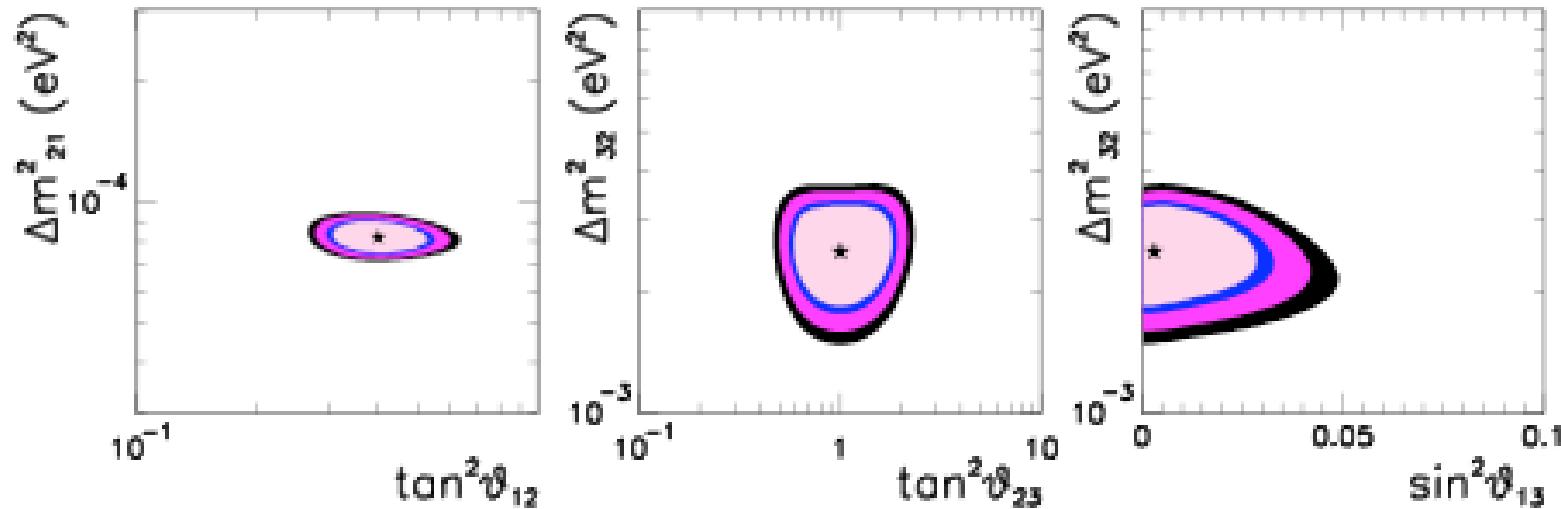
The quark mixing matrix

- is mostly diagonal
- Has a definite hierarchy
- Is Symmetrical

Why is the neutrino matrix so different?

- Terms are of the same order
- Except for one
- No definite hierarchy

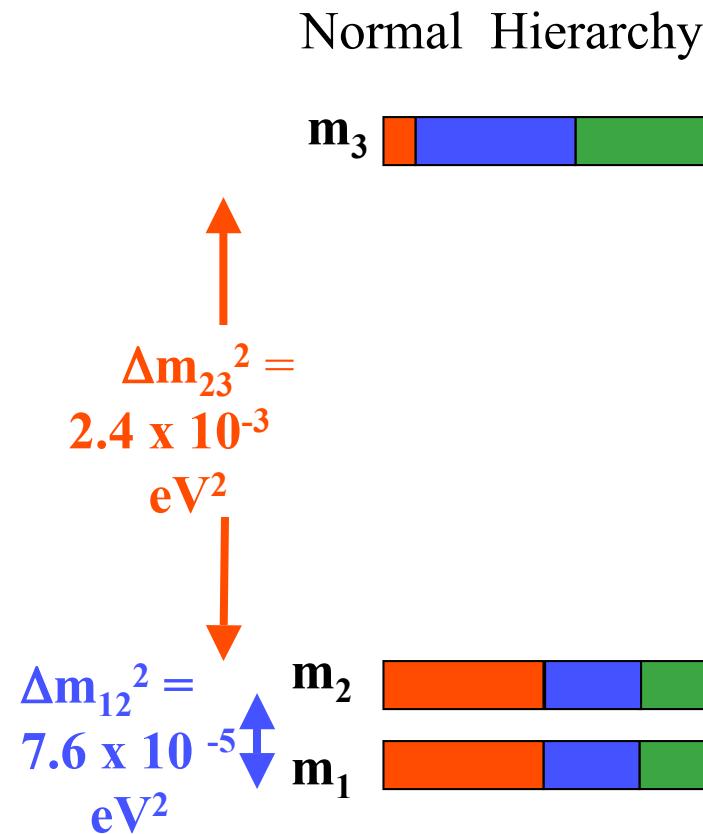
# Angles and their meanings



- $\sin^2 \theta_{13}$  : amount of  $\nu_e$  in  $\nu_3$
- $\tan^2 \theta_{12}$  Ratio of  $\nu_e$  in  $\nu_2$  to  $\nu_e$  in  $\nu_1$   $< 1$  So more in  $\nu_1$
- $\tan^2 \theta_{23}$ : Ratio  $\nu_\mu$  to  $\nu_\tau$  in  $\nu_3$ . If  $\theta_{23} = \pi/4$  Maximal mixing equal amounts.

# Mass hierarchy

## Sign of $\Delta m_{23}^2$

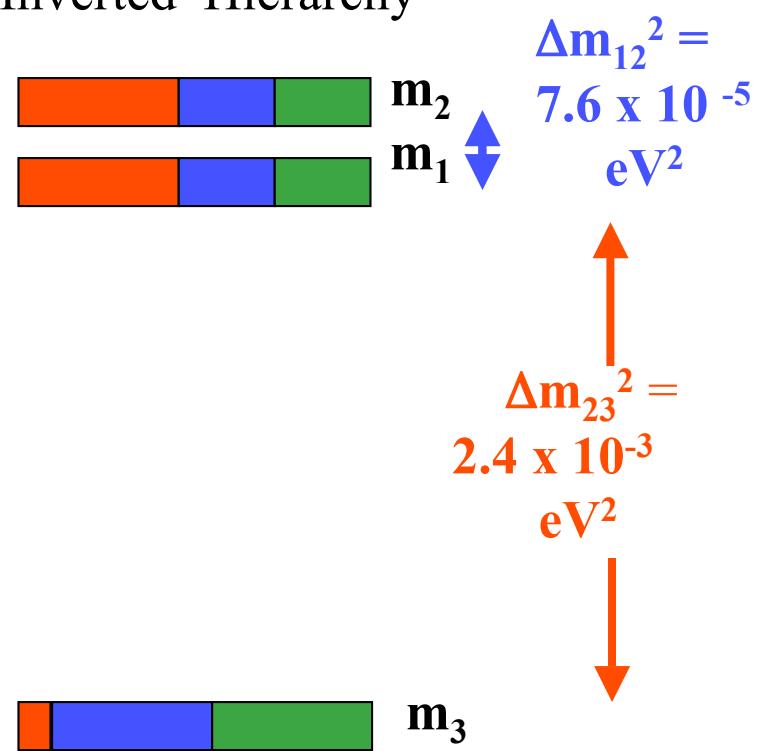


$\nu_e$

$\nu_\mu$

$\nu_\tau$

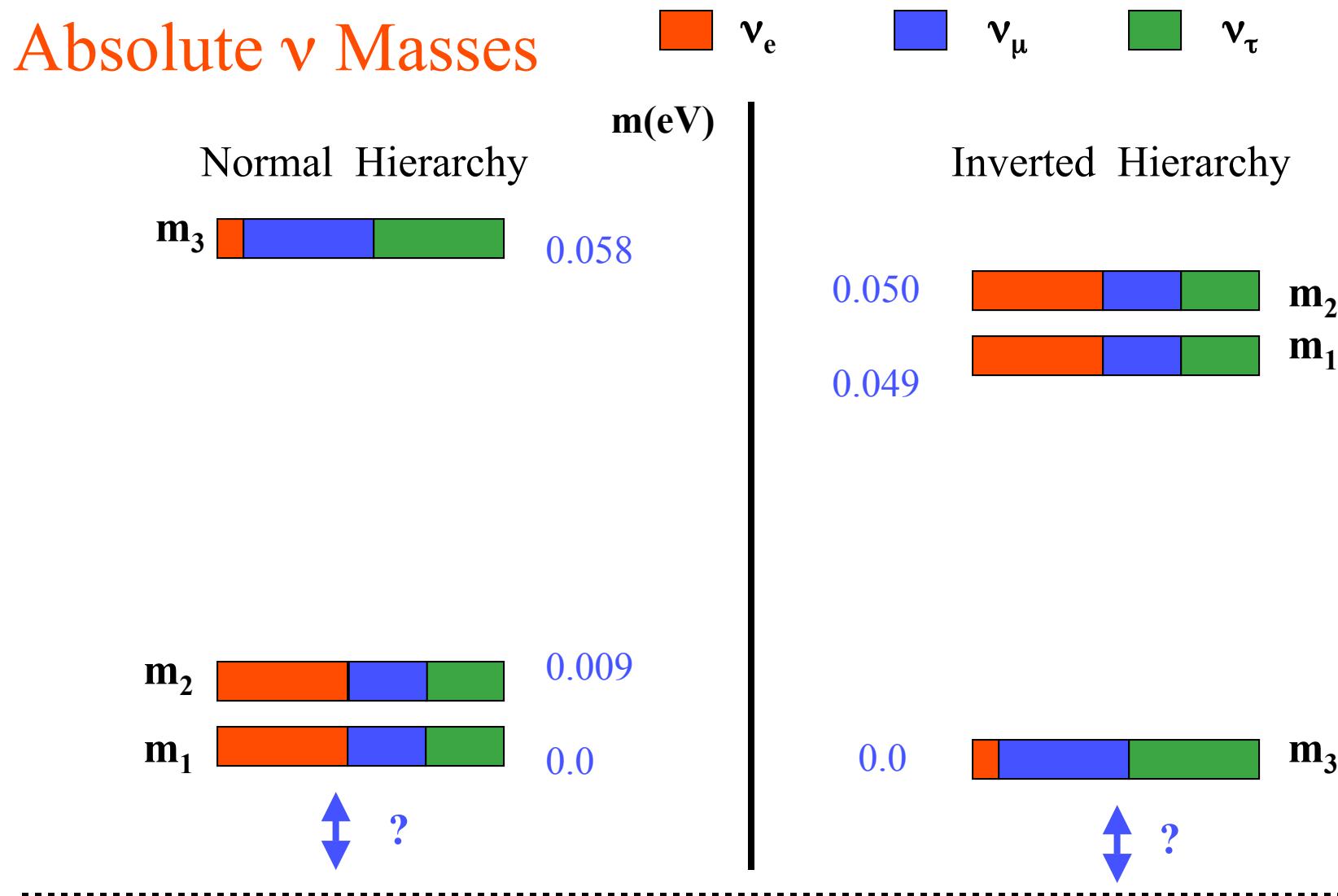
## Inverted Hierarchy



Oscillations only tell us about DIFFERENCES in masses

Not the ABSOLUTE mass scale: Direct measurements or Double  $\beta$  decay

## Absolute $\nu$ Masses



We DO have a LOWER LIMIT on at least one neutrino:  $(2.4 \times 10^{-3})^{1/2} > 0.05$  eV

3-v oscillation formula:  $\nu_\alpha \rightarrow \nu_\beta$ . I

$$\begin{aligned}
 P_{\alpha\beta} &= | \langle \nu_\beta | \nu_\alpha(t) \rangle |^2 \\
 | \langle \nu_\beta | \nu_\alpha(t) \rangle |^2 &= | \left( \sum_{j=1}^3 U_{\beta j} \langle \nu_j | \right) \left( \sum_{i=1}^3 U_{\alpha i}^* e^{-im_i^2 L/2E} | \nu_i \rangle \right) |^2 \\
 &= | \sum_{i=1}^3 U_{\alpha i}^* U_{\beta i} e^{-im_i^2 L/2E} |^2 \\
 &= \left( \sum_{j=1}^3 U_{\alpha j} U_{\beta j}^* e^{im_j^2 L/2E} \right) \left( \sum_{i=1}^3 U_{\alpha i}^* U_{\beta i} e^{-im_i^2 L/2E} \right) \\
 P_{\alpha\beta} &= \delta_{\alpha\beta} - \sum_{i>j} \sum_{j=1}^3 U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* \sin^2 \frac{(m_i^2 - m_j^2)L}{4E}
 \end{aligned}$$

## 3-ν oscillation formula: $\nu_\mu \rightarrow \nu_\tau$ . II

Assume  $\delta_{CP} = 0$  for simplicity.  $U_{ij} = U_{ij}^*$

With  $i > j$

$$P_{\mu\tau} = -4 \sum_{i>j} U_{\mu i} U_{\tau i} U_{\mu 1} U_{\tau 1} \sin^2 \frac{(m_i^2 - m_1^2)L}{4E} \quad (j=1)$$

$$-4 \sum_{i>j} U_{\mu i} U_{\tau i} U_{\mu 2} U_{\tau 2} \sin^2 \frac{(m_i^2 - m_2^2)L}{4E} \quad (j=2)$$

$$-4 \sum_{i>j} U_{\mu i} U_{\tau i} U_{\mu 3} U_{\tau 3} \sin^2 \frac{(m_i^2 - m_3^2)L}{4E} \quad (j=3)$$

## 3-ν oscillation formula: $\nu_\mu \rightarrow \nu_\tau$ . II

With

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

$$P_{\mu\tau} = -4U_{\mu 1}U_{\tau 1}[U_{\mu 2}U_{\tau 2} \sin^2 \frac{\Delta m_{21}^2 L}{4E} + U_{\mu 3}U_{\tau 3} \sin^2 \frac{\Delta m_{31}^2 L}{4E}] - 4U_{\mu 2}U_{\tau 2}[U_{\mu 3}U_{\tau 3} \sin^2 \frac{\Delta m_{32}^2 L}{4E}]$$

The solar term is quite small

For atmospheric neutrino parameters

$$\frac{\Delta m_{21}^2 L}{4E} = 1.27 \frac{7.9 \times 10^{-5} \text{ km}}{4 \times 1 \text{ GeV}} = 0.025$$

After squaring, we can neglect it

Since  $\Delta m_{21}^2$  (solar)  $\ll \Delta m_{32}^2$  or  $\Delta m_{31}^2$  (atmos)

We can also set

$$7.9 \times 10^{-5} \ll 2.4 \times 10^{-3}$$

$$\Delta m_{31}^2 = \Delta m_{32}^2$$

Why we can treat oscillations as a 2 ν phenomenon sometimes.

$$P_{\mu\tau} = -4U_{\mu 3}U_{\tau 3}[U_{\mu 1}U_{\tau 1} + U_{\mu 2}U_{\tau 2}] \sin^2 \frac{\Delta m_{32}^2 L}{4E}$$

Using a Unitarity relation:

$$U_{\mu 1}U_{\tau 1} + U_{\mu 2}U_{\tau 2} + U_{\mu 3}U_{\tau 3} = 0$$

$$\begin{aligned} P_{\mu\tau} &= 4(U_{\mu 3}U_{\tau 3})^2 \sin^2 \frac{\Delta m_{32}^2 L}{4E} \\ &= 4(s_{23}c_{13} \cdot c_{23}c_{13})^2 \sin^2 \frac{\Delta m_{32}^2 L}{4E} \\ &= (2s_{23}c_{23})^2 c_{13}^2 \sin^2 \frac{\Delta m_{32}^2 L}{4E} \\ &= \sin^2 2\theta_{23} c_{13}^2 \sin^2 \frac{\Delta m_{32}^2 L}{4E} \end{aligned}$$

and  $c_{13} \sim 1.0$

Same formula as starting with  
2 neutrinos only

Every observation fits this scenario

EXCEPT.....

# LSND

- 800 MeV protons in a dump.
- Positive Pions and then muons coming to rest
- and then decaying

- Look for  $\bar{\nu}_\mu$  to  $\bar{\nu}_e$  oscillations

- Through the reaction:  $\bar{\nu}_e + p \rightarrow e^+ + n$

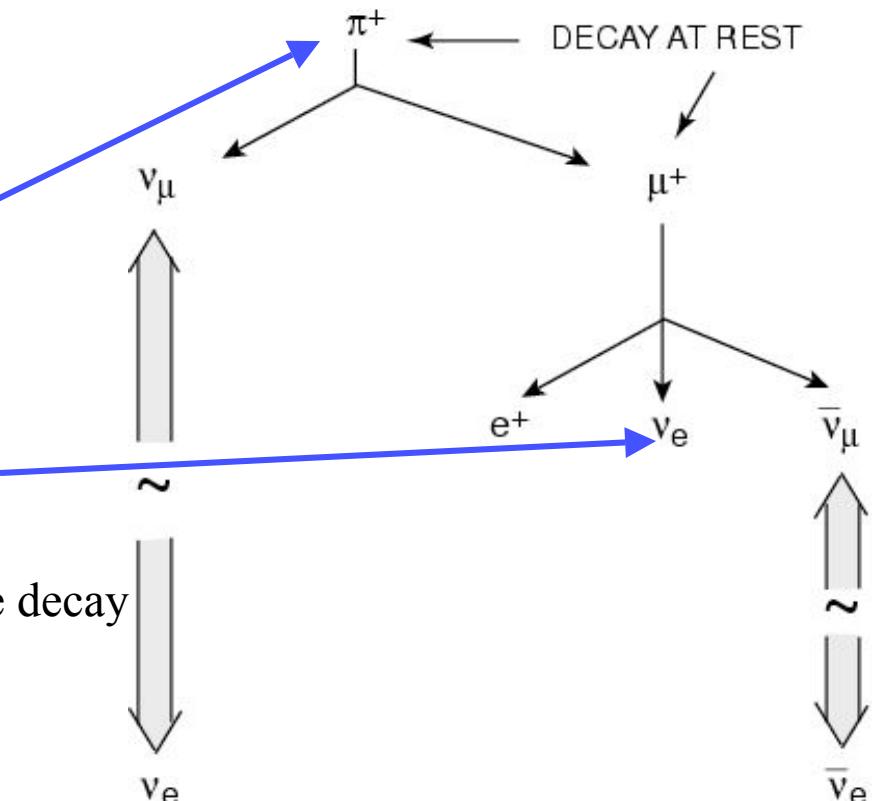
- Observe  $e^+$  + photons from neutron capture in the scintillator

- Delayed coincidence

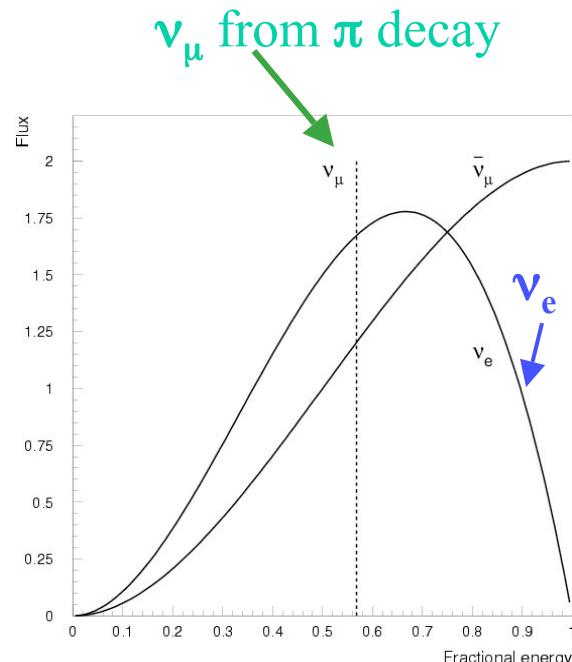
- Why not  $\bar{\nu}_e$  from  $\pi^- \rightarrow \mu^- \rightarrow \bar{\nu}_e$  decay chain ?

- $\pi^-/\pi^+$  production  $1/8$
- $\pi^-$  coming to rest in dump captured before decay
- Only decays in flight contribute  $1/20$
- Most  $\mu^-$  captured before decay  $1/8$

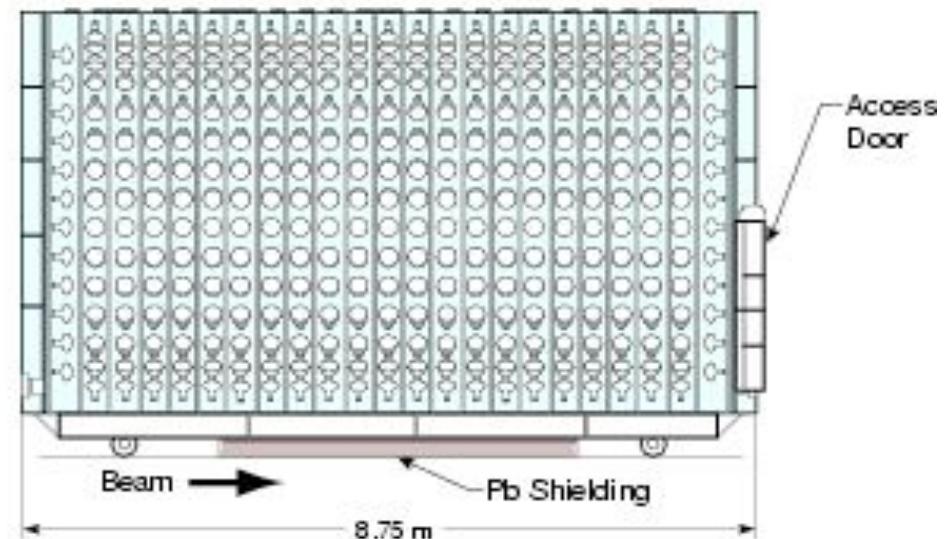
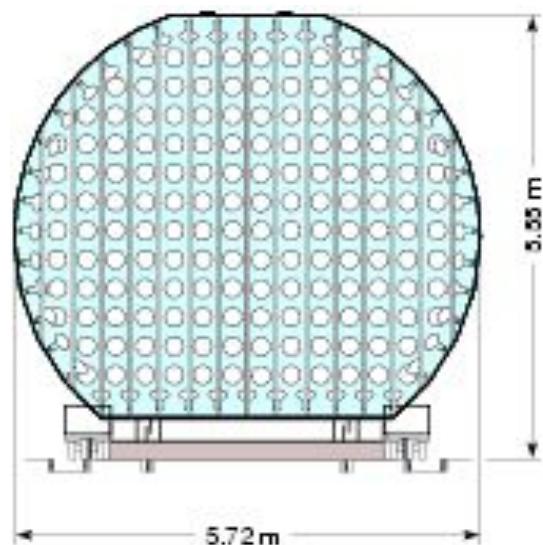
- Overall reduction  $7.5 \times 10^{-4}$ .



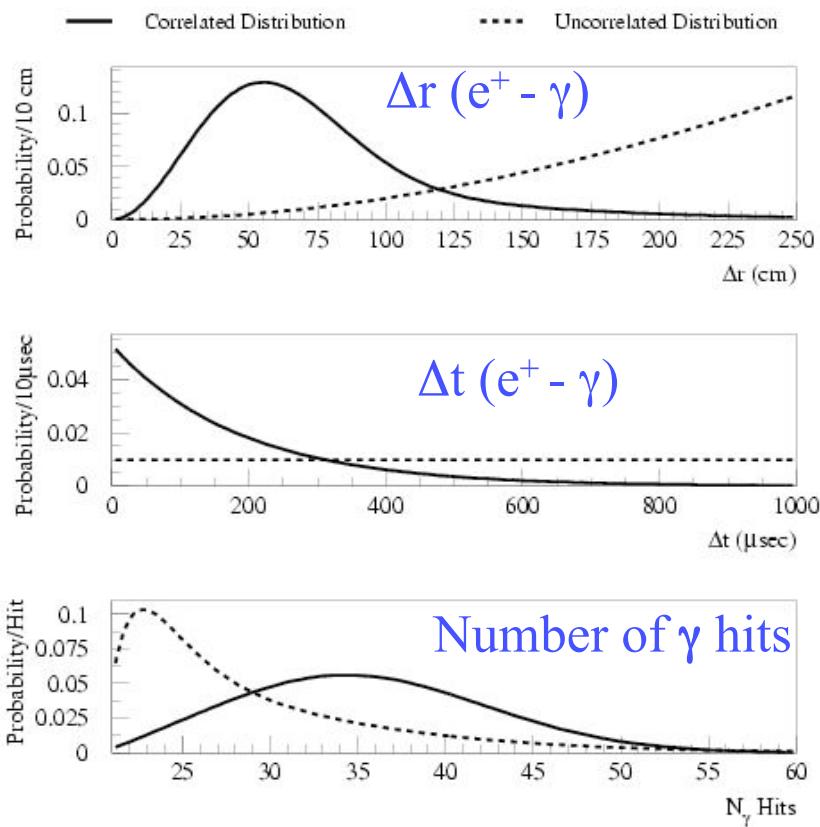
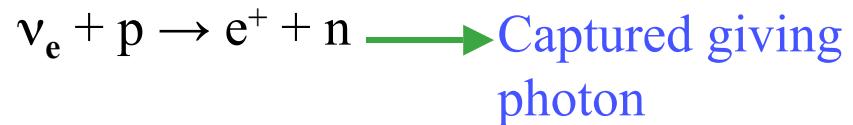
# LSND spectrum and detector



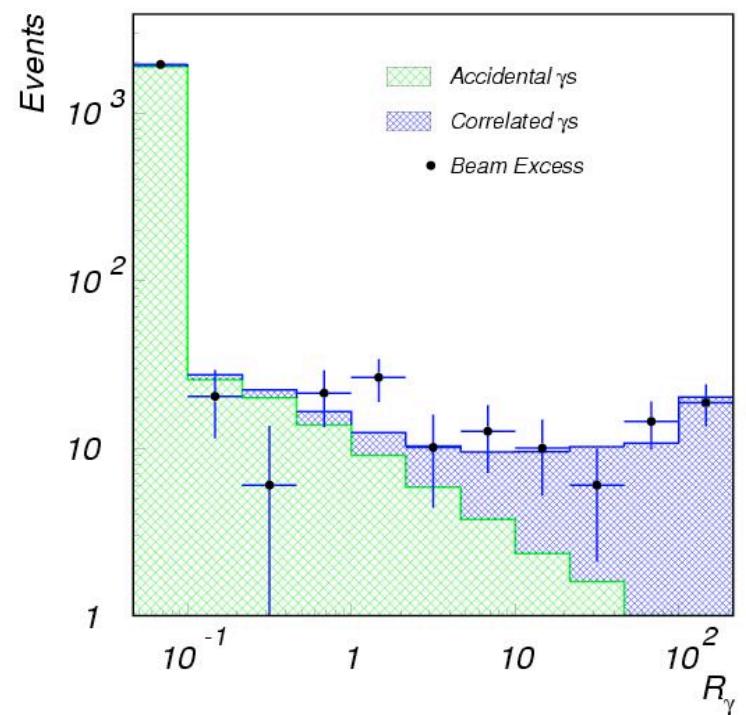
- 30m from dump
- 167 tons of liquid scintillator
- 1220 pmt + 292 veto
- Cerenkov + scintillator light



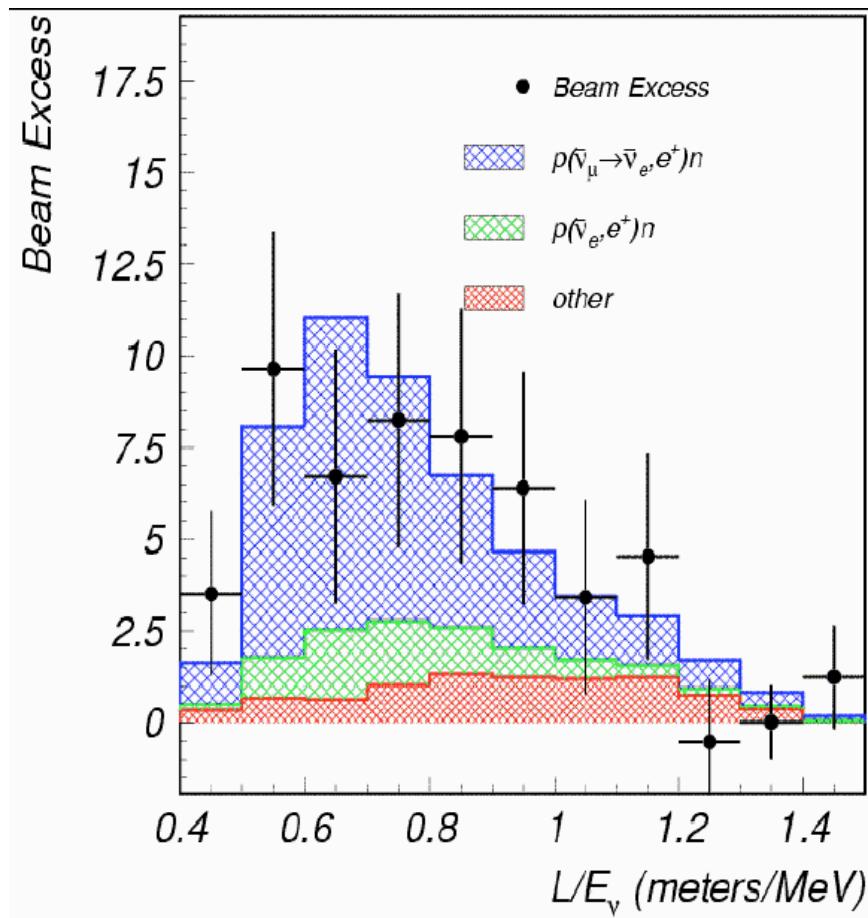
# Discriminating variables: Likelihood



Likelihood ratio



# LSND result

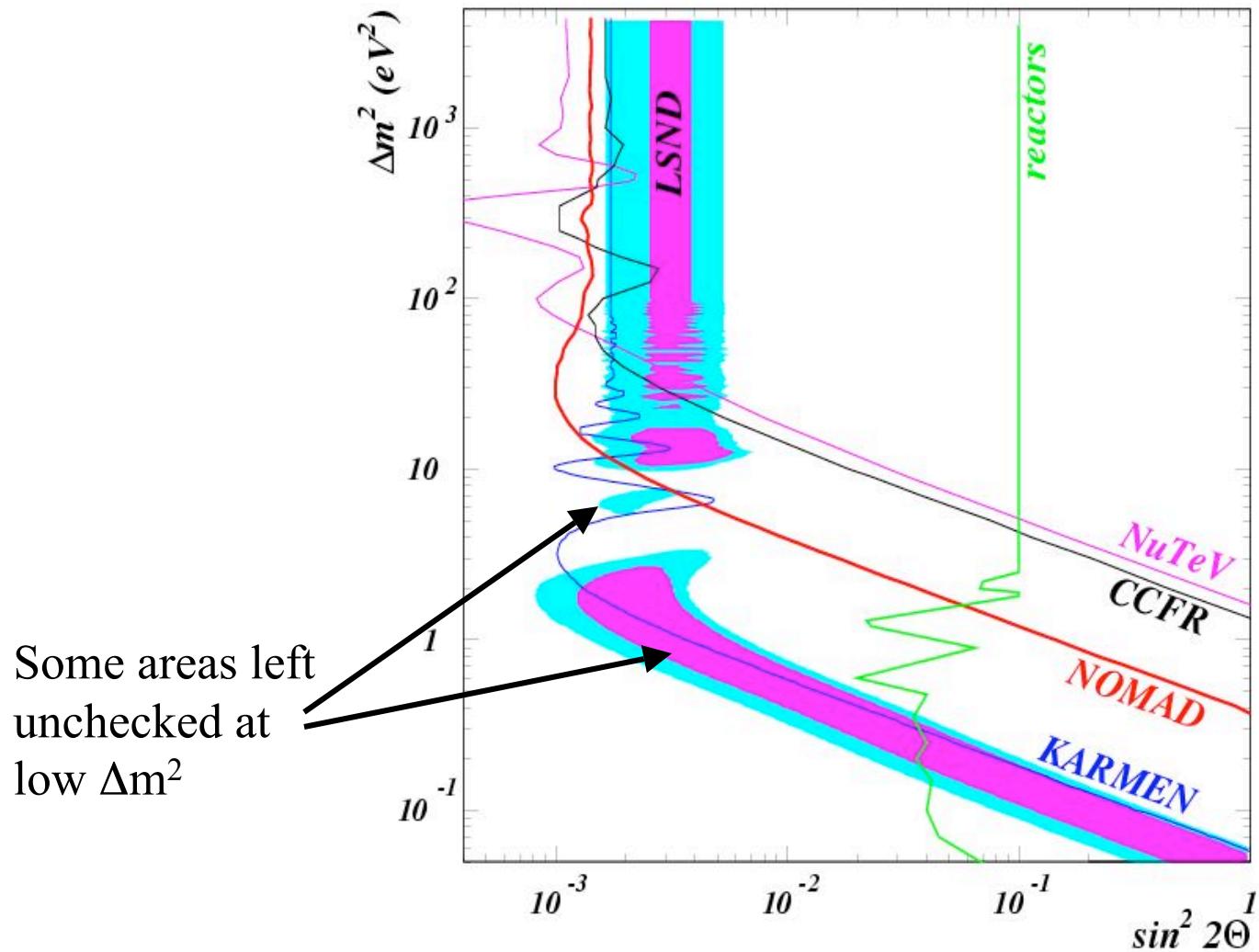


Excess of  $\bar{\nu}_e$  events in a  $\bar{\nu}_\mu$  beam,  
 $87.9 \pm 22.4 \pm 6.0$  ( $3.8\sigma$ )  
which can be interpreted as  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  oscillations

**0.26% oscillation probability.**

**L/E  $\sim 1$**

# Exclusion by other experiments



Some areas left  
unchecked at  $\Delta m^2$

Notice the  
**LARGE  $\Delta m^2$ .**

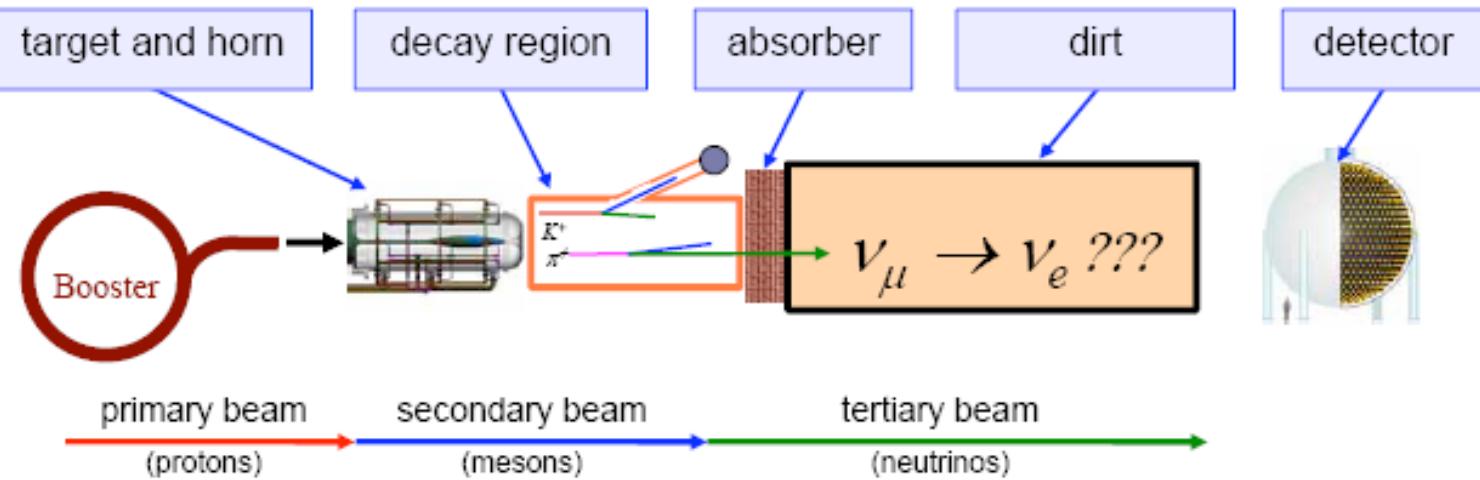
**Incompatible** with  
Either atmospheric  
Or solar  $\Delta m^2$ .

## Why is this important?

- The mass region does NOT fit with any of the other two.
- Three mass differences imply that there should be at **least one more neutrino.**
- But LEP measured just  **$2.994 \pm 0.012$**  neutrino types from  $Z^0$  width.
- $\Gamma_{\text{inv}} = \Gamma_{\text{tot}} - \Gamma_{\text{vis}} = 498 \text{ MeV}$
- $\Gamma_{\nu\nu} = 165 \text{ MeV} \rightarrow N_\nu \sim 3$
- So it means that this potential extra neutrino **DOES NOT** couple to the  $Z^0$ .
- It must be **STERILE**.
- Must be checked.

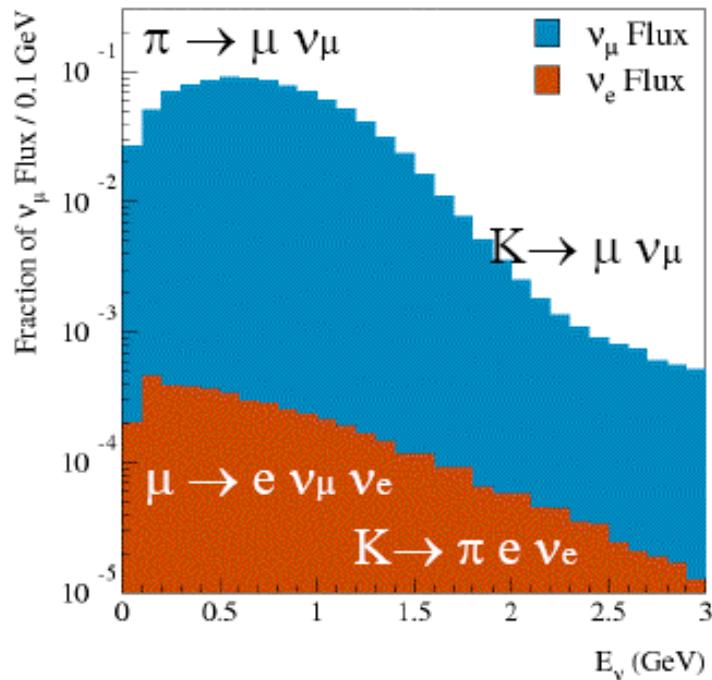
# MiniBooNE

- Keep same L/E as LSND  $\sim 1.0 \rightarrow 500\text{m}$  and  $500\text{ MeV}$
- Look for  $\nu_e$  appearance in  $\nu_\mu$  beam  
(assuming CP invariance same as  $\bar{\nu}_e$  appearance in a  $\bar{\nu}_\mu$  beam. )
- T



# The MiniBooNE neutrino beam spectrum from the Fermilab 8 GeV booster

Neutrino Flux from GEANT4 Simulation



$\nu_e/\nu_\mu = 0.5\%$   
Antineutrino content: 6%

“Intrinsic”  $\nu_e + \bar{\nu}_e$  sources:

$\mu^+ \rightarrow e^+ \bar{\nu}_\mu \nu_e$  (52%)

$K^+ \rightarrow \pi^0 e^+ \bar{\nu}_e$  (29%)

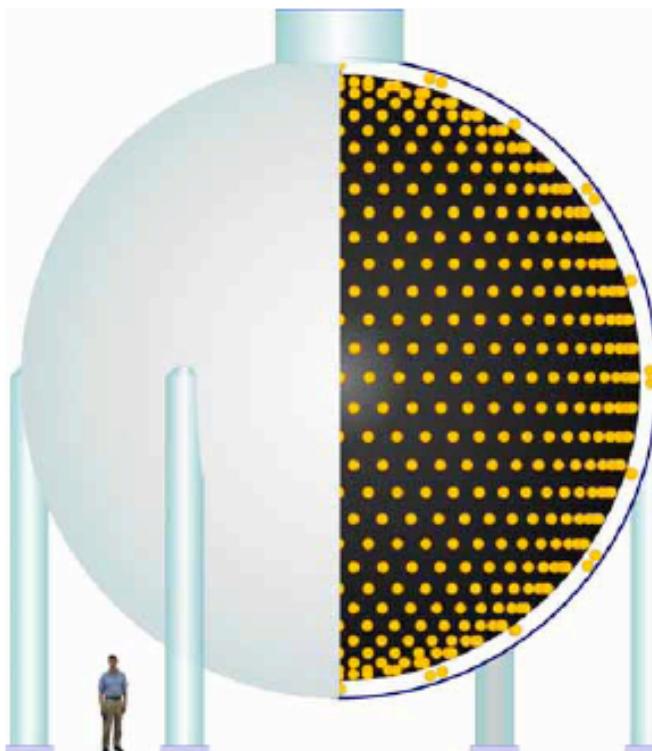
$K^0 \rightarrow \pi^- e^+ \bar{\nu}_e$  (14%)

Other ( 5%)

Irreducible background

Accumulated:  
 $5 \times 10^{20}$  Protons on target

## The MiniBooNE Detector



- 541 meters downstream of target
- 3 meter overburden
- 12 meter diameter sphere  
(10 meter “fiducial” volume)
- Filled with 800 tons  
of pure mineral oil ( $\text{CH}_2$ )

### Muons:

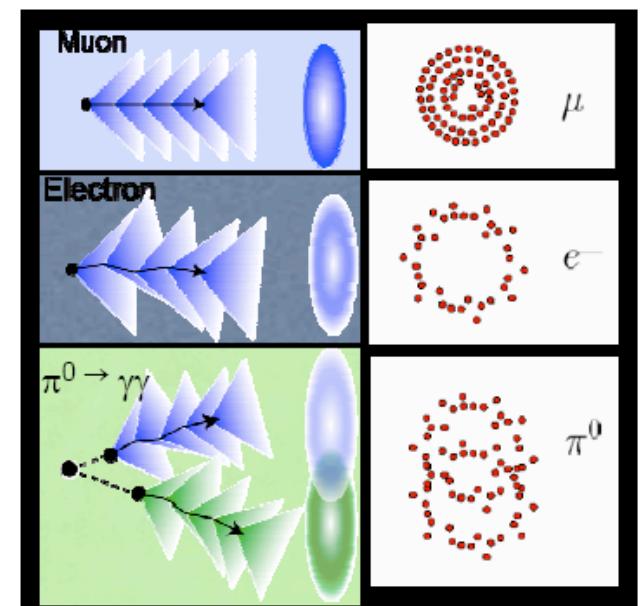
Produced in most CC events.  
Usually 2 subevent or exiting.

### Electrons:

Tag for  $\nu_\mu \rightarrow \nu_e$  CCQE signal.  
1 subevent

### NC $\pi^0$ s:

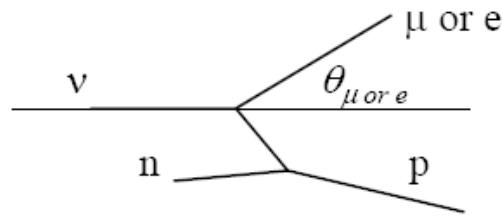
Can form a background if one  
photon is weak or exits tank.  
In NC case, 1 subevent.



# Neutrino interactions

Focus on quasi-elastic interactions

CCQE     $\nu_\mu + n \rightarrow \mu^- + p$   
(Charged Current Quasi-Elastic)  
39% of total



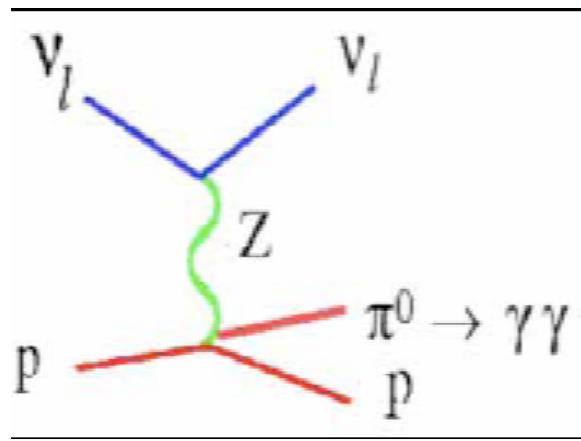
$$E_\nu^{QE} = \frac{1}{2} \frac{2M_p E_\ell - m_\ell^2}{M_p - E_\ell + \sqrt{(E_\ell^2 - m_\ell^2) \cos \theta_\ell}}$$

Reconstructed from:  
Scattering angle  
Visible energy ( $E_{\text{visible}}$ )

To claim any effect must:

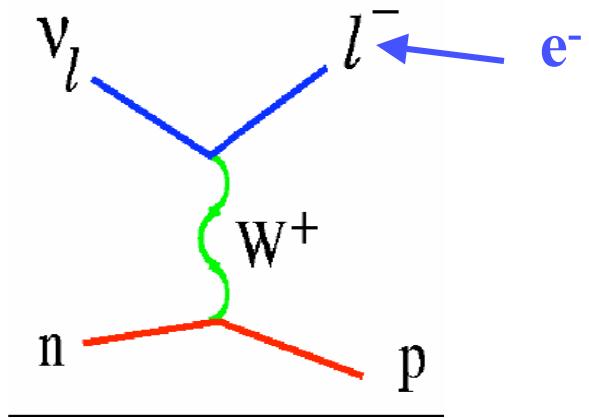
- Understand neutrino cross sections: especially difficult at low energy due to Fermi motion, nuclear reinteractions, Pauli blocking,....
- Intrinsic  $\nu_e$  in the beam

# Backgrounds



$\pi^0$  from NC

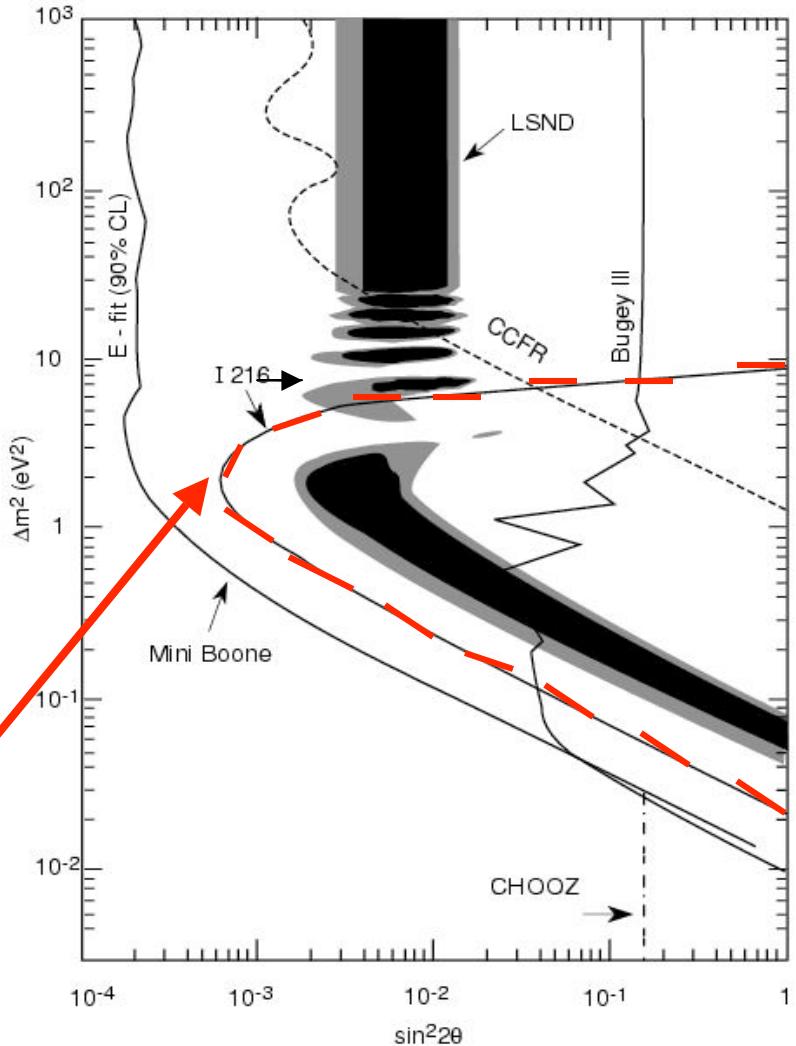
99% recognized as two showers



Intrinsic  $\nu_e$  in the beam

# I216

- CERN experiment to check LSND
- $\nu_e$  appearance in a  $\nu_\mu$  beam.
- Difference from MinBooNE:
- Use a NEAR detector to know precisely the intrinsic  $\nu_e$  content of the beam.
- Compare spectra at NEAR and FAR detectors.
- **Sensitivity**
- Experiment was NOT approved.



# MiniBooNE: Opening the box

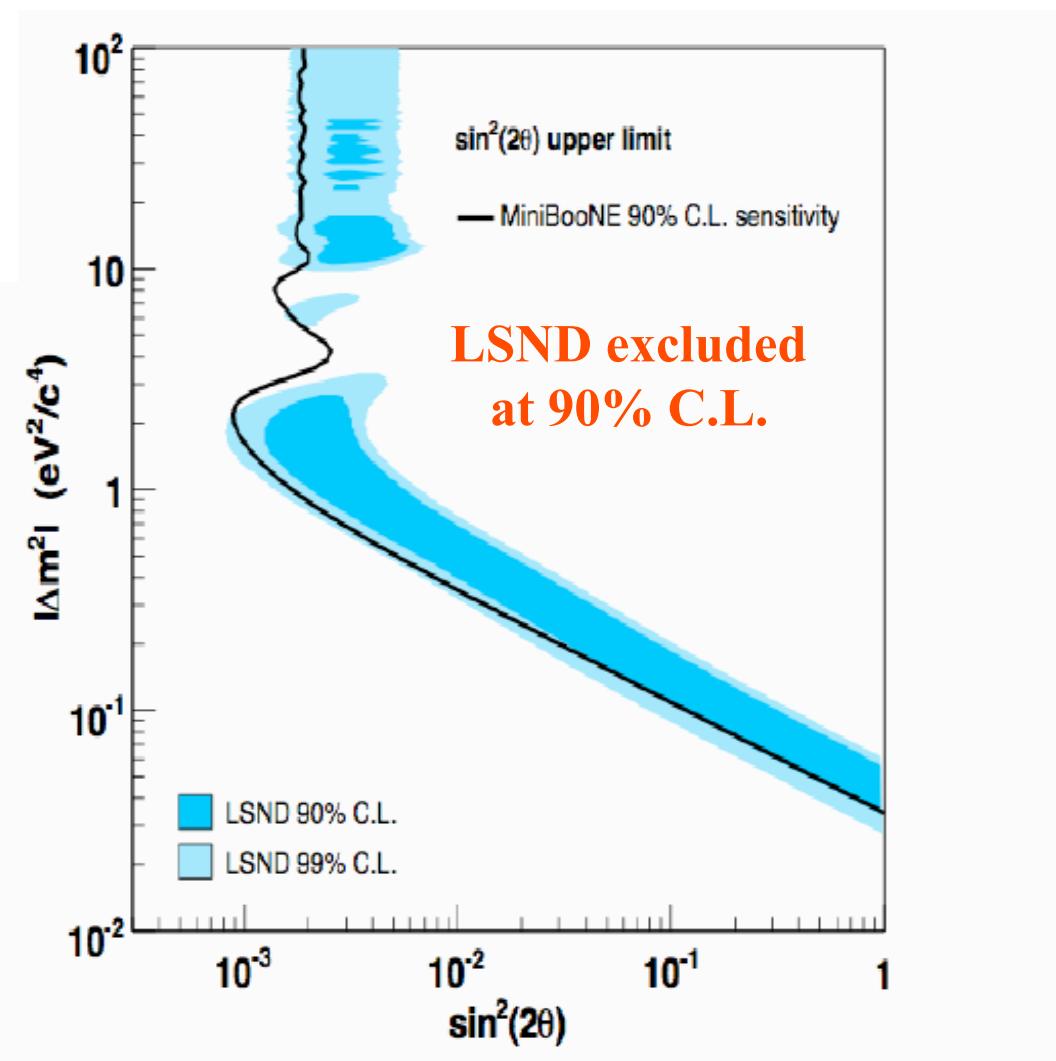
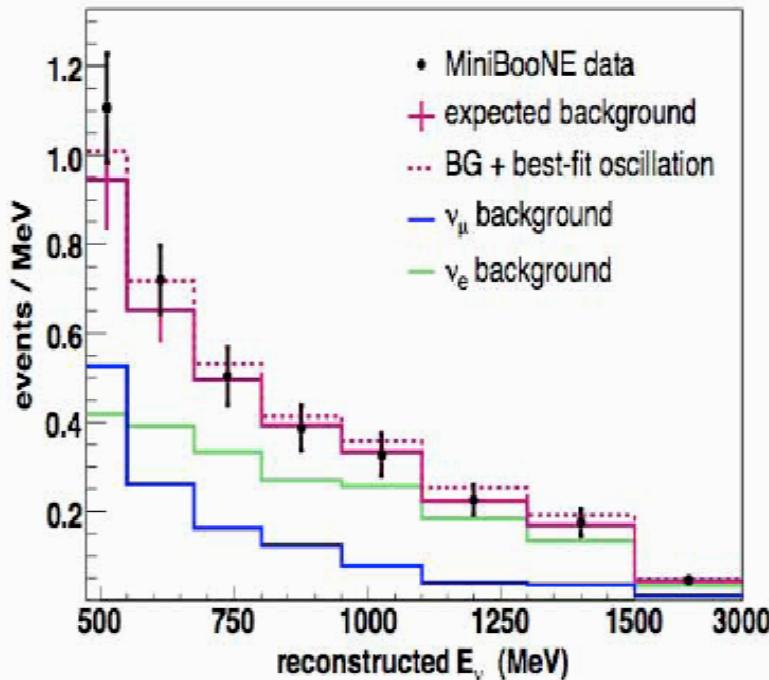
Limited oscillation analysis to  $E_\nu > 475$  MeV  
(more background at lower energies)

Observe 380 events

Expected background:

$358 \pm 19$  (stat)  $\pm 35$  (syst)

**No oscillation signal.**



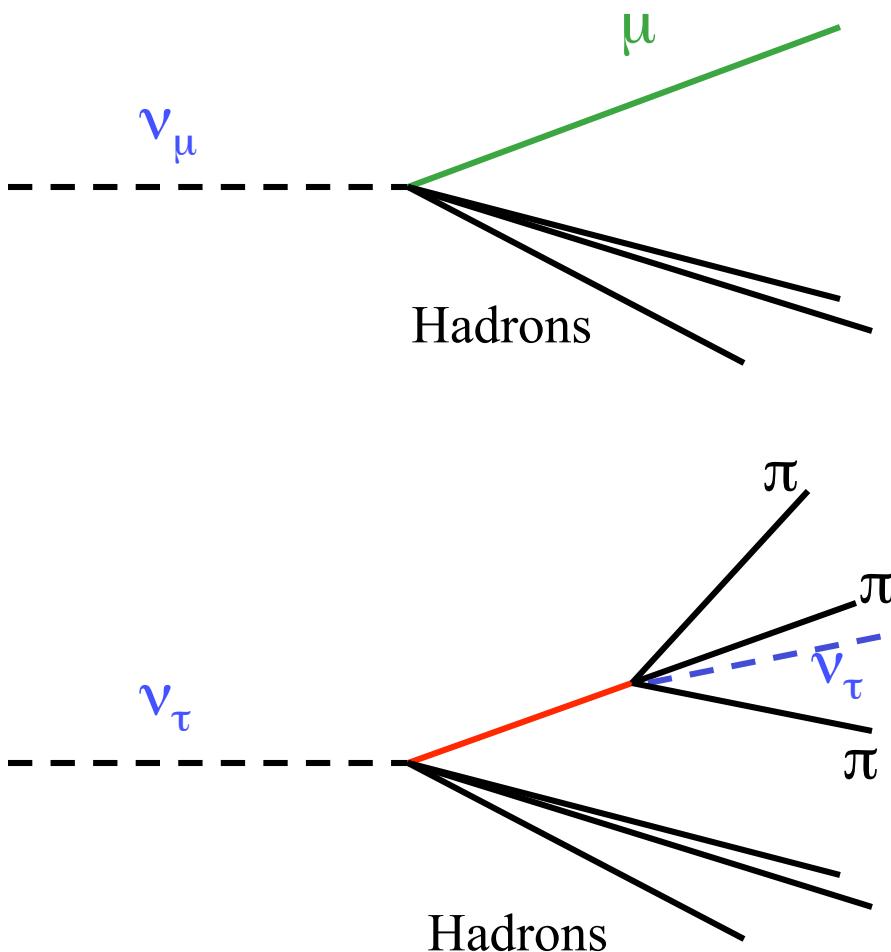
## What's needed next?

- Confirm that  $\nu_\mu$  disappearance is really a  $\nu_\mu - \nu_\tau$  oscillation.  
*Accelerators.*
- What is the absolute neutrino mass scale?  
 $\beta$  and  $\beta\beta$  decay, cosmology
- Are neutrinos their own antiparticle?  $\beta\beta$  decay
- Determine  $\theta_{13}$ .  
*Reactors, accelerators.*
- Determine the mass hierarchy.  
*Accelerators.*
- Any CP violation in the neutrino sector?  
*Accelerators.*

# Current programme

- Accelerator experiments:  $\nu_\tau$  appearance,  $\theta_{13}$ , mass hierarchy , CP (?)
  - MINOS continuing
  - NOvA
  - T2K
- Reactor experiments:  $\theta_{13}$ . Double Chooz, Daya Bay.
- Beta decay
- Double-beta decay
- Cosmology

# $\nu_\mu$ CC vs $\nu_\tau$ CC



## $\nu_\mu$ CC Interaction

Muon

Hadrons produced close to each other  
Back to back with muon

## $\nu_\tau$ CC Interaction

$\tau$  decay to hadrons ( $\pi\nu_\tau, \pi\pi\nu_\tau, \dots$ )

65% Branching ratio

No Muon

They look like **NC events.**  
and

Hadrons produced at main vertex  
+ hadrons from  $\tau$  decay

--->**Spherically symmetric** event  
Secondary vertex

# MINOS $\nu_\tau$ appearance

- MINOS is doing a  $\nu_\mu$  disappearance experiment.
- Can it look for  $\nu_\tau$ 's?
- Detector is too coarse to identify  $\tau$ 's produced in a  $\nu_\tau$  CC interaction by looking for secondary vertices or decay kinks.
- But: Use hadronic  $\tau$  decays: **No muon in final state.**
- These decays look like neutral currents (NC) events.
- So if  $\nu_\mu \rightarrow \nu_\tau$  expect **an increase in NC-like events**:
  - NC/CC  $>$  Expected NC/CC from standard  $\nu_\mu$  interactions.
  - But NC + CC **SAME** as for standard  $\nu_\mu$ .
- If  $\nu_\mu \rightarrow$  **sterile neutrino**, the sterile does not interact at all.
  - (NC + CC)  $<$  for  $\nu_\mu$  and
  - NC/CC remains the **SAME** as for  $\nu_\mu$ .

**No result yet from MINOS**

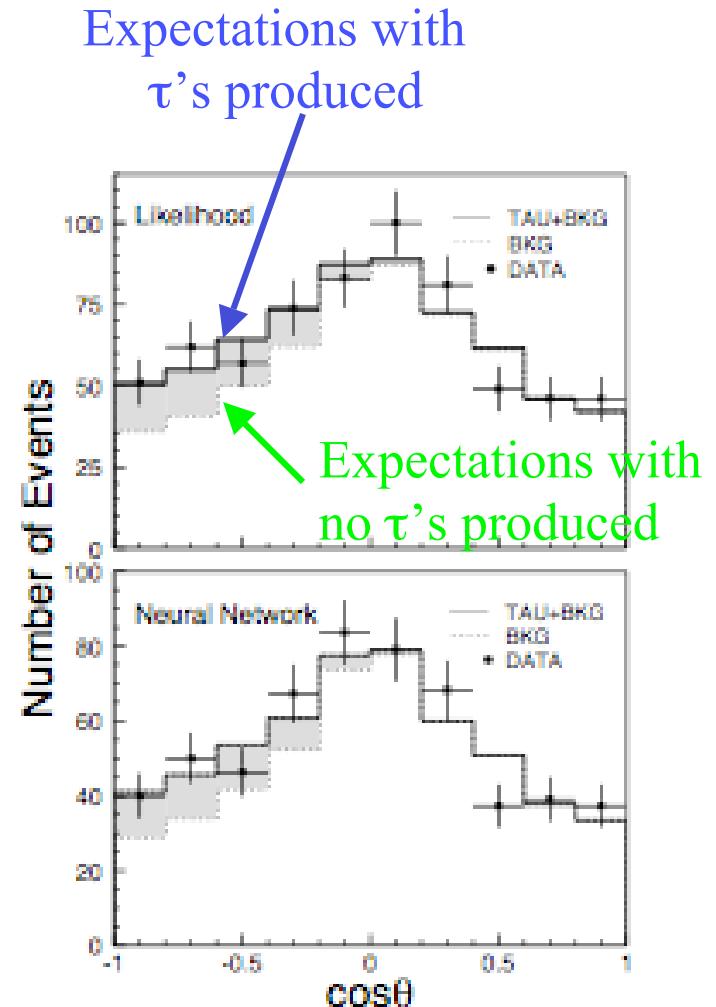
# SuperKamiokande $\nu_\tau$ appearance

- SK detects atmospheric  $\nu_\mu$  and  $\nu_e$
- Can it look for  $\nu_\tau$ 's?
- Detector is too coarse to identify  $\tau$ 's produced in a  $\nu_\tau$  CC interaction by looking for secondary vertices or decay kinks.
- But: Use hadronic  $\tau$  decays:
  - No muon in final state.
- Use the fact that they are spherically symmetric.
- Select events with
  - No muon
  - Many rings
  - Distributed spherically symmetric
- Use likelihoods or neural network.

Expect  $78 \pm 26$   $\tau$  events for  $\Delta m^2 = 2.4 \times 10^{-3}$  eV $^2$

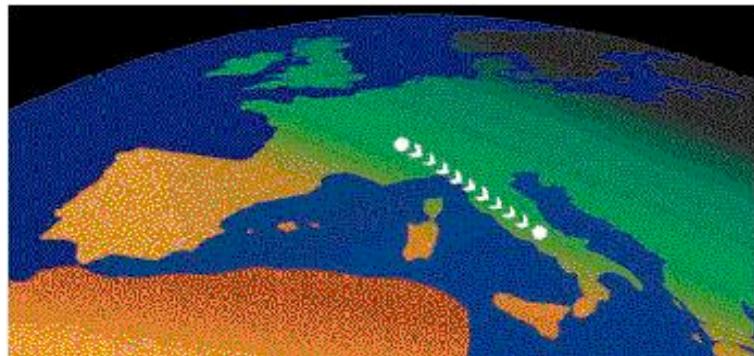
Observe  $136 \pm 48(\text{stat})_{-32}^{+15}(\text{syst})$

**Disfavours NO  $\tau$  appearance by 2.4  $\sigma$**



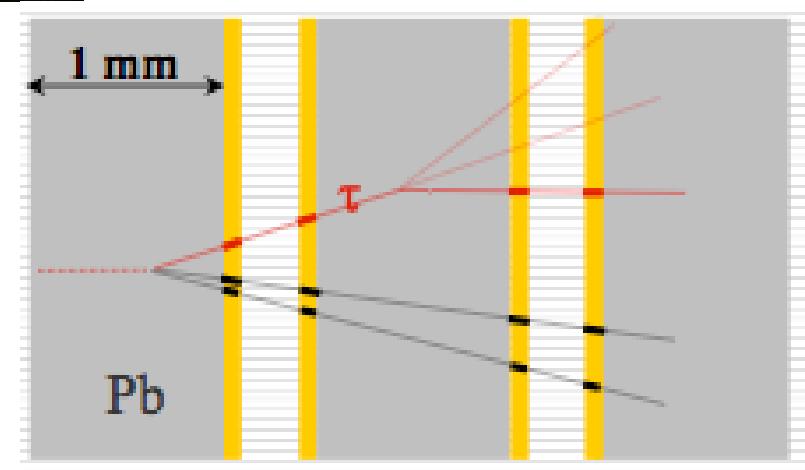
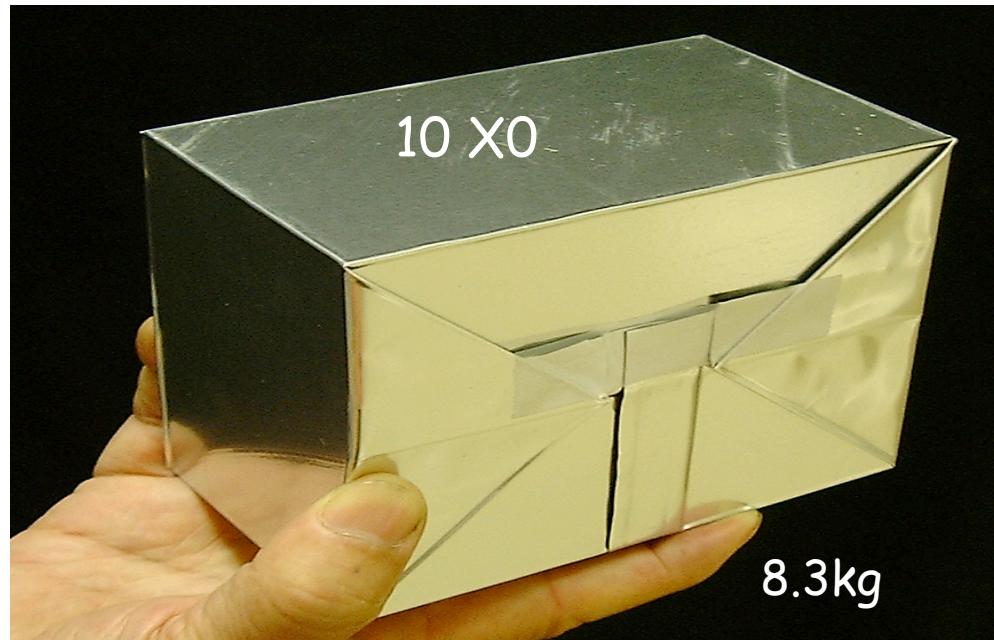
# OPERA $\nu_\tau$ appearance: the definitive experiment

- Atmospheric  $\nu_\mu$  disappearance occurs at  $L/E \sim (\text{GeV})/(\sim 1000\text{km})$
- Distance from CERN to Gran Sasso Lab (LNGS) in a road tunnel in Italy = 732km
- Send a  $\nu_\mu$  beam ( $\sim 20$  GeV) from CERN to LNGS.



- Search for  $\nu_\tau$  appearance.
- Look for events with **SECONDARY VERTICES OR KINKS**
- Using photographic emulsions

# The lead-emulsion brick



# Le détecteur : 2 super-modules

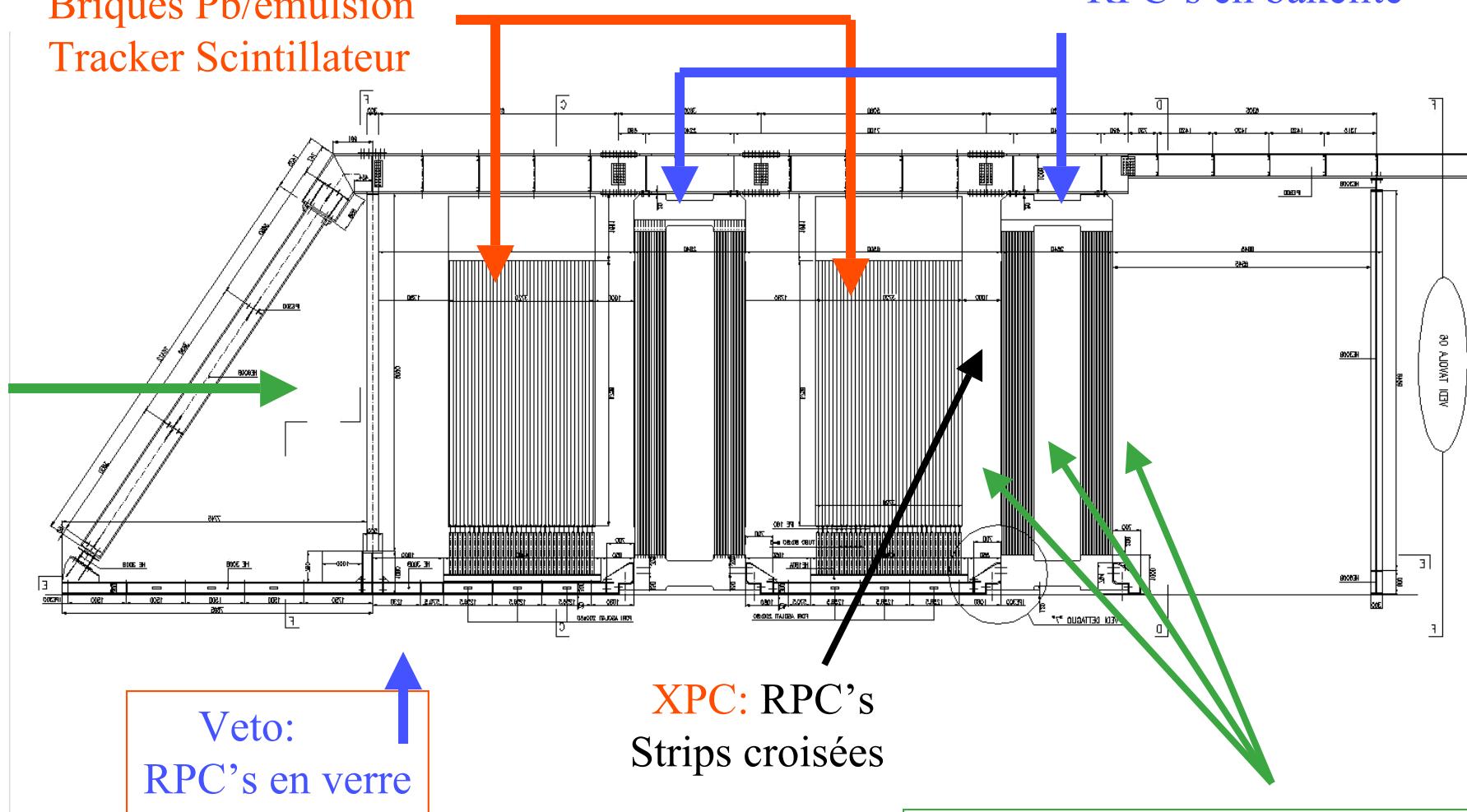
31 plans dans chaque supermodule:

Un plan:

Briques Pb/émulsion

Tracker Scintillateur

Aimant  
+ RPC's en bakélite



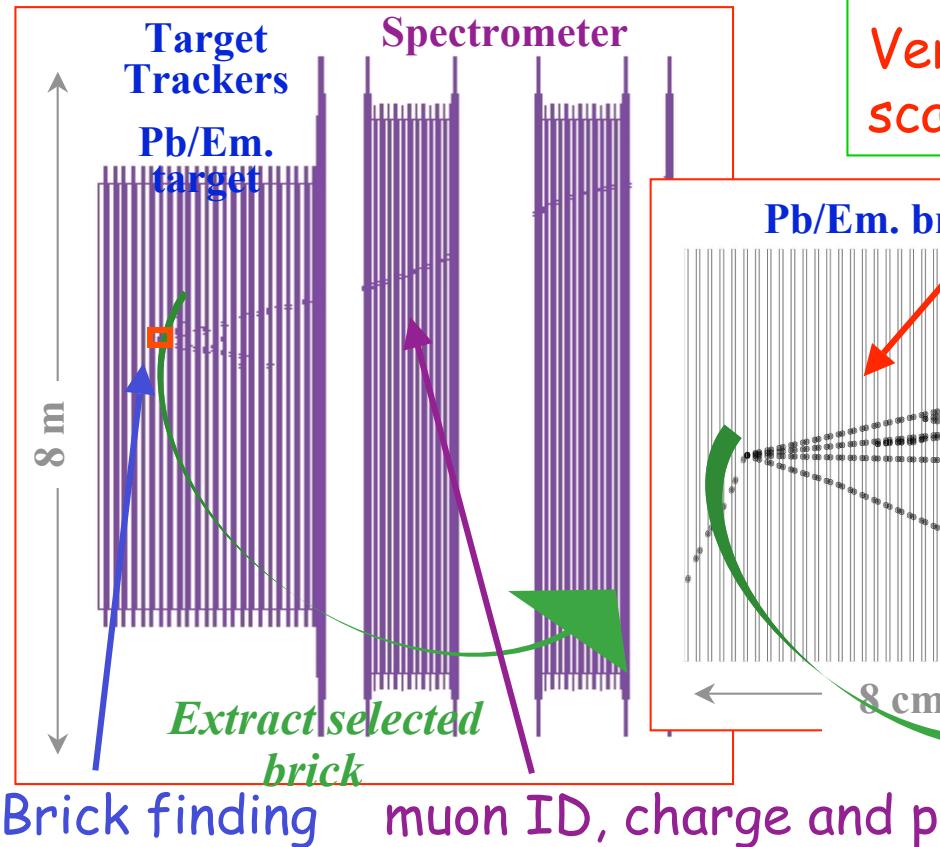
Veto:  
RPC's en verre

XPC: RPC's  
Strips croisées

HPT: Tracker de Haute Précision  
Tubes à dérive

# OPERA

## Electronic detectors:

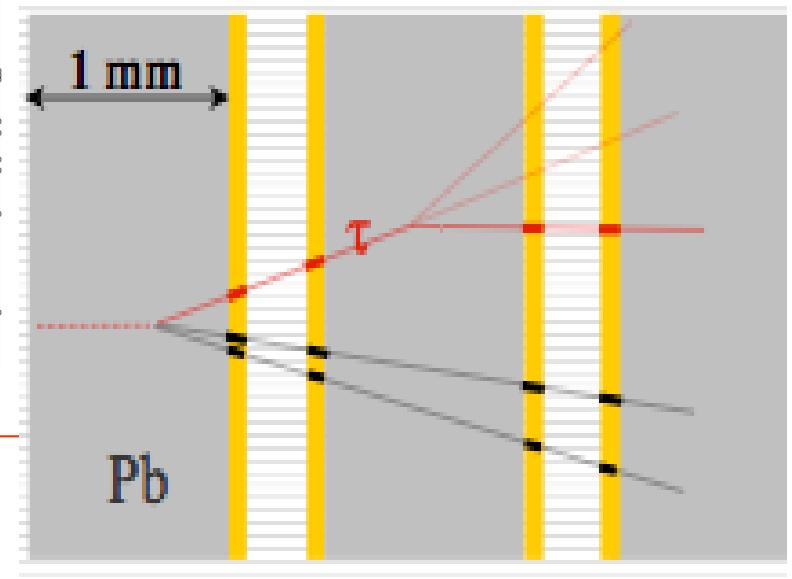


## Emulsion analysis:

**Vertex, decay kink e/γ ID, multiple scattering, kinematics**

**Pb/Em. brick**

**Link to mu ID,  
Candidate event**



Using the scintillator planes, reconstruct the event vertex

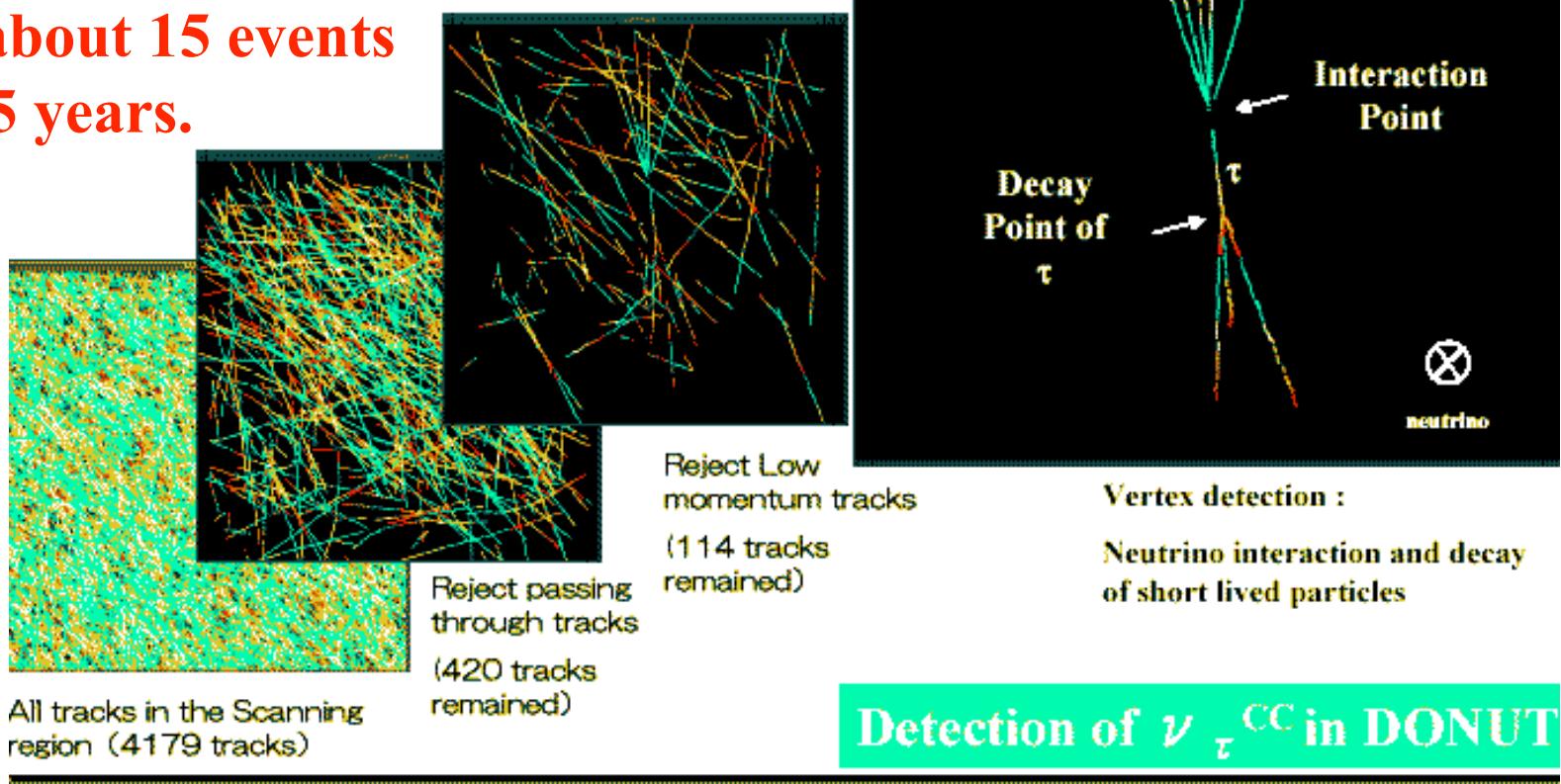
---> determine the interaction brick. Extract it.  $\sim 30$  bricks / day

Expose the brick to cosmic rays  
to have tracks going through all sheets  
For relative alignment  
Develop the emulsion sheets

OPERA

## Event Reconstruction

Expect about 15 events  
in 5 years.



# Absolute neutrino masses

- What are the absolute neutrino masses?

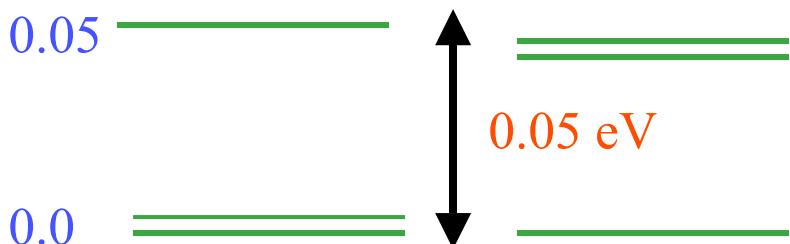
At least one neutrino

Must have a mass

$$>(2.4 \times 10^{-3})^{1/2} > 0.05 \text{ eV}$$

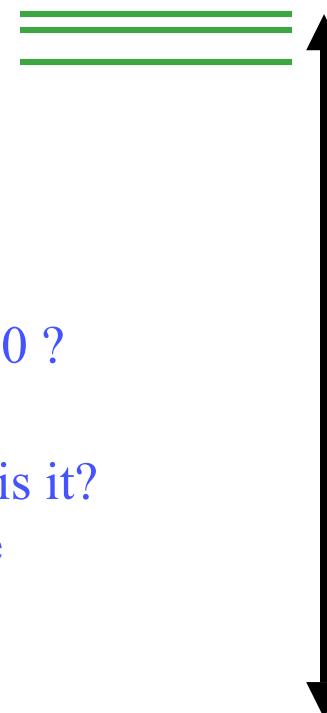
But is the lowest mass

ZERO?



Or is the  
lowest mass  $\neq 0$  ?

And if so What is it?  
Degenerate case



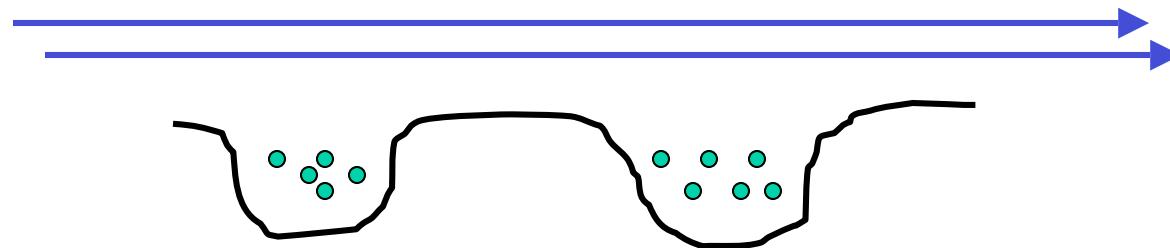
# Absolute neutrino masses

**Three ways to determine them:**

- Cosmology
- $\beta$ -decay: Tritium end point
- Double- $\beta$  decay

# Cosmology

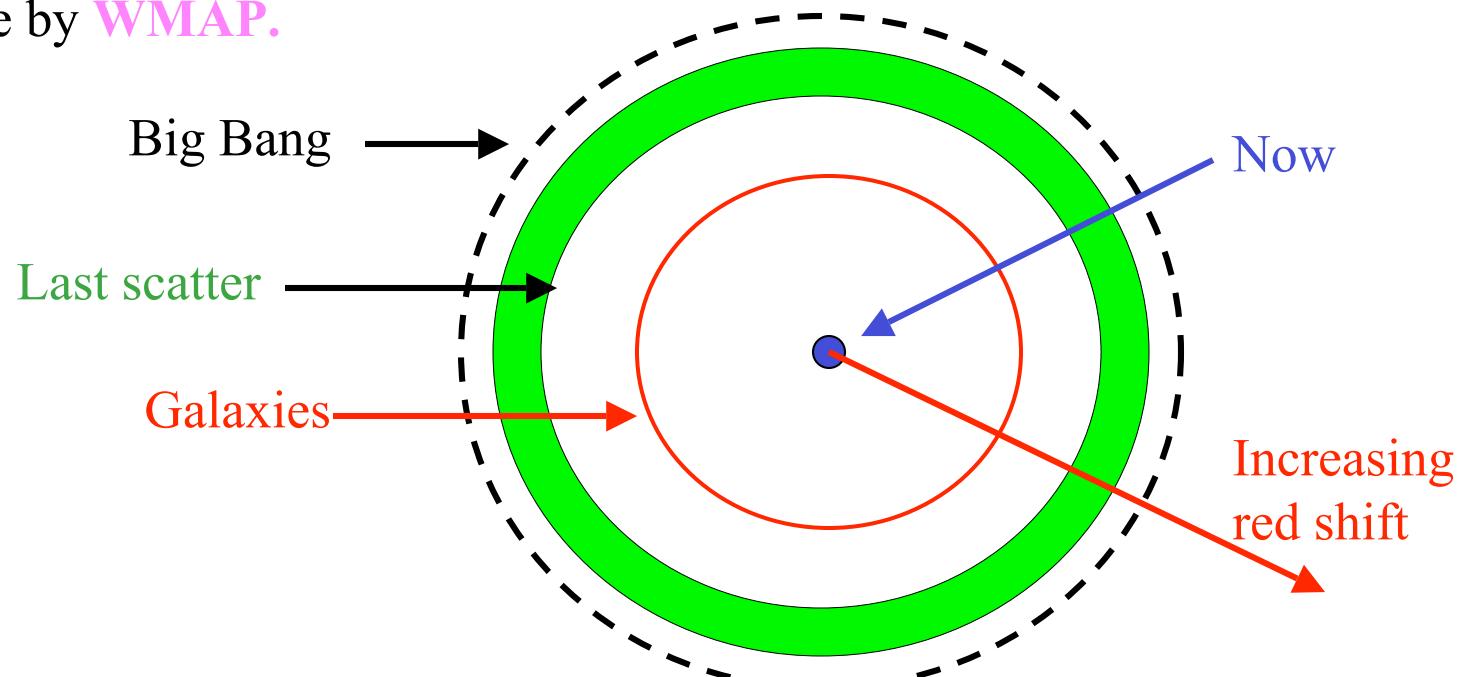
- Structure formation evolves with time since the big bang: they get **bigger** with time.
- If relativistic, neutrinos will be free-streaming. They will not be trapped in a gravitational well.



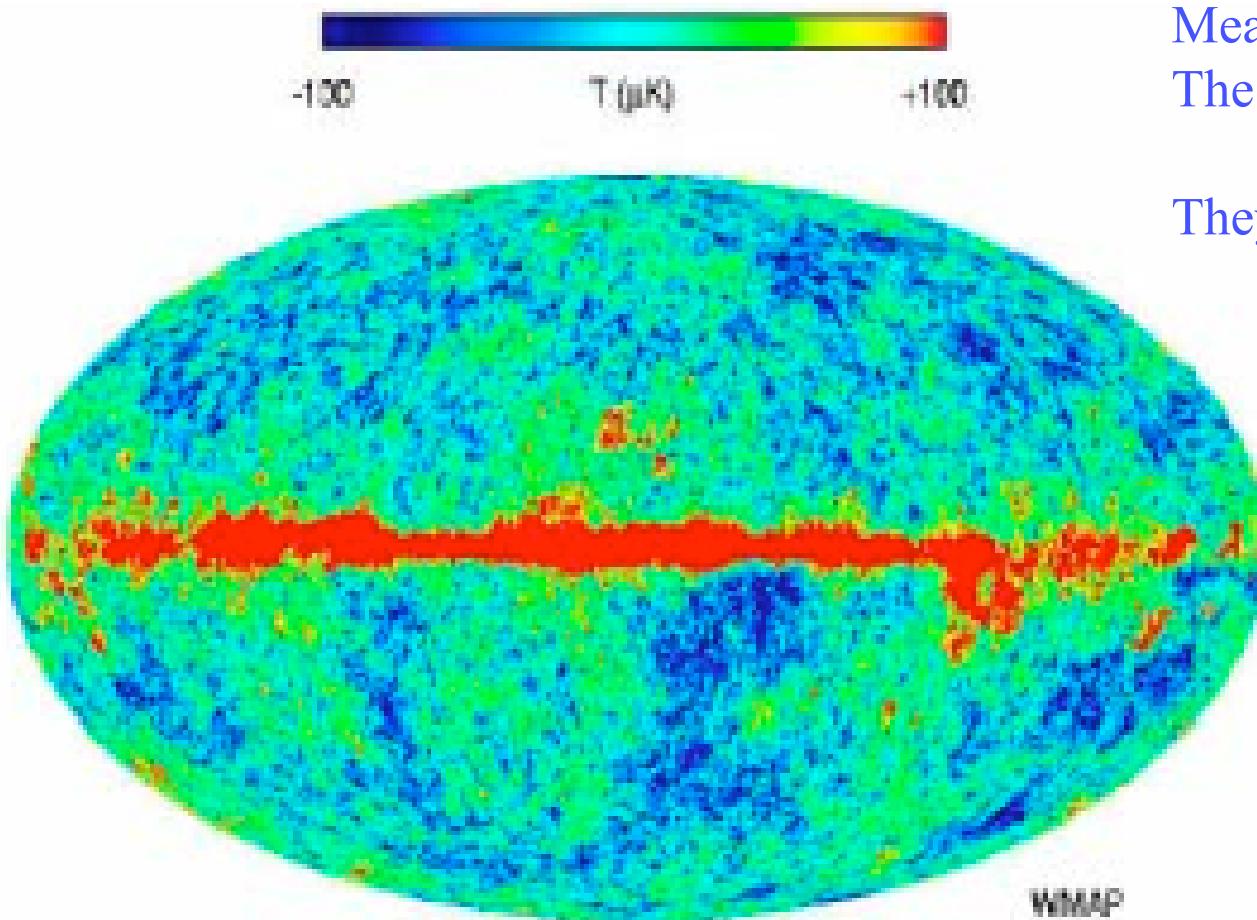
- This means that they will not contribute their mass to the gravitational attraction forming clusters.
- They will start to do so only as they become non-relativistic.
- The **larger** their mass, the **earlier** they will become non-relativistic as the universe cools.
- The **smaller** the clusters they will affect.
- **So massive neutrinos can affect cluster formation at small scales.**

# How do we measure cluster sizes?

- At very large scales, measure the distribution of galaxies:  
**Sloan Digital Sky Survey.**
- At smaller scales study the distribution and temperature of Cosmic Microwave Background Radiation (CMBR) .  
This gives the location of the “last scatter”.
- Also CMBR photons scattering on electrons become polarized.
- Amount of polarization gives the density of electrons at the “last scatter”.
- This was done by **WMAP**.



# WMAP: Temperature fluctuations in CMBR



Measure them by recording  
The black-body spectrum.

They vary by  $\sim 10^{-5}$  !

Map of CMBR temperature Fluctuations

$$\Delta(\theta, \varphi) = \frac{T(\theta, \varphi) - \langle T \rangle}{\langle T \rangle}$$

Multipole Expansion

$$\Delta(\theta, \varphi) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} a_{\ell m} Y_{\ell m}(\theta, \varphi)$$

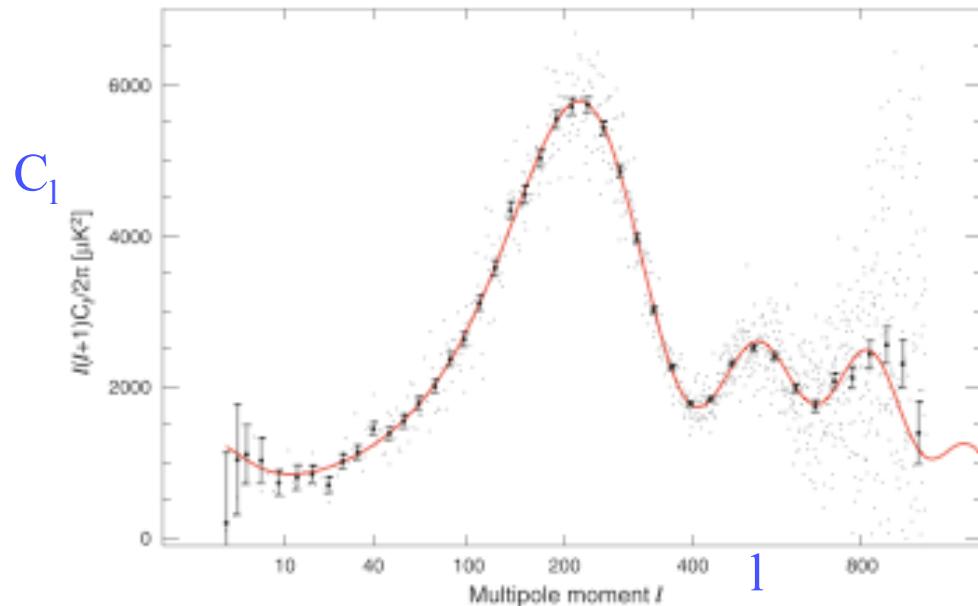
# Temperature --> cluster size?

- Denser matter causes **more** heating raising temperature of photons.
- Competing effect:
  - the photon traverses a region of matter.
  - It falls in its gravitational potential.
  - By the time it comes out of the region, the region has acquired more mass.
  - The gravitational potential the photon has to climb out of is deeper than the one it fell in: it loses energy: it is red-shifted. It is **cooler**.
- Net effect: **cooler temperatures mean denser matter.**

# How to observe matter fluctuations

- WMAP has an angular resolution of  $10'$ .
- Measure temperature distribution over the whole sky.
- Measure the deviation from the average temperature for each point:  $\Delta T/T$ .
- Collect the temperature for all pairs of direction  $\mathbf{n}, \mathbf{m}$  separated by angle  $\theta$ .  
 $(\mathbf{n} \cdot \mathbf{m} = \cos \theta)$ .
- Measure the correlation between these two points by forming the average
  
- $C(\theta) = \langle (\Delta T(\mathbf{n})/T)(\Delta T(\mathbf{m})/T) \rangle$
  
- If there is no correlation  $C(\theta)$  will be zero. **Not so otherwise.**
- Repeat for all angles  $\theta$ .
- Expand as a series of Legendre polynomials  $P_l(\cos \theta)$
- $C(\theta) = (1/4\pi) \sum (2l+1) C_l P_l(\cos \theta)$ . Summed from 1 to  $l_{\max}$ .
- $C_l$  describe the density fluctuations.
- The sum falls off to zero at  $\sim 200^\circ/l_{\max}$ .
- The relevant scale for primordial fluctuations is  $\sim 1^\circ$ , so  $l > 100$  is the interesting region.

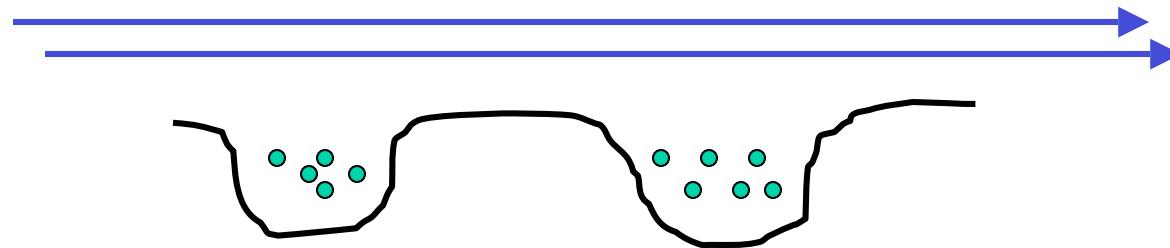
# Limits



Smaller scales ---->

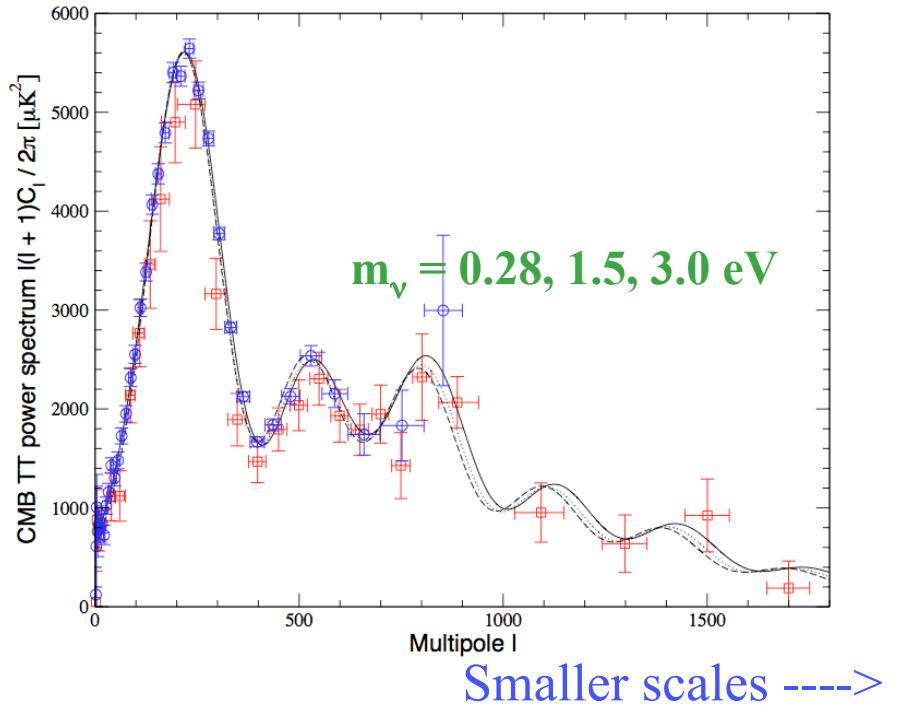
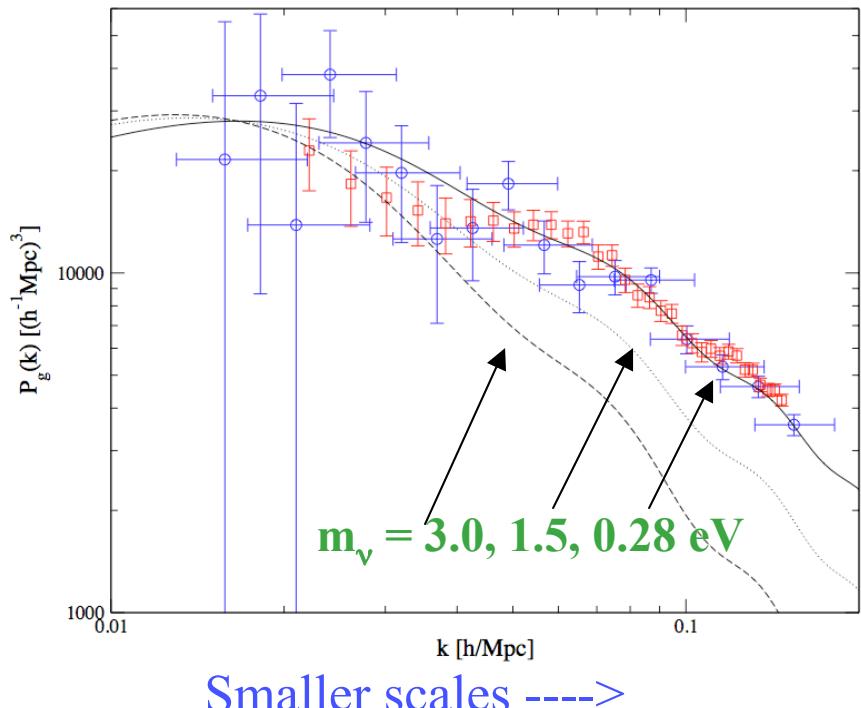
- Plot Power  $\sim C_l$  vs  $l$ . Observe peaks.
- Assume there has been an initial primordial perturbation in the density.
- Can be decomposed into a superposition of different wave lengths  $\lambda$ .
- The first peak will correspond to a wave that has had time to oscillate just once.
- A “recent wave” corresponding therefore to a time when structures were large.
- The second more than once. “Older wave”. etc... **larger  $l$  ---> smaller structures.**

# Limits



- If relativistic, neutrinos will be free-streaming. They will not be trapped in a gravitational well.
- This means that they will not contribute their mass to the gravitational attraction forming clusters.
- They will start to do so only as they become non-relativistic.
- The **larger** their mass, the **earlier** they will become non-relativistic as the universe cools.
- The **smaller** the clusters they will affect.
  
- **So massive neutrinos can affect cluster formation at small scales.**

# Limits



Can do the same with galaxy clusters and super clusters:

Look at fluctuations in the number of galaxies in volumes of  $\lambda^3$  Mpc in sky. Vary  $\lambda$ .

## Limit on absolute neutrino mass

$$\Sigma m_1 + m_2 + m_3 < 1.3 \text{ eV}$$

From cosmology (WMAP alone)

Remember: we also have a lower limit :  $> 0.05 \text{ eV}$

on at least one neutrino mass state

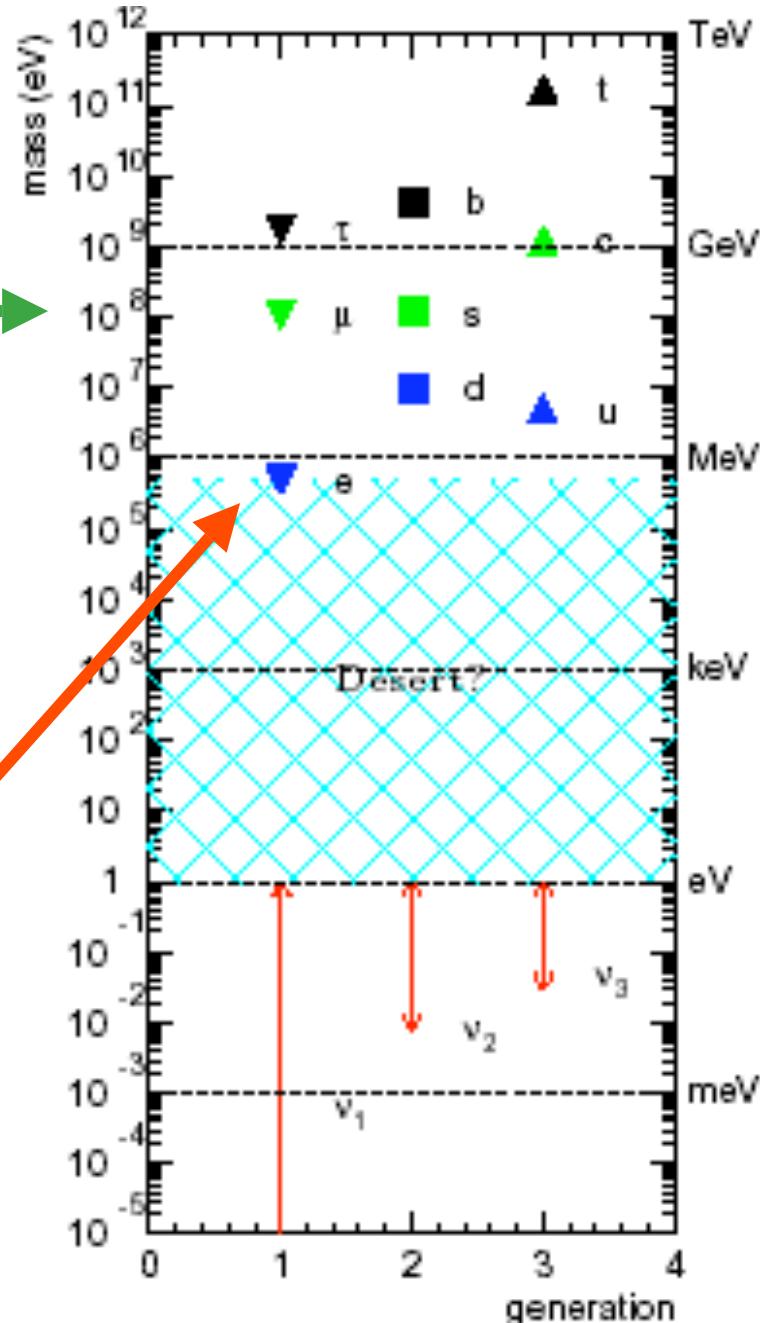
Other particles



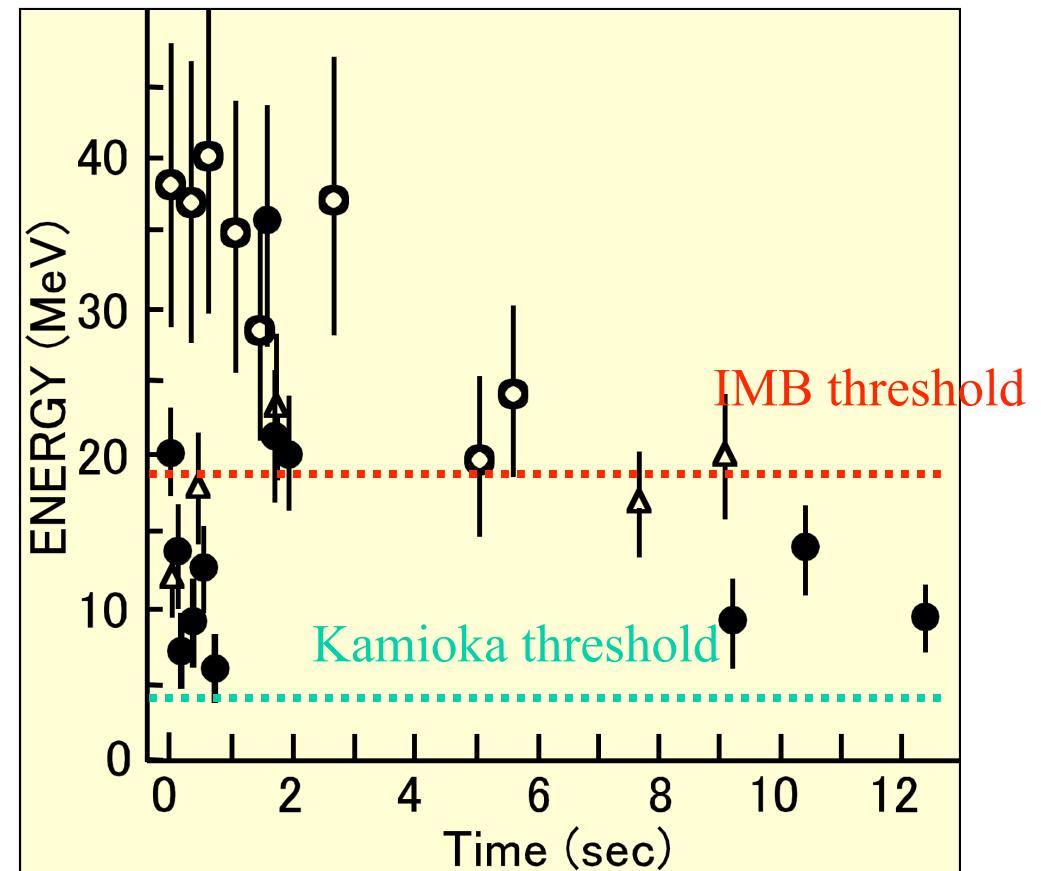
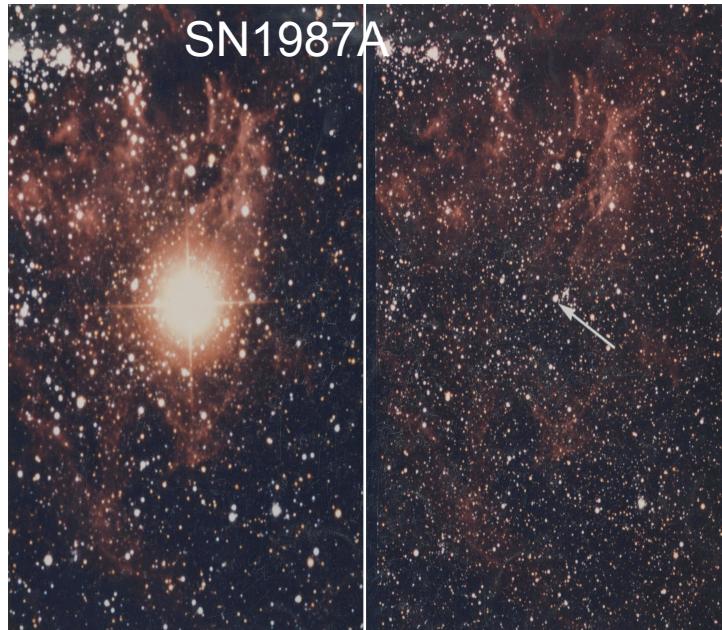
Why are neutrino  
masses so low????

6 orders of  
magnitude smaller  
than the next heaviest  
particle: the electron

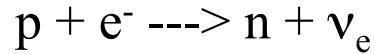
Fascinating to me !!!!!!



# Neutrinos from Super Novae



A large burst of neutrinos is associated with a SN  
Collapse of the iron core ( $R = 8000$  km) of a star due to gravitational pressure:



Turns into a neutron star ( $R = 50$  km) with density of nuclear matter  $10^{14-15} \text{ gm.cm}^{-3}$   
About **20 neutrino interactions** seen mostly in Kamiokande, also IMB.

# Absolute Neutrino Mass from Super Novae

Time of arrival distribution ---> limit on  $\nu$  mass.

Time difference between a  $c=1$  neutrino and a massive neutrino:

$$\Delta t = D/\gamma c - D/c = (1/\gamma - 1)(D/c) = (E/p - 1)(D/c) = m^2 D/(2E^2)$$

$$= 2.57 \text{ (sec)} (D/50\text{kparsec}) (10\text{MeV}/E)^2 (m_\nu/10\text{eV})^2 \text{ (In REAL units)}$$

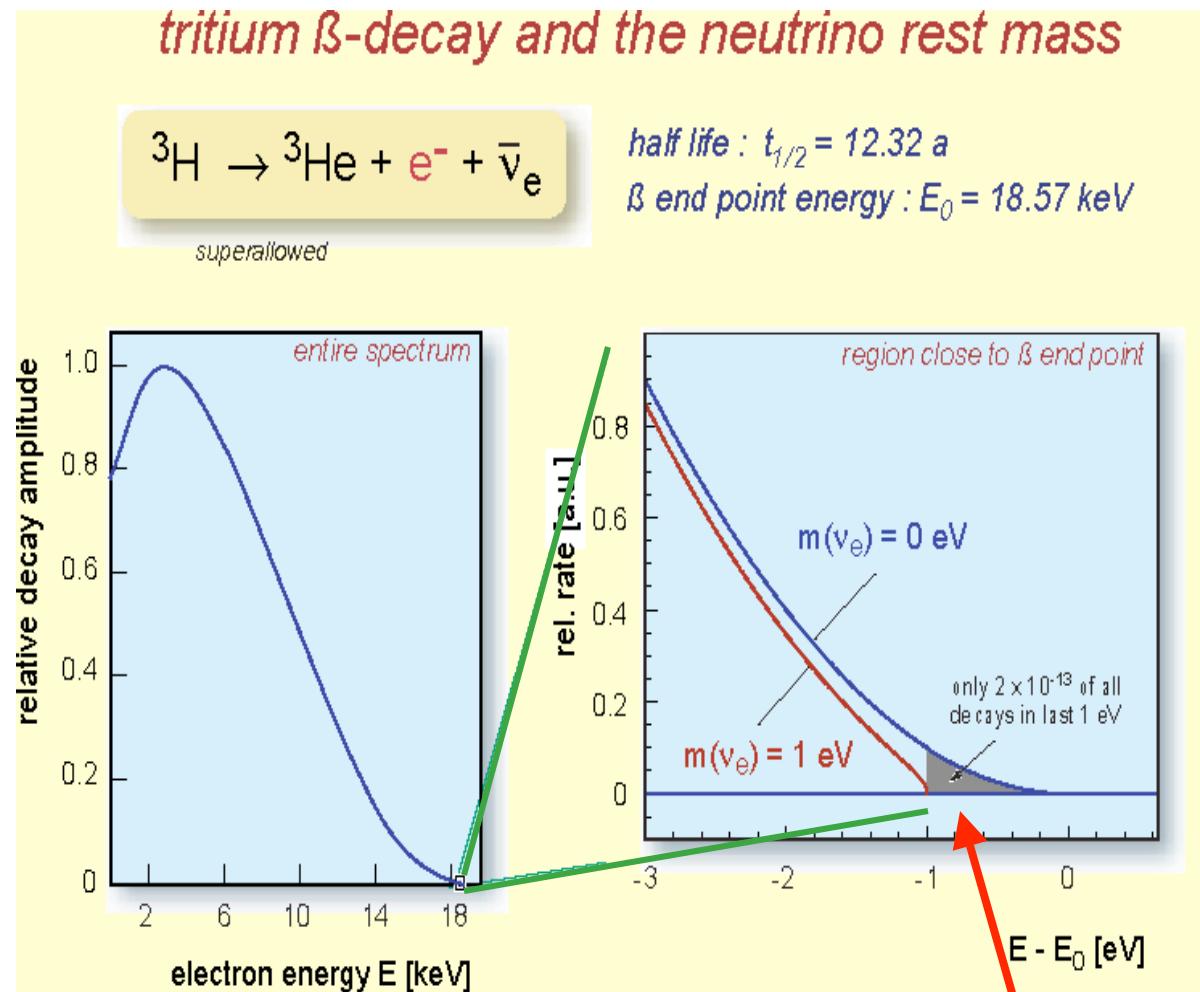
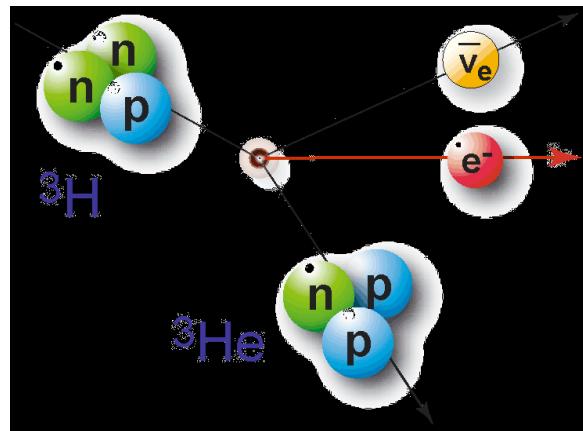
$$m_\nu < 20 \text{ eV}$$

This could be used in the future, now that we have better detectors  
IF another SN occurs, SuperKamiokande could see **5500** events.

Problem: Uncertainty in emission time distribution.

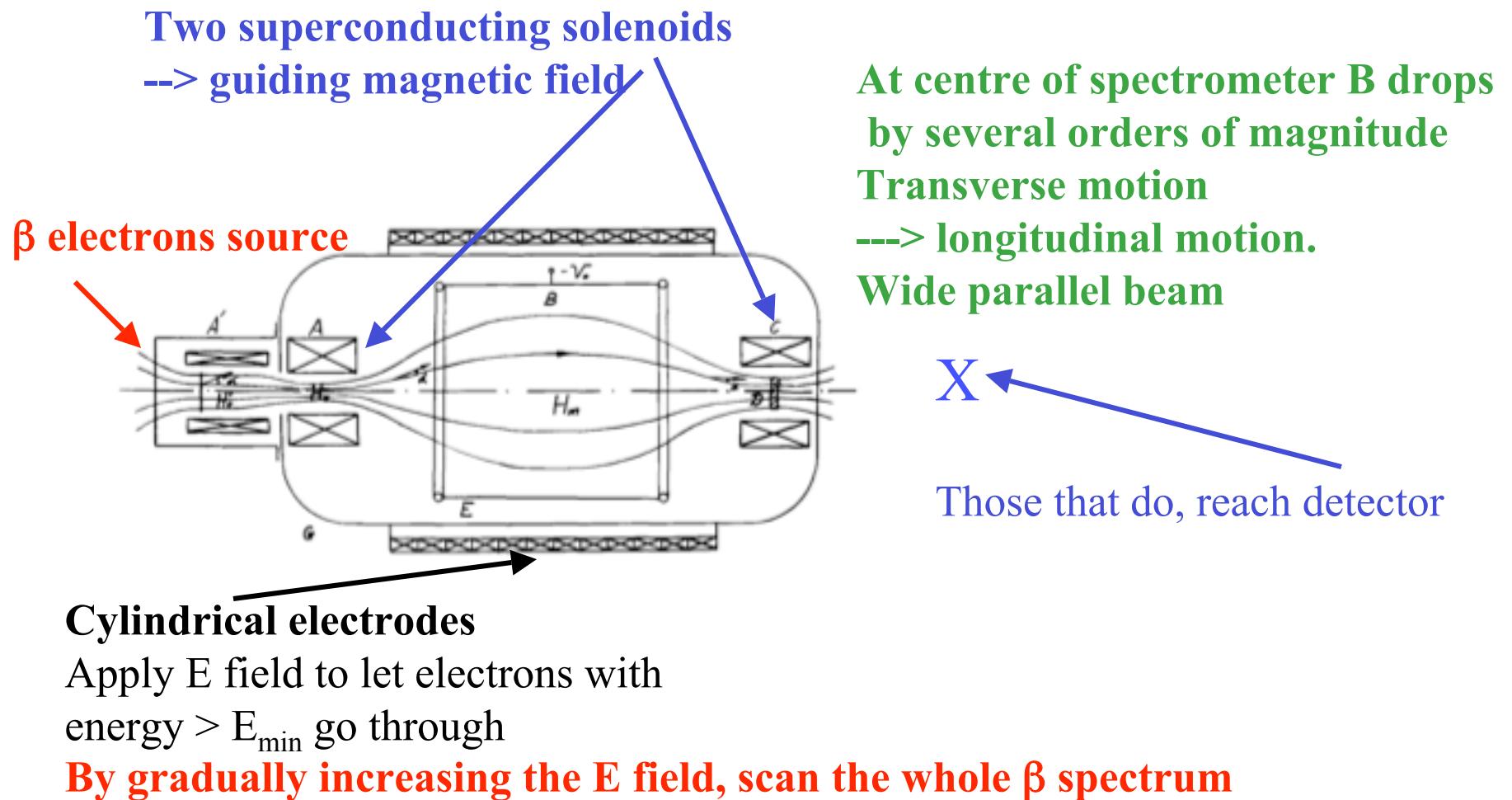
# The direct measurements: $\nu_e$

Look at the end point energy in a  $\beta$  decay spectrum: Tritium



If  $m_\nu \neq 0$  the maximum possible energy carried by the electron will be **reduced**

# Magnetic Adiabatic Collimation Electrostatic Filter (MAC-E)



# New technique: cryogenic bolometer

Cryogenic detector principle:

Make the absorber out of a dielectric and diamagnetic material

Particle deposits an amount of energy  $Q$

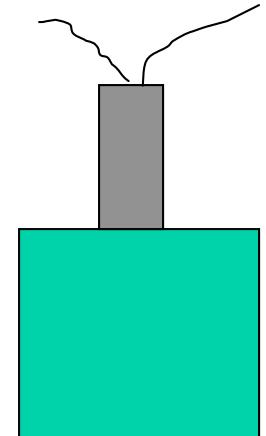
Heat capacity  $C_v$  is proportional to  $T^3$ .

At very low temperature it will be small

So  $\Delta T = Q/C_v$  will be measurable

Electrothermal thermometer

$\beta$  emitter and absorber



$^{187}\text{Re}$  has 7 times smaller end-point than Tritium: Good

Can use a cryogenic bolometer to measure total energy emitted.

Still working on energy resolution

Problem: measures ALL decays simultaneously.

Cannot select JUST events near end-point

So must collect  $10^{10}$  more events than needed (but Deadtime  $\sim 100\mu\text{s}$ ).

Many detectors needed.

## The direct measurements

	$\nu_e$	$\nu_\mu$	$\nu_\tau$
Limit	$2.3 \text{ eV/c}^2$	$0.16 \text{ MeV/c}^2$	$18.2 \text{ MeV/c}^2$
Confidence Level	95%	90%	95%
Method	Tritium End point	$p_\mu$ in $\pi \rightarrow \mu \nu_\mu$	5 pion inv. mass in decay $\tau \rightarrow 5\pi \nu_\tau$

# Double- $\beta$ decay

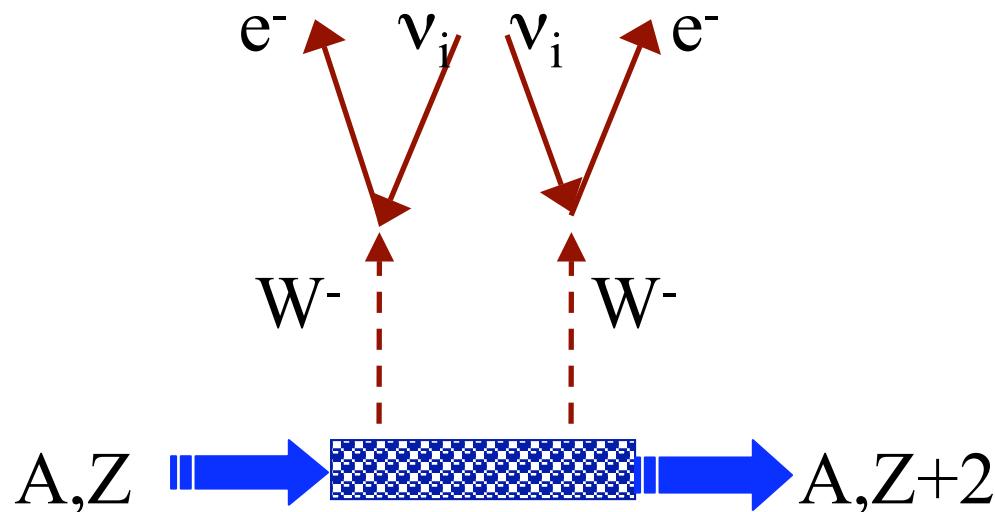


Standard 2-neutrino **double  $\beta$  decay**: emits 2 electrons.

Two separate neutrons decaying in nucleus.

This happens when **single  $\beta$  decay** is energetically forbidden or inhibited by parity or angular momentum

Typical half-lives:  **$10^{19} - 10^{21}$  years**



# Neutrinoless Double- $\beta$ decay



➤ Since neutrinos have non-zero mass.

Neutrinoless double  $\beta$  decay

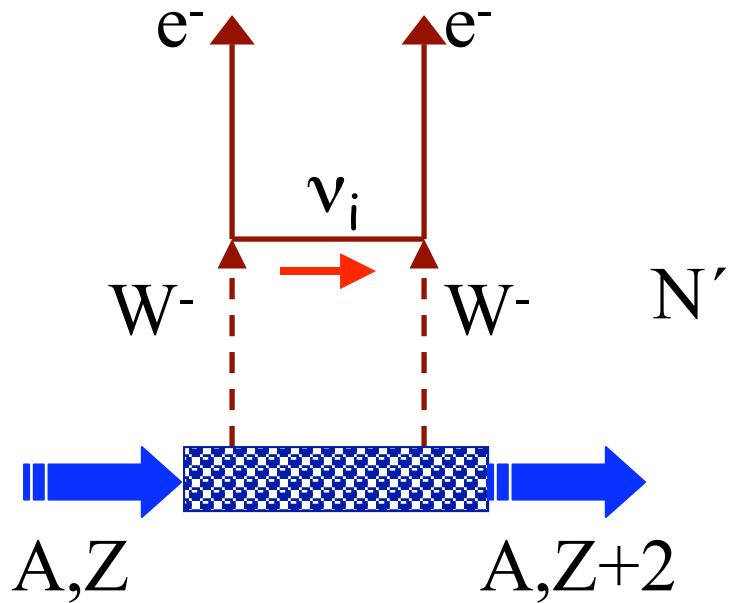
➤ They therefore can develop a  
**right-handed** helicity component  
 $\sim m_\nu/E_\nu$ .

➤ IF the neutrino is its own antiparticle  
Neutrino is **IDENTICAL** to antineutrino

Then the right handed component of the  $\nu$   
emitted in the first neutron decay IS  
an **antineutrino**

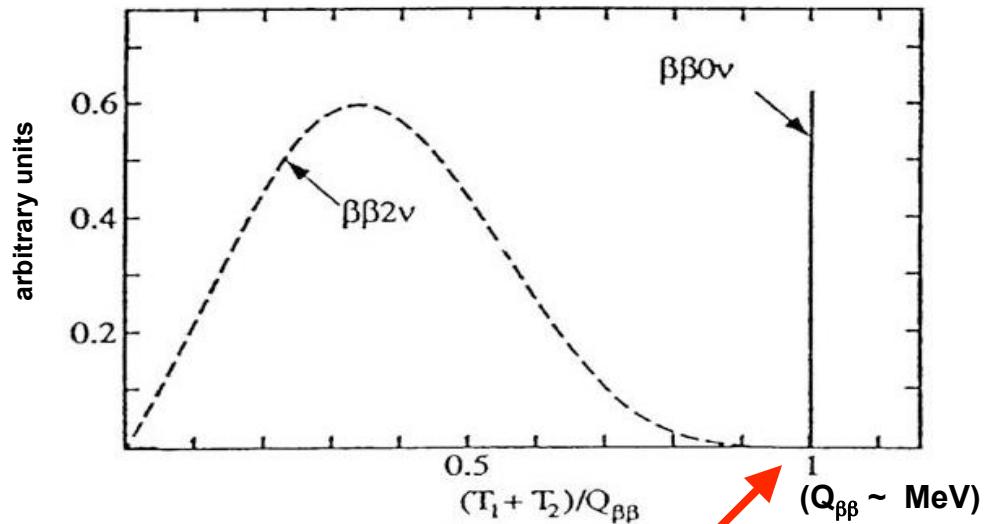
Exactly what could be reabsorbed by the  
 $W^-$  of the second decay

➤ Helicity must flip  
→ **Probability increases with  $m_\nu$**



If the neutrino is its own  
Antiparticle: Majorana neutrino.

# Detection



Look for a peak at the end point of the 2-neutrino  $\beta$  spectrum

New experiments will use:  $^{130}\text{Te}$ ,  $^{132}\text{Xe}$ ,  $^{76}\text{Ge}$ ,  $^{100}\text{Mo}$

Will observe the 2 electrons through bolometric, calorimetric or tracking techniques

Sensitivity down to 100-300 meV. Note: "m" stands for milli

Only **2 electrons** are emitted:  
The sum of their energy is **monochromatic**:  
Difference of the  $(A,Z) - (A,Z+2)$  masses  
Equal to the end-point of the 2-neutrino beta-decay.

# Detection Technique I

## Source $\neq$ detector

Source is a sheet of Double- $\beta$  decay material.

Sheet must be thin to minimize energy loss of 2 electrons: affects energy resolution.

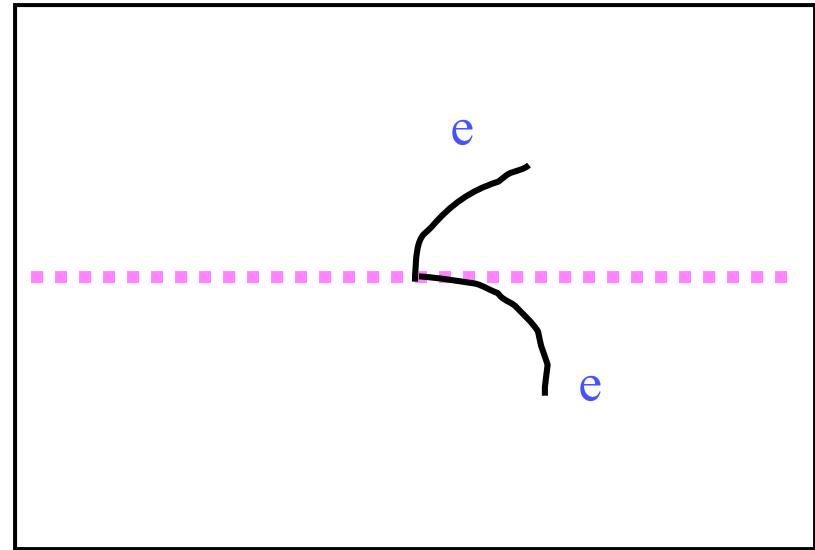
Placed inside a tracking device.

Observe the two electrons

Measure their energy ( and direction).

Good for background rejection:

2 electrons must originate from same spot.



# Detection Technique II

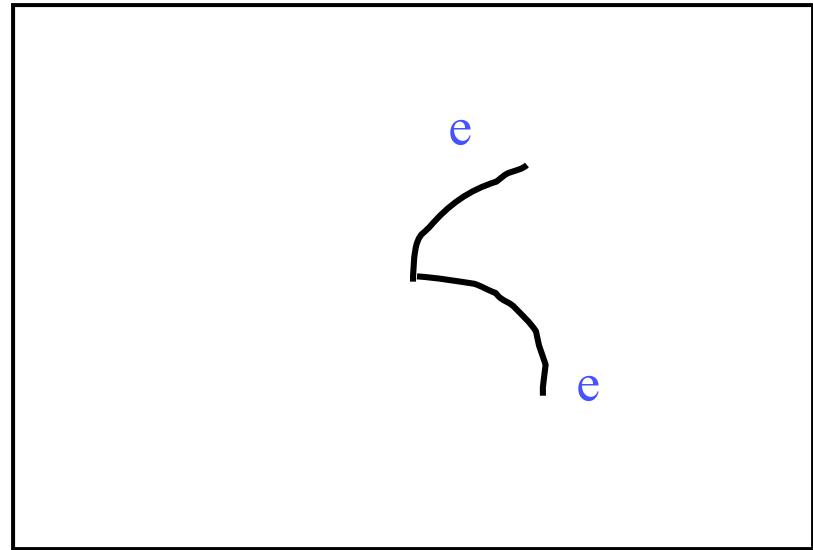
## Source = detector

Material is part of a calorimeter.

It measures the whole energy of the two electrons: no problem of energy loss.

Cryogenic detector

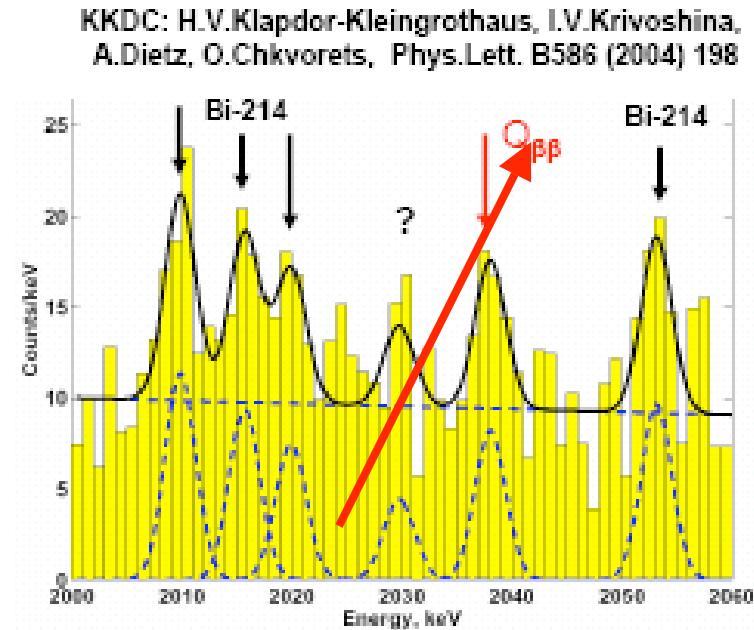
Dielectric material for which heat capacity is proportional to  $T^3$   
At very low temperature, a small energy deposit can result in a large temperature increase.



# Limits and one possible claim

Present limits on neutrinoless double beta decay:  $10^{21} - 10^{25}$  years

Except for one claim using 11 kg of enriched  $^{76}\text{Ge}$ :



Half life  $T^{0\nu} \frac{1}{2}$  :  $1.19^{+2.99}_{-0.50}$  years

- Many other peaks, maybe explained
- Where should the flat background line be drawn?

Needs checking

# Limits

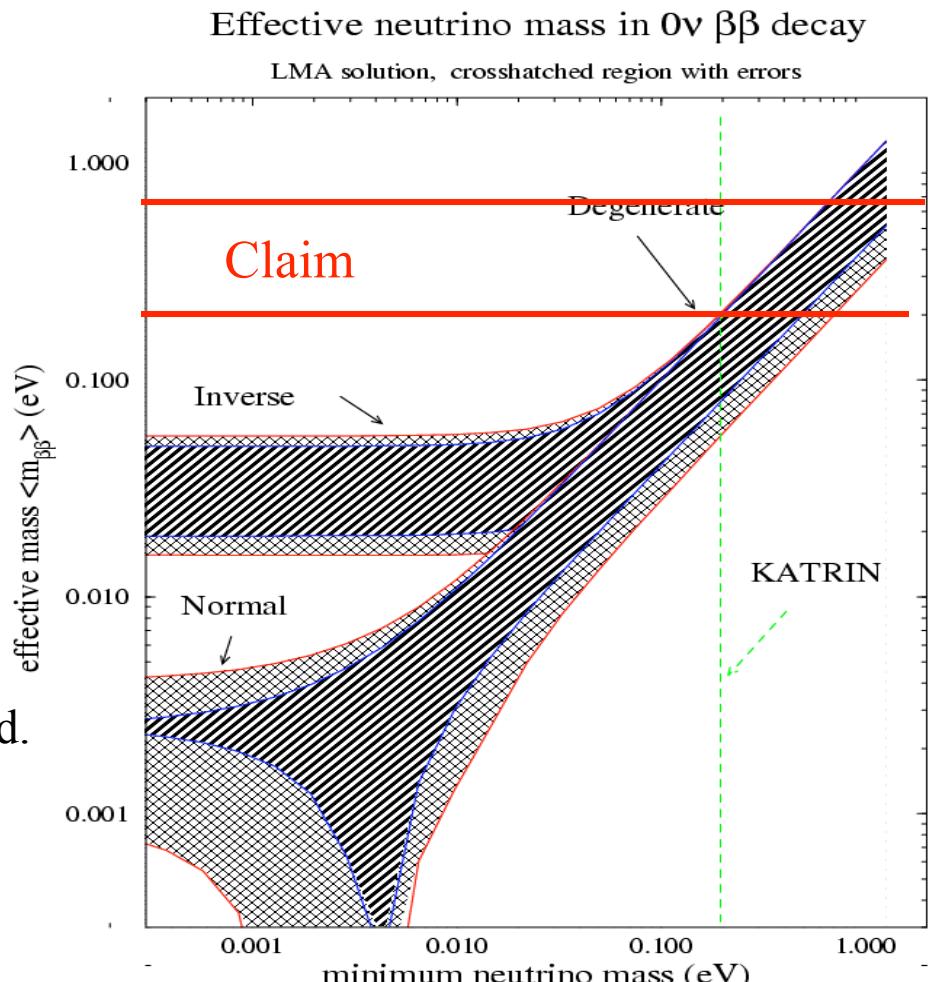
$$\text{Rate} = (T_{0\nu}^{\beta\beta})^{-1} = (\text{Phase space factor}) \times (\text{Matrix element})^2 \times \langle m_{ee} \rangle^2$$

$$\langle m_{ee} \rangle = |U_{e1}^2 m_1 + U_{e2}^2 m_2 + U_{e3}^2 m_3|$$

➤ **Normal hierarchy**:  $m_3 > m_{1,2}$   
 But  $U_{e3}$  is small so large  $m$  multiplied  
 by small  $U \rightarrow$  **small  $m_{ee}$** .

➤ **Inverted hierarchy**:  $m_{1,2} > m_3$   
 So larger  $U_{ei}$  multiplied by large  $m_{1,2}$   
 $\rightarrow$  **large  $m_{ee}$**

➤ **Degenerate**: all 3 masses  $\sim$  same  
**No difference** between normal and inverted.



## Present limits

$$\text{Rate} = (T^{\text{ov}}_{1/2})^{-1} = (\text{Phase space factor}) \times (\text{Matrix element})^2 \times \langle m_{ee} \rangle^2$$

Nuclear matrix elements are still uncertain. Affect Lifetime limits.

Best limits from  ${}^{76}\text{Ge} > 1.9 \times 10^{25} \text{ years}$

$$0.30 < m_{ee} < 1.04 \text{ eV}$$

New experiments will go down  
100-300 milli eV

Reminder: **If Neutrinoless  $\beta\beta$  decay is found,  
the Majorana nature of neutrinos will have been established.**

# The NEAR Future

# Correlations in Oscillation Probability

From M. Lindner:

- $\Delta = \Delta m_{31}^2 L / 4E$
- qualitative understanding  $\Rightarrow$  expand in  $\alpha = \Delta m_{21}^2 / \Delta m_{31}^2$  and  $\sin^2 2\theta_{13}$
- matter effects  $\hat{A} = A / \Delta m_{21}^2 = 2VE / \Delta m_{21}^2$ ;  $V = \sqrt{2}G_F n_e$

$$\begin{aligned}
 P(\nu_e \rightarrow \nu_\mu) \approx & \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2((1-\hat{A})\Delta)}{(1-\hat{A})^2} \\
 & \pm \sin \delta_{\text{CP}} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})} \\
 & + \cos \delta_{\text{CP}} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \cos(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})} \\
 & + \alpha^2 \sin^2 2\theta_{12} \cos^2 \theta_{23} \frac{\sin^2(\hat{A}\Delta)}{\hat{A}^2}
 \end{aligned}$$

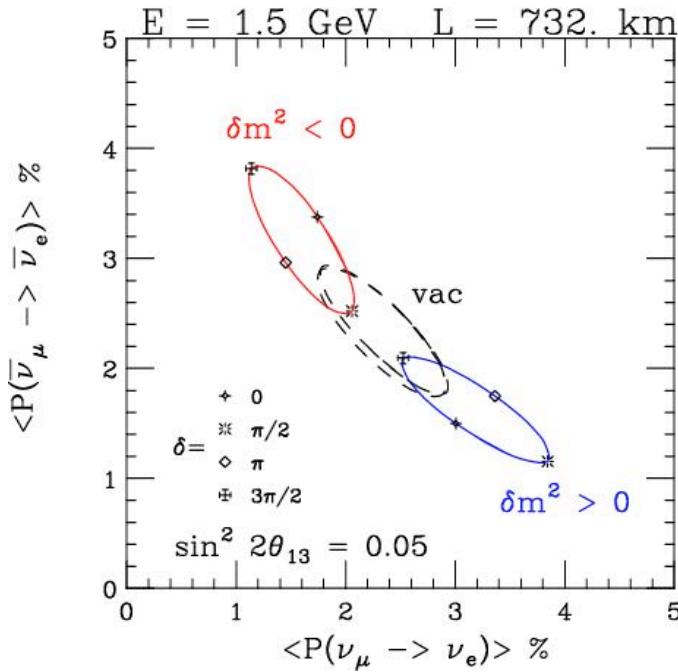
Measuring  $P(\nu_\mu \sim \nu_e)$  does NOT yield a UNIQUE value of  $\theta_{13}$ .  
 Because of correlations between  $\theta_{13}$ ,  $\delta_{\text{CP}}$  and the mass hierarchy (sign of  $\Delta m_{31}^2$ )

CP violation: Difference between Neutrino and Antineutrino Oscillations

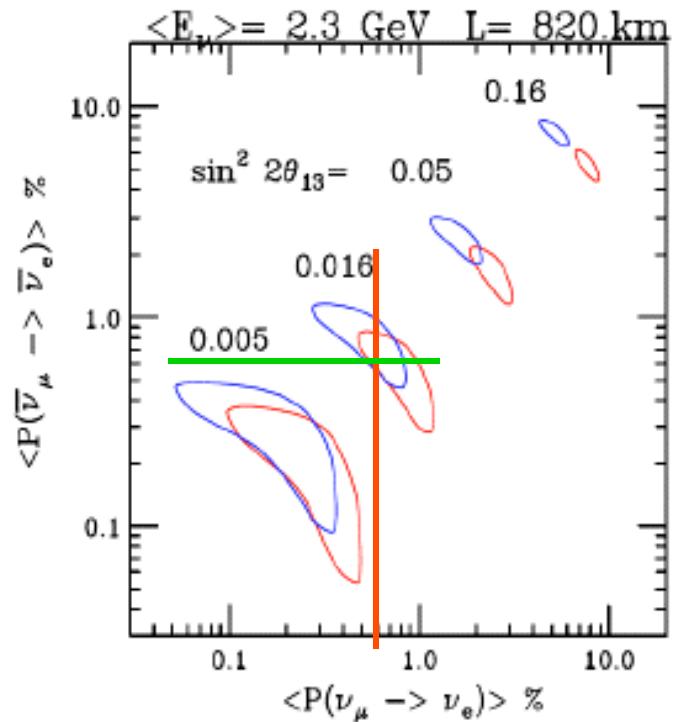
Mass hierarchy accessible through Matter effects :  
 $1 - A$  depends on sign of  $\Delta m_{31}^2$

# 8-fold degeneracies

- $\theta_{13}$  -  $\delta$  ambiguity.
- Mass hierarchy two-fold degeneracy



A measure of  $P_{\mu e}$  can yield a whole range of values of  $\theta_{13}$   
 Measuring with  $\bar{\nu}$ 's as well reduces the correlations



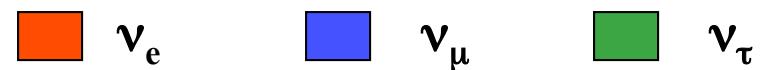
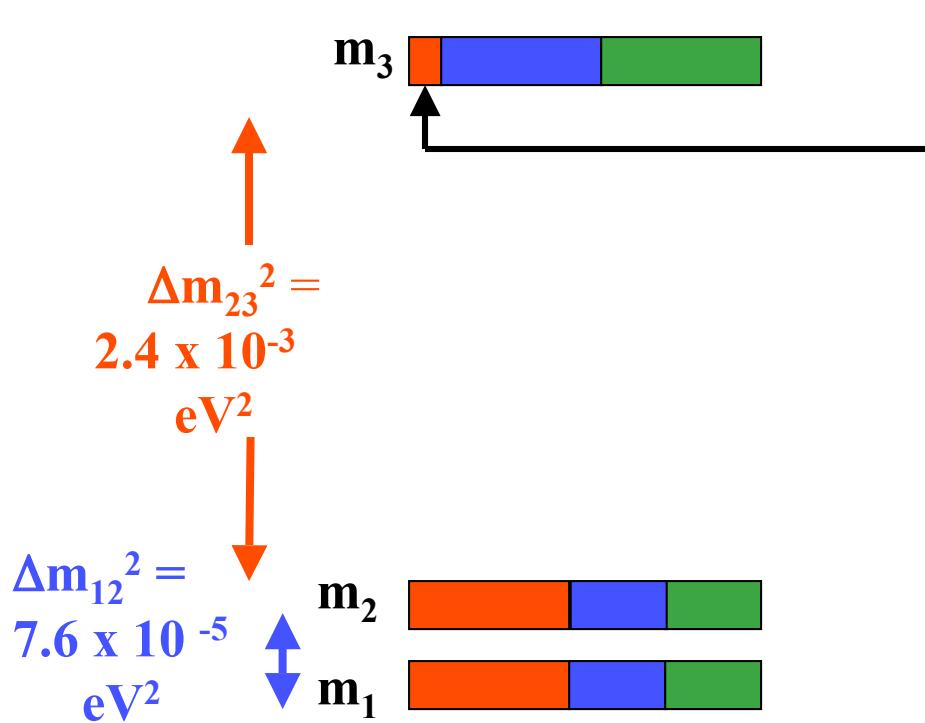
- $\theta_{23}$  degeneracy:

For a value of  $\sin^2 2\theta_{23}$ , say 0.92,  $2\theta_{23}$  is  $67^\circ$  or  $113^\circ$  and  $\theta_{23}$  is  $33.5^\circ$  or  $56.5^\circ$

- In addition if we just have a lower limit on  $\sin^2 2\theta_{23}$ , then all the values between these two are possible.

# How do we determine $\theta_{13}$ ?

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$



- $m_3$  has a small piece of  $\nu_e$
- Amount:  $|U_{e3}|^2 = \sin^2 \theta_{13}$
- $m_3$  is only connected to other mass states through the atmospheric  $\Delta m^2 = 2.4 \times 10^{-3} \text{ eV}^2$
- Need an experiment with  $L/E \sim 500 \text{ km/GeV or } m/\text{MeV}$
- Must involve  $\nu_e$  (or  $\bar{\nu}_e$ ).

# $\theta_{13}$ with Reactors

- Because of the large mass of  $\mu$  (105 MeV) and  $\tau$  (1777 MeV), we cannot look for  $\nu_\mu$  or  $\nu_\tau$  appearance with 3-4 MeV reactor antineutrinos.
- Must look for the disappearance of **anti- $\nu_e$ 's.**
- At distances relevant to reactors (<100km), matter effects are negligible.
- $P(\nu_e \rightarrow \nu_x) = 1 - P(\nu_e \rightarrow \nu_\mu) - P(\nu_e \rightarrow \nu_\tau)$   
With  $\Delta_{ij} = \Delta m_{ij}^2 L / 4E = 1.27 \Delta m_{ij}^2 (eV^2) L(m) / E(MeV)$
- $P(\nu_e \rightarrow \nu_x) =$   
 $1 - \sin^2 2\theta_{12} c_{13}^4 \sin^2 \Delta_{21} - \sin^2 2\theta_{13} c_{12}^2 \sin^2 \Delta_{31} - \sin^2 2\theta_{13} s_{12}^2 \sin^2 \Delta_{32}$   
If we set  $\Delta_{31} = \Delta_{32}$
- $P(\nu_e \rightarrow \nu_x) = 1 - \sin^2 2\theta_{12} c_{13}^4 \sin^2 \Delta_{21} - \sin^2 2\theta_{13} (c_{12}^2 + s_{12}^2) \sin^2 \Delta_{32}$
- $P(\nu_e \rightarrow \nu_x) = 1 - \sin^2 2\theta_{12} c_{13}^4 \sin^2 \Delta_{21} - \sin^2 2\theta_{13} \sin^2 \Delta_{32}$
- If we chose E and L to be at maximum of atmospheric oscillation length
- **We can even neglect the first term**

# $\theta_{13}$ with Reactors: How to reduce systematics

$$P_{ee} = 1 - \sin^2 2\theta_{13} \sin^2 [(\Delta m_{23}^2 L) / (4E_\nu)] \quad \text{near oscillation maximum}$$

Advantage: NO dependence on  $\delta_{CP}$  or mass hierarchy: No ambiguities.

Disadvantage: Cannot determine them!

CHOOZ already tried. Limited by systematic uncertainties on reactor flux and cross sections.

How to reduce systematics ?

- **Solution:** Use **2** detectors
- Additional **NEAR** detector: measure flux and cross sections BEFORE oscillations.
- Even better: **interchange** NEAR and FAR detectors part of the time to reduce detector systematics



# Technique

Measure through inverse  $\beta$  decay:

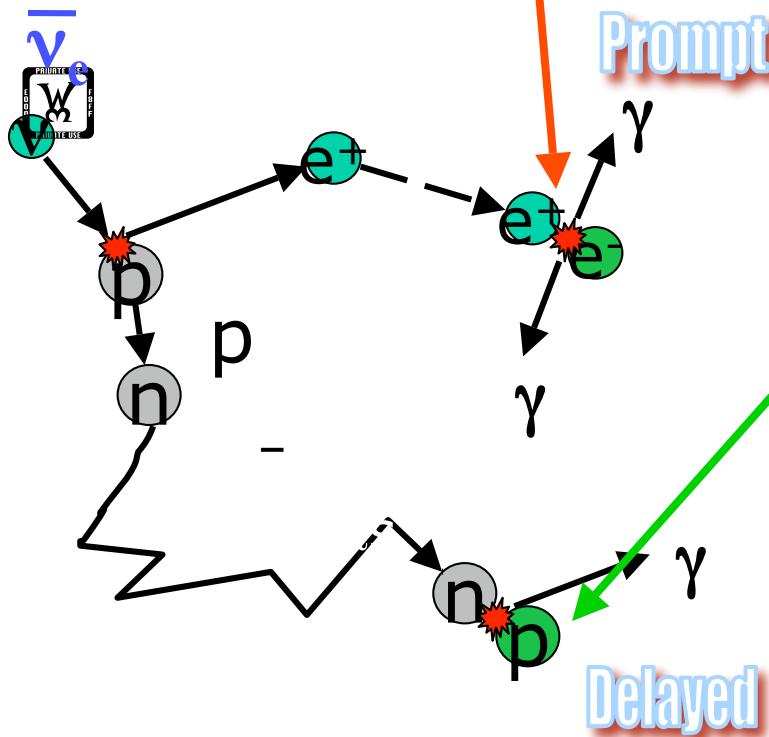
$$\bar{\nu}_e + p = e^+ + n$$

$e^+$  annihilates with  $e^-$   
of liquid: MeV  $\rightarrow$  2 photons

- Detector :

Liquid scintillator loaded with **gadolinium**:

Large cross section for neutron capture  $\rightarrow$  photons

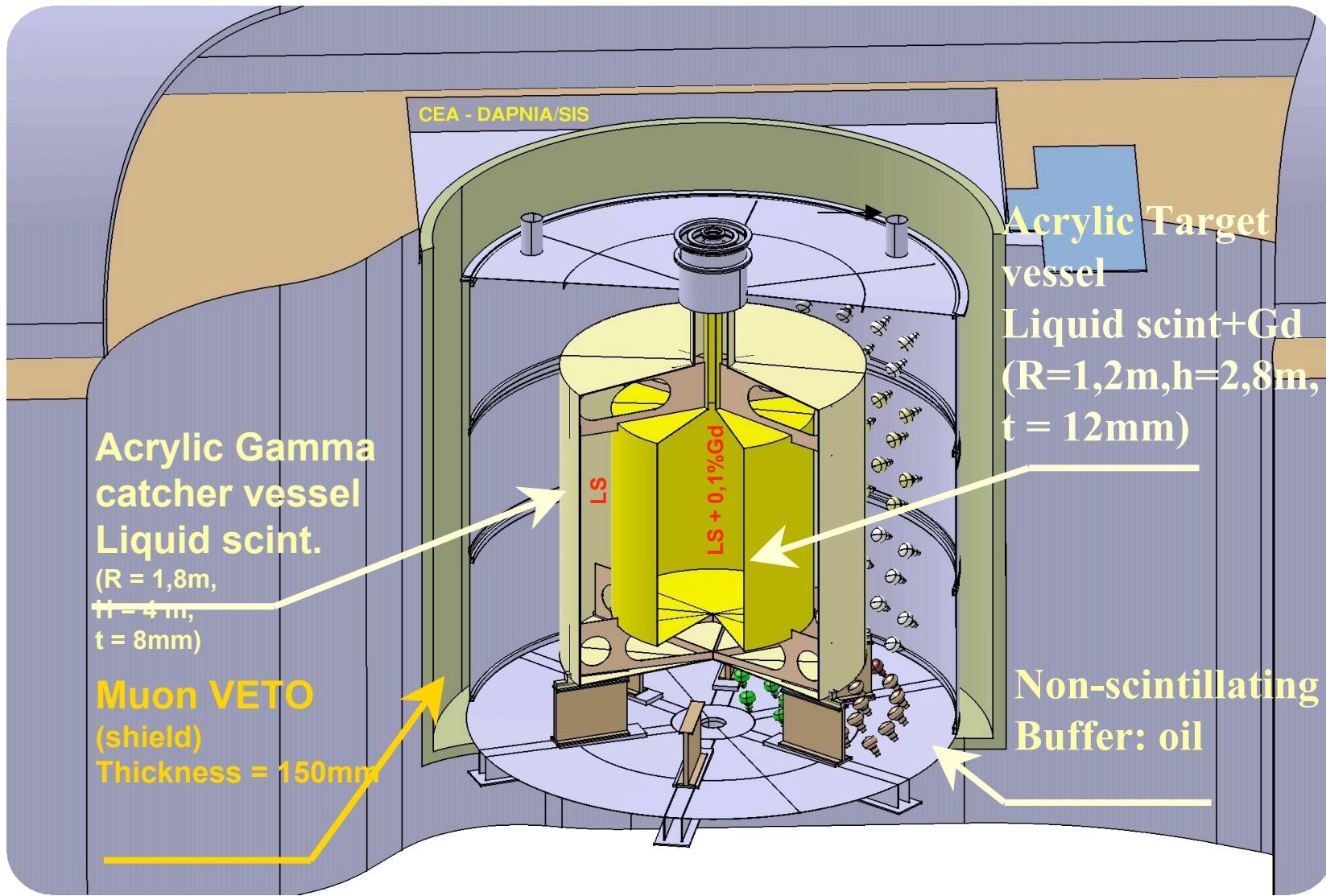


$n$  captured by Gadolinium:  
8 MeV of photons emitted  
within 10's of  $\mu$ sec.

Delayed Coincidence  
of 2 signals  
Reduces background

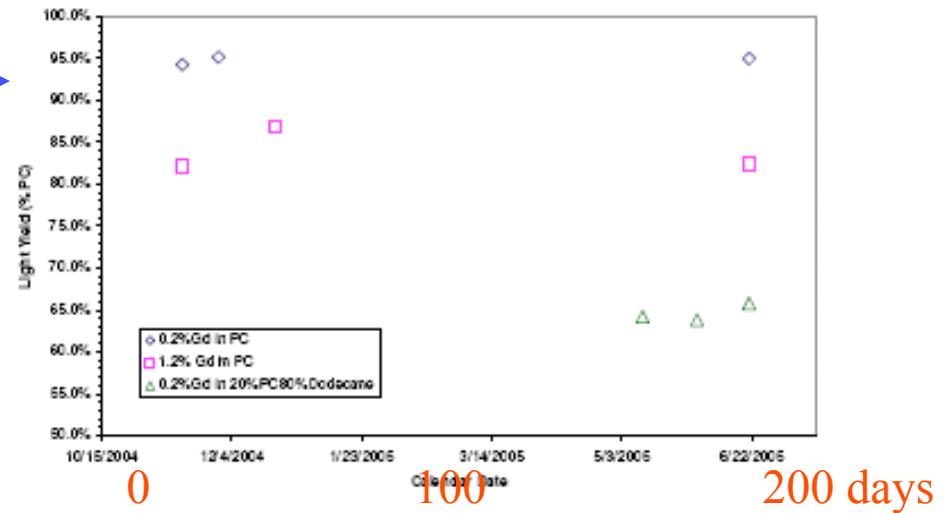
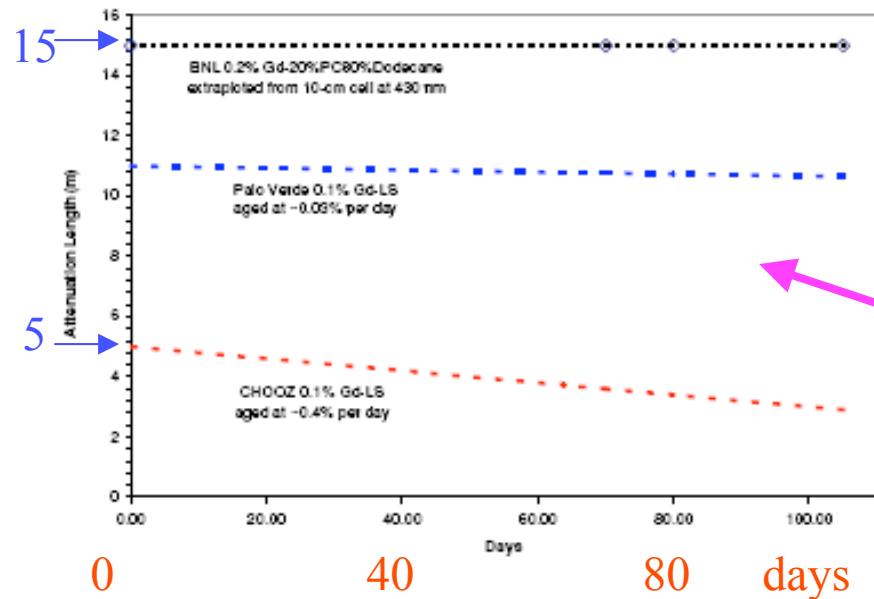
# Double Chooz detector

Inner detector, gamma catcher, mineral oil buffer , inner  $\mu$  , outer veto (scintillator strips)



## Scintillator performance: Light yield and absorbance.

Light yield in % of pseudocumene  
As measured in BNL samples: →  
Stable over 220 days.



Comparison of degradation of  
Attenuation length over 100 days  
For CHOOZ, Palo Verde and BNL.

Must continue checks with final vessel

**But there does NOT seem to be  
any cause for worry.**

## Reduction of systematics

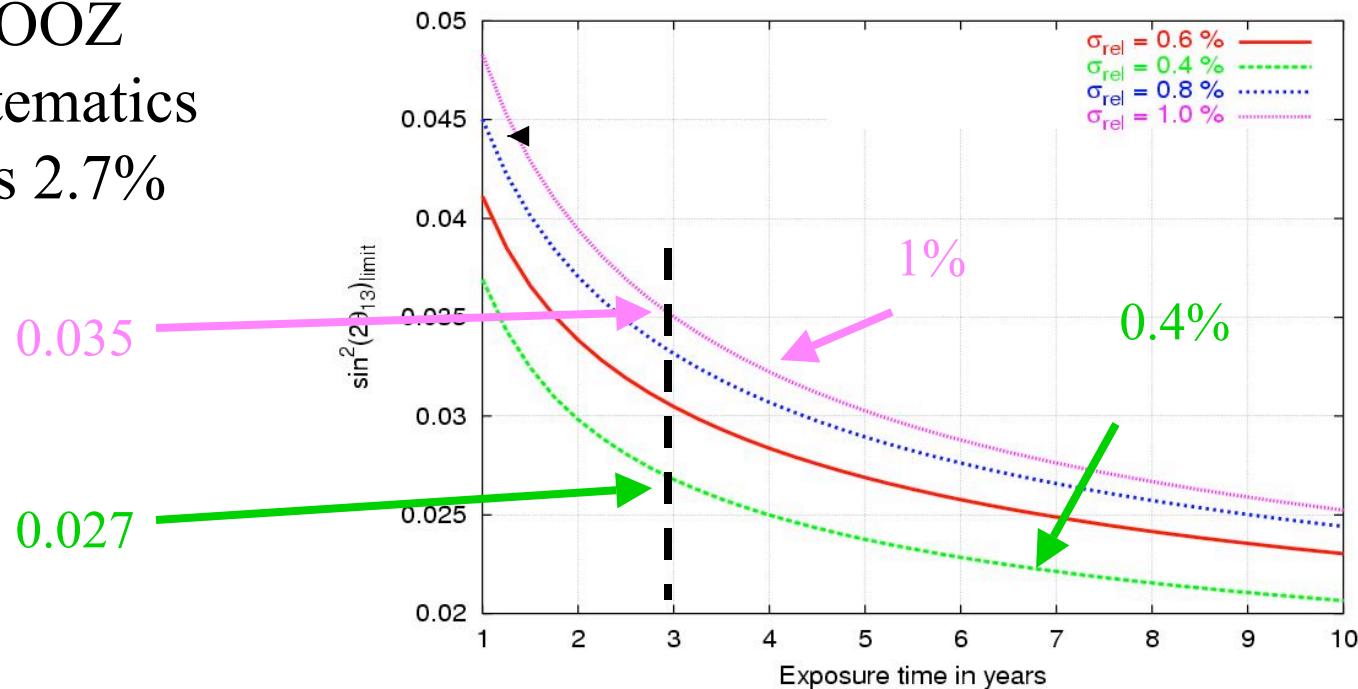
Variable	CHOOZ (%)	Double Chooz (%)
$\nu$ flux and $\sigma$	1.9	<0.1
Reactor power	0.7	<0.1
Energy per fission	0.6	<0.1
<b>Total</b>	<b>2.7</b>	<b>&lt;0.6</b>

# Importance of systematics

## Importance of systematics

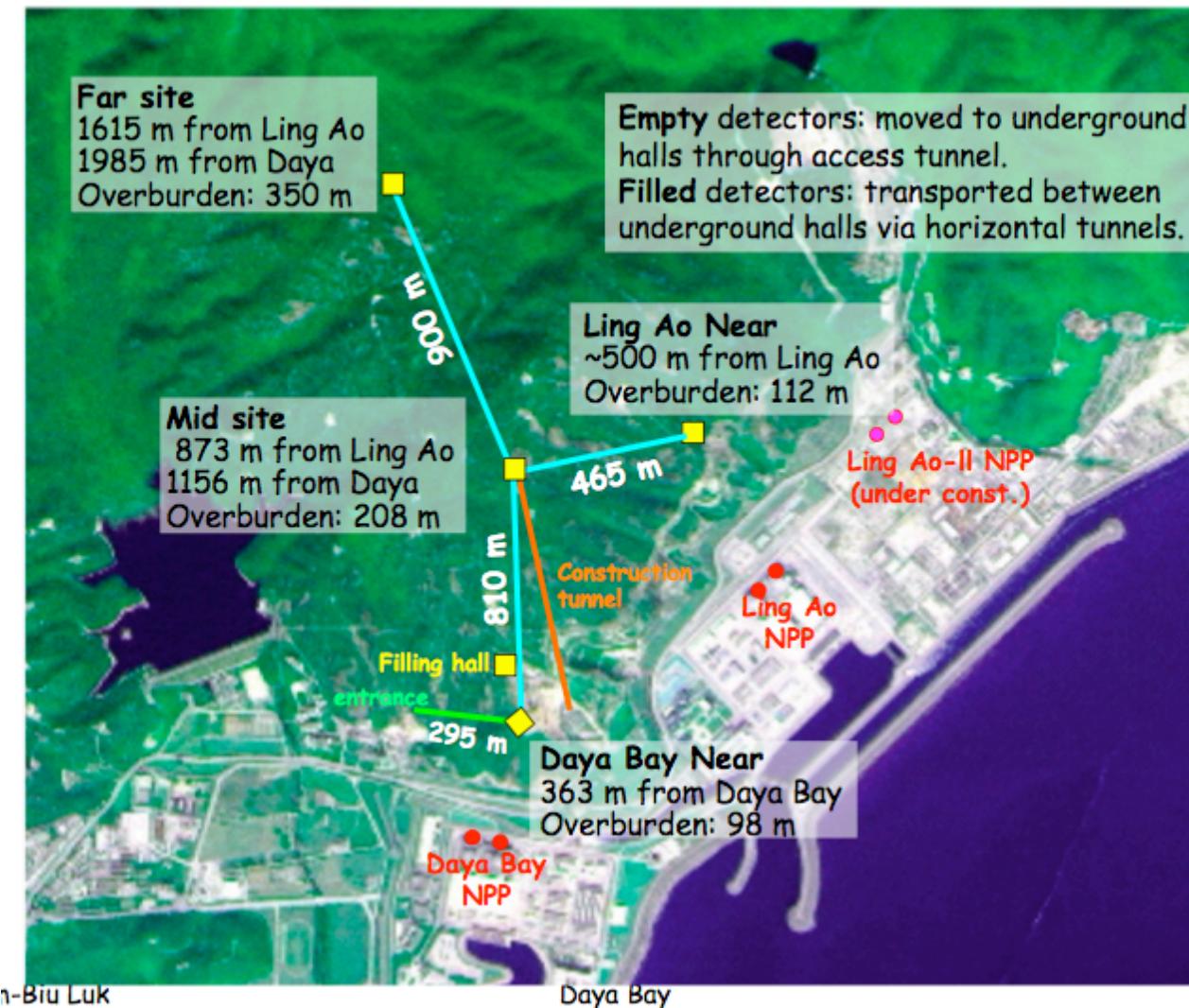
Example:  
Double CHOOZ

CHOOZ  
systematics  
Was 2.7%



Reduction from 1% to 0.4% equivalent to a much longer run

# Daya Bay



## Proposed experiments

CHOOZ systematics was 2.7%

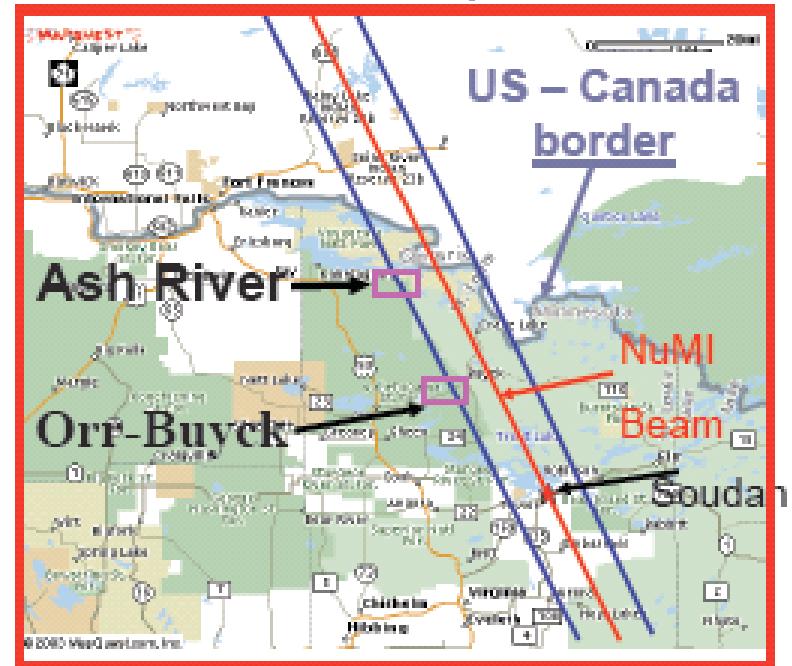
Experiment	Location	Sites	Systematics	Limit
Double CHOOZ	France	Near/Far	0.6%	<b>0.03</b>
<del>Braidwood</del>	USA	Near/Far	0.3%	<b>0.005</b>
Daya Bay	China	Near/Mid/Far	0.36-0.12%	<b>0.009-0.006</b>

# Future (Accelerators)

T2K (Japan) 295km



NOvA (NUMI beam) 810km



Both projects are Long Baseline Off-axis projects.  
They search for  $\nu_\mu \sim \nu_e$  oscillations by searching for  
 $\nu_e$  appearance in a  $\nu_\mu$  beam.  
Determine that  $\theta_{13}$  is non-zero. Measure it?  
Mass hierarchy?

$$P(\nu_e \rightarrow \nu_\mu)$$

$$\begin{aligned}
P(\nu_e \rightarrow \nu_\mu) \approx & \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2((1-\hat{A})\Delta)}{(1-\hat{A})^2} \\
& \pm \sin \delta_{\text{CP}} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})} \\
& + \cos \delta_{\text{CP}} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \cos(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})} \\
& + \alpha^2 \sin^2 2\theta_{12} \cos^2 \theta_{23} \frac{\sin^2(\hat{A}\Delta)}{\hat{A}^2}
\end{aligned}$$

# Matter Effects

In vacuum and without CP violation:

$$P(\nu_\mu - \nu_e)_{\text{vac}} = \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2 \Delta_{\text{atm}}$$

with  $\Delta_{\text{atm}} = 1.27 \Delta m^2_{32} (L/E)$

For  $\Delta m^2_{32} = 2.5 \times 10^{-3} \text{ eV}^2$  and for maximum oscillation

We need:  $\Delta_{\text{atm}} = \pi/2 \rightarrow L(\text{km})/E(\text{GeV}) = 495$

For  $L = 800 \text{ km}$   $E$  must be  $1.64 \text{ GeV}$ , and for  $L = 295 \text{ km}$   $E = 0.6 \text{ GeV}$

Introducing **matter** effects, at the first oscillation maximum:

$$P(\nu_\mu - \nu_e)_{\text{mat}} = [1 + - (2E/E_R)] P(\nu_\mu - \nu_e)_{\text{vac}}$$

with  $E_R = [12 \text{ GeV}] [\Delta m^2_{32} / (2.5 \times 10^{-3})] [2.8 \text{ gm.cm}^{-3}/\rho] \sim 12 \text{ GeV}$

$+$ - depends on the mass hierarchy.

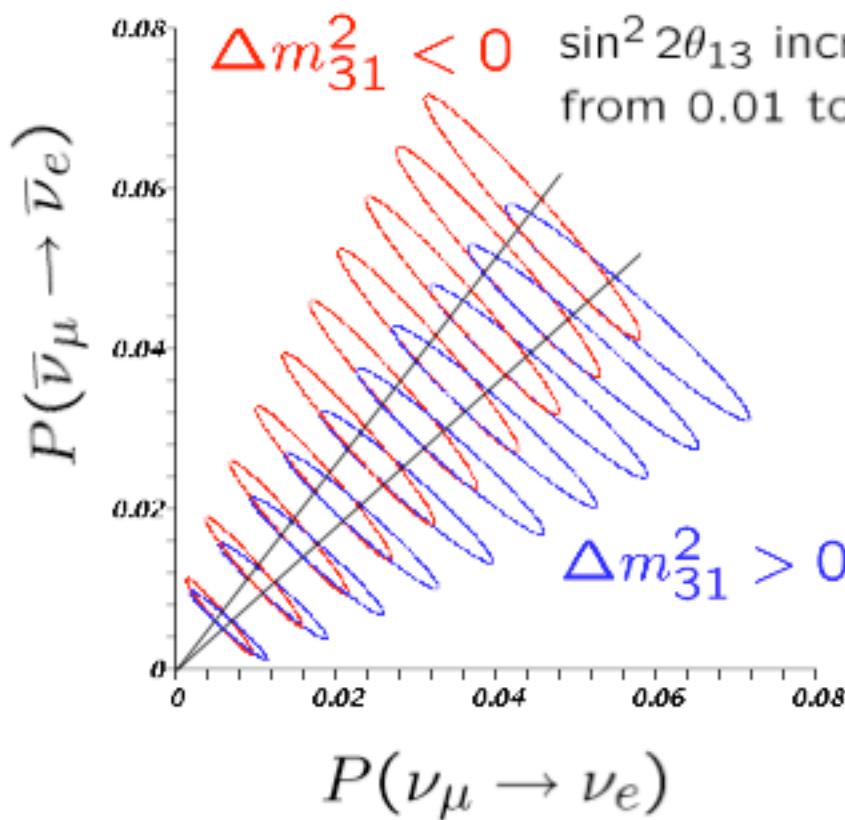
Matter effects **grow** with energy and therefore with **distance**.

3 times larger (27%) at NOvA (1.64 GeV) than at T2K (0.6 GeV)

# T2K-NOvA Comparison

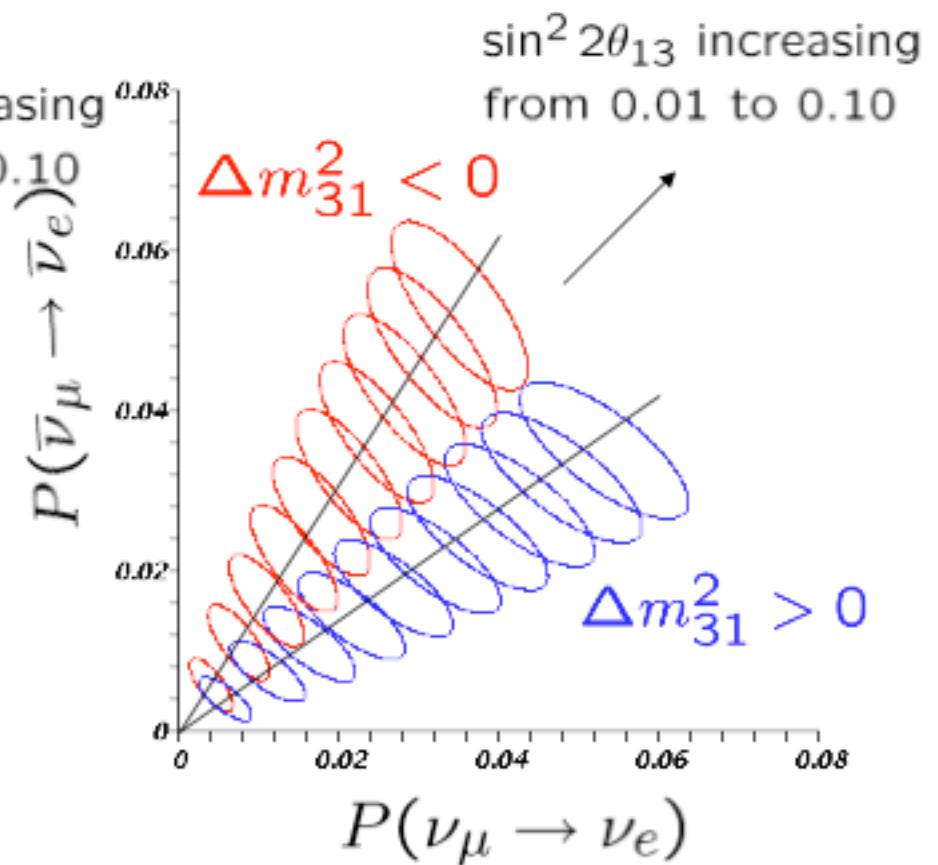
$E_\nu = 0.6 \text{ GeV}$ ,  $L = 295 \text{ km}$

T2K Parameters



$E_\nu = 2.3 \text{ GeV}$ ,  $L = 810 \text{ km}$

NOvA Parameters



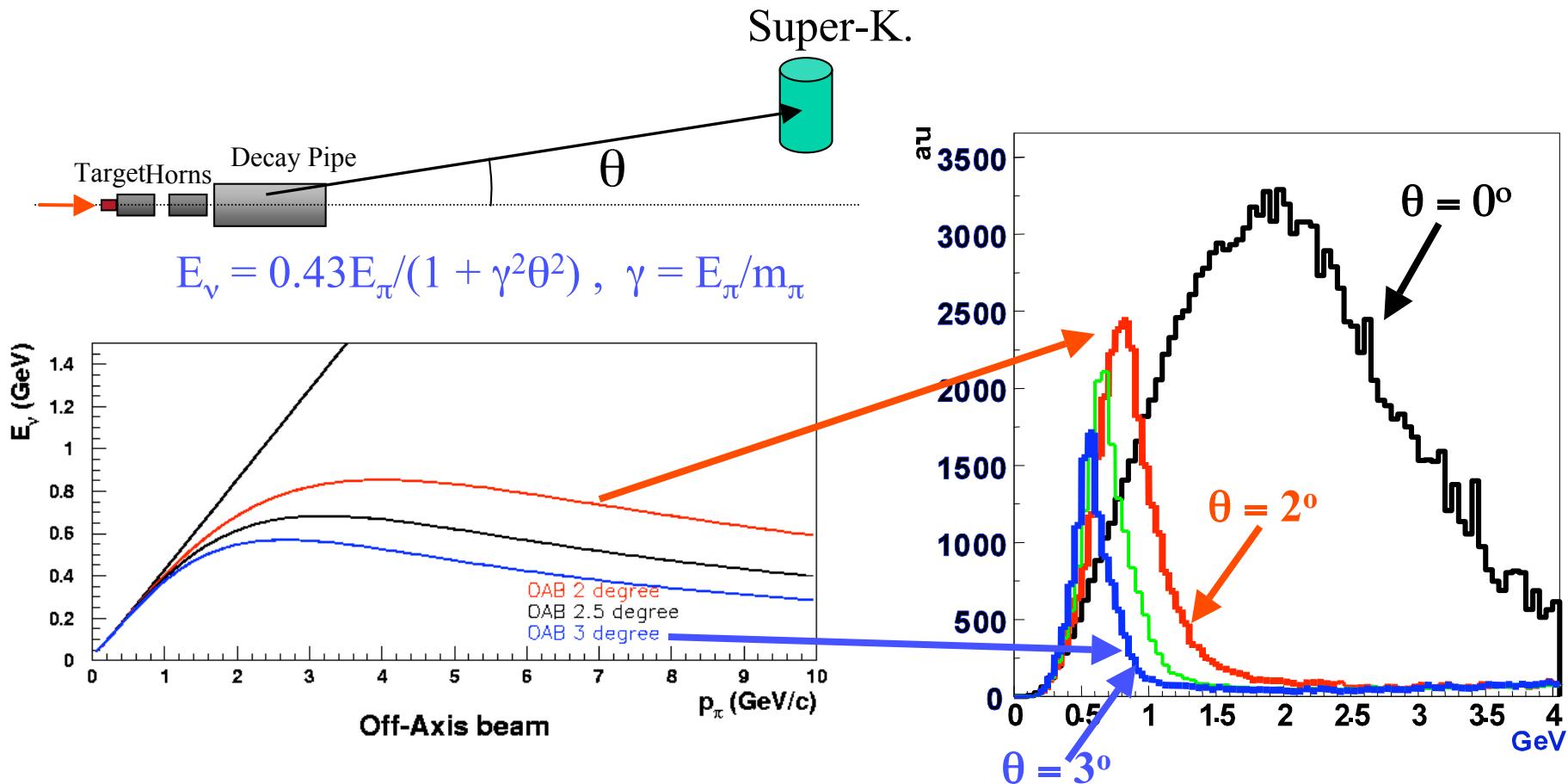
- Hierarchy has bigger effect in NOvA, because of increased matter effects at higher energy and distance.

# OFF-AXIS Technique

Most decay pions give similar neutrino energies at the detector:

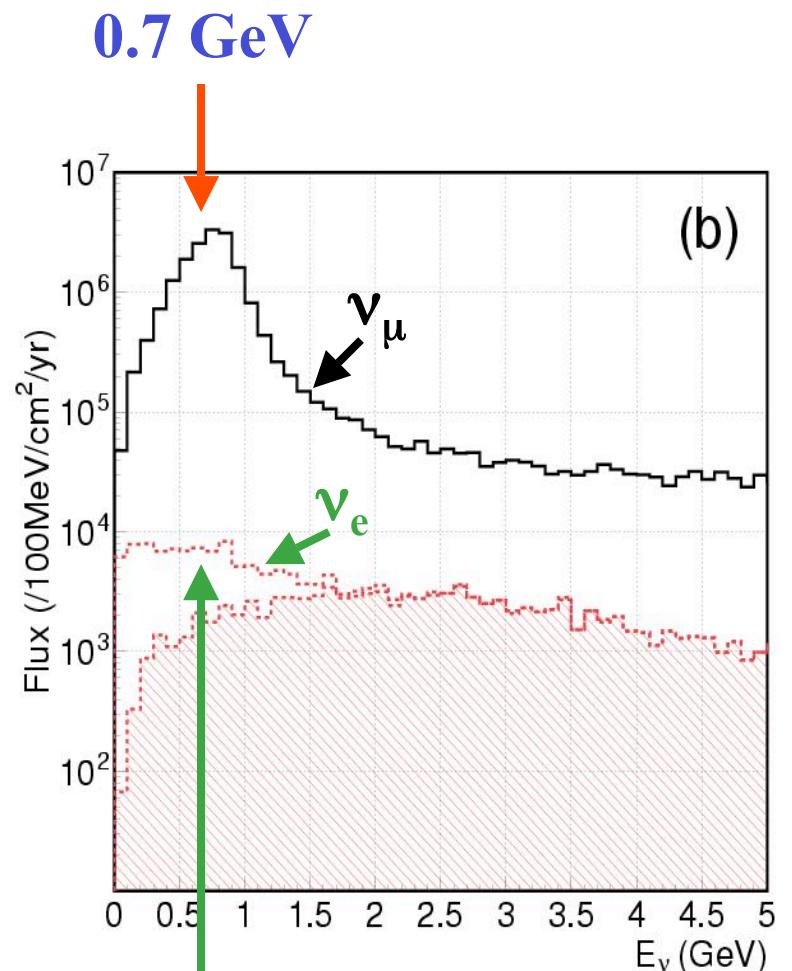
The Neutrino Energy Spectrum is narrow: know **where** to expect  $\nu_e$  appearance  
Can choose the off-axis angle and select the mean energy of the beam.

( **Optimizes** the oscillation probability)



# T2K

- New 40 GeV Proton Synchrotron (JPARC)
- Reconstructed Super-K
- Near detector to measure unoscillated flux distance of 280 m (Maybe 2km also)
- JPARC ready in 2008
- T2K construction 2004-2008
- Data-taking starting in 2009

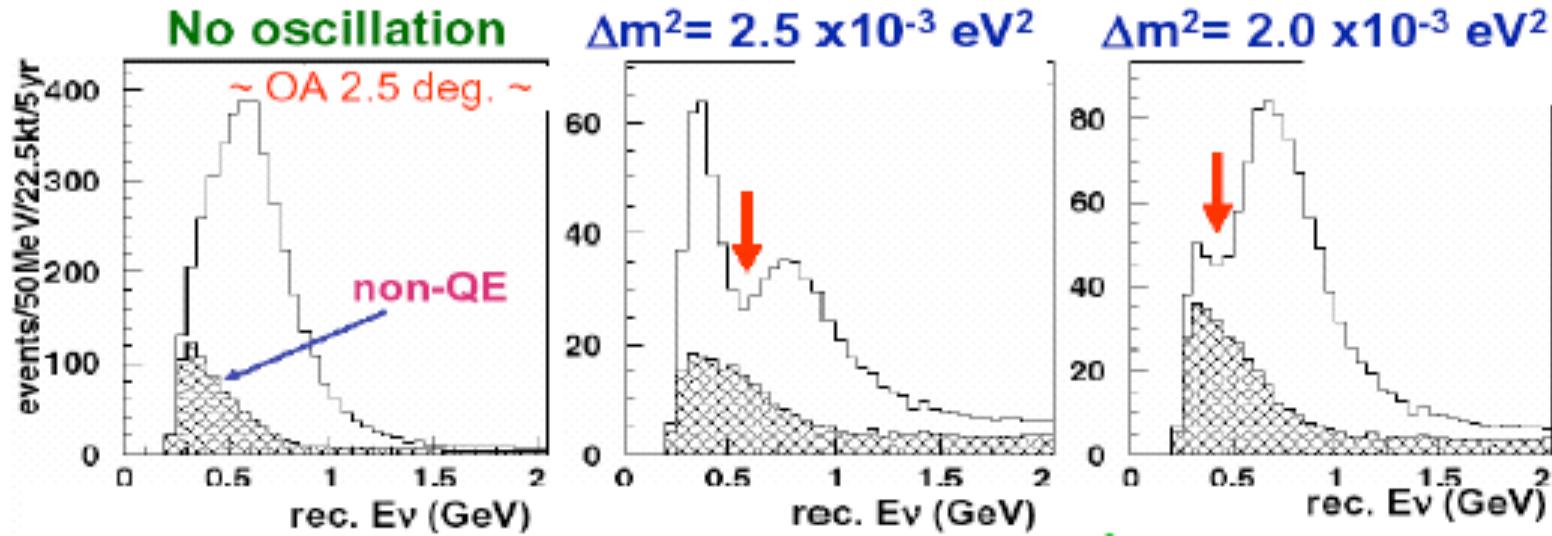


$\nu_e$  from K decays (hashed) and  $\mu$  decays

0.4 % background at peak

Irreducible background to a  $\nu_\mu \rightarrow \nu_e$  search.

# $\nu_\mu$ disappearance: $\Delta m_{23}^2$ and $\theta_{23}$ .



Position of dip



$\Delta m_{23}^2$  to an accuracy of  $\sim 10^{-4} \text{ eV}^2$

Depth of dip

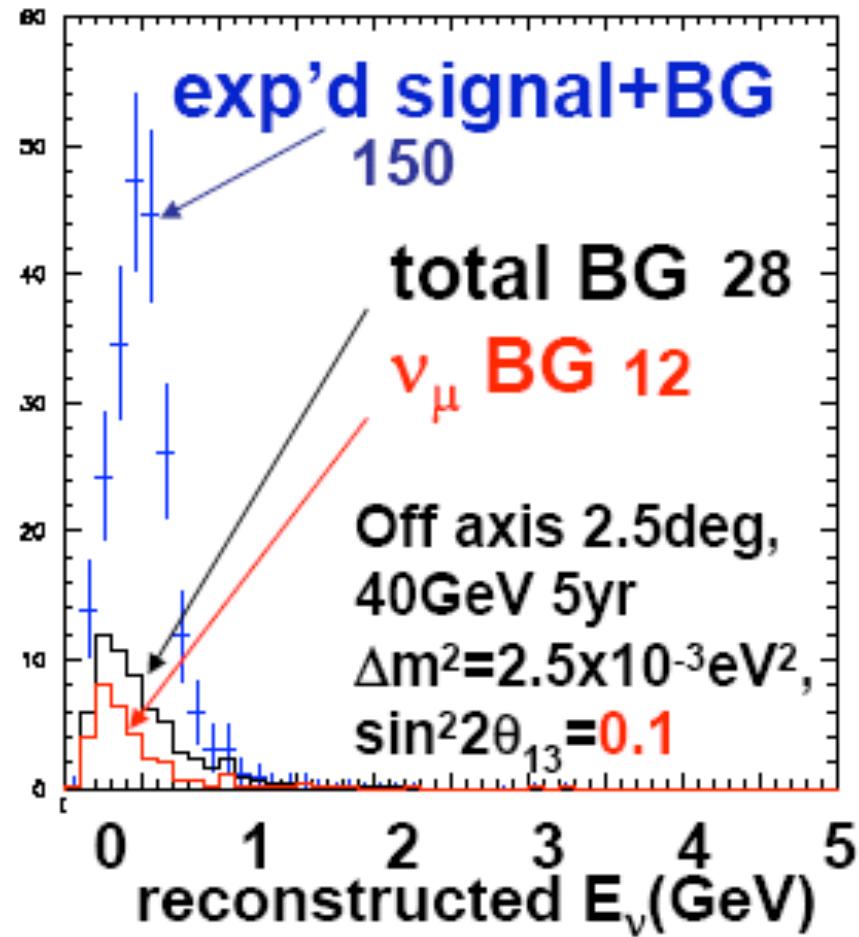


$\sin^2 2\theta_{23}$  to an accuracy of 0.01

Factor of 10 improvement in both

# Measurement of $\theta_{13}$ .

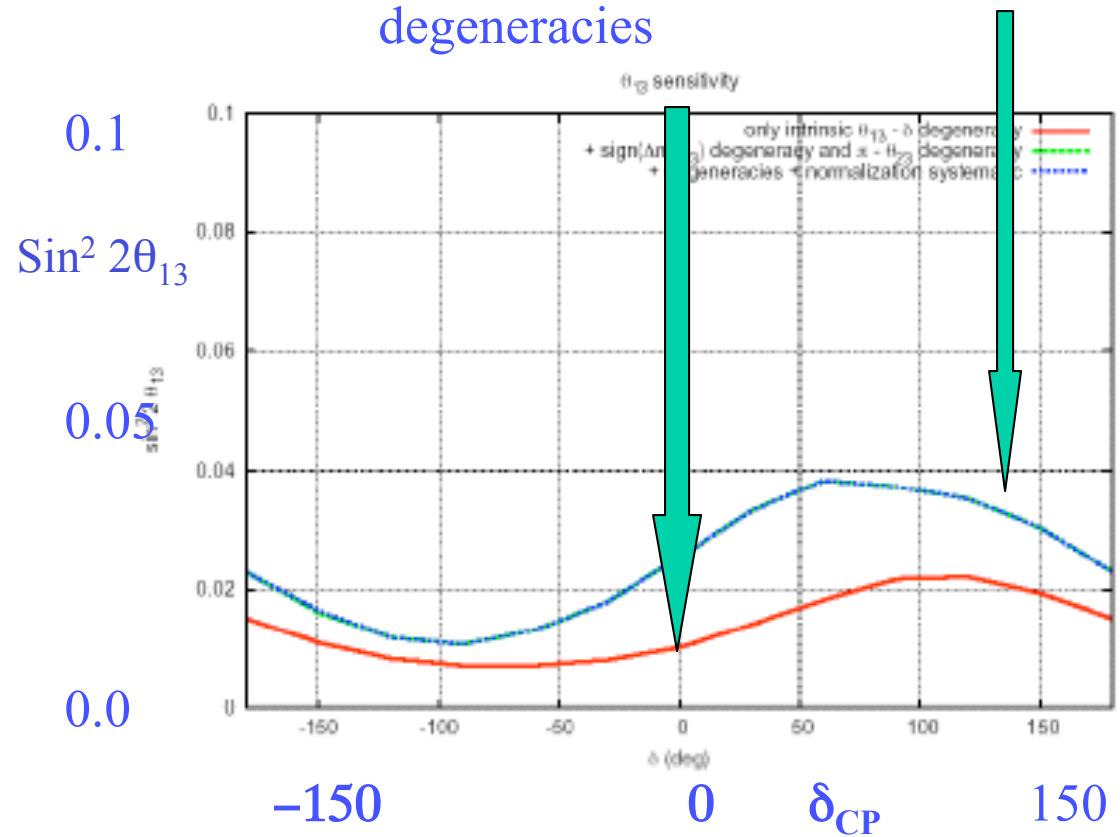
$\nu_e$  appearance



# Sensitivity, correlations, degeneracies

Limit on  
 $\sin^2 2\theta_{13}$  if we take into  
account correlations and  
degeneracies

Limit without taking into account  
degeneracies



$$\sin^2 2\theta_{13} \sim 0.01 - 0.04$$

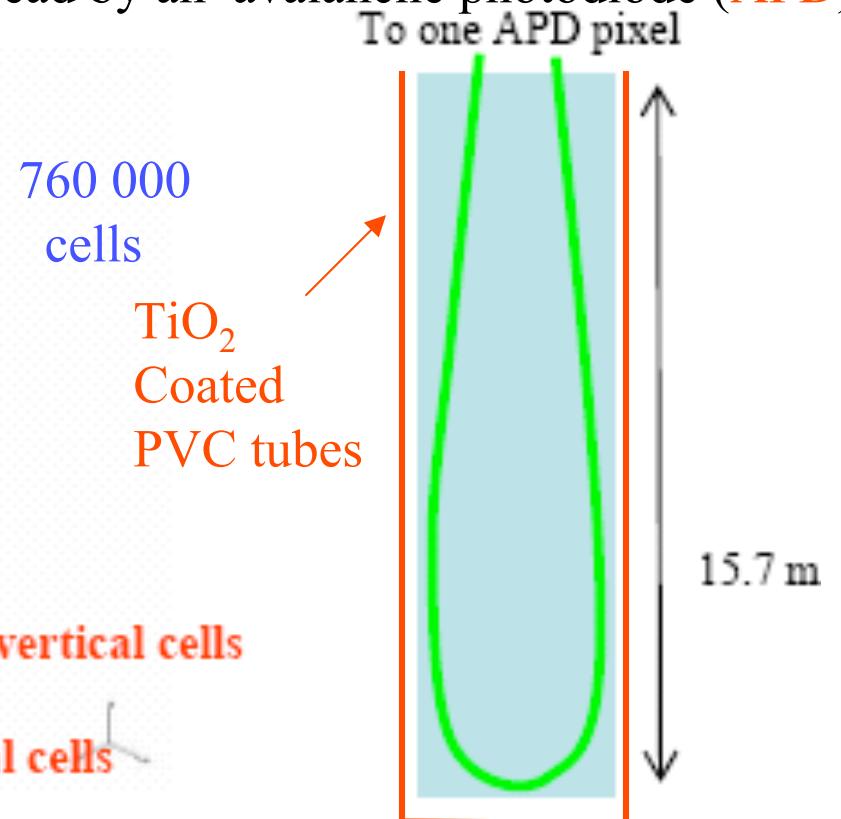
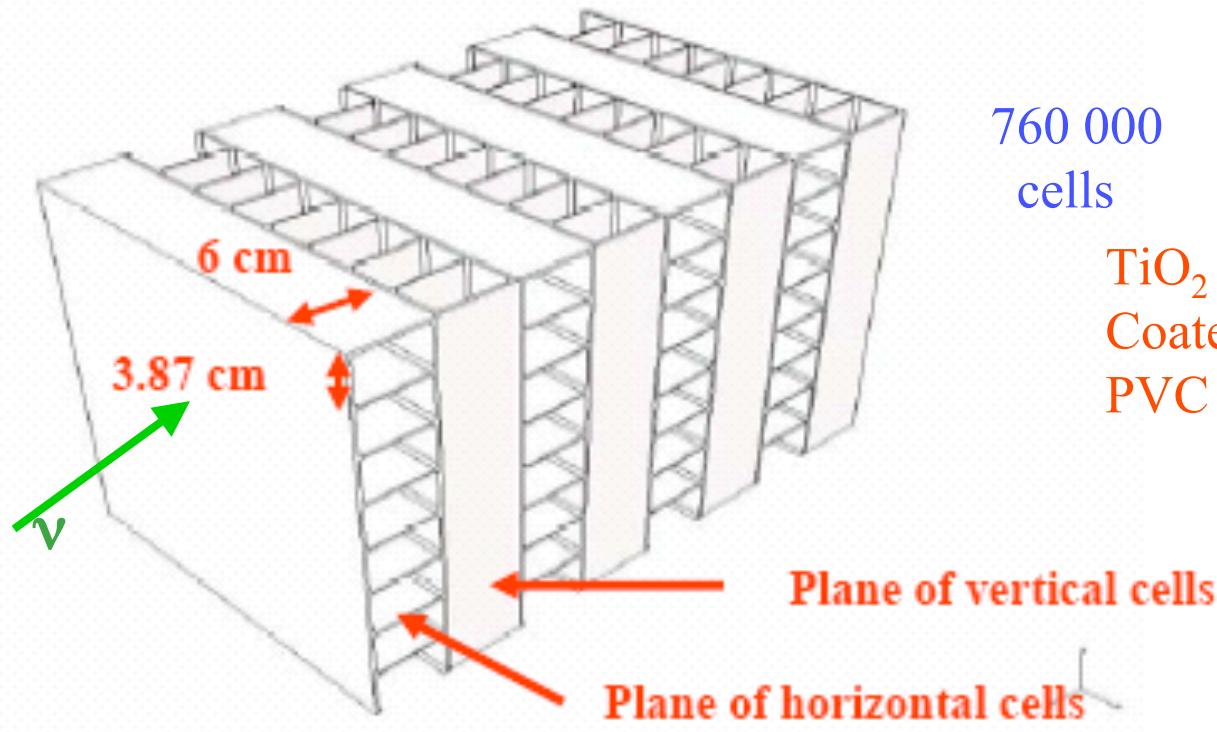
# NO<sub>v</sub>A Detector

Given relatively high energy of NUMI beam,  
decided to optimize NO<sub>v</sub>A for resolution of the mass hierarchy

Detector placed 14 mrad (12 km) Off-axis of the Fermilab NUMI beam (MINOS).  
At Ash River near Canadian border (L = 810km) : New site. Above ground.

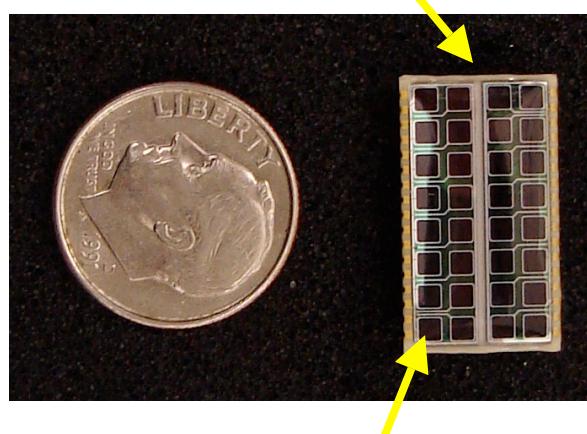
Fully active detector consisting of 15.7m long plastic cells filled  
with liquid scintillator: Total mass 30 ktons.

Each cell viewed by a looped WLS fibre read by an avalanche photodiode (APD)

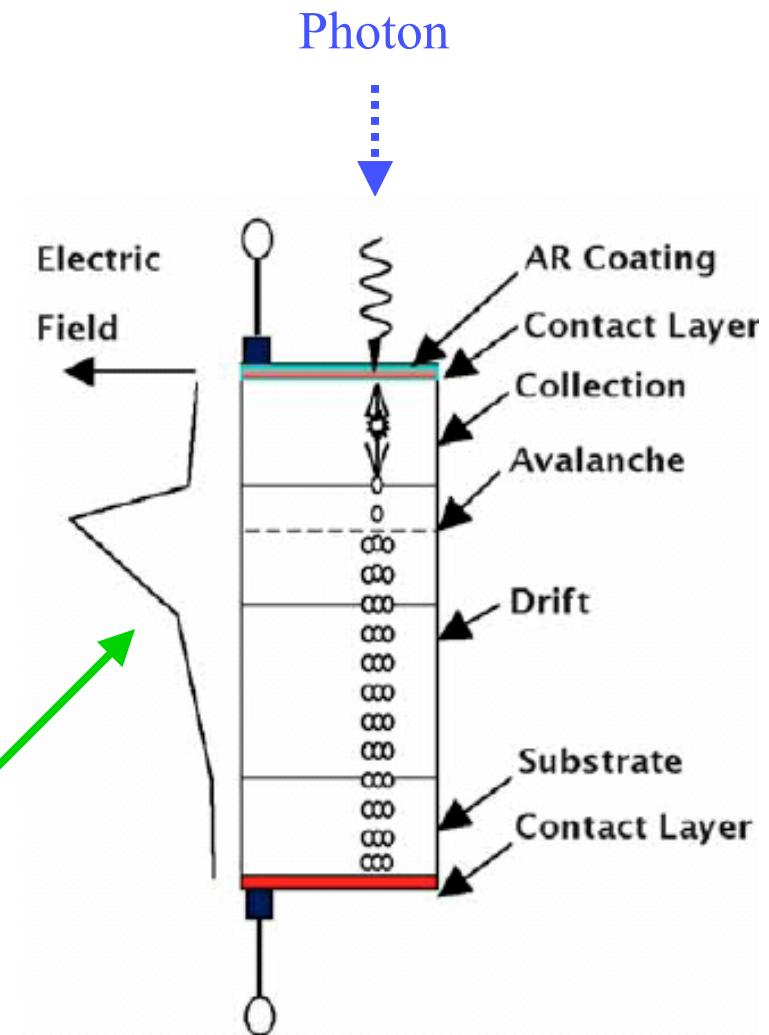


# Avalanche Photodiode

- Hamamatsu 32 APD arrays



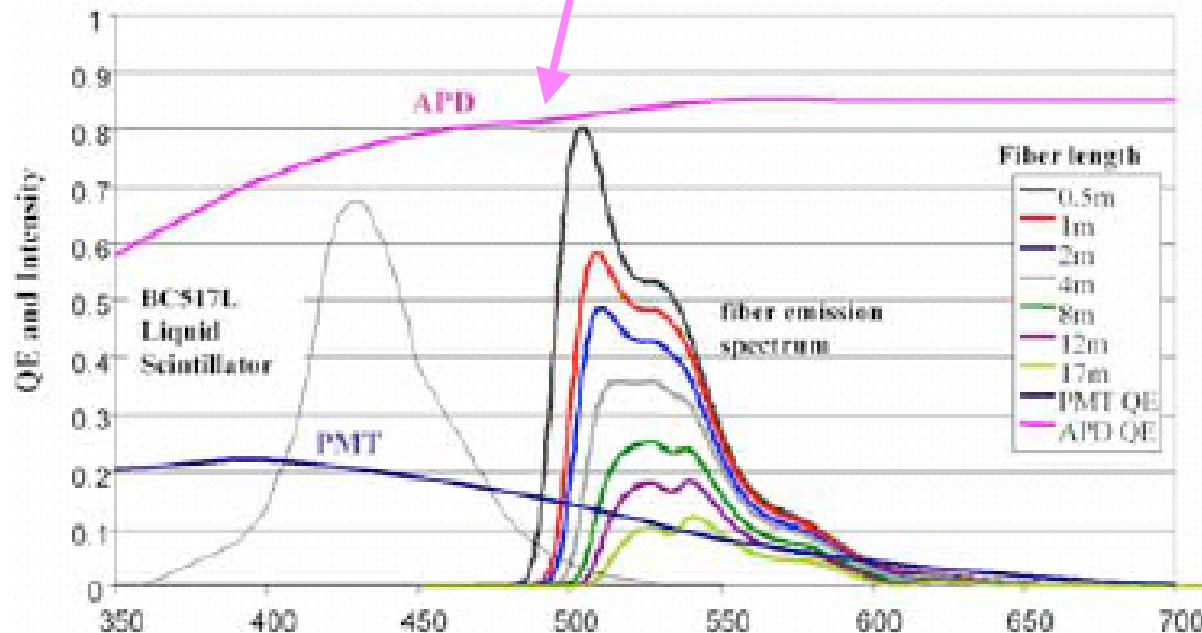
- Pixel size 1.8mm x 1.05mm  
(Fibre 0.8mm diameter)
- Operating voltage 400 Volts
- Gain 100
- Needs amplifier (PMT usually  $10^6$ )
- Operating temperature:  $-15^\circ \text{C}$   
(reduces noise)



Asic for APD's: 2.5 pe noise  
→ S/N  $\sim 30/2.5 = 12$

# NOvA

The quantum efficiency of APD's is much higher than a pm's:  $\sim 85\%$  . Especially at the higher wave lengths surviving after traversing the fibre.



Asic for APD's: 2.5 pe noise  
→ S/N  $\sim 12$

# The Beam

**PROTONS:  $6.5 \times 10^{20}$  protons on target per year.**

Greatly helped by

➤ Termination of Collider programme by 2009.

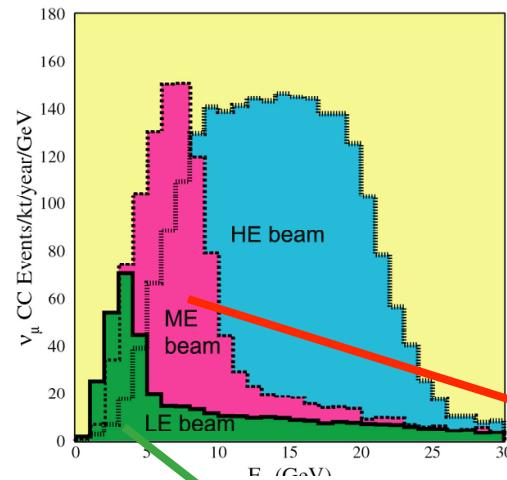
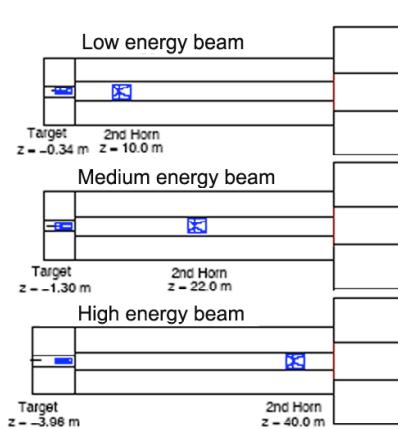
A gain of a factor of  $> 2$  in numbers of protons delivered.

As of today, this extrapolates to:  **$4.8 \times 10^{20}$**

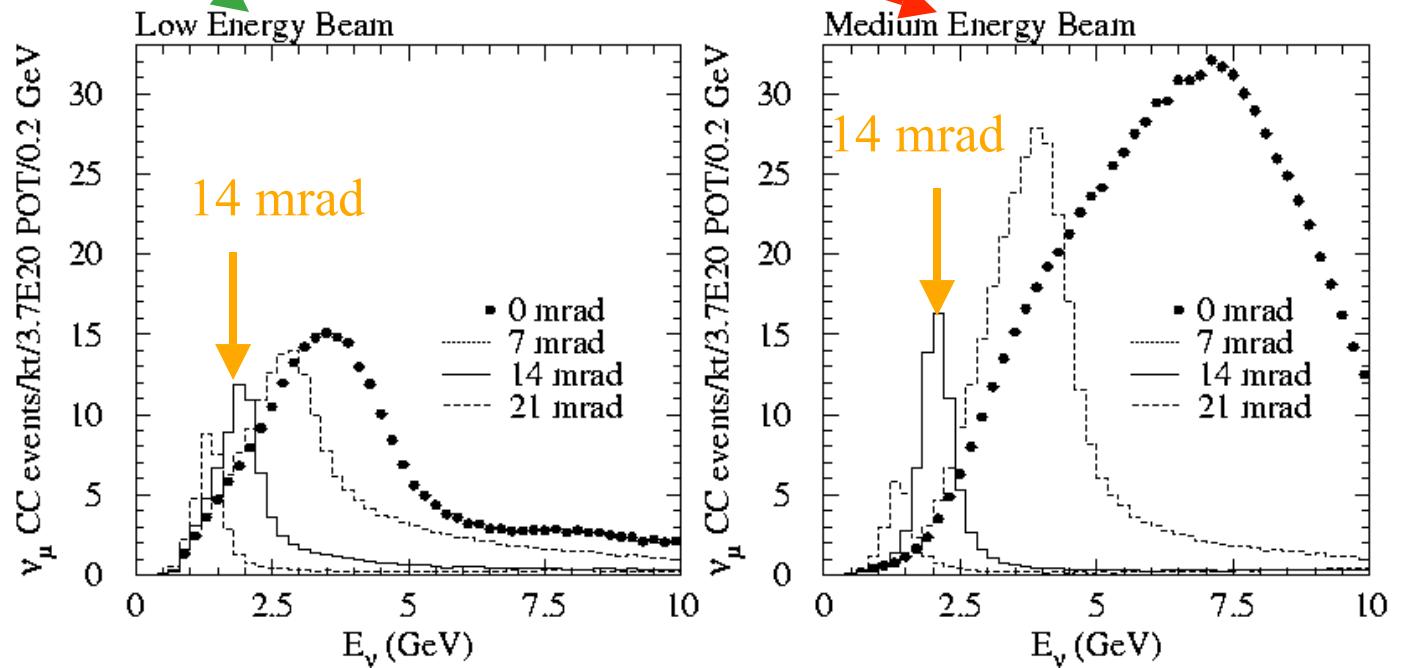
**Longer term: Construction of an 8 GeV proton driver: x 4**

**$25.2 \times 10^{20}$  protons on target per year is the goal.**

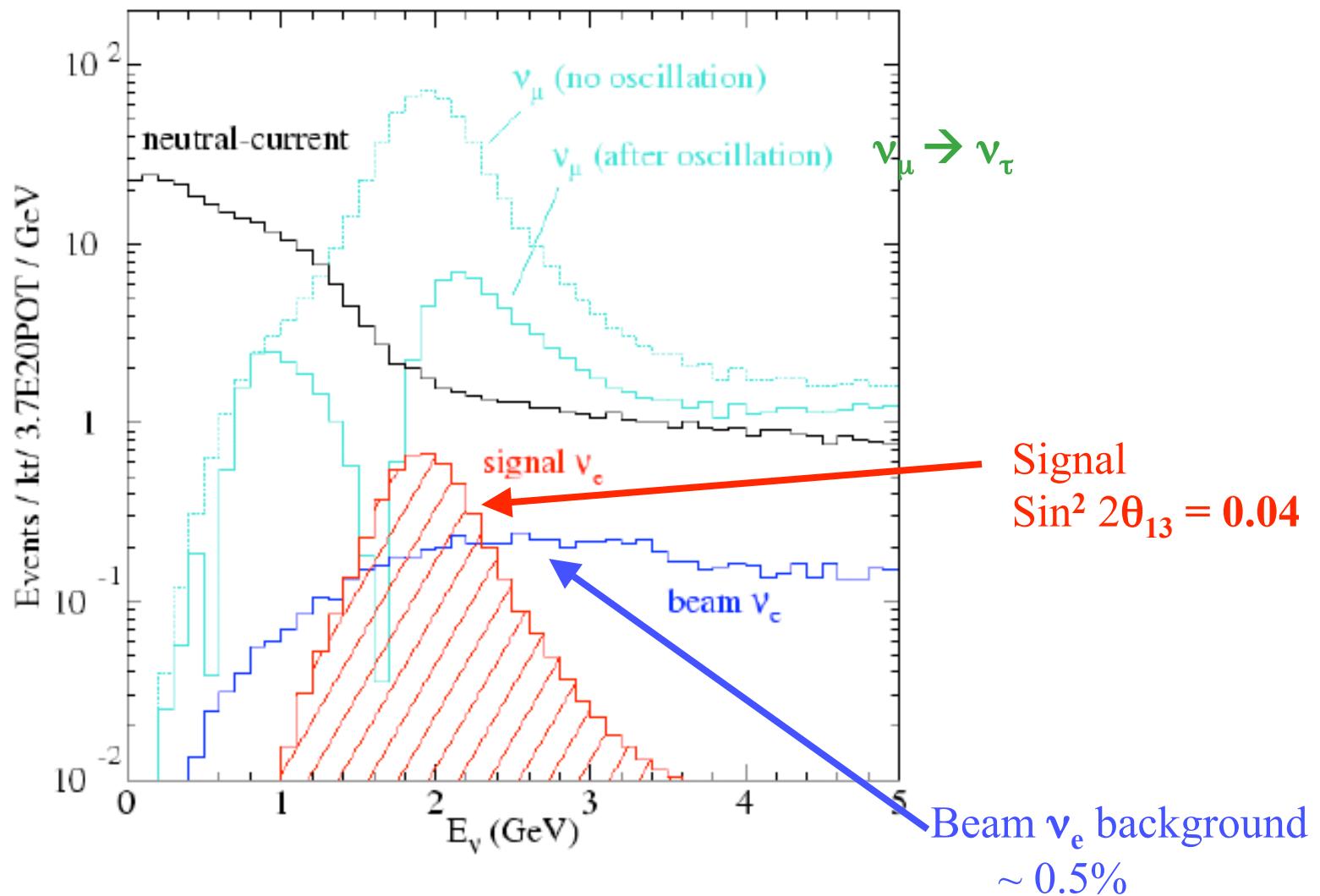
# The Beam: Same NUMI beam as MINOS



Can select low, medium and high energy beams by moving horn and target  
 Best is the Medium energy beam

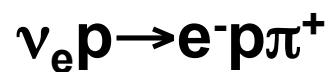
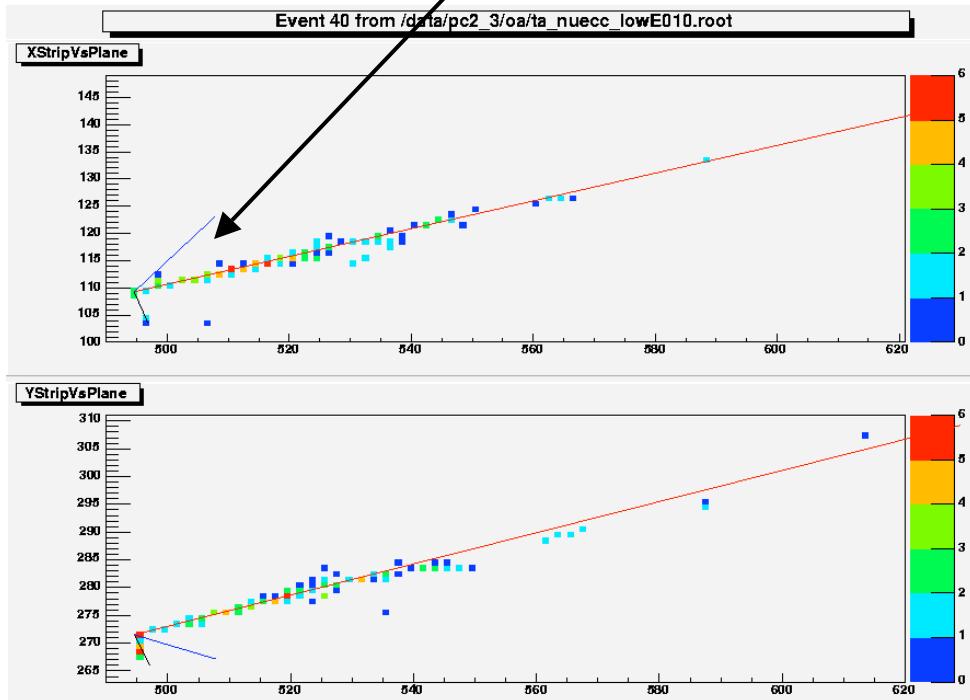


# Beam spectra

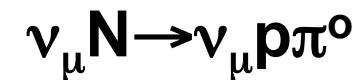
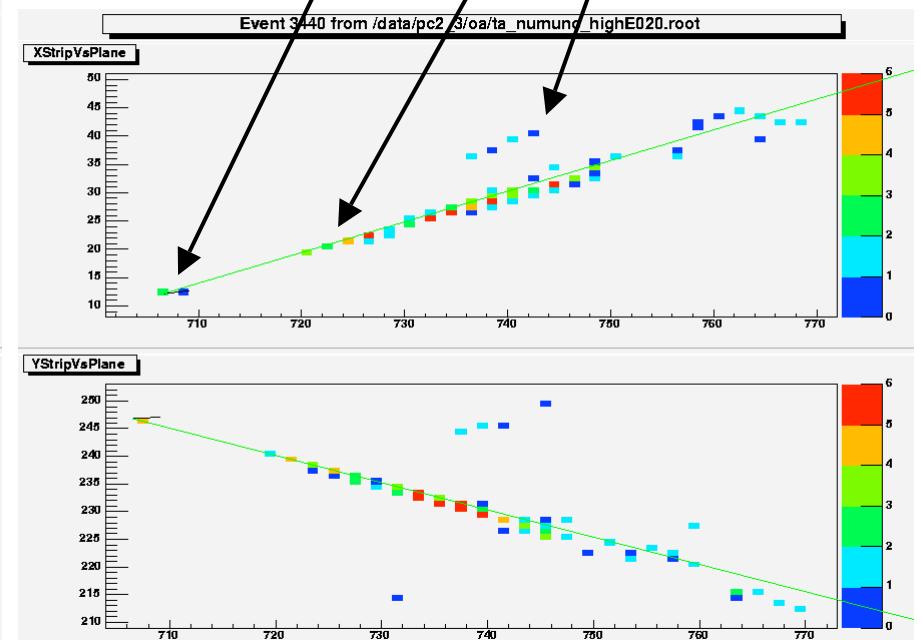


# Typical events (Monte Carlo)

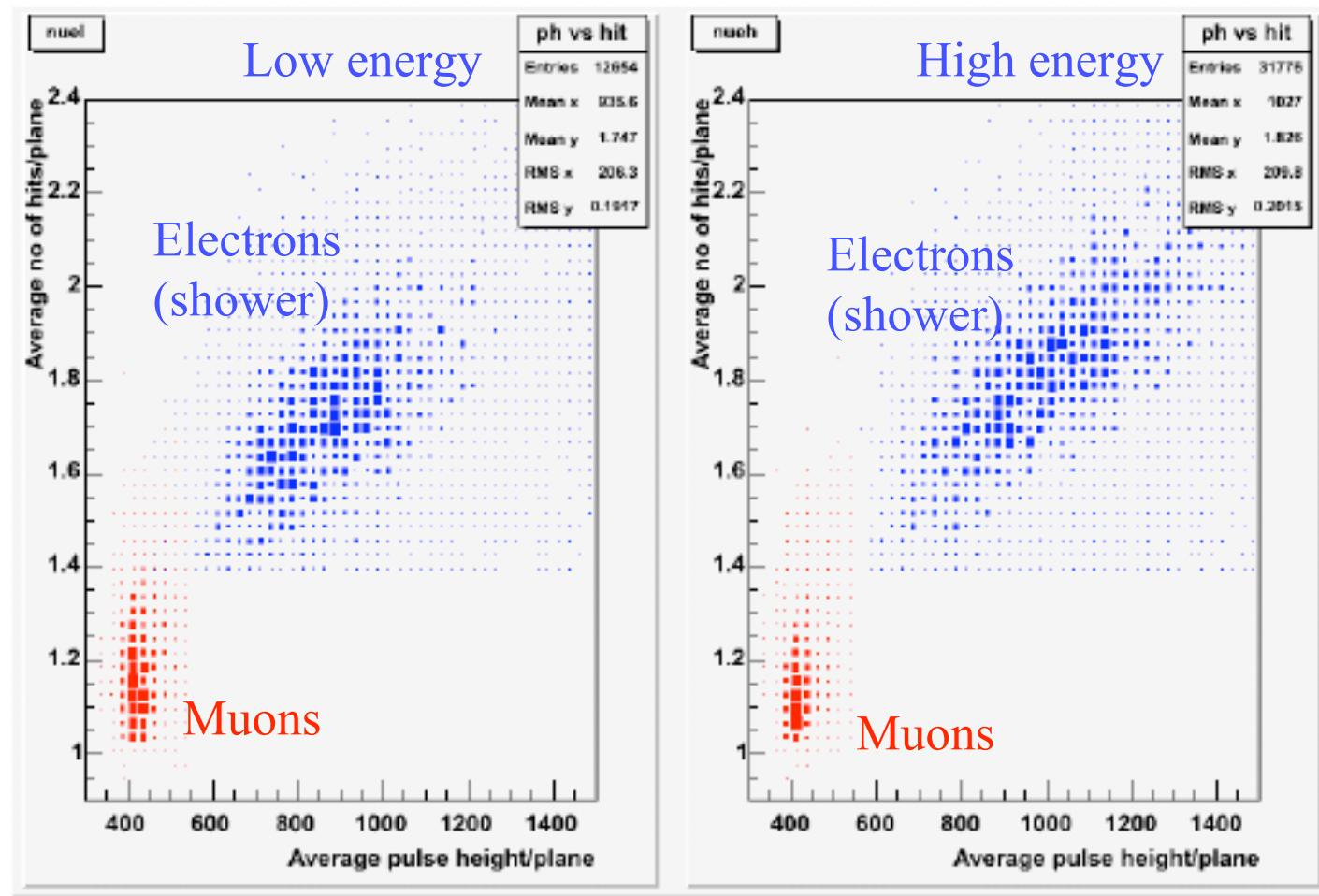
**Electron:** Shower attached to vertex



$\pi^0 \rightarrow \gamma\gamma$ : Vertex separated from shower  
Second shower



# $\nu_\mu - \nu_e$ separation



$\pi^0$  in NC also a problem.

Signal  $\nu_e$  efficiency: 24%.

$\nu_\mu$  CC background  $4 \times 10^{-4}$

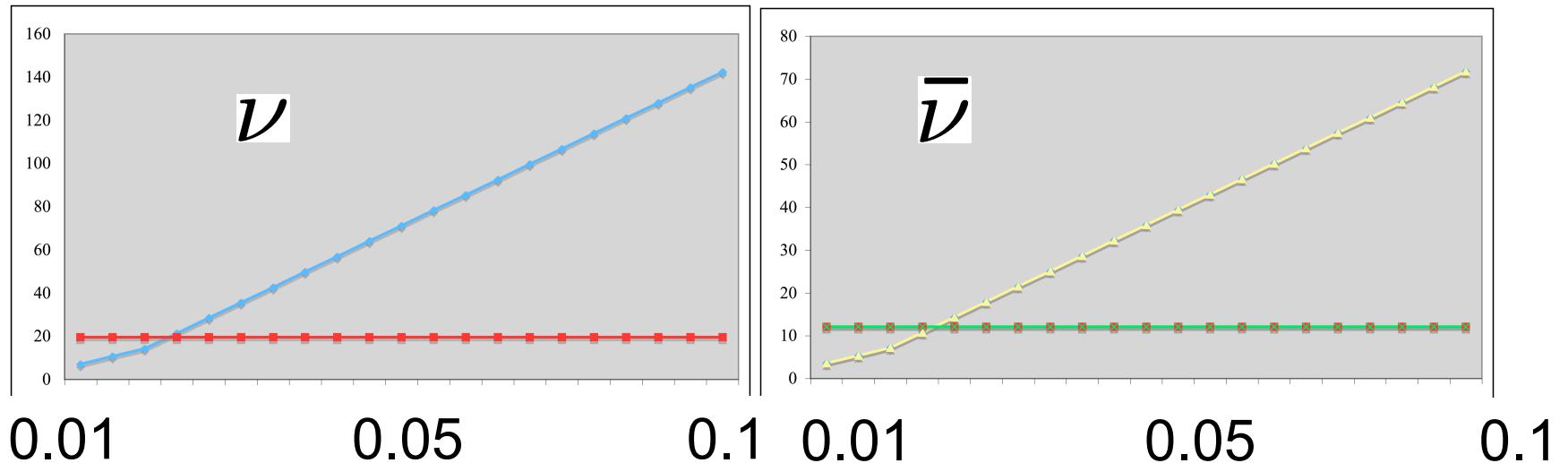
$\nu_\mu$  NC background  $2 \times 10^{-3}$

## Summary of backgrounds

Background	Events	% Error	Error
Beam $\nu_e$	11.9	7%	0.8
$N_\mu$ CC	0.5	15%	0.08
NC	7.1	5%	0.4
Total	19.5	5%	0.9

# Signal and Backgrounds

- **Statistical Power:** why this is hard and we need protons



For  $\sin^2 2\theta_{13} = 0.1$ :

$\nu$ : S=142.1, B=19.5  
 $\bar{\nu}$ : S= 71.8, B=12.1

5 yrs at 6.5E20 pot/yr,  
efficiencies included

# $\theta_{23}$ ambiguity determination

Appearance: Accelerators

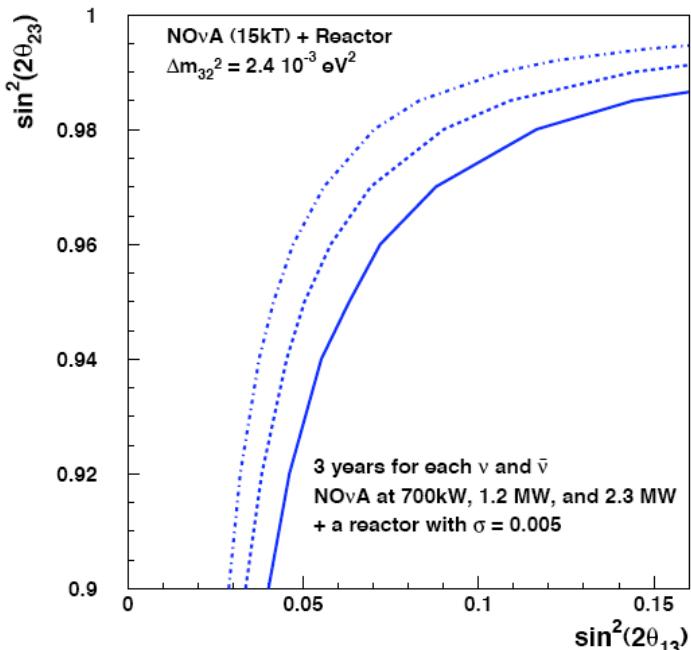
$$P(\nu_\mu - \nu_e)_{\text{vac}} = \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2 [(\Delta m_{23}^2 L) / (4E_\nu)]$$

Disappearance: Reactors

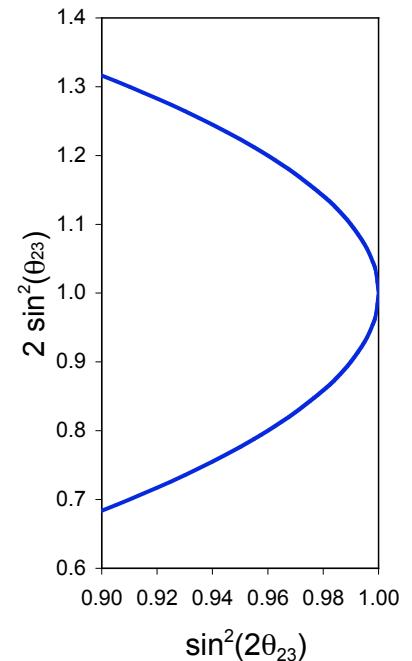
$$P_{ee} = 1 - \sin^2 2\theta_{13} \sin^2 [(\Delta m_{23}^2 L) / (4E_\nu)]$$

Combining results can determine  $\theta_{23}$

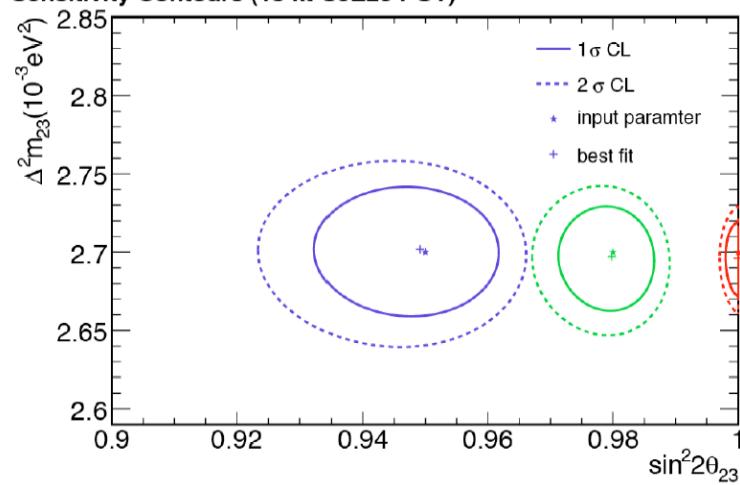
$\sin^2 2\theta_{23}$ , say 0.92,  $2\theta_{23}$  is  $67^\circ$  or  $113^\circ$  and  $\theta_{23}$  is  $33.5^\circ$  or  $56.5^\circ$



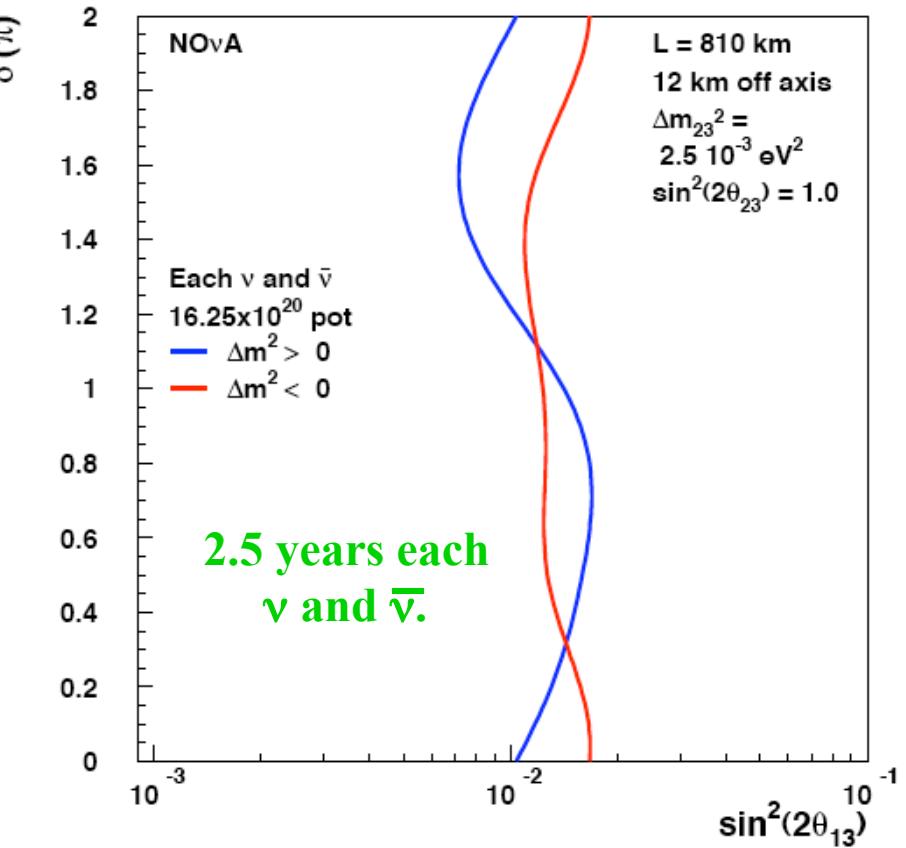
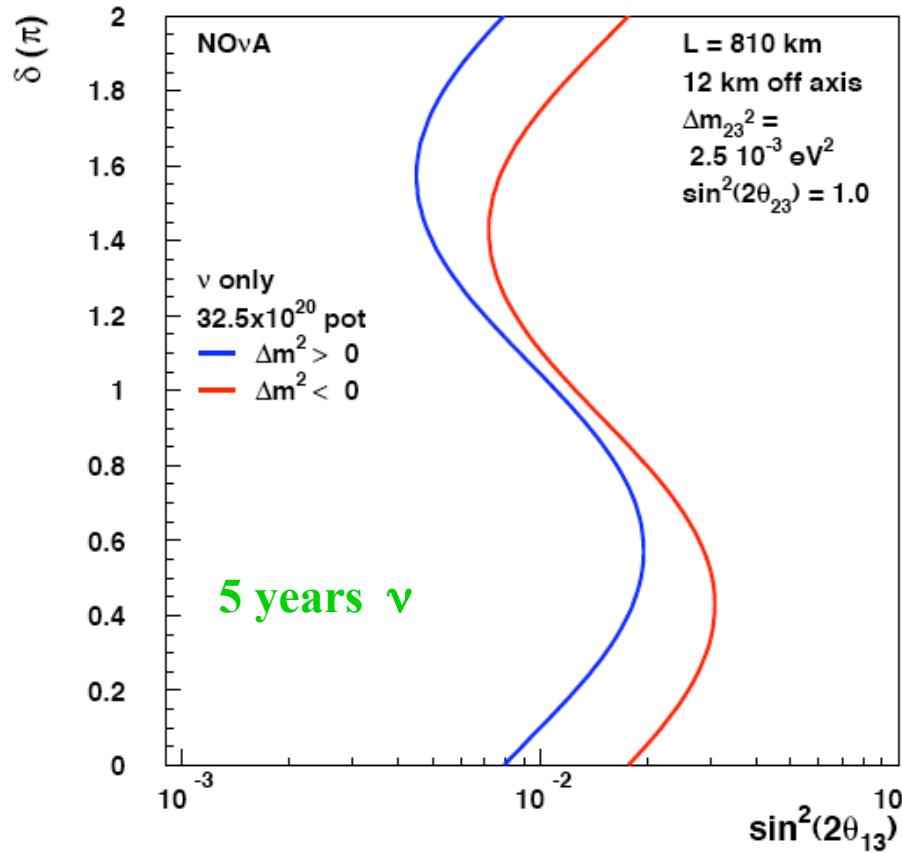
$2 \sin^2(\theta_{23})$  vs.  $\sin^2(2\theta_{23})$



Sensitivity Contours (18 kt\*36E20 POT)

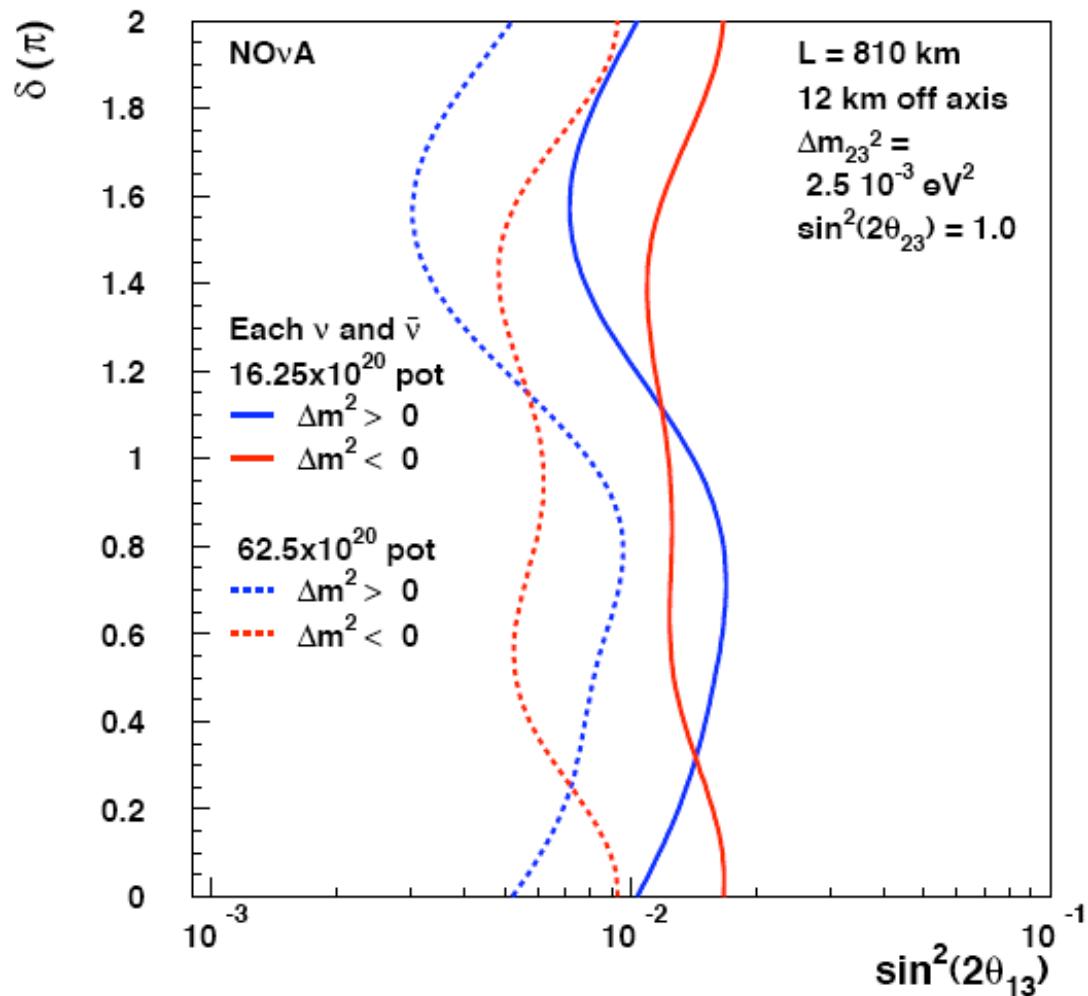


# 3 $\sigma$ discovery limits for $\theta_{13} \neq 0$



Discovery limit is **better than 0.02** for **ALL  $\delta$ 's** and **BOTH** mass hierarchies.

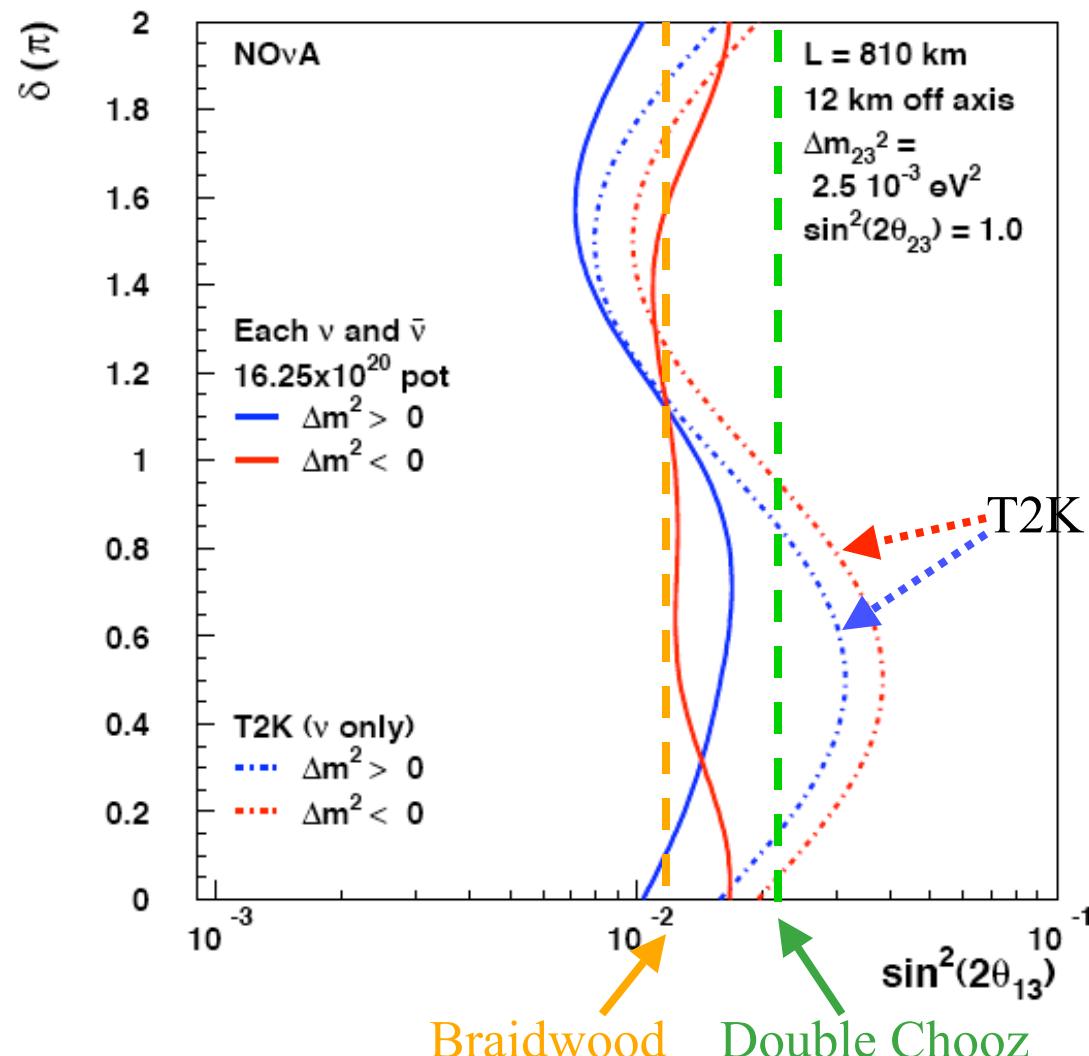
# 3 $\sigma$ discovery limits for $\theta_{13} \neq 0$ Comparison with Proton Driver



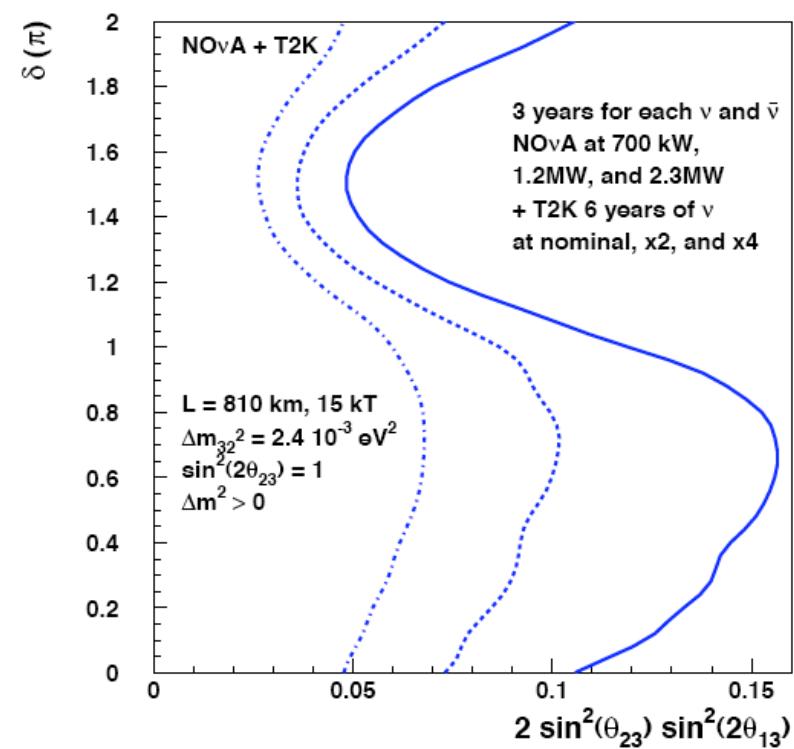
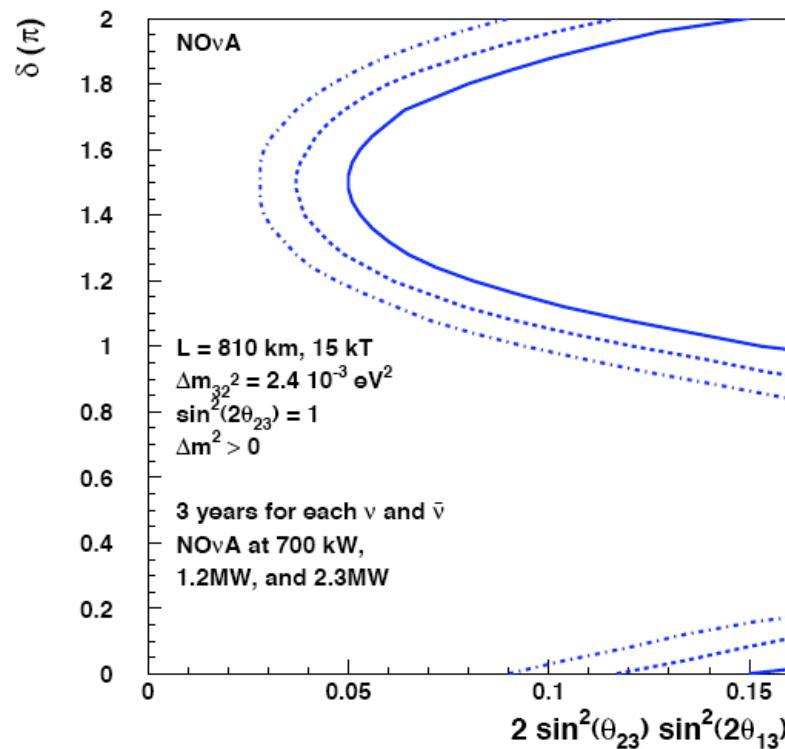
2.5 years each  
 $\nu$  and  $\bar{\nu}$ .

# 3 $\sigma$ discovery limits for $\theta_{13} = 0$

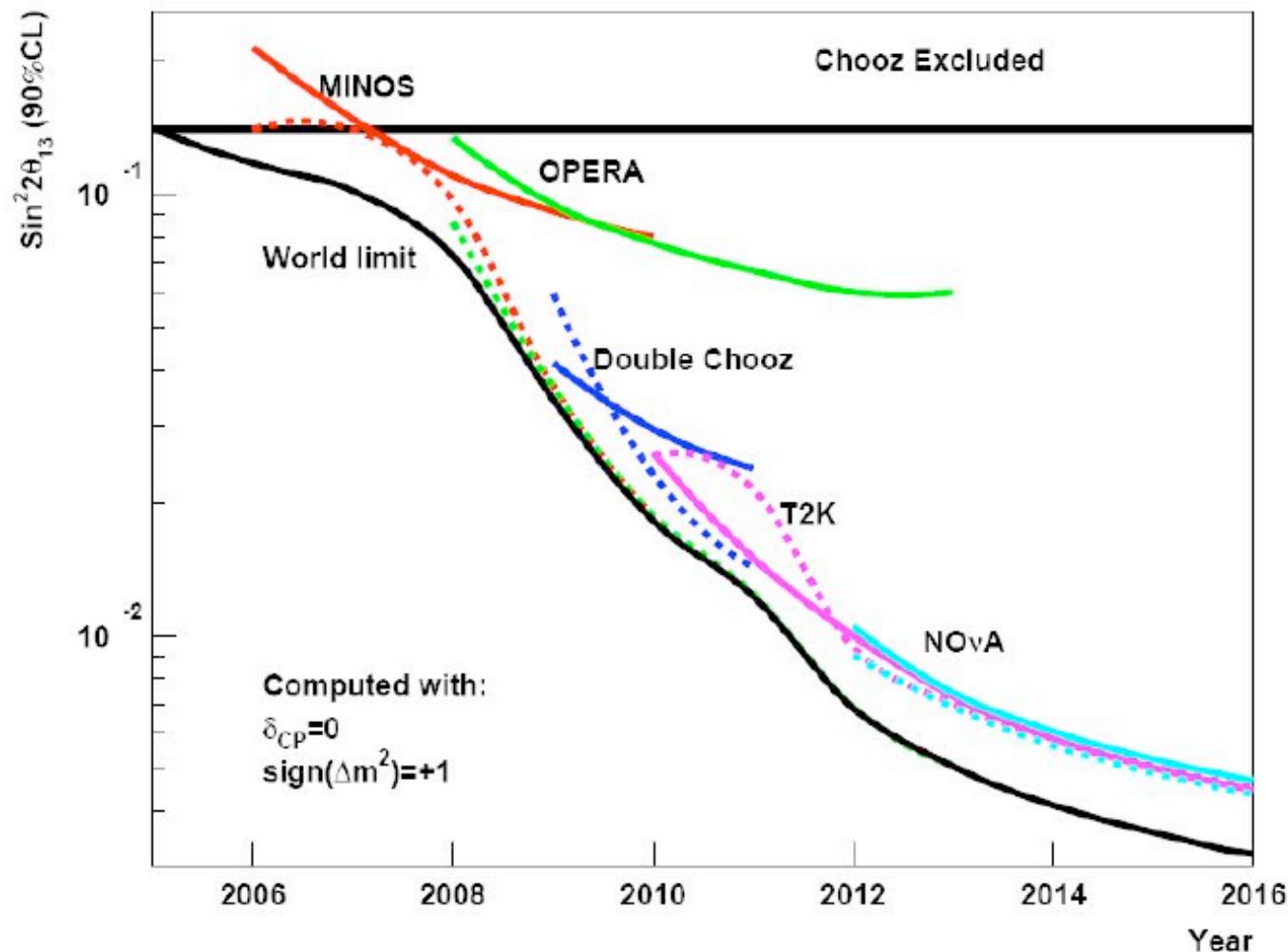
## Comparison with T2K and 2 Reactor experiments



# Resolution of mass hierarchy



# The road ahead



# Mass hierarchy with reactors ?

►  $P(\nu_e \rightarrow \nu_x) =$

$$1 - \sin^2 2\theta_{12} c_{13}^4 \sin^2 \Delta_{21} - \sin^2 2\theta_{13} c_{12}^2 \sin^2 \Delta_{31} - \sin^2 2\theta_{13} s_{12}^2 \sin^2 \Delta_{32}$$

The disappearance formula has 3 terms, each depending on a different  $\Delta$ .

If we can measure energy with enough precision we should be able to disentangle the 3  
For reactors antineutrinos the **first term** gives the biggest suppression because it is NOT  
A function of  $\sin^2 2\theta_{13}$ .

The other 2 terms give smaller and higher frequency oscillations

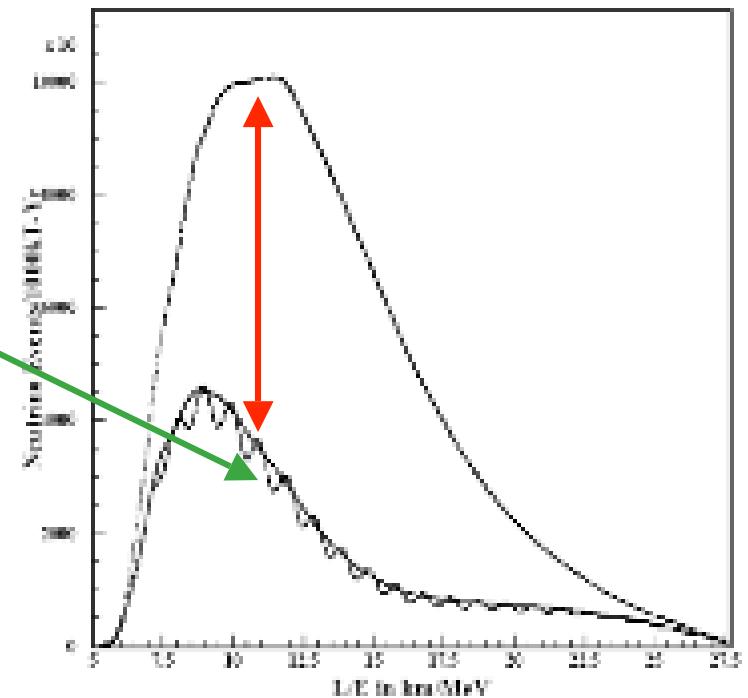
In order to enhance the effect of  $\Delta_{31}$  and  $\Delta_{32}$ ,  
We should chose  $L = 56$  km such that  $\Delta_{21} = \pi/2$

Then we have **two other oscillations**,  
with amplitudes  
proportional to the factors  
in the  $\Delta_{31}$  and  $\Delta_{32}$  terms.

The  $\Delta_{31}$  term is proportional to  $c_{12}^2 = 0.72$

The  $\Delta_{32}$  term is proportional to  $s_{12}^2 = 0.28$

A ratio of 2.57

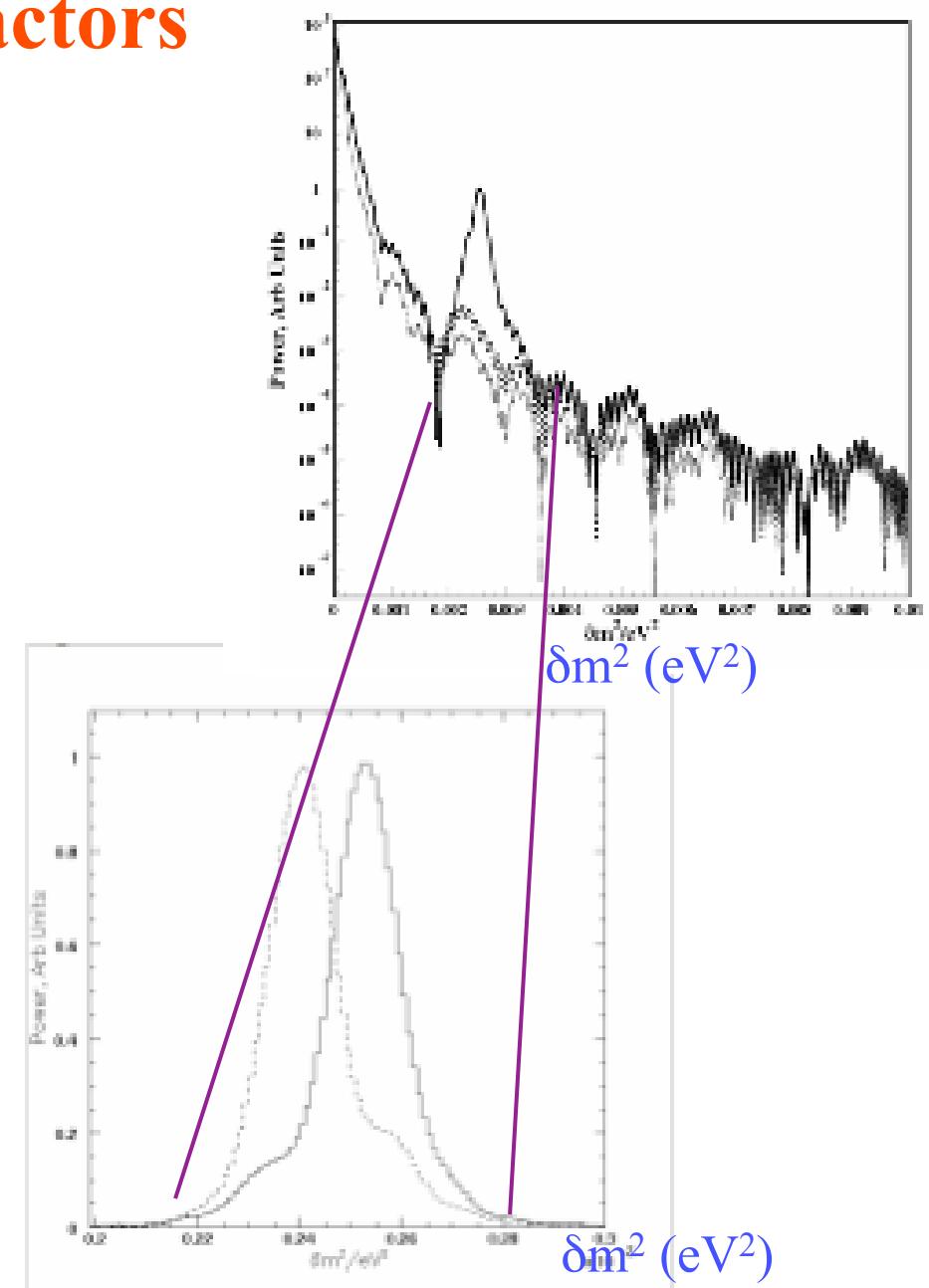


# Mass hierarchy with reactors

- Fourier transform data.
- At small  $\delta m^2$ , we see the  $\Delta_{21}$  modulation.
- The big peak is due to  $\Delta_{31}$ .
- For **NORMAL** hierarchy, the  $\Delta_{32}$  term will have a slightly higher frequency, but a smaller amplitude.

Bump on **RIGHT** side.

- For **INVERTED** hierarchy, Bump on **LEFT** side.



$$P(v_e \rightarrow v_u)$$

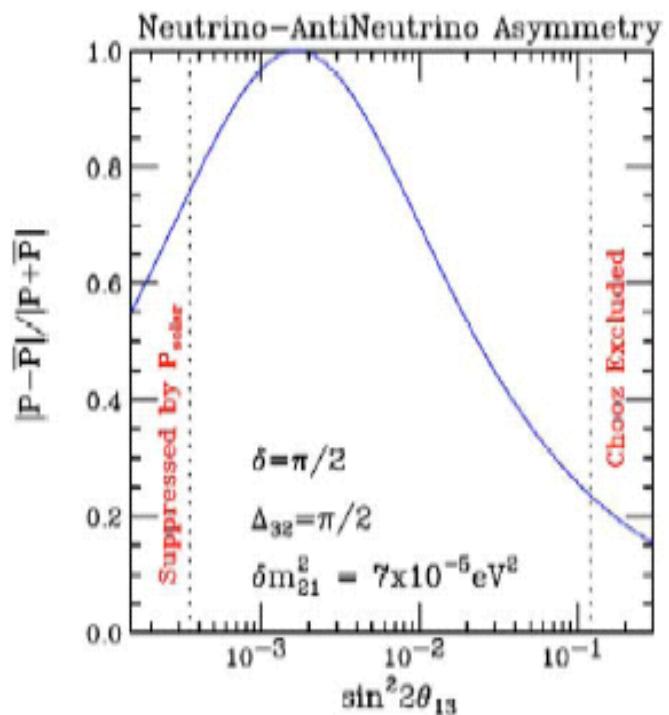
$$\begin{aligned}
 P(\nu_e \rightarrow \nu_\mu) \approx & \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2((1-\hat{A})\Delta)}{(1-\hat{A})^2} \\
 \pm & \sin \delta_{\text{CP}} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})} \\
 + & \cos \delta_{\text{CP}} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \cos(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})} \\
 + & \alpha^2 \sin^2 2\theta_{12} \cos^2 \theta_{23} \frac{\sin^2(\hat{A}\Delta)}{\hat{A}^2} \\
 = & F_1 \sin^2 2\theta_{13} + F_2 \Delta_{21} \sin \delta \sin 2\theta_{13} + F_3 \Delta_{21} \sin 2\theta_{13} + F_4 \Delta_{21}^2
 \end{aligned}$$

$$(P - \bar{P})/(P + \bar{P}) = \frac{2 F_2 \Delta_{21} \sin \delta \sin 2\theta_{13}}{2F_1 \sin^2 2\theta_{13} + 2F_3 \Delta_{21} \sin 2\theta_{13} + 2F_4 \Delta_{21}^2}$$

# Behaviour of the CP asymmetry

$$(P - \bar{P})/(P + \bar{P}) = \frac{2 F_2 \Delta_{21} \sin \delta \sin 2\theta_{13}}{2F_1 \sin^2 2\theta_{13} + 2F_3 \Delta_{21} \sin 2\theta_{13} + 2F_4 \Delta_{21}^2}$$

- Dropping the  $\Delta_{21}$  terms, we see that the CP asymmetry goes **UP** with smaller  $\theta_{13}$ .
- (But the oscillation probabilities go **DOWN**.)
- At some small value of  $\theta_{13}$ , the F4 term becomes important. We can then ignore the F2 and F3 terms in the denominator and the asymmetry goes **DOWN** with  $\theta_{13}$ .

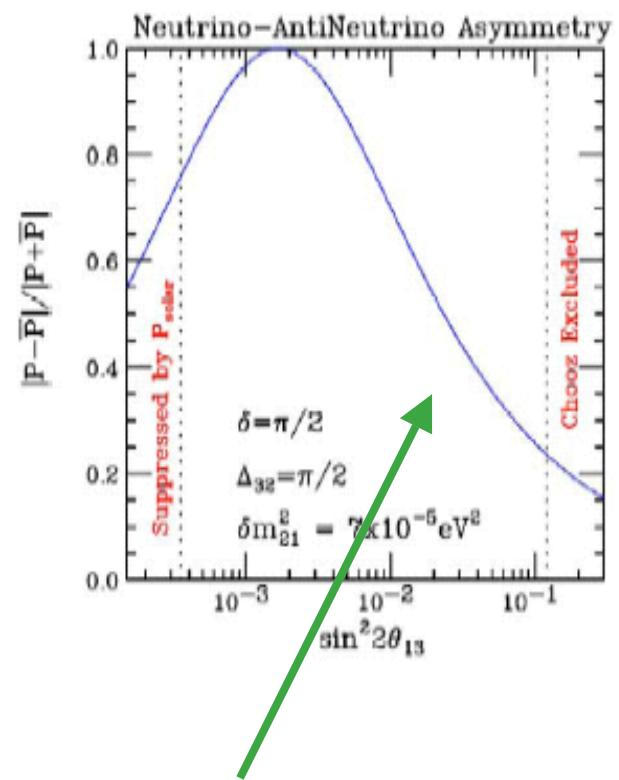
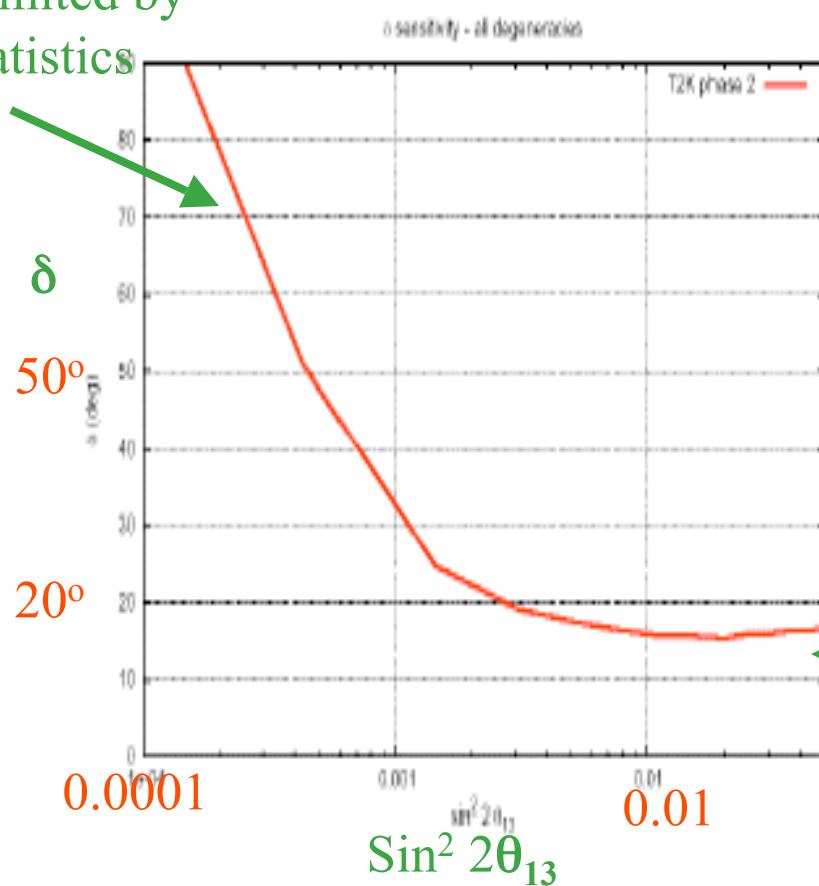


# T2K II: Sensitivity to $\delta_{CP}$

Definition: For each value of  $\sin^2 2\theta_{13}$ :

The minimum  $\delta$  for which there is a difference  
Of  $3\sigma$  between CP and NO CP violation

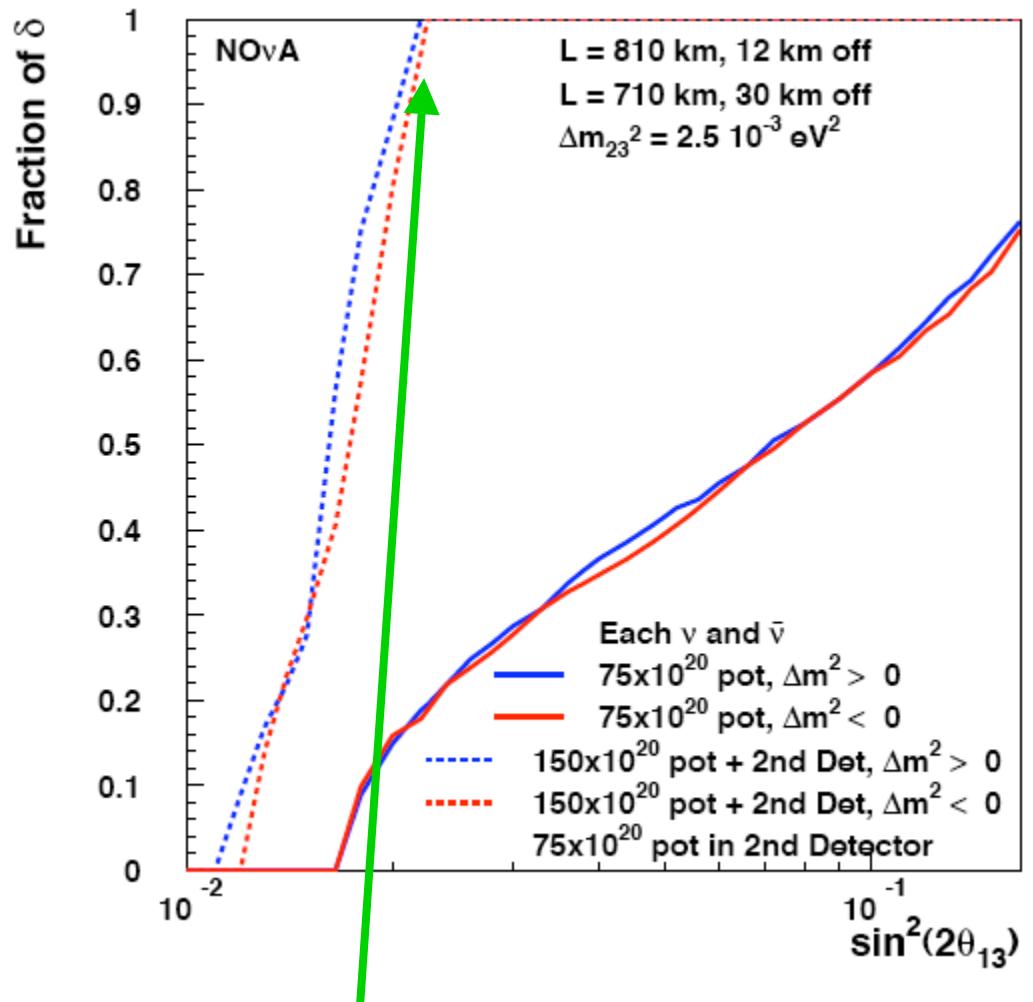
Limited by  
statistics



CP violation asymmetry  
( $\nu, \bar{\nu}$  difference) decreases  
with increasing  $\sin^2 2\theta_{13}$

# Looking further ahead

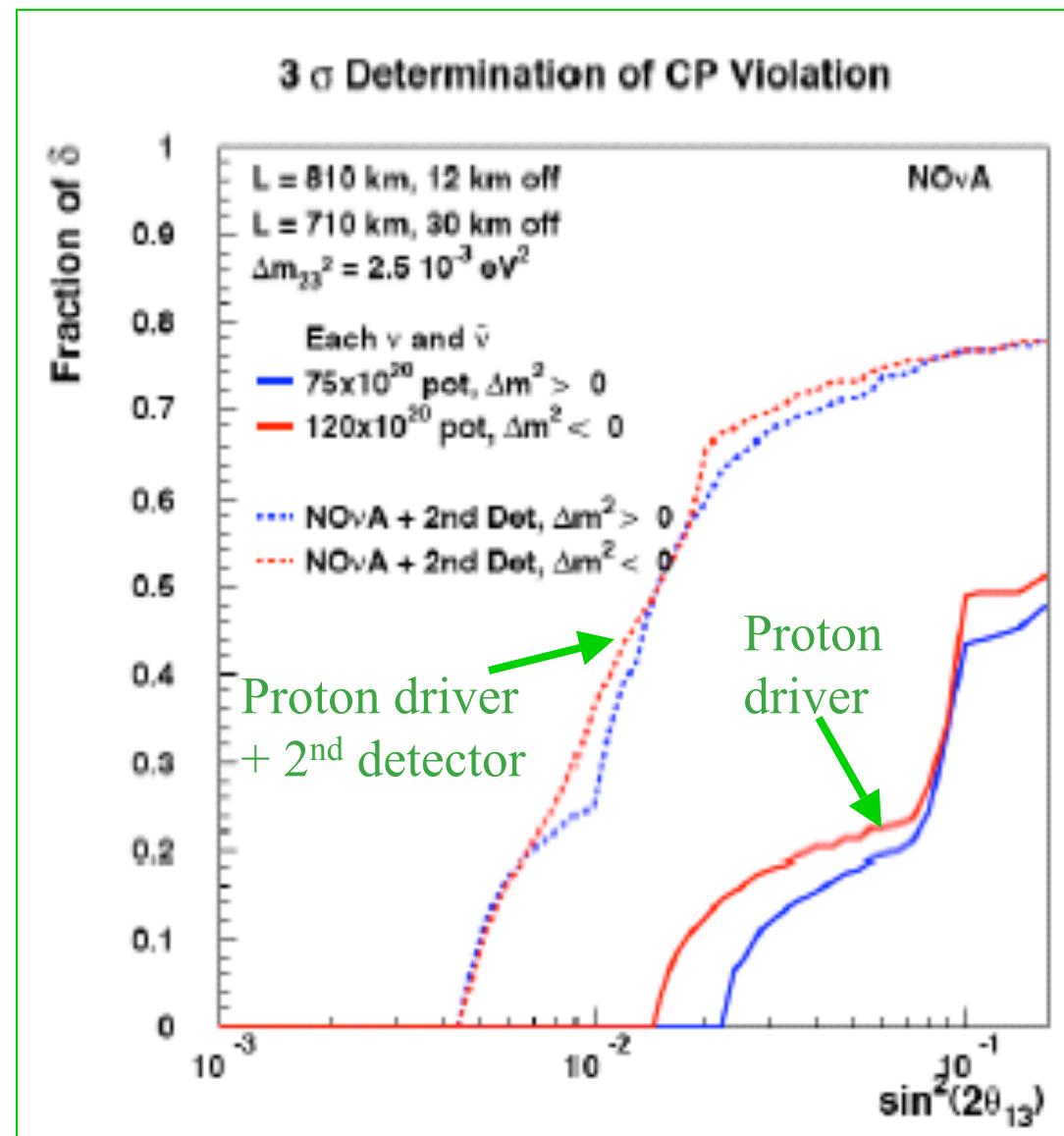
- With a proton driver, Phase II, the mass hierarchy can be resolved over 75% of  $\delta$  near the CHOOZ limit.
- In addition to more protons in Phase II, to resolve hierarchy a **second detector** at the **second oscillation maximum** can be considered:
- $\Delta_{\text{atm}} = 1.27 \Delta m_{32}^2 (L/E) = 3\pi/2$ .  
 $L/E = 1485$ , a factor of 3 larger than at 1<sup>st</sup> max.  
For  $\sim$  the same distance, E is 3 times smaller:  
matter effects are smaller by a factor of 3
- 50 kton detector at 710 km.  
30km off axis (second max.)  
6 years (3  $\nu$  + 3  $\bar{\nu}$ )



Determines mass hierarchy for all values of  $\delta$  down to  $\sin^2 2\theta_{13} = 0.02$

# CP reach

- To look for CP violation requires the proton driver.
- But combining with a second detector is what really becomes **SIGNIFICANT**.



$$P(\bar{\nu}_e \rightarrow x) \approx \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right)$$

